

Chromosomal Gene Transfer in *Spiroplasma citri*

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The study of resistance marker rearrangement in *Spiroplasma citri* mutants provides evidence of transfer of chromosomal information followed by recombination. This is the first report of such a transfer in vivo in the mollicutes—that is, in the smallest self-replicating organisms. The double-resistant phenotypes obtained are stable even without selection pressure. The mechanism of gene transfer is insensitive to deoxyribonuclease, requires contact, and possibly, areas of fusion of the cell membranes; it shares properties with the transfer by protoplast fusion in Gram-positive bacteria. The extensive degenerative evolution of mollicutes has retained, in *S. citri*, bacterial functions of chromosomal transfer and recombination.

SPIROPLASMA CITRI IS A HELICAL mollicute, pathogenic for citrus trees (1). Mollicutes are mainly characterized by absence of cell wall and by a small sized genome (2). They are the simplest and smallest self-replicating organisms and may consequently be considered as minimum living systems (3).

Little is known about the genetics of mollicutes because of the absence of mutations that can be used as chromosomal markers (4). An in vitro transformation of *Acholeplasma laidlawii* and *Mycoplasma pulmonis* with Tn916 has been reported (5). More recently, a conjugal transfer of this transposable element from bacteria to *Mycoplasma* was obtained in mixed cultures of *Streptococcus faecalis* and *Mycoplasma hominis* (6). However, nothing is known about in vivo chromosomal gene exchange in mollicutes. To determine the existence of a spontaneous transfer of chromosomal information in *S. citri*, we used chromosomal mutants selected for resistance to vanadium oxide or arsenic acid (7, 8).

The isolation of ultraviolet-induced mutant strains (Ars^R1, resistant to arsenic acid, and Van^R2, resistant to vanadium oxide) from *S. citri* R8A2 (ATCC 27577) has been described (7). All strains were triply cloned

by conventional procedures (9), and stability of phenotypes was verified after five subcultures (about 20 generations) in nonselective BSR medium (10). *Spiroplasma citri* cells were grown at 32°C in liquid or solid (0.5% agar) BSR medium or in BSR medium supplemented with 0.5 mM arsenic acid (Merck) or 1 mM vanadium oxide (Merck).

To study transfer of chromosomal information, we mixed 1 ml of a cell culture of the mutant strain Ars^R1 in middle logarithmic phase of growth (26 hours; 10⁹ cell/ml) with 1 ml of a culture of the mutant strain Van^R2 in the same phase of growth (26 hours; 2 × 10⁸ cell/ml). After the mixture was incubated at 32°C for 3, 9, 18, or 42 hours, samples were recovered and diluted, and 100 µl of convenient dilutions were plated (in petri dishes 50 mm in diameter) on BSR medium, to determine the number of colony-forming units (CFU), and on selective medium containing the two toxic products, to determine the number of double-resistant colonies. The double-resistant colonies may have two different origins: spontaneous mutations in single-resistant cells or exchange of genetic material between the two single mutant strains. In all the experiments, the spontaneous mutation frequencies were determined in control cell

cultures of each single mutant strain. Assay conditions for the control cell cultures were the same as for the mixture.

Transfer of chromosomal information was also investigated when 50 Kunitz units of deoxyribonuclease I (DNase I) and 20 µl of MgSO₄ (1M) were added per milliliter of culture medium, in mixtures and in control cultures. Persistence of the DNase activity was verified after 60 hours of incubation of the mixture.

To study the possible role of molecules or virus particles in cultures in effecting the transfer, we replaced 1 ml of the cell culture of one mutant strain in the mixture by 1 ml of the same mutant strain culture that had previously been centrifuged (11,000g for 20 minutes at 4°C) (Sorvall SS.1) and filtered on a Millipore membrane of 0.22-µm porosity to eliminate *S. citri* cells. To study the role of contact between the two mutant cells, we carried out experiments in a U-tube with two compartments. The two cultures (50 ml of each mutant strain) were separated by a membrane of 0.22-µm porosity. The effect of fusing agents was tested on mixtures and control cultures in BSR medium containing polyethylene glycol (PEG) 6000 (Merck), or in the presence of calcium and magnesium ions. In that case, 0.05 volume of 20 mM KH₂PO₄, 0.05 volume of 1M CaCl₂, and 0.02 volume of 1M MgSO₄ were successively added to the contents of the tubes.

In all experiments, results were expressed as the frequency of double-resistant colonies in the population of CFU obtained on nonselective BSR medium. In Table 1 are reported the values of double-resistant colonies frequency in the mixture (*T*) and the

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Table 1. Transfer of chromosomal information in *S. citri*. Cells were from *S. citri* cultures in middle logarithmic phase of growth. Each experimental value is the average of three independent experiments. Standard errors are indicated.

Mixture conditions	Incubation time of the mixtures (hours)	Frequency of A ^R V ^R colonies in mixture (<i>T</i>)	Frequency of A ^R V ^R colonies obtained by spontaneous mutation		Frequency of A ^R V ^R colonies obtained by transfer of genetic information <i>T</i> - (<i>S</i> ₁ + <i>S</i> ₂)
			Control Ars ^R 1 (<i>S</i> ₁)	Control Van ^R 2 (<i>S</i> ₂)	
Ars ^R 1 + Van ^R 2	0	(1 ± 0.6) × 10 ⁻⁸	≤ 1 × 10 ⁻⁹	(1 ± 0.1) × 10 ⁻⁸	0
	3	(1 ± 0.8) × 10 ⁻⁸	≤ 1 × 10 ⁻⁹	(1 ± 0.1) × 10 ⁻⁸	0
	9	(7 ± 1.2) × 10 ⁻⁸	≤ 1 × 10 ⁻⁹	(3 ± 0.5) × 10 ⁻⁸	(4 ± 1.7) × 10 ⁻⁸
	18	(1 ± 0.1) × 10 ⁻⁴	≤ 1 × 10 ⁻⁹	(3 ± 2.0) × 10 ⁻⁸	(1 ± 0.1) × 10 ⁻⁴
	42	(1 ± 0.5) × 10 ⁻⁴	≤ 1 × 10 ⁻⁹	(7 ± 1.2) × 10 ⁻⁸	(1 ± 0.5) × 10 ⁻⁴
Ars ^R 1 + Van ^R 2 + DNase	18	(1 ± 0.7) × 10 ⁻⁴	≤ 1 × 10 ⁻⁹	(3 ± 0.9) × 10 ⁻⁸	(1 ± 0.7) × 10 ⁻⁴
	42	(9 ± 0.8) × 10 ⁻⁵	≤ 1 × 10 ⁻⁹	(4 ± 1.4) × 10 ⁻⁸	(9 ± 0.8) × 10 ⁻⁵
Ars ^R 1 + filtered supernatant from Van ^R 2	18	≤ 1 × 10 ⁻⁹	≤ 1 × 10 ⁻⁹	(3 ± 0.9) × 10 ⁻⁸	0
	42	≤ 1 × 10 ⁻⁹	≤ 1 × 10 ⁻⁹	(6 ± 0.9) × 10 ⁻⁸	0
Van ^R 2 + filtered supernatant from Ars ^R 1	18	(3 ± 1.2) × 10 ⁻⁸	≤ 1 × 10 ⁻⁹	(3 ± 0.9) × 10 ⁻⁸	0
	42	(6 ± 2.1) × 10 ⁻⁸	≤ 1 × 10 ⁻⁹	(5 ± 2.4) × 10 ⁻⁸	(1 ± 4.5) × 10 ⁻⁸

spontaneous mutation frequencies *S1* and *S2* in the control cultures of each single mutant, after various incubation times. The difference between *T* and the sum *S1* + *S2* represents the frequency of the double-resistant colonies obtained by gene transfer between the two types of cells in the mixture. This difference was equal to zero until 9 hours of incubation; it became clearly positive between 9 and 18 hours of incubation. After 18 hours, the difference was maximal and equal to 1×10^{-4} . This high frequency may be easily distinguished from spontaneous mutation frequencies, which are always less than 1×10^{-7} . Accordingly, the excess of double-resistant organisms in the mixture may be attributed to a transfer of genetic material between the two single-resistant strains.

To verify the stability of the double-resistant phenotype of the colonies obtained from the mixture, 20 CFU of *Ars^RVan^R* cells were triply cloned in nonselective BSR medium and transferred ten times (48 hours of incubation for each transfer) in nonselective medium. For each clone that was transferred ten times, the numbers of CFU and of double-resistant strains have always been equal. This result shows that the double-resistant strains are stable even on a medium without selection pressure, and accordingly, that there is no segregation of the resistance phenotype. It allows the elimination of two possibilities: (i) "cross-feeding," in which double resistance is the result of a cooperation between single-resistant cells in mixed clumps resulting from cellular aggregation, and (ii) the presence of the two chromosomal molecules in the same polyploid cell. The stability of the double-resistant phenotype indicates that the transfer is definitive and is followed by a stable event such as recombination, as shown for other organisms (11).

The presence of DNase in the mixture has

no effect in transfer (Table 1). Accordingly, the transfer does not require the presence of free DNA in culture medium.

Spiroplasma citri may be infected by virus whose known properties are very similar to those of bacteriophages (12). *Spiroplasma citri* strain R₈A₂, used in our experiments, has never revealed the presence of virus particles by appearance of plaque lysis or of extra-chromosomal DNA molecules when total DNA was analyzed by agarose gel electrophoresis. Results of experiments searching for a possible role of undetected viral particles (for details of procedure, see above) have shown that the double-resistant colony frequencies obtained corresponded to the spontaneous mutations frequencies (Table 1). Accordingly, viral particles do not appear to be involved in the transfer of the genetic material studied.

The double-resistant colony frequencies in the two cultures of single mutant strains incubated for 18, 42, and 60 hours on the two sides of the membrane of the U-tube were equal to the double-resistant colony frequencies of each respective control culture. Consequently, a contact between the two mutant cells seems necessary to transfer genetic information.

High concentrations of PEG have been extensively used to increase fusion of Gram-positive bacterial protoplasts (13, 14). When PEG was added in *S. citri* mixtures in final concentrations of 40% (w/v) and 20% (w/v), the already high frequency of double-resistant colonies obtained by transfer and recombination (1×10^{-4}) was increased by factors of 2 and 4, respectively, and in a poorly reproducible way. In the same way, the fusion-like effect of centrifugation (11,000g for 20 minutes at 4°C) (Sorvall SS.1) of a mixture of the two mutant strains, followed by suspension of the cell pellet in the supernatant, increased the frequency of double-resistant colonies by a factor of 2.5.

These results suggest that the mechanism of chromosomal gene transfer involves a step of membrane fusion.

The divalent cations calcium (CaCl₂) and magnesium (Mg SO₄), used as fusing agents for plant protoplasts (15), had no effect on genetic marker transfer in *S. citri*.

Table 2 shows results of mating experiments between cells collected at three growth phases. Only *S. citri* from cultures in middle logarithmic phase of growth (26 hours) was able to transfer genetic markers, indicating that this transfer depends on the physiological state of the cultures.

The insensitivity to DNase of the transfer, the absence of participation of viral particles, and the necessity of a contact between cells and, more precisely, of a membrane fusion step, as suggested by the influence of fusing agents, clearly distinguish chromosomal gene transfer in *S. citri* from the DNase-sensitive mechanism of bacterial transformation (16) and from transduction which involves viral particles (17).

Panicker and Minkley (18) proposed that the stable mating stage that necessarily precedes transfer in conjugation of *Escherichia coli*, might be a stage of membrane fusion. This proposal suggests that chromosomal gene transfer in *S. citri* and in Gram-positive bacteria may be related to conjugation in Gram-negative bacteria. However, no pilus and no F factor have yet been found in *Spiroplasma* or in Gram-positive bacteria. Accordingly, gene transfer in *S. citri* appears to be closely related to that in Gram-positive bacteria, especially by protoplast fusion (13, 14), and not to conjugation (18). This result strengthens the previously reported phylogenetic origin of mollicutes from Gram-positive bacteria (19). The degenerative evolution appears to have maintained the mechanism of DNA transfer and recombination as two functions of these simple free-living organisms.

Table 2. Influence of the physiological stage of the culture on the transfer of chromosomal information in *S. citri*. Each experimental value (*T*, *S1*, or *S2*) is the average of three independent experiments. Standard errors are indicated.

Phase of growth	Frequency of A ^R V ^R colonies in the mixture (<i>T</i>) at indicated hours			Frequency of A ^R V ^R colonies obtained by spontaneous mutation at indicated hours						Frequency of A ^R V ^R colonies obtained by transfer of genetic information at indicated hours		
				Control Ars ^R 1 (<i>S1</i>)			Control Van ^R 2 (<i>S2</i>)					
	0	18	42	0	18	42	0	18	42	0	18	42
Middle logarithmic (26 hours)	(1 ± 0.5) × 10 ⁻⁸	(2 ± 0.5) × 10 ⁻⁴	(2 ± 0.5) × 10 ⁻⁴	≤1 × 10 ⁻⁹	≤1 × 10 ⁻⁹	≤1 × 10 ⁻⁹	(1 ± 0.8) × 10 ⁻⁸	(3 ± 0.5) × 10 ⁻⁸	(7 ± 1.2) × 10 ⁻⁸	(1 ± 1.3) × 10 ⁻⁸	(2 ± 0.5) × 10 ⁻⁴	(2 ± 0.5) × 10 ⁻⁴
Late logarithmic (43 hours)	(1 ± 0.6) × 10 ⁻⁸	(6 ± 1.7) × 10 ⁻⁸	(9 ± 1.6) × 10 ⁻⁸	≤1 × 10 ⁻⁹	≤1 × 10 ⁻⁹	≤1 × 10 ⁻⁹	(2 ± 0.8) × 10 ⁻⁸	(8 ± 2.1) × 10 ⁻⁸	(7 ± 2.1) × 10 ⁻⁸	0	0	(2 ± 3.7) × 10 ⁻⁸
Stationary (65 hours)	(7 ± 1.7) × 10 ⁻⁸	(6 ± 1.9) × 10 ⁻⁸	(9 ± 0.8) × 10 ⁻⁸	≤1 × 10 ⁻⁹	≤1 × 10 ⁻⁹	≤1 × 10 ⁻⁹	(6 ± 0.9) × 10 ⁻⁸	(6 ± 2.1) × 10 ⁻⁸	(9 ± 0.5) × 10 ⁻⁸	(1 ± 2.6) × 10 ⁻⁸	0	0

Chromosomal gene transfer as described in this report may be used for the mobilization of *S. citri* chromosomes and consequently the establishment of a mapping system. Moreover, the development of *Spiroplasma* genetics, studied in vivo or in vitro through recombinant DNA technology, will provide genetic tools to investigate problems specific to *Spiroplasma*, such as helical morphology and pathogenicity, as well as the nature of genes providing the minimal requirements for the life of these simple organisms.

Finally, this report is a contribution to Morowitz's proposal (20) for a thorough understanding of all the functions of these simplest of prokaryotic cells.

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Concerted Nonsyntenic Allelic Loss in Human Colorectal Carcinoma

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Familial polyposis coli (FPC) is caused by an autosomal dominant gene on chromosome 5, and it has been proposed that colorectal cancer in the general population arises from loss or inactivation of the FPC gene, analogous to recessive tumor genes in retinoblastoma and Wilms' tumor. Since allelic loss can be erroneously scored in nonhomogeneous samples, tumor cell populations were first microdissected from 24 colorectal carcinomas, an additional nine cancers were engrafted in nude mice, and nuclei were flow-sorted from an additional two. Of 31 cancers informative for chromosome 5 markers, only 6 (19%) showed loss of heterozygosity of chromosome 5 alleles, compared to 19 of 34 (56%) on chromosome 17, and 17 of 33 (52%) on chromosome 18. Therefore, it appears that (i) FPC is a true dominant for adenomatosis but not a common recessive gene for colon cancer; and (ii) simple Mendelian models involving loss of alleles at a single locus may be inappropriate for understanding common human solid tumors.

AN IMPORTANT CLUE TO THE MECHANISM of human carcinogenesis is provided by inherited disorders that predispose to cancer. The paradigm for these tumors is the category of childhood malignancies that appear epidemiologically to arise from two successive mutations (1). The two mutations have been shown to be allelic in the case of retinoblastoma (2), and appear to be so in the case of Wilms' tumor

(3). It has been generally assumed that allelic loss in a cancer, as detected by restriction fragment length polymorphisms, suggests a recessive mechanism of carcinogenesis in that tumor, the presumption being that the remaining allele is mutated or microdeleted. This assumption seems reasonable in the case of retinoblastoma and Wilms' tumor, where allelic loss occurs on the same chromosomal arm as known germline, karyotyp-

ic, interstitial deletions in patients predisposed to these cancers (2, 3).

We reported specific loss of heterozygosity of chromosome 11 alleles in 40% of human bladder cancers (4), and thus suggested that recessive cancer genes are commonly involved in adult malignancies. Similar losses of heterozygosity have subsequently been reported in tumors of the breast (5, 6), kidney (7), and lung (8); as well as in acoustic neuroma (9). However, only in the case of retinoblastoma has homozygous deletion been proved by cloning the gene (2).

Recently, two laboratories have identified a genetic marker on chromosome 5 that is tightly linked to the gene for familial polyposis coli (FPC), a rare autosomal dominant disorder that predisposes to the development of hundreds of premalignant colonic adenomas (polyps), and eventually to colorectal carcinoma unless the colon is removed (10). In addition, Solomon *et al.* reported loss of heterozygosity on the long arm of chromosome 5 in 20 to 40% of sporadically occurring colorectal carcinomas, and they proposed that the FPC gene, like Wilms' tumor and retinoblastoma, is a recessive tumor gene, with inactivation of this gene occurring in a relatively high proportion of sporadically occurring colorectal cancers in the general population (11). Okamoto *et al.* also reported loss of heterozygosity of chromosome 5 alleles in three of five colorectal carcinomas (12), and Wildrick and Boman reported the same phenomenon in 3 of 11 carcinomas (13).

To address the question of a putative role of a recessive tumor gene on chromosome 5, we analyzed 35 colorectal cancers, including two from a patient with FPC and five from patients with Lynch syndrome, a more common cause of hereditary colon cancer than FPC. We also individually examined 42 premalignant adenomas. Chromosomes 5, 17, 18, and several other chromosomes that have been implicated cytogenetically were studied with polymorphic DNA markers.

Typically, loss of heterozygosity is determined by the loss of one of the two germline alleles of a polymorphic locus, as detected by loss of a band on a DNA blot. However, this analysis is complicated by the fact that the

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