Exhaustive Matching of the Entire Protein Sequence Database

Gaston H. Gonnet, Mark A. Cohen, Steven A. Benner*

The entire protein sequence database has been exhaustively matched. Definitive mutation matrices and models for scoring gaps were obtained from the matching and used to organize the sequence database as sets of evolutionarily connected components. The methods developed are general and can be used to manage sequence data generated by major genome sequencing projects. The alignments made possible by the exhaustive matching are the starting point for successful de novo prediction of the folded structures of proteins, for reconstructing sequences of ancient proteins and metabolisms in ancient organisms, and for obtaining new perspectives in structural biochemistry.

A decade has passed since questions were raised (1) about the general validity of conclusions drawn from alignments of protein sequences (2). Today, virtually every biochemical analysis routinely begins with, contains, or concludes with an alignment of sequences of proteins that are presumed to be homologous (3). Alignments are also the starting point for methods of predicting de novo the secondary structure of proteins (4-6), for all knowledge-based structure predictions (7), for estimating the number of different types of protein folds (8), for interpreting data from the human genome project (9), and for resolving phylogenetic issues (3, 10).

Despite the varied applications of sequence alignments, it has proved difficult to construct sequence alignments correctly. This is not because of inadequate theory; an algorithm that achieves the optimal alignment of two homologous protein sequences was provided over 20 years ago by Needleman and Wunsch (11). Rather, the problem arises because there are simply too many sequence data to analyze and because the parameters needed to correctly score mutations, deletions, and insertions are unavailable.

Today, mutations (mismatches) in an alignment are usually scored with a mutation matrix developed by Dayhoff and her co-workers in the 1970s (12). However, this matrix was derived from alignments of an extremely small set of proteins that are very similar in sequence, and is therefore unsuitable for alignments between two proteins whose sequences are sufficiently similar to suggest that they might be homologous, but not similar enough to make homology obvious.

The difficulties in constructing alignment routines are further complicated by the requirement that they handle deletions and insertions. Even random sequences can be aligned if gaps are introduced at no

penalty. Most alignment programs therefore assign penalties to gaps of the form (ak (+ b), where k is the length of the gap and a and b are arbitrarily chosen constants. There is no justification, either theoretical or empirical, for this treatment. Indeed, many of the questionable conclusions drawn from alignments arise because of inappropriately placed gaps. Conversely, correctly placed gaps provide information that is critical to the de novo prediction of the folded structure of proteins from sequence data alone (4-6). Such information led to the remarkably accurate predictions of the folded structures of tryptophan synthase and protein kinase before crystallographic information was available (4-6).

The amount of sequence data presently available should make it possible to do an exhaustive matching of the entire sequence database (defined here as the result of an attempted Needleman-Wunsch alignment of every subsequence in the database with every other subsequence), obtain empirical probabilities of mutations between amino acids, determine empirical gap scoring penalties, and use these to obtain high-quality alignments. This has not been done previously because the Needleman-Wunsch algorithm is slow (about one pairwise comparison per second). Because a typical contemporary database (such as MIPS Version 64) contains 8,344,353 (n) amino acids, exhaustive matching of all subsequences could involve some 35×10^9 pairwise

Fig. 1. Reorganization of sequences to form semi-infinite strings placed in "alphabetical order" (left to right in this diagram) on a patricia tree (13), idealized here for sequences built from just two letters. Reorganization time is almost linear with database size and requires negligible computation. Exhaustive matching is achieved by comparing patricia subtrees from the top. Time is saved because the matching of patricia subtrees is aborted when the score falls below a liberally chosen similarity limit.

comparisons (on the order of n^2) and more than 10^6 years of computer time. Not surprisingly, an exhaustive matching of a modern sequence database with the Needleman-Wunsch algorithm has been thought to be essentially impossible (13).

We report the exhaustive matching of an entire protein sequence database. Neither the Needleman-Wunsch algorithm nor any of the rigor that it implies was sacrificed. The key to matching in a reasonable time lies in the step preceding the application of the Needleman-Wunsch algorithm: a reorganization of the sequence data by indexing on a patricia tree (14) (Fig. 1). In an indexed database, pairs of identical sequences are found instantaneously because they lie together on the tree. Similar sequences lie near each other in the tree. Thus, all pairs of sequences that might be significantly similar can be found in an indexed database by far fewer than n^2 matching operations and aligned with the Needleman-Wunsch algorithm. Thus, our exhaustive matching required only 405 days of CPU time and was obtained in the background (otherwise idle CPU capability) from up to six workstations running in parallel for only 19 weeks.

Classical mutation matrices and gap penalties were used in the first phase of the exhaustive matching. A liberal target score ensured that every match with potentially significant sequence similarity was examined. The 6.5 \times 10⁶ matched pairs of subsequences that were found in the first phase were then refined by running the Needleman-Wunsch algorithm from the point where each match began in one direction along the sequence alignment to the point where the alignment was optimized (or the sequences exhausted), running the algorithm in the reverse direction to achieve the same goal, and repeating the process until the alignment score was no longer improved. After refining, 1.7×10^6 matches remained, each optimally aligned, which were then used to calculate new mutation matrices and a model for scoring gaps. These new scoring parameters were then used to further refine the matches to self-consistency. The parameters provide

XY... ΥX xx YY... YXY... YYX ххү... хүх. хүү... үхх xxx YYY.. XXXXX. XYYX... XYYY... XYXX. XYXY.. YXYX... XXYY... XXXX YXYY... XXXX XXYI YYYY. XXY

Because all subsequences in the database are indexed, the fact that two similar protein sequences do not begin identically does not diminish the generality of the search.

SCIENCE • VOL. 256 • 5 JUNE 1992

1443

Institute for Scientific Computation and Institute for Organic Chemistry, Swiss Federal Institute of Technology, Universitatstr. 16, 8092 Zurich, Switzerland.

^{*}To whom correspondence should be addressed.

ç	11.5																		
s	0.1	2.2																	
т	-0.5	1.5	2.5																
P	-3.1	0.4	0.1	7.6															
A	0.5	1.1	0.6	0.3	2.4														
G	-2.0	0.4	-1.1	-1.6	0.5	6.6													
N	-1.8	0.9	0.5	-0.9	-0.3	0.4	3.8												
D	-3.2	0.5	0.0	-0.7	-0.3	0.1	2.2	4.7											
Е	-3.0	0.2	-0.1	-0.5	0.0	-0.8	0.9	2.7	3.6										
Q	-2.4	0.2	0.0	-0.2	-0.2	-1.0	0.7	0.9	1.7	2.7									
н	-1.3	-0.2	-0.3	-1.1	-0.8	-1.4	1.2	0.4	0.4	1.2	6.0								
R	-2.2	-0.2	-0.2	-0.9	-0.6	-1.0	0.3	-0.3	0.4	1.5	0.6	4.7							
ĸ	-2.8	0.1	0.1	-0.6	-0.4	-1.1	0.8	0.5	1.2	1.5	0.6	2.7	3.2						
м	-0.9	-1.4	-0.6	-2.4	-0.7	-3.5	-2.2	-3.0	-2.0	-1.0	-1.3	-1.7	-1.4	4.3					
I	-1.1	-1.8	-0.6	-2.6	-0.8	-4.5	-2.8	-3.8	-2.7	-1.9	-2.2	-2.4	-2.1	2.5	4.0				
L	-1.5	-2.1	-1.3	-2.3	-1.2	-4.4	-3.0	-4.0	-2.8	-1.6	-1.9	-2.2	-2.1	2.8	2.8	4.0			
v	0.0	-1.0	0.0	-1.8	0.1	-3.3	-2.2	-2.9	-1.9	-1.5	-2.0	-2.0	-1.7	1.6	3.1	1.8	3.4		
F	-0.8	-2.8	-2.2	-3.8	-2.3	-5.2	-3.1	-4.5	-3.9	-2.6	-0.1	-3.2	-3.3	1.6	1.0	2.0	0.1	7.0	
Y	-0.5	-1.9	-1.9	-3.1	-2.2	-4.0	-1.4	-2.8	-2.7	-1.7	2.2	-1.8	-2.1	-0.2	-0.7	0.0	-1.1	5.1	7.8
W	-1.0	-3.3	-3.5	-5.0	-3.6	-4.0	-3.6	-5.2	-4.3	-2.7	-0.8	-1.6	-3.5	-1.0	-1.8	-0.7	-2.6	3.6	4.1 14
	с	s	T	P	A	G	N	D	Е	Q	н	R	к	м	I	L	v	F	Y

Fig. 2. The recommended mutation matrix for initially aligning protein sequences. Subsequent refinements should use mutation matrices appropriate to the PAM distance of the protein pairs being aligned. The matrix is compiled for all pairs in the database separated by a PAM distance of between 6.4 to 100.0, extrapolated by exponential fitting to a PAM distance of 116.5. This approach minimizes perturbations that are due to the genetic code, imprecision in extrapolation, and errors in the database. Matrix elements are ten times the logarithm of the probability that the indices are aligned, divided by the probability that these indices would be aligned by chance, and are normalized for two proteins 250 PAM units apart to conform to standard practice.

definitive answers to the two fundamental questions concerning protein alignment: What does a mutation cost? and What does a gap cost?

First, mutation matrices (normalized to a distance of 250 PAM, where the PAM distance indicates the number of point accepted mutations per 100 residues separating two sequences) (12, 15, 16) were found to differ, depending on whether they were derived from protein pairs that are distantly



homologous or from protein pairs that are closely homologous. This discovery underscores the insufficiency of the classical Dayhoff mutation matrix for constructing alignments of distantly related proteins (12) and mandates the use of a new mutation matrix (Fig. 2) for the initial phase of all matchings.

Second, an analysis of the gaps found in the 1.7×10^6 matches produced by the exhaustive matching showed other interesting results. First, the probability of a gap occurring in an alignment of two sequences increases linearly with the PAM distance separating them. Further, the probability of a gap of length k decreases as approximately $k^{-3/2}$ over the entire range of gap lengths examined (1 to 60 residues). This length distribution is independent of the PAM distance, which shows that gaps of different lengths at the same position in an alignment are not generally created by consecutive insertion or deletion events.

The following equation, where P is the probability of a gap of length k, most accurately fits the data (17):

 $10\log(P) = -36.31$

+ 7.44log (PAM distance) $-14.93\log(k)$

Fig. 3. Plots of some elements (cross terms) of mutation matrices as a function of the PAM distance, normalized to PAM 250. Matrix elements relating amino acids that are similar chemically but different in their code increase with increasing PAM distance. Those relating amino acids that are different chemically but similar in code decrease with increasing PAM distance. The former are severely understated and the latter severely overstated in the classical mutation matrix (*12*). Each point is derived from ~250,000 aligned positions. Abbreviations for the amino acid residues are: C, Cys; F, Phe; I, Ile; L, Leu; M, Met; R, Arg; V, Val; W, Trp; and Y, Tyr.

Scoring gaps with the use of this or a related formula (17) allows more reliable alignment of distant sequences. This in turn allows a better evaluation of conclusions drawn from alignments of distantly related sequences (lying in the "twilight zone") (1), and the accurate multiple alignments and phylogenies produced by this integrated approach support accurate de novo predictions of the folded structure of proteins from sequence data (4, 5).

The exhaustive matching also offers new perspectives in structural biochemistry. For example, the elements of mutation matrices relating amino acids that are similar in their chemical characteristics but different in their code were found generally to increase with increasing PAM distance, whereas matrix elements relating amino acids that are different in their chemical characteristics but similar in their code generally decrease with increasing PAM distance (Fig. 3). Thus, at low divergence, the structure of the code strongly influences not only the distribution of point mutations (a trivial conclusion) but also the distribution of accepted point mutations (a surprising conclusion).

To understand why the probability of a gap of length k decreases with $k^{-3/2}$, we begin by noting that accepted deletions or insertions must extract or insert polypeptide segments whose ends lie closely together in the folded structure. The probability that two ends of a randomly coiled polymer would lie together in space is inversely proportional to the mean volume occupied by the polymer; this mean volume increases with the length of the polymer raised to the 3/2 power for randomly coiled polymers (18). Although effects arising from the excluded volume of a real polypeptide chain increase this dimensionality (18), these hypotheses can explain the experimentally



Fig. 4. A plot of the total number of "connected components" in the MIPS Version 64 database as a function of the PAM distance of the connected component. The two curves show results with a lower limit (similarity \geq 90, solid line) and upper limit (similarity \geq 140, dashed line) used to estimate which matches are significant. The minimum match length is 50.

observed -3/2 power dependence of probability on gap length.

The final outcome of the exhaustive matching is a reorganized database that can be rapidly searched using the DARWIN (Data Analysis and Retrieval With Indexed Nucleotide/Peptide Sequences) system (19). Each of the 1.7×10^6 aligned pairs of subsequences that result from the exhaustive matching is characterized by an evolutionary distance measured in PAM units. DARWIN, taking a PAM distance from the user, rapidly reconstructs the entire database in the form of sets of "connected components," entries joined by a match with every other entry in the component at or below the user-designated PAM. Because the PAM distances are accompanied by a statistical variance, evolutionary trees (20, 21) constructed from these distances by DARWIN are rigorous; they are accompanied by a probability score for the most probable connectivity, probabilistic sequences for the ancestral proteins at the nodes of the tree, and a multiple alignment.

At very low PAM distances, the connected components include very similar sequences, multiple entries in the database, and entries that differ only because of sequencing or entry error. At increasing PAM distances, however, connected components grow to include families and superfamilies of proteins. Repetitive sequences are the only feature that significantly joins apparently nonhomologous entries into connected components. From the total number of connected components plotted as a function of PAM distance (Fig. 4), the number of different protein types in the database can be estimated. Even conservative estimates indicate the existence of several thousand separate families of proteins (8). Finally, from these connected components, proteins and metabolisms can be reconstructed for various ancestors of modern organisms (10). Several of these reconstructed ancient proteins have now been prepared and studied in these laboratories (22).

REFERENCES AND NOTES

- 1. R. F. Doolittle, Science 214, 149 (1981).
- A. W. F. Edwards and L. L. Cavalli-Sforza, Ann. Hum. Genet. 27, 104 (1963); E. Zuckerkandl, Protides Biol. Fluids 12, 102 (1964); ______ and L. Pauling, in Evolving Genes and Proteins, V. Bryson and H. J. Vogel, Eds. (Academic Press, New York, 1965), p. 97; J. S. Farris, Syst. Zool. 19, 83 (1970); W. Fitch, *ibid.* 20, 406 (1971).
- R. F. Doolittle, Ed., *Methods Enzymol.* 183, 1 (1990).
- 4. S. A. Benner, *Adv. Enzyme Regul.* **28**, 219 (1989); ______ and D. Gerloff, *ibid.* **31**, 121 (1991).
- D. R. Knighton *et al.*, *Science* **253**, 407 (1991); J. M. Thornton, T. P. Flores, D. T. Jones, M. B. Swindells, *Nature* **354**, 105 (1991).
- T. Niermann and K. Kirschner, *Protein Eng.* 4, 137 (1990).
- 7. T. L. Blundell, B. L. Sibanda, M. J. E. Sternberg,

J. M. Thornton, *Nature* **326**, 347 (1987).

- W. R. Taylor, *Methods Enzymol.* 183, 456 (1990);
 R. L. Dorit *et al.*, *Science* 250, 1377 (1990).
- T. D. Yager, D. A. Nickerson, L. E. Hood, *Trends Biochem. Sci.* 16, 454 (1991); M. C. Rechsteiner, *ibid.*, p. 455.
- 10. S. A. Benner, A. D. Ellington, A. Tauer, *Proc. Natl. Acad. Sci. U.S.A.* **86**, 7054 (1989).
- 11. S. B. Needleman and C. D. Wunsch, J. Mol. Biol. 48, 443 (1970).
- M. O. Dayhoff, R. M. Schwartz, B. C. Orcutt, in Atlas of Protein Sequence and Structure, M. O. Dayhoff, Ed. (National Biomedical Research Foundation, Washington, DC, 1978), vol. 5, suppl. 3, p. 345.
- 13. W. Taylor, Nature 353, 388 (1991).
- 14. G. H. Gonnet, *Handbook of Algorithms and Data Structures* (Addison-Wesley, London, 1984).
- 15. A PAM 1 mutation matrix (the matrix describing the probability of mutations in a pair of proteins that have diverged by 1 accepted point mutation per 100 residues) can be formally extrapolated to a PAM 250 matrix by raising the PAM 1 matrix to the 250th power by matrix multiplication.
- 16. S. E. Altschul, *J. Mol. Biol.* 219, 555 (1991). 17. Because dynamic programming with nonlinear
- gap penalties is problematic, a linear fit of the equation given in the text is useful:

 $10\log(P) = -39.21 + 7.75\log(PAM distance) - 1.65(k - 1)$

For users of alignment programs that do not

permit variation with different PAM distances, the following scoring is recommended:

 $10\log(P) = -20.63 - 1.65(k - 1)$

Although this scoring is less accurate, its parameters are sufficiently different from those found as defaults in most alignment programs to make its use advisable.

- P. Flory, *Principles of Polymer Chemistry* (Cornell Univ. Press, Ithaca, NY, 1953); D. A. Brant and P. J. Flory, *J. Am. Chem. Soc.* 87, 2788 (1965).
- G. H. Gonnet and S. A. Benner, *Tech. Rep. 154, Departement Informatik* (Eidgenoessische Technische Hochschule, Zurich, 1991). DARWIN is available in a version that operates on a Sun workstation under Unix.
- 20. W. R. Taylor, Comput. Appl. Biosci. 3, 81 (1987).
- 21. J. Hein, Mol. Biol. Evol. 6, 649 (1989).
- J. Stackhouse, S. R. Presnell, G. M. McGeehan, K. P. Nambiar, S. A. Benner, *FEBS Lett.* 262, 104 (1990).
- 23. Dedicated to F. W. Westheimer on the occasion of his 80th birthday. M.A.C. was supported by a fellowship from the Wellcome Trust. Part of this work was presented at the July 1990 meeting of the Institute for Advanced Biological Studies. We thank Digital Equipment Corporation for donation of computer equipment and Sandoz AG for partial support of this work.

24 January 1992; accepted 1 April 1992

Total Chemical Synthesis of a D-Enzyme: The Enantiomers of HIV-1 Protease Show Demonstration of Reciprocal Chiral Substrate Specificity

R. C. deL. Milton, S. C. F. Milton, S. B. H. Kent*

The D and L forms of the enzyme HIV-1 protease have been prepared by total chemical synthesis. The two proteins had identical covalent structures. However, the folded proteinenzyme enantiomers showed reciprocal chiral specificity on peptide substrates. That is, each enzyme enantiomer cut only the corresponding substrate enantiomer. Reciprocal chiral specificity was also evident in the effect of enantiomeric inhibitors. These data imply that the folded forms of the chemically synthesized D- and L-enzyme molecules are mirror images of one another in all elements of the three-dimensional structure. Enantiomeric proteins are expected to display reciprocal chiral specificity in all aspects of their bio-chemical interactions.

The inherent chirality of "natural" organic compounds as products of physiological processes was first described by Pasteur (1, 2). The studies of Emil Fischer in the latter part of last century on the action of enzymes on chiral sugars led him to formulate his "lock and key" hypothesis as an explanation for the ability of the "asymmetrically constructed agent from yeast cells" (that is, an enzyme) to discriminate enantiomeric forms of a sugar substrate (3). On the basis of such observations of stereochemical specificity, Fischer believed that biological macromolecules (carbohydrates and proteins)

SCIENCE • VOL. 256 • 5 JUNE 1992

were composed exclusively of the D-sugars and the L-amino acids. It is now well established that the biosphere is inherently chiral, that each class of biological macromolecules is made up of monomer molecules of uniform chirality (4), and that the biochemical interactions of biological macromolecules are inherently chiral.

Enzymes, for example, invariably act only on one enantiomer of a chiral substrate, or generate only one diastereomer from a prochiral substrate (5). This specificity can be related to the chiral structure of the enzyme molecule, including the three-dimensional folding of the polypeptide backbone and the orientation of the amino acid (aa) side chains in the folded protein molecule (3, 5, 6). To date only

Department of Cell Biology, Scripps Research Institute, La Jolla, CA 92037.

^{*}To whom correspondence should be addressed.