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# A Thymic Precursor to the NK T Cell Lineage

Kamel Benlagha,<sup>1</sup> Tim Kyin,<sup>1</sup> Andrew Beavis,<sup>1</sup> Luc Teyton,<sup>2</sup> Albert Bendelac<sup>1\*</sup>

CD1d-restricted autoreactive natural killer (NK1.1<sup>+</sup>) T cells function as regulatory cells in various disease conditions. Using improved tetramer tracking methodology, we identified a NK1.1<sup>+</sup> thymic precursor and followed its differentiation and emigration to tissues by direct cell transfer and in situ cell labeling studies. A major lineage expansion occurred within the thymus after positive selection and before NK receptor expression. Surprisingly, cytokine analysis of the developmental intermediates between NK<sup>+</sup> and NK<sup>+</sup> stages showed a T helper cell T<sub>H</sub>2 to T<sub>H</sub>1 conversion, suggesting that the regulatory functions of NK T cells may be developmentally controlled. These findings characterize novel thymic and postthymic developmental pathways that expand autoreactive cells and differentiate them into regulatory cells.

In addition to mainstream CD4 and CD8  $\alpha\beta$  T cells, the thymus produces specialized subsets of autoreactive regulatory cells, including CD1d-restricted CD4<sup>+</sup> and CD4<sup>+</sup>8<sup>+</sup> (DN) NK T cells and major histocompatibility complex (MHC) class II-restricted CD4<sup>+</sup>CD25<sup>+</sup> cells. These cells exist at relatively high frequencies in peripheral tissues, where they regulate conventional T cell responses through the secretion of cytokines such as interleukin-4 (IL-4), IL-10, transforming growth factor- $\beta$ , or interferon- $\gamma$  (IFN- $\gamma$ ) [reviewed in (1, 2)]. Although little is known about the steps that govern the development of these cells, the study of T cell receptor (TCR) transgenic models has shown that the autoreactive nature of their TCRs is essential for their differentiation into regulatory cells (3, 4). However, direct study of the development of natural, nontransgenic regulatory cells has not been feasible because of their rarity and the lack of specific markers.

We focused on the developmental steps of the major population of regulatory NK T cells, which express the conserved V $\alpha$ 14-J $\alpha$ 18/V $\beta$ 8 TCR (V $\alpha$ 24-J $\alpha$ 18/V $\beta$ 11 in humans), and which regulate a broad range of disease conditions such as type I diabetes, cancer, and infections [reviewed in (5, 6)]. The development of these cells is particularly intriguing because they are a hybrid of the T and NK lineages, expressing both the  $\alpha\beta$  TCR and the inhibitory MHC-

specific NK receptors (5, 6). Previous studies have shown that, unlike other autoreactive T cells, autoreactive NK T cells are not deleted in the thymus but are positively selected upon recognition of CD1d (presumably with an endogenous ligand) expressed by CD4<sup>+</sup>8<sup>+</sup> cortical thymocytes (7, 8). The inherent autoreactivity of NK T cells seems to be controlled by the inhibitory NK receptors, because it is revealed in hybridomas lacking these NK receptors and in fresh cells exposed to dendritic cells (DCs) lacking the classical MHC class I ligands of these NK receptors (9, 10).

To study the developmental branchpoints of NK T cells relative to the T and NK lineages, the acquisition of T<sub>H</sub>1 or T<sub>H</sub>2 profiles, and the mechanisms underlying their high frequency in tissues, we identified these cells using tetramers of CD1d loaded with the synthetic lipid  $\alpha$ -galactosylceramide ( $\alpha$ GC). CD1d- $\alpha$ GC tetramers uniformly stain all NK T cells expressing the V $\alpha$ 14-J $\alpha$ 18/V $\beta$ 8 TCR (11, 12). Because NK T cells are rare, nonspecific background staining is a problem; this can be partly overcome by simultaneous staining with empty CD1d tetramers (referred to as CD1d) conjugated with a different fluorochrome. After gating on CD1d- $\alpha$ GC<sup>+</sup> CD1d<sup>+</sup> thymocytes of 2-week-old B6 mice, the proportions of cells found were 0.08% in wild-type mice versus <0.01% in control J $\alpha$ 18<sup>-/-</sup> mice (13) lacking NK T cells (Fig. 1A) (14). Nearly all of the cells were found among CD8<sup>+</sup> thymocytes expressing low levels of the early cell surface marker HSA, whereas the few CD8<sup>+</sup> cells were HSA<sup>high</sup> and indistinguishable from background (as measured in J $\alpha$ 18<sup>-/-</sup>). By focusing on these HSA<sup>low</sup> cells in 2-week-old mice, we observed a predominance of NK1.1<sup>+</sup>

cells, as reported by others (15), in contrast to the predominantly NK1.1<sup>+</sup> phenotype of their adult counterparts (Fig. 1B). Furthermore, nearly all of the NK1.1<sup>+</sup> cells were CD44<sup>high</sup>, whereas the NK1.1<sup>+</sup> population could be separated into CD44<sup>low</sup> and CD44<sup>high</sup> subsets. Analysis of 3- and 6-week-old mice suggested a developmental sequence from CD44<sup>low</sup>NK1.1<sup>+</sup> to CD44<sup>high</sup>NK1.1<sup>+</sup> to CD44<sup>high</sup>NK1.1<sup>+</sup>. Other NK lineage receptors (such as Ly49A, Ly49G/I, Ly49C, and CD94/NKG2) were also expressed late, along with or after NK1.1 (15, 16).

In situ labeling of thymocytes with fluorescein isothiocyanate (FITC) allowed the identification of recent emigrants in the spleen and liver (17). At 24 hours, most of these emigrants failed to express NK lineage markers and had the same CD44<sup>high</sup>NK1.1<sup>+</sup> phenotype as the thymic pre-NK1.1 stage (Fig. 2A). After 24 hours, a progressive expression of NK1.1 was observed (Fig. 2, A and B), suggesting that the thymic emigrants acquired the NK phenotype after entry into peripheral sites (18).

The frequency of V $\alpha$ 14 T cells among recent thymic emigrants was conspicuously high, at 4% in Fig. 2A and on average (16), and we estimate that as many as  $1 \times 10^5$  V $\alpha$ 14 T cells could be exported to the spleen every day, a relatively large number compared with the size of the resident NK T population ( $5 \times 10^5$  to  $10 \times 10^5$ ) (19).

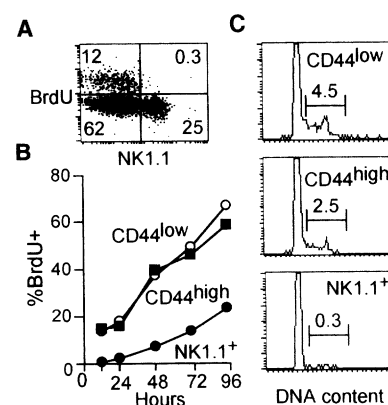
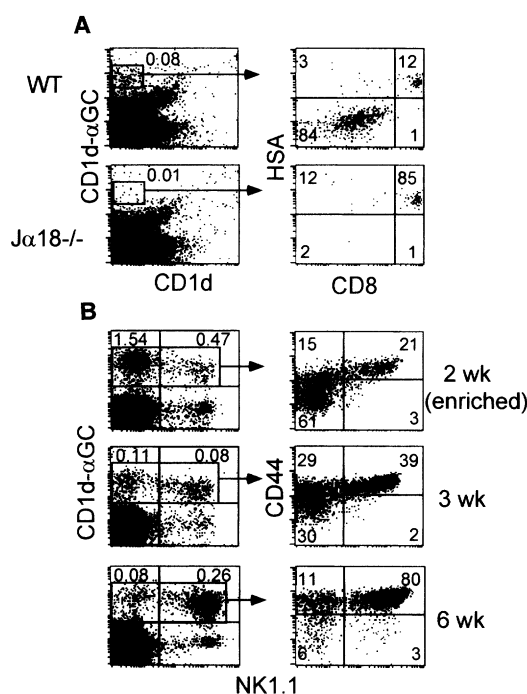
To directly test the precursor-product relation between NK1.1<sup>+</sup> and NK1.1<sup>+</sup> cells, we sorted the NK1.1<sup>+</sup> cells and transferred them intrathymically into J $\alpha$ 18<sup>-/-</sup> hosts, which lack NK T cell precursors. We mixed into the inoculum 3% congenically marked, sorted NK1.1<sup>+</sup> thymocytes (20) to rule out the possibility that a minor population of NK1.1<sup>+</sup> contaminants could expand and account for the NK1.1<sup>+</sup> cells found later. We found that most of the NK1.1<sup>+</sup> cells expressed NK1.1 within a week, both in the thymus (Fig. 2C) and in the spleen and liver (16), whereas the congenically marked contaminants were not detectable. These results show that NK1.1<sup>+</sup> cells represent precursors to those expressing NK1.1.

Because the thymic emigration experiments suggested a major expansion of V $\alpha$ 14 thymocytes before emigration, we examined the cell cycle status and turnover rate of NK T cell developmental intermediates (21). Indeed, we found that a large fraction of both CD44<sup>low</sup>NK1.1<sup>+</sup> and CD44<sup>high</sup>NK1.1<sup>+</sup> cells were actively dividing, as shown by the 16 to 18% bromodeoxyuridine-positive (BrdU<sup>+</sup>) cells at 12 hours and the 2.5 to 4.5% cells in S/M

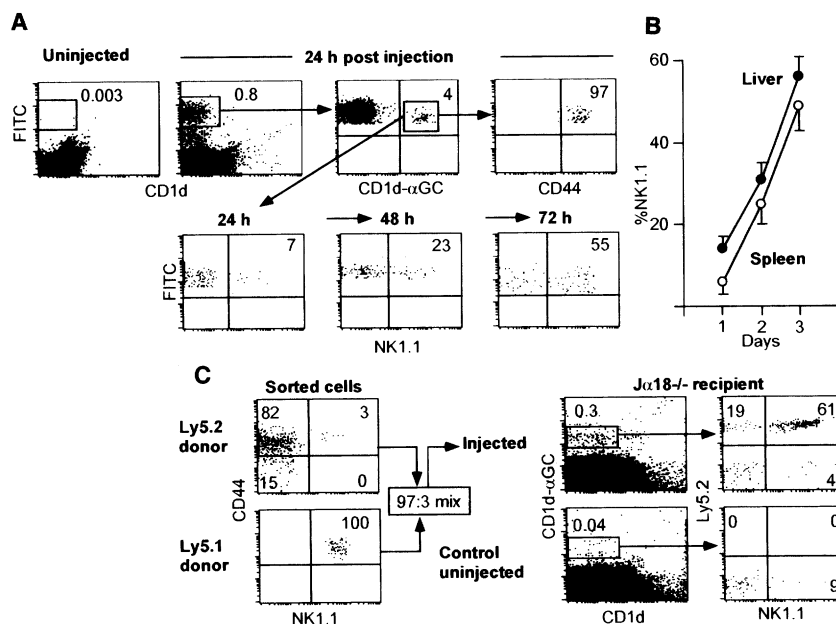
<sup>1</sup>Department of Molecular Biology, Princeton University, Princeton, NJ 08544, USA. <sup>2</sup>Department of Immunology, Scripps Research Institute, La Jolla, CA 92037, USA.

\*To whom correspondence should be addressed. E-mail: [abendelac@molbio.princeton.edu](mailto:abendelac@molbio.princeton.edu)

**Fig. 1. Ontogeny of thymic NK T cells.** (A) Thymocytes of 2-week-old wild-type or control  $\text{J}\alpha 18^{-/-}$  mice were labeled as indicated (four-color). Cells stained by  $\alpha\text{GC}$ -loaded CD1d (CD1d- $\alpha\text{GC}$ ) but not by unloaded CD1d (CD1d) tetramers (left panels) were gated for analysis of HSA and CD8 expression (right panels). Comparison between WT and  $\text{J}\alpha 18^{-/-}$  shows that only the  $\text{HSA}^{\text{low}}\text{CD8}^{-}$  subset contains  $\text{J}\alpha 18^{+}$  cells. (B) CD1d- $\alpha\text{GC}^{+}$  thymocytes of normal mice of different ages were gated for analysis of CD44 and NK1.1 expression. To reduce background staining, all panels were pre-gated to exclude dots staining with unloaded CD1d tetramers. Two-week-old thymocytes were pre-enriched by treatment with antibodies to HSA and with complement (34). Numbers in the plots denote percentages and are representative of >16 experiments.



**Fig. 3. Turnover and division rate of NK T cell subsets.** (A) Mice injected intraperitoneally with BrdU showed rapid incorporation in the NK1.1 $^{-}$  but not the NK1.1 $^{+}$  subsets of gated CD1d- $\alpha\text{GC}^{+}$  thymocytes at 12 hours, indicating cell division of NK1.1 $^{-}$  cells. (B) Kinetics of BrdU incorporation in the different thymic NK T cell subsets upon repeated intraperitoneal injections (twice daily) of BrdU, representative of five experiments in 2- to 3-week-old mice. The NK1.1 $^{-}$  (CD44 $^{\text{low}}$  and CD44 $^{\text{high}}$ ) subsets show rapid turnover, whereas the NK1.1 $^{+}$  subset is a sessile population. (C) DNA content of CD1d- $\alpha\text{GC}^{+}$  subsets enriched from 2-week-old thymocytes, measured by Hoechst staining. Note the high frequency of cells in S/M phase in the NK1.1 $^{-}$  but not the NK1.1 $^{+}$  subset.



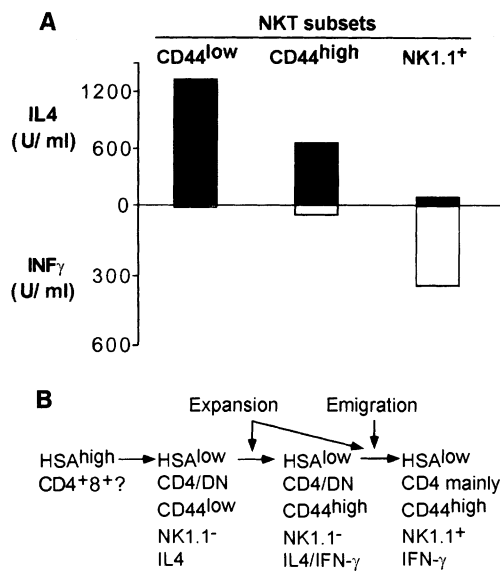
**Fig. 2. Precursor-product relation between NK1.1 $^{-}$  and NK1.1 $^{+}$  cells.** (A) Recent thymic emigrants in the spleen of 4- to 6-week-old mice were labeled by *in situ* injection of 10  $\mu\text{l}$  of FITC into one thymic lobe (34). For increased specificity of CD1d- $\alpha\text{GC}$  tetramers, cells were preincubated with unloaded CD1d tetramers and gated CD1d-FITC $^{+}$  cells were analyzed for CD1d- $\alpha\text{GC}$  staining. CD1d- $\alpha\text{GC}^{+}$ CD1d-FITC $^{+}$  cells representing the recent thymic  $\text{V}\alpha 14$  emigrants (boxed) were further analyzed for expression of CD44 (upper right panel) or NK1.1 (lower three panels) at different time points after FITC injection, as indicated. Uninjected mice (upper left panel) served as controls for background staining. (B) Time course analysis of NK1.1 expression among recent thymic CD1d- $\alpha\text{GC}^{+}$  emigrants in the spleen and liver, compiled from at least three different mice per data point and representative of four experiments. (C) Sorted CD1d- $\alpha\text{GC}^{+}$  NK1.1 $^{-}$  thymocytes from 2- to 4-week-old Ly5.2 $^{+}$  donors (upper left panel) were mixed 97:3 with sorted CD1d- $\alpha\text{GC}^{+}$  NK1.1 $^{+}$  thymocytes from Ly5.1 $^{+}$  donors (lower left panel, for control for NK1.1 $^{+}$  contaminants) and injected intrathymically into NK T-deficient  $\text{J}\alpha 18^{-/-}$  (Ly5.1 $^{+}$ ) recipients. Seven days later, recipient thymocytes were recovered and enriched by treatment with antibodies to HSA and CD8 and with complement, and CD1d- $\alpha\text{GC}^{+}$  cells were analyzed for Ly5.2 and NK1.1 expression. Similar expression of NK1.1 was observed among Ly5.2 $^{+}$  donor cells recovered from the spleen and liver of thymus-injected recipients. Data are representative of five experiments.

phase (Fig. 3, A to C). In addition, the NK1.1 $^{-}$  populations were rapidly turning over, with a 50% turnover rate in 3 days; in contrast, the NK1.1 $^{+}$  thymocytes were nondividing cells with a slow turnover, as reported in (15). Similarly, the peripheral CD44 $^{\text{high}}$ NK1.1 $^{-}$  cells (which contain the thymic emigrants) were actively dividing and rapidly turning over, whereas the CD44 $^{\text{high}}$ NK1.1 $^{+}$  cells were a nondividing and sessile population (16). Together, these results indicate that a lineage expansion occurs at the pre-NK1.1 stage, both in thymocytes and in recent thymic emigrants, and they suggest that NK1.1 expression occurs independently in the thymus (for the cells that will stay resident in the thymus) and in peripheral sites (for the cells that have emigrated). Thus, the CD44 $^{\text{high}}$ -NK1.1 $^{+}$  cells represent end-stage sessile populations in different tissues, which do not appear to communicate, as also suggested by their different pattern of NK receptor expression (22).

Next, we investigated the functional properties of NK T cell developmental intermediates. Sorted CD44 $^{\text{low}}$ NK1.1 $^{-}$ , CD44 $^{\text{high}}$ NK1.1 $^{-}$ , and CD44 $^{\text{high}}$ NK1.1 $^{+}$  subsets were stimulated *in vitro* with  $\alpha\text{GC}$ -pulsed DCs, and cytokines released in the supernatants were measured (23). Surprisingly, we found that these fresh thymocyte subsets expressed strikingly different cytokine potentials. Thus, as they progressed *in vivo* through the CD44 $^{\text{low}}$ NK1.1 $^{-}$ , CD44 $^{\text{high}}$ -NK1.1 $^{-}$ , and CD44 $^{\text{high}}$ NK1.1 $^{+}$  stages, the cells expressed IL-4, IL-4 and IFN- $\gamma$ , and IFN- $\gamma$ , respectively, with the IL-4:IFN- $\gamma$  ratio changing from 130:1 to 15:1 to 1:4 (Fig. 4A). The

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**Fig. 4.**  $T_H2$  to  $T_H1$  reversal during NK T cell development. (A) Fresh  $CD44^{low}NK1.1^{-}$ ,  $CD44^{high}NK1.1^{-}$ , and  $CD44^{high}NK1.1^{+}$  NK T thymic subsets were sorted and stimulated with  $\alpha$ GC-pulsed splenic DCs. Cytokines released in the supernatant were measured at 48 hours. Similar results were obtained in six experiments, for purified  $CD4^{+}$  as well as for whole ( $CD4^{+}$  and DN) NK T subsets.  $T_H1$  polarization was also observed for splenic and liver  $NK1.1^{+}$  NK T cells (16). CD1d-deficient DCs pulsed with  $\alpha$ GC were used as negative controls to establish that cytokines were induced by TCR engagement (16). (B) NK T cell lineage thymic and postthymic development scheme. Note that, although peripheral NK T cells express  $NK1.1$  after emigration into tissues, a fraction of NK T cells acquire  $NK1.1$  in the thymus and do not emigrate.



precursor-product relation between these intermediates suggests that the developing NK T cell lineage reverses its cytokine profile from  $T_H2$  to  $T_H1$  during the expansion phase.

The development of NK T cells has been controversial, with conflicting but indirect evidence for thymic (24, 25) versus extrathymic (26–28) origin, early (27, 29) versus late (3) (i.e., pre- or post-TCR) fate specification, and directed (27, 29) versus random (30) TCR gene rearrangement. Our results identify a mature  $HSA^{low}CD44^{low}NK1.1^{-}$   $V\alpha14$  T cell, found only in the thymus, as a precursor to the NK T cell lineage, consistent with a thymic origin and a late fate specification of NK T cells. This developmental intermediate is itself the product of positive selection at the  $HSA^{high}$  stage because it is absent from CD1d-deficient mice, but the rare  $HSA^{high}$  precursors remain to be directly identified, although they are likely to include DP thymocytes (15, 24, 31). The rarity of these  $HSA^{high}$  precursors, below our current detection levels, suggests that  $V\alpha14$ - $J\alpha18$  rearrangements occur at a very low frequency, consistent with random rather than directed V-J joining.

Our study reveals a massive expansion at the mature  $HSA^{low}$  stage, which is unprecedented among mainstream T cell lineages. This expansion mimics an antigen-driven proliferative response, establishing an abundant pool of peripheral NK T cells. Expression of NK lineage receptors is a late event, correlating with cessation of cell division and establishment of long-term residence either in the thymus or after emigration into peripheral tissues (Fig. 4B). Because inhibitory NK receptors regulate TCR autoreactivity (10) and differ in expression pattern according to tissues (22), this finding implies that local environmental influences shape the final pattern of reactivity of NK T cells and might explain the tissue-specific autorecognition of CD1d reported for some NK T cells (32). Autoreactivity is ultimately controlled by ex-

pression of inhibitory NK receptors in peripheral tissues, but it is tempting to speculate that cell division of  $HSA^{low}$  thymocytes is a consequence of CD1d autoreactivity against cortical thymocytes or medullary DCs, both of which express CD1d.

The physical identification and functional characterization of developmental NK T intermediates identifies a novel developmental pathway that expands rather than deletes autoreactive cells and turns them into cytokine-producing regulatory cells. Other autoreactive lymphocytes belonging to the  $\gamma\delta$  T, B-1, and NK cell lineages may share some aspects of their development with NK T cells (2). Further, a  $T_H2$  to  $T_H1$  progression similar to the one we described for NK T cells has also been recently documented for human NK cells (33), suggesting common pathways and mechanisms of development. Future studies will shed light on the molecular and cellular regulation of these developmental stages and their potential defects in autoimmune disease.

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- B6 and B6. $J\alpha18^{-/-}$  (13) thymuses were stained (four-color) and analyzed as described (11), except that cells were preincubated with 2.4G2 antibody to Fc $\gamma$ R/III and with unlabeled streptavidin, then with unlabeled CD1d

tetramers labeled with CyChrome, before exposure to a mixture of APC-labeled CD1d- $\alpha$ GC tetramers and antibodies to HSA, CD8, CD44, or  $NK1.1$  (BD Pharmingen).

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- In some experiments, spleens of FITC-injected 4- to 6-week-old B6 mice were treated with CD8 monoclonal antibody 3.155, panned on plates coated with antibody to mouse immunoglobulin to remove CD8 cells and B cells, and centrifuged over Lympholyte (Cederlane Laboratories, Hornby, Ontario, Canada).
- While recent thymic emigrants progressively acquired  $NK1.1$ , they maintained their  $CD44^{high}$  phenotype at all times, indicating that they do not give rise to  $CD44^{low}$  cells. Moreover, only CD4 cells could be observed among thymic emigrants in the spleen and liver, consistent with the rarity of the  $CD4^{-}8^{-}$  subset of NK T cells in mice (16).
- Given that 4% of recent thymic emigrants belong to the  $V\alpha14$  NK T lineage, and taking into account the fraction of thymocytes labeled by FITC (10 to 30% in different experiments), the frequency of FITC $^{+}$  splenocytes (0.2 to 0.8%), and the number of splenocytes ( $\sim 10^8$ ) in these mice, we calculate that as many as  $1 \times 10^5$   $V\alpha14$  T cells are exported to the spleen every day.
- CD1d- $\alpha$ GC $^{+}$   $NK1.1^{-}$  cells were enriched and sorted from 40 2- to 4-week-old B6.Ly5.2.MHCII $^{-/-}$  mice (Jackson Labs, Bar Harbor, Maine), whereas the  $NK1.1^{+}$  subset was obtained from B6 mice (Ly5.1). Cells ( $1 \times 10^5$  to  $3 \times 10^5$ ) were injected intrathymically and their progeny were analyzed 7 days later, after thymocyte enrichment by treatment with antibodies to HSA and CD8 and with complement.
- B6 mice (2 to 3 weeks old) were injected twice daily with BrdU (8). Enriched thymocytes (recovered after treatment with antibodies to HSA and CD8 and with complement) were stained with CD1d- $\alpha$ GC tetramers and antibodies to BrdU, CD44, and  $NK1.1$  (BD Pharmingen) or with Hoechst DNA dye (Sigma).
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