(Librairie d'Amerique et d'Orient, Paris, 1951). 11. G. Coedès, Bull. Ec. Fr. Extr. Orient 33, 305 (1933).

- 12. It has been postulated that temples entered on the west were primarily funerary in intent where as temples entered on the east were simply used in the worship of the Hindu gods. In reality, the question is more complex since many temples in
- question is more complex since many temples in India have no other explanation for their orienta-tion except geographical convenience.
 13. W. Campbell, *Practical Astronomy* (Macmillan, New York, 1913), p. 8, Eq. 4.
 14. Twelve east-west alignments, 16 north-south alignments, and 26 solstitial alignments have been traced at the site of Angkor.
- E. Morón, thesis, University of Michigan (1974).
- 16. The basic unit of measure was first derived in 1973. There are approximately 45 measurements of independent architectural parts of the temple. 35 of which are related to astronomy or cosmoloin a direct manner.
- . Morón paper presented to the College Art
- E. Moton paper presented to the Conege Art Association, Detroit, January 1974.
 J. Cohen and B. Kalman, Angkor: Monuments of the God-kings (Abrams, New York, 1975), pp. 98–99. The text contains a brief discussion of the yugas as proposed by E.M. 18.
- It is possible that the original plan of the yugas was much more accurate (15). 19. It is
- 20. R. Kaulaćara, *Śilpa Prakása*, A. Boner and S. Rath, Sarma, Transls. (Brill, Leiden, 1966), p.
 - xxxiii. The major cardinal alignments at Angkor Wat are as follows. The northern side of the moat of Angkor Wat is along a 27.6-km line between Wat Khnat and Wat Trach (about 10 km north of Roluos). The eastern edge of the moat of Angkor Wat is aligned with the east wall of Preah Khan wat is aligned with the east wall of Prean Khan and the outer wall of Angkor Thom. The north-south meridian of the topmost elevation of Ang-kor Wat terminates at Prasat Einkosei 4 km to the south; 5.5 km to the north it joins the earthen west of the western side of the moat of Preah Khan

pated very actively in these discussions. Something of the flavor of his contribu-

tion can perhaps be gathered from the exchange recorded in the Proceedings of the CERN International Physics Conference in Copenhagen from June 1952; I had given a report on our work, and in the discussion Rosenfeld "asked how far this model is based on first principles.' Niels Bohr "answered that it appeared difficult to define what one should understand by first principles in a field of knowledge where our starting point is empirical evidence of different kinds, which is not directly combinable.3

I would like to take this opportunity to acknowledge the tremendous inspiration it has been for me to have had the privilege to work for the entire period covered by this report within the unique scientific environment created by Niels Bohr.

Interpretation of Low-Energy Nuclear **Excitation Spectra**

In the beginning of the 1950's, there existed very little evidence on nuclear spectra which could be used to test these ideas. In the following years, however, a dramatic development of nuclear spectroscopy took place. The new data made possible the identification of the characteristic patterns of rotational spectra (6) and, shortly afterward, the recognition by Scharff-Goldhaber and Weneser (7) that a significant class of spectra exhibit patterns corresponding to quadrupole vibrations about a spherical equilibrium (8-14). The existence of the static defor-

Elementary Modes of Excitation in the Nucleus

Ben R. Mottelson

sometimes exhibited phenomena charac-

teristic of independent-particle motion,

while other phenomena, such as the fis-

sion process and the large quadrupole

moments, clearly involved a collective

Rainwater that there was an important

coupling between the motion of the indi-

vidual particles and the collective defor-

mation; and one was thus faced with the

problem of exploring the properties of

a dynamical system involving such

 $H_{\rm vib} = \frac{1}{2} C_{\lambda} \sum_{\mu} |\alpha_{\lambda\mu}|^2 + \frac{1}{2} D_{\lambda} \sum_{\mu} |\dot{\alpha}_{\lambda\mu}|^2$

where $\alpha_{\lambda\mu}$ are the amplitudes of the nu-

clear deformation expanded in spherical

harmonics and $(r_{\rm p}, \theta_{\rm p}, \phi_{\rm p})$ are the coordi-

nates of the particles considered. The

coupling term represents the effect of the

deformation on the one-particle poten-

I remember vividly the many lively

discussions in these years reflecting the

feeling of unease, not to say total dis-

belief, of many of our colleagues con-

cerning the simultaneous use of both col-

lective and single-particle coordinates to

describe a system that we all agreed was

ultimately built out of the neutrons and

protons themselves. Niels Bohr partici-

tial.

(1)

coupled degrees of freedom (2-5)

 $H_{\rm coupl} = \sum_{\rm p} k(r_{\rm p}) \sum_{\mu} \alpha_{\lambda\mu} Y_{\lambda\mu} (\theta_{\rm p} \phi_{\rm p})$

 $H = H_{\rm vib} + H_{\rm part} + H_{\rm coupl}$

It was also clear from the work of

behavior of the whole nucleus.

In the field of nuclear dynamics a central theme has been the struggle to find the proper place for the complementary concepts referring to the independent motion of the individual nucleons and the collective behavior of the nucleus as a whole. This development has been a continuing process involving the interplay of ideas and discoveries relating to all different aspects of nuclear phenomena. The multidimensionality of this development makes it tempting to go directly to a description of our present understanding and to the problems and perspectives as they appear today. However, an attempt to follow the evolution of some of the principal ideas may be instructive in illustrating the struggle for understanding of many-body systems, which have continued to inspire the development of fundamental new concepts, even in cases where the basic equations of motion are well established. Concepts appropriate for describing the wealth of nuclear phenomena have been derived from a combination of many different approaches including the exploration of general relations following from considerations of symmetry, the study of model systems, sometimes of a grossly oversimplified nature, and, of course, the clues provided by the experimental discoveries which have again and again given the development entirely new directions (1).

The situation in 1950, when I first came to Copenhagen, was characterized by the inescapable fact that the nucleus 23 JULY 1976

Copyright © 1976 by the Nobel Foundation. The author is at the Nordic Institute for Theo-retical Atomic Physics (NORDITA), Copenhagen, Denmark. This article is the lecture he delivered in Stockholm, Sweden, on 11 December 1975 when he received the Nobel Prize in Physics, a prize which he shared with Aage Bohr and James Rainwater. The article is published here with the permission of the Nobel Foundation and will also be included in the complete volume of *Les Prix Nobel en 1975* as well as in the series Nobel Lectures (in English) published by the Elsevier Publishing Company, Am-sterdam and New York. Dr. Bohr's lecture appeared in the 9 July issue of *Science*, page 203. Dr. Rainwater's lecture will be published in a sub-sequent issue. sequent issue.



Fig. 1. Nuclear potential energy function. The figure, taken from (79), gives a schematic representation of the nuclear energy as function of the deformation β . Curve *a* represents a configuration with only relatively few particles outside closed shells. As particles are added, the restoring force for the spherical shape ($\beta = 0$) decreases (curve *b*). Still further from the closed shells, the spherical shape may become unstable (curves *c* and *d*) and the nucleus acquires a nonspherical equilibrium shape.

mations in certain classes of nuclei received further decisive confirmation in the successful classification of the intrinsic states of these spectra in terms of one-particle motion in an appropriately deformed potential (6).

A striking feature in the developing picture of nuclear excitation spectra was the distinction between a class of nuclei with spherical shape and others with large deformations. The clue to the origin of this distinction came, rather unexpectedly, from the analysis of the moments of inertia of the rotational spectra. The cranking model of Inglis (15) had provided a starting point for a microscopic interpretation of the rotational motion, and the analysis showed that significant deviations from independentparticle motion were required to account for the observed magnitude of the moments of inertia. These correlations could be attributed to the residual interactions that tend to bind the nucleons into pairs with angular momentum zero. Such a pair is spherically symmetric, and this nucleonic correlation could therefore, at the same time, be seen to provide an effect tending to stabilize the spherical shape (16).

Thus, quite suddenly the way was opened to a qualitative understanding of the whole pattern of the low-energy excitation spectra in terms of a competition between the pairing effect and the tendency toward deformation implied by the anisotropy of the single-particle orbits. The outcome of this competition depends on the number of particles in unfilled shells; for few particles, the deformation in the absence of interactions is relatively small and can easily be dominated by the tendency to form spherical pairs; but with an increasing number of particles, the spherical equilibrium shape becomes less stable, and eventually a transition takes place to a deformed equilibrium shape. These considerations are illustrated by the potential energy surfaces shown in Fig. 1.

Microscopic Description of Collective Motion

This qualitative interpretation of the nuclear coupling schemes could soon be given a firmer basis in terms of manybody wave functions that describe the correlation effects governing the low-energy nuclear spectra.

A step toward a microscopic understanding of the deformation effect resulted from the discovery of rotational spectra in light nuclei (17–19). For these nuclei, even a few particles represent a significant fraction of the total and can give rise to deformations that are among the largest observed. The spectra of some of these nuclei had previously been successfully analyzed in terms of shellmodel configurations (6). Thus, for the first time one had a many-body wave function with rotational relationships and one could see explicitly that the main effect of the rather complicated finite range interactions employed in the shell-model calculations had been to generate a deformed average potential.

The essence of this development was brought into focus by Elliott's discovery that the SU₃ classification scheme for particles in a harmonic oscillator potential leads to multiplets with rotational relations (20). The effective two-body interaction that is invariant under SU₃ symmetry (when acting within the configurations of a major shell), and thus leads to the rotational coupling scheme, is given by the scalar product of the quadrupole moments of each pair of particles

$$V_{\text{eff}} = \frac{1}{2} \mathscr{H} \sum_{ij} [q(i)q(j)]_0$$
$$q_{\mu} (i) = r_i^2 Y_{2\mu} (v_i \phi_i)$$

(2)

Such a two-body force is equivalent to the interaction of each particle with the total quadrupole moment of the system and thus to the effect of an ellipsoidal deformation in the average potential.

In retrospect, the important lesson of this development was the recognition that the aligned wave function

$$\Psi = A \left[\psi_{k_1} (x_1) \ \psi_{k_2} (x_2) \cdots \psi_{k_A} (x_A) \right] \quad (3)$$



Fig. 2. Frequency distribution of nuclear electric dipole excitations. The figure is a schematic representation (for $A \approx 100$) of the dipole strength for single-particle excitations as compared with the observed frequency distribution of the photoabsorption cross section.

obtained as a simple product of singleparticle states in a self-consistent deformed potential provides a starting point for the full many-body wave function (21-23). This viewpoint had indeed been implied by the establishment of the classification based on the Nilsson scheme, but the revelation of the exact SU₃ solution, even in such an oversimplified model, contributed greatly to the confidence in this approach.

The second major development involved the many-particle interpretation of the nuclear pairing effect. As we have seen, this problem had become a crucial one for the quantitative analysis of collective motion in the nucleus, but the story of the pairing effect goes back much further, to the very earliest days of nuclear physics (24). The discovery of the neutron made it possible to interpret the accumulated systematics concerning the differences in stability of odd and even nuclei in terms of an additional binding associated with even numbers of protons or neutrons (25). This effect later provided the basis for understanding the striking difference in the fission of the odd and even isotopes of uranium (26). The pairing effect also played an important role in the development of the shell model since it provided the basis for the interpretation of many of the properties of odd-A nuclei in terms of the binding states of the last odd particle (27-29).

The key to understanding the correlation effect underlying the odd-even differences came from the discovery by Bardeen, Cooper, and Schrieffer (30) of the profound new concepts for treating the correlations electronic in superconductors (31-33). It was a marvelous thing that the correlations, which might appear to be associated with such complexity, could be simply expressed in terms of a generalized one-body problem in which the particles move in a potential which creates and annihilates pairs of particles, giving rise to the quasiparticles SCIENCE, VOL. 193



Fig. 3 (left). Single-particle dipole excitations in a nucleus with neutron excess. The boxes represent the occupied proton (p) and neutron (n) orbits and the hatched domains correspond to the particle orbits that can be excited by the isovector dipole field with different components, μ_{τ} . For large values of the neutron excess, the excitations lead to a change ΔT in the total isospin quantum number equal to μ_{τ} . [The figure is from (23)] Fig. 4 (right). Isospin of vibrational excitations in nucleus with neutron excess. The ground state of such a nucleus has a total isospin component $M_{T} = \frac{1}{2}(N - Z)$ and total isospin $T_0 = M_{T}$. The figure gives a schematic illustration of the pattern of states formed by adding vibrational quanta with isospin $\tau = 0$ and $\tau = 1$. Isobaric analog states are connected by thin broken lines. The ground states of the isobaric nuclei with $M_T = T_0 \pm$ are indicated by dashed lines. [The figure is from (23)]



that are superpositions of particles and holes (34, 35). It could also be seen that the many-body wave function represented a generalization of Racah's seniority coupling scheme (36) which had been exploited in the interpretation of the oneparticle model in nuclei.

One thus had available the basic tools for a microscopic analysis of the coupling schemes encountered in the lowenergy nuclear spectra. These tools were rapidly exploited to treat the moments of inertia of rotating nuclei (37-40), the potential energy surfaces and inertial parameters for the vibrations of spherical nuclei (37, 41) as well as the effects of pair correlations on a variety of nuclear processes (42-45).

This was indeed a period of heady development in the understanding of manybody problems, with a fruitful interplay of experience gained from the study of so many different systems that nature had provided, including the "elementary particles" that had stimulated the development of the powerful tools of relativistic field theory. An important clarification in the description of collective motion was the new way of viewing the normal modes of vibration as built out of correlated two-quasiparticle (or particlehole) excitations. The significant part of the interactions creates and annihilates two such basic excitations, and the vibrations can thus be obtained from the solution of a generalized two-body problem (46). This approach not only complemented the previously applied adiabatic treatment of nuclear collective motion, but also gave a broader scope to the concept of vibration that was to be im-23 JULY 1976

portant for the subsequent development.

The whole picture of nuclear physics at this stage in the development is beautifully expressed by Weisskopf in his summary talk at the Kingston Conference in 1960, where the recurring theme is his comment, again and again, "It works surprisingly well" (47).

The Great Variety of Collective Modes

While the low-frequency spectra are dominated by transitions of particles within the partly filled shells, new aspects of nuclear dynamics are associated with the excitation of the closed shells. The classic example of a collective excitation of this type is the "giant dipole resonance" which was discovered in the study of the photoprocesses soon after the war (48), and which could be given an interpretation in terms of collective motion of the neutron and proton fluids with respect to each other (49, 50).

After the development of the shell model, attempts were made to describe the photoabsorption in terms of singleparticle excitations (51), but one encountered the problem that the one-particle excitations that should carry the main part of the dipole strength appeared in a



Fig. 5. Neutron monopole pair vibrations based on ²⁰⁸Pb. The levels in the pair-vibrational spectrum are labeled by the quantum numbers (n_-, n_+) , where n_{\pm} corresponds to the number of correlated J = 0 pairs that have been added to or removed from the closed-shell configuration of ²⁰⁸Pb. Thus, the levels $(n_-, 0)$ and $(0, n_+)$ correspond to the ground states of the even Pb isotopes. The observed levels are indicated by solid lines, while the dashed lines indicate the predicted positions of additional levels. The strong two-neutron transfer process [(pt) and (tp)] that have so far been observed are indicated by arrows. [The figure is from (88)]

part of the spectrum quite distinct from that in which the strong dipole absorption was observed (see Fig. 2). This led to a period of lively discussions, and for a time it was felt that the single-particle and collective descriptions represented opposite and mutually exclusive interpretations (52).

A step in the resolution of the problem resulted from a study of the interaction effects in the single-particle excitations of the closed-shell configuration of ¹⁶O, which revealed a strong tendency toward the formation of linear combinations of different particle-hole configurations, collecting the major part of the dipole strength and shifting it to higher energy (53). A highly simplified model based on degenerate single-particle excitations, as in the harmonic oscillator potential,

again provided valuable insight by exhibiting exact solutions, in which the total dipole strength was collected into a single high-frequency excitation (54, 55). These schematic models could soon be seen in the more general framework of the normal modes treatment referred to above.

In carrying through this program, one faced the uncertainty in the effective forces to be employed, but it was found possible to represent the interactions by an oscillating average potential acting with opposite sign on neutrons and protons, the strength of which could be related to the isovector component in the static central potential that is present in nuclei with a neutron excess (23, 56, 57). Indeed, it appears that all the collective nuclear modes that have been identified

can be traced back to average fields of specific symmetry generated by the effective interaction.

The new insight into the manner in which the vibrations are generated by the interactions in the various channels of particle excitations opened a whole new perspective, since one became liberated from the classical picture of vibrations and could begin to imagine the enormously greater variety of vibrational phenomena that are characteristic of quantal systems. This perspective became apparent 10 to 15 years ago, but there was at that time very little experimental evidence on which to build. The understanding of some of the features in this rich fabric of possibilities has been the result of a gradual process [which added a decade to the gestation of volume 2 of our



Fig. 6. Single-particle response function for quadrupole excitations. The figure gives the strength of the transitions produced by the quadrupole operator $r^2 Y_{2\mu}$ acting on a nucleus with neutron number N = 60. The single-particle spectrum has been obtained from a potential represented by a harmonic oscillator with the addition of spin-orbit coupling and anharmonic terms reflecting the flatter bottom and steeper sides of the nuclear potential. The excitation energies are plotted in terms of the oscillator frequency ω_0 , and for the nucleus considered $h\omega_0 \approx 8.7$ Mev. [The figure is from (23)]



Fig. 7 (left). Inelastic electron scattering on Ce. The highest energy resonance line corresponds with the well-known isovector dipole resonance observed in photoabsorption, while the resonance at an excitation energy of about 12 Mev is identified with the isoscalar quadrupole mode. [The figure is from (69)] Fig. 8 (right). Periodic orbits in nuclear potential. For small values of angular momentum the motion resembles the elliptical orbits in the oscillator potential. For larger values of angular momentum, the effects of the rather sharp nuclear surface can give rise to approximately triangular orbits.

work on *Nuclear Structure* (23)] and which is still continuing. A few examples may give an impression of the scope of the new phenomena.

The dipole mode is of isovector character and each quantum of excitation carries unit isospin. It is thus a component of a triplet, which also includes excitations that turn neutrons into protons and vice versa. In a nucleus with equal numbers of neutrons and protons, and total isospin $T_0 = 0$ in the ground state, the triplet of excitations represents an isobaric multiplet and the different states are therefore directly related in terms of rotations in isospace. However, in a nucleus with neutron excess and isospin $T_0 \neq 0$ in the ground state, the dipole excitations with charge exchange may be very different from those with zero component of the isospin (see Fig. 3). The resulting dipole excitation spectrum is schematically illustrated in Fig. 4 and presents an example of symmetry breaking resulting from the lack of isobaric isotropy of the "vacuum" (the nuclear ground state). Some of the features in the pattern indicated in Fig. 4 have been experimentally confirmed, but the major part of this rich structure remains to be explored (58, 59).

Another dimension to the vibrational concept is associated with the possibility of collective fields that create or annihilate pairs of particles, in contrast to the field associated with the dipole mode that creates particle-hole pairs and therefore conserves particle number. The new fields are connected with the pairing component in the nuclear interactions which tend to bind pairs into a highly correlated state of angular momentum zero. The addition of such a pair to a closed shell constitutes an excitation that can be repeated and can thus be viewed as a quantum of a vibrational mode. Figure 5 shows the pair vibrational spectrum with the two modes associated with addition and removal of neutron pairs from the closedshell configuration of 208Pb. One thus encounters a vibrational band in which the members belong to different nuclei. In systems with many particles outside closed shells the ground state can be viewed as a condensate of correlated pairs as in the superconductor (60). Such a condensate can be expressed as a static deformation in the magnitude of the pair field, and the addition and removal of pairs from the condensate constitute the associated rotational mode of excitation.

The clarification of the dynamical role of pair fields in the nucleus has resulted from a close interplay of experimental and theoretical work (61-65). From the



Fig. 9. Elementary excitations based on the closed shell of ²⁰⁸Pb. (A) Fermion excitations involving the addition or removal of a single proton ($\Delta Z = +1$ or $\Delta Z = -1$), and boson excitations involving correlated pairs of protons ($\Delta Z = \pm 2$) as well as collective shape oscillations (particle-hole excitations) in ²⁰⁸Pb itself. (B) Observed spectrum of ²⁰⁹Bi, which comprises partly the single-proton states and partly states involving the combinations of a single particle or a single hole with a collective boson. The configuration ($h_{9/2}$, 3–) gives rise to a septuplet of states with I = 3/2, 5/2, . . . , 15/2 which have all been identified within an energy region of a few hundred kiloelectron volts (see Fig. 12). At an excitation energy of about 3 Mev, a rather dense spectrum of two-particle one-hole states sets in, as indicated to the right in the figure. [The figure is from (88)]



Fig. 11. Renormalization of the matrix elements of a single-particle moment resulting from particle-vibration coupling. The moment F may be any operator that acts on the degrees of freedom of a single particle, such as an electric or magnetic moment, β -decay transition moment, and so forth.

experimental side, the decisive contribution came from the study of reactions in which a correlated pair of nucleons is added or removed from the nucleus as in the (tp) or (pt) reactions (66).

The new views of vibrations also lead to important insight concerning shape oscillations. While the early considerations were guided by the classical picture provided by the liquid-drop model (5, 67), the lesson of the microscopic theory has been that one must begin the analysis of the collective modes by studying the single-particle excitations produced by fields of the appropriate symmetry.

For quadrupole excitations, an example of such a single-particle response function is shown in Fig. 6 and reveals that the quadrupole excitations involve two very different frequency regions. The first is associated with transitions within the partially filled shells and gives rise to the low-frequency quadrupole mode discussed above. The second frequency region in the quadrupole response function is associated with transitions between orbits separated by two major shells and contains most of the oscillator strength. This group of transitions generates a high-frequency collective mode which has been eagerly expected for many years (68); a few years ago, the study of inelastic electron scattering led to the identification of this



Fig. 12. Energy spectra of deuterons scattered from ²⁰⁸Pb and ²⁰⁹Bi. The prominent inelastic group in ²⁰⁸Pb corresponds to the excitation of an octupole vibrational phonon ($I\pi = 3-$; $h\omega_3 = 2.6$ Mev). In ²⁰⁹Bi the ground state has I = 9/2, corresponding to a single $h_{9/2}$ proton outside the closed-shell configurations. The excitation of the octupole quantum leads to a septuplet of states in the neighborhood of 2.6 Mev with $I = 3/2, 5/2, \ldots, 15/2$. [The figure is from (23) and based on data from (89)]

mode (69) (see Fig. 7), which has since been found as a systematic feature in a wide variety of inelastic scattering experiments (70). This discovery opens the possibility for a deeper probing of one of the fundamental degrees of freedom in the nucleus.

Returning to the quadrupole response function, the low-frequency excitations reflect a degeneracy in the single-particle spectrum, which is responsible for the tendency to break away from spherical symmetry and to form a spheroidal equilibrium shape (Jahn-Teller effect). One may ask: What underlies this degeneracy in the single-particle spectrum? Apparently this question was never seriously asked until the discovery of the fission isomers (71) revealed the occurrence of important shell-structure effects in potentials that deviate in a major way from spherical symmetry (72) (saddle-point shape). These developments posed in an acute way the question of the general conditions for the occurrence of significant degeneracies in the eigenvalue spectrum for the wave equation. It has been possible to relate this question to the occurrence of degenerate families of periodic orbits in the corresponding classical problem (23, 73, 74) and the instabilities that arise for partially filled shells directly reflect the geometry of these classical orbits. Thus, the observed quadrupole deformations in nuclei can be associated with the elliptical orbits for particle motion in a harmonic oscillator potential (see Fig. 8). The nuclear potential in heavy nuclei also supports orbits of triangular symmetry, and indeed there is evidence for an incipient octupole instability in heavy nuclei.

Modern View of Particle-Vibration Coupling

The picture of nuclear dynamics that has emerged from these developments thus involves a great variety of different collective excitations that are as elementary as the single-particle excitations themselves, in the sense that they remain as approximately independent entities in the construction of the nuclear excitation spectrum. Examples of the superposition of elementary modes of excitation are given in Fig. 9 (see also Fig. 5).

In the analysis of the elementary modes and their interactions, a central element is the particle-vibration coupling, which expresses the variations in the average potential associated with the collective vibrational amplitude. This coupling is the organizing element that generates the self-consistent collective modes out of the particle excitations. At the same time it gives rise to interactions that provide the natural limitation to the analysis in terms of elementary modes.

Information about the particle-vibration coupling comes from a variety of sources. For some modes, such as the shape oscillations, the coupling can be related to observed static potentials. More generally, the couplings directly manifest themselves in inelastic scattering processes and indirectly in the properties of the modes and their interactions.

The average one-particle potentials appearing in the particle-vibration coupling are of course ultimately related to the underlying nucleonic interactions. Indeed, many of our colleagues would stress the incompleteness in a description that is not explicitly based on these interactions. However, we would emphasize that the potentials are physically significant quantities in terms of which one can establish relationships between a great variety of nuclear phenomena (75–77).

It is of course a great challenge to exploit the extensive and precise information available on the two-body forces and the structure of hadrons in order to shed light on the average nuclear potentials. The problem is a classical one in nuclear physics and has continued to reveal new facets, not only because of the complexity of the nuclear forces, but also due to the many subtle correlations that may contribute to the effective interactions in the nuclear medium.

The basic matrix elements of the particle-vibration coupling can be represented by the diagrams in Fig. 10, which form the basis for a nuclear field theory based on the elementary modes of fermion and boson type. In lowest order, the coupling gives rise to a renormalization of the effective moments of a particle illustrated by the diagrams in Fig. 11. This renormalization is a major effect in the transitions between low-lying single-particle states and provides the answer to the old dilemma concerning the distribution of the strength between the particle excitations and the collective modes. Thus, for example, for the dipole mode, the one-particle excitations carry a very small admixture of the collective mode, which is sufficient to almost cancel the dipole moment of the bare particle (78-83)

Acting in higher order, the particle-vibration coupling gives rise to a wealth of different effects, including interactions between the different elementary modes, anharmonicities in the vibrational motion, self-energy effects, and so forth. An example is provided by the interaction between a single particle and a phonon in ²⁰⁹Bi (see Fig. 12) (84–86). The lowest single-proton state $h_{9/2}$ can be super-23 JULY 1976



Fig. 13. Second-order diagrams contributing to the energy of a particle-phonon multiplet.



posed on the octupole excitation observed in ²⁰⁸Pb and gives rise to a septuplet with $I = 3/2, \ldots, 15/2$. The splitting of the septuplet receives contributions from the octupole coupling, which can be estimated from the second-order diagrams shown in Fig. 13 (and which are seen to correspond to those of the Compton effect in electrodynamics).

It is an important feature of this calculation that the interactions contain the effect of the antisymmetry between the single particle considered and the particles out of which the vibration is built. This effect is contained in the last diagram in Fig. 13, as schematically indicated in Fig. 14. In a similar manner, the third diagram in Fig. 13 contains the effect of the Bose symmetry of the two identical octupole quanta.

The particle-vibration coupling also leads to the interaction between "crossed" channels, such as illustrated in Fig. 15, which exhibits the coupling of the I = 3/2 member of the septuplet in ²⁰⁹Bi to the state obtained by superposing a quantum consisting of a pair of protons coupled to angular momentum zero (as in the ground state of ²¹⁰Po), and a singleproton hole in the configuration $d_{3/2}^{-1}$ (as observed in the spectrum of ²⁰⁷Tl). The treatment of this diagram takes proper account of the fact that the two configurations considered are not mutually orthogonal, as must be expected quite generally in a description that simultaneously exploits the quanta of particle \Im hole type as well as those involving two particles or holes.

As illustrated by these examples, it appears that the nuclear field theory based upon the particle-vibration coupling provides a systematic method for treating the old problems of the overcompleteness of the degrees of freedom, as well as those arising from the identity of the particles appearing explicitly and the particles participating in the collective motion (23, 87). This development is one of the active frontiers in the current exploration of nuclear dynamics.

Looking back over this whole development, one cannot help but be impressed by the enormous richness and variety of correlation effects exhibited by the nucleus. This lesson coincides with that learned in so many other domains of quantal physics and reflects the almost inexhaustible possibilities in the quantal many-body systems. The connections between the problems encountered in the different domains of quantal physics dealing with systems with many degrees of freedom have become increasingly apparent, and have been of inspiration, not least to the nuclear physicists who find themselves at an intermediate position on the quantum ladder. Looking forward, we feel that the efforts to view the various branches of quantal physics as a whole may to an even greater extent become a stimulus to a deeper understanding of the scope of this broad development.

References and Notes

- 1. We would like to take this opportunity to pay tribute to the ingenuity and resourcefulness of the generation of experimentalists whose untiring effortation of experimentalists whose unit-ing efforts have created the basis for the devel-opment sketched in our reports today. L. L. Foldy and F. J. Milford, *Phys. Rev.* 80,
- 2
- L. L. Foldy and F. J. Milford, *Phys. Rev.* 80, 751 (1950).
 A. Bohr, *Mat. Fys. Medd. Dan. Vidensk. Selsk.* 26 (No. 14) (1952).
 D. L. Hill and J. A. Wheeler, *Phys. Rev.* 89, 1102 (1953). 3. 4. D.
- 5. A. Bohr and B. R. Mottelson, Mat. Fys. Medd.
- *Dan. Vidensk. Selsk.* **27** (No. 16) (1953). See A. Bohr, *Science* **193**, 203 (1976). G. Scharff-Goldhaber and J. Weneser, *Phys.*
- Scharn-Goldnader and J. Weneser, *Phys. Rev.* 98, 212 (1955).
 This step followed the recognition of striking regularities in the low-energy spectra of eveneven nuclei, including the spins and parities (9, 10), energy systematics (10–13), and selection rules (4).
- 9. M. Goldhaber and A. W. Sunyar, *Phys. Rev.* 83,
- M. Goldhaber and A. w. Sunyar, *Phys. Rev.* 53, 906 (1951).
 G. Scharff-Goldhaber, *Physica* 18, 1105 (1952).
 P. Stähelin, and P. Preiswerk, *Helv. Phys. Acta* 24, 623 (1951).
- 24, 023 (1991).
 12. S. Rosenblum and M. Valadares, C. R. Acad. Sci. 235, 711 (1952). Asaro and I. Perlman, Phys. Rev. 87, 393 13. F.
- 1952) 14. Ì J. Kraushaar and M. Goldhaber, ibid. 89, 1081
- (1953)
- (1953).
 D. R. Inglis, *ibid*. 96, 1059 (1954).
 A. Bohr and B. R. Mottelson, *Mat. Fys. Medd. Dan. Vidensk. Selsk.* 30 (No. 1) (1955).
 Rotational band structure and the classification Rotational band structure and the classification of the intrinsic states for (sd)-shell nuclei was first established in 1955 following the extensive series of experiments at Chalk River [see the survey by Litherland *et al.* (18)]. For the classifi-cation of the *p*-shell nuclei in terms of the rota-tional coupling scheme, see Kurath and Pičman (10). For our own understanding of the special (19). For our own understanding of the special flavor of these very light nuclei, the discussions over the years with Tom Lauritsen were a continuing challenge and source of inspiration. A. E. Litherland *et al.*, *Can. J. Phys.* **36**, 378 18.
- (1958).
- 19. D. Kurath and L. Pičman, Nucl. Phys. 10, 313 1959)
- (1959).
 20. J. P. Elliott, Proc. R. Soc. London Ser. A 245, 128 (1958); *ibid.*, p. 562.
 21. The wave function given by Eq. 3 represents the intrinsic state in the absence of rotation, and can be directly employed in obtaining the leading-order intensity relations. The intensity-dependent terms such as the rotational energy are be directly employed in obtaining the reading-order intensity relations. The intensity-dependent terms, such as the rotational energy, are obtained by including the rotational energy, are turbations in the intrinsic motion, as in the cranking model. The SU₃ coupling scheme represents a special case in which the total wave function with the inclusion of rotational effects can be expressed as a projection of the intrinsic wave function onto a state of specified angular momentum (20). [Such projected wave functions had been employed earlier (22); see also the discussion in (23).]
 22. D. L. Hill and J. A. Wheeler, *Phys. Rev.* 89, 1102 (1953); R. E. Peierls and J. Yoccoz, *Proc. Phys. Soc. London Sect. A* 70, 381 (1957); J. Yoccoz, *ibid.*, p. 388; F. Villars, *Annu. Rev. Nucl. Sci. 7*, 185 (1957).
 23. A. Bohr and B. R. Mottelson, *Nuclear Structure* (Benjamin, Reading, Mass., 1975), vol. 2.
 24. E. Rutherford, J. Chadwick, C. D. Ellis, *Radiations from Radioactive Substances* (Cambridge Univ Prese Cambridge 1930)

- D. Rathold, J. Charlott, C. D. Bistoric, Nucl. ations from Radioactive Substances (Cambridge Univ. Press, Cambridge, 1930).
 W. Heisenberg, Z. Phys. 78, 156 (1932).
 N. Bohr and J. A. Wheeler, Phys. Rev. 56, 426 (1932).
- (1939). M. G. Mayer, *ibid*. **78**, 22 (1950).
- M. G. Mayer, *ibid.* 78, 22 (1950).
 G. Racah, in *Farkas Memorial Volume*, A. Farkas and E. P. Wigner, Eds. (Research Council of Israel, Jerusalem, 1952).
 G. Racah and I. Talmi, *Phys. Rev.* 89, 913
- (1953)
- (1953).
 30. J. Bardeen, L. N Cooper, J. R. Schrieffer, *ibid.* 106, 162 (1957); *ibid.* 108, 1175 (1957).
 31. It was a fortunate circumstance for us that David Pines spent a period of several months in Copenhagen in the summer of 1957, during which he introduced us to the exciting new developments in the theory of superconductivity. Through the discussions with him, the relevance of these concepts to the problem of pair correlations in nuclei became apparent (32). An important component in these discussions was the fact that the experimental evidence had been accumulating for the existence dence had been accumulating for the existence

of an energy gap in the excitation spectra of nuclei reminiscent of that observed in super-conductors (16, 32). [For the recognition of the conductors (16, 32). [For the recognition of the odd-even difference in nuclear excitation spectra, striking evidence had come from the high-resolution spectroscopic studies of ¹⁸²W and ¹⁸³W made possible by the bent crystal spectrometer (33).]
32. A. Bohr, B. R. Mottelson, D. Pines, *Phys. Rev.* **110**, 936 (1958).

- 33.
- J. J. Murray, F. Boehm, P. Marmier, J. W. M. Du Mond, *ibid*. **97**, 1007 (1955). 34. N N. Bogoliubov, Sov. Phys. JETP 34, 58
- 35.
- N. N. Bogoliubov, Sov. Phys. JETP 34, 58 (1958).
 J. G. Valatin, Nuovo Cimento 7, 843 (1958).
 G. Racah, Phys. Rev. 63, 367 (1943).
 S. T. Belyaev, Mat. Fys. Medd. Dan. Vidensk. Selsk. 31 (No. 11) (1959).
 A. B. Migdal, Nucl. Phys. 13, 655 (1959).
 J. L. Gröm and M. Bick, Phys. 139, 850. 37.
- J. J. Griffin and M. Rich, *Phys. Rev.* 118, 850 (1960). 39.
- (1960).
 40. S. G. Nilsson and O. Prior, Mat. Fys. Medd. Dan. Vidensk. Selsk. 32 (No. 16) (1961).
 41. L. S. Kisslinger and R. A. Sorensen, ibid. 32 (No. 9) (1960).
 42. V. G. Soloviev, Mat. Fys. Skr. Dan. Vidensk. Selsk. 1(No. 11) (1961); Phys. Lett. 1, 202 (1962).
 43. S. Yoshida, Phys. Rev. 123, 2122 (1961); Nucl. Phys. 33, 685 (1962).
 44. H. J. Mang and J. O. Rasmussen, Mat. Fys. Skr. Dan. Vidensk. Selsk. 2(No. 3) (1962).

- Dan. Vidensk. Selsk. 2 (No. 3) (1962). B. L. Cohen and R. E. Price, *Phys. Rev.* 121, 1441 (1961). 45. B
- 1441 (1961).
 A. E. Glassgold, W. Heckrotte, K. M. Watson, Ann. Phys. 6, 1 (1959); R. A. Ferrell and S. Fallieros, Phys. Rev. 116, 660 (1959); J. Gold-stone and K. Gottfried, Nuovo Cimento 13, 849 (1959); S. Takagi, Prog. Theor. Phys. 21, 174 (1959); K. Ikeda, M. Kobayasi, T. Marumori, T. Shiozaki, S. Takagi, ibid. 22, 663 (1959); R. Arvieu and M. Vénéroni, C. R. Acad. Sci. 250, 992 (1960); ibid., p. 2155; ibid. 252, 670 (1961); M. Baranger, Phys. Rev. 120, 957 (1960); M. Kobayasi and T. Marumori, Prog. Theor. Phys. 23, 387 (1960); T. Marumori, ibid. 24, 331 (1960); D. J. Thouless, Nucl. Phys. 22, 78 (1961).
 47. We would like to acknowledge the deep impor-tance for us of the close personal contact with
- We would like to acknowledge the deep impor-tance for us of the close personal contact with Viki Weisskopf, who has provided inspiration for a whole generation of nuclear physicists. G. C. Baldwin and G. S. Klaiber, *Phys. Rev.* 71, 3 (1947); *ibid.* 73, 1156 (1948).
- 48. 49. M
- Goldhaber and E. Teller, ibid. 74, 1046 (1948). 50.
- (1) 767, 51.
- 1039 (1956).
 See, for example, the discussion in E. H. Bellamy and R. G. Moorhouse, Eds., Proceedings of the Glasgow Conference on Nuclear and Meson Physics (Pergamon, London, 1955).
 J. P. Elliott and B. H. Flowers, Proc. R. Soc. London Ser. A 242, 57 (1957).
 D. M. Brink, Nucl. Phys. 4, 215 (1957).
 G. E. Brown and M. Bolsterli, Phys. Rev. Lett. 3, 472 (1959).
 A. Behr and B. P. Mottoleon in Naviran Con-52

- 55.
- A. Bohr and B. R. Mottelson, in Neutron Cap-56.
- At both and B. R. Moletson, in *Neuron Cap-ture Gamma-Ray Spectroscopy* (International Atomic Energy Agency, Vienna, 1969), p. 3. The close similarity of the results of the hydro-dynamic and the microscopic treatments is a special feature of the dipole mode (23), associated with the fact that the single-particle response function for this channel is concentrated in a single frequency region (see Fig. 2). For a summary of this development, see Falli-eros (59) and (23). 58.
- 59.
- 60.
- eros (59) and (23). S. Fallieros, in International Conference on Pho-tonuclear Reactions and Applications, B. L. Berman, Ed. (Atomic Energy Commission, Oak Ridge, Tenn., 1973), vol. 1, p. 401. P. W. Anderson, in Lectures on the Many-Body Problem, E. R. Cataniello, Ed. (Academic Press, New York, 1964), vol. 2, p. 113. The concept of pair vibrations in nuclei evolved through the discussions of Högaasen-Feldman (62), early versions of (23) [see, for example (63)], and Bès and Broglia (64). Excited states of pair vibrational type were identified in the region of ²⁰⁸Pb by Bjerregaard et al. (65). J. Högaasen-Feldman, Nucl. Phys. **28**, 258 (1961). 61.
- 62. J. (1961)
- (1961).
 A. Bohr, in Comptes Rendus du Congrès Inter-national de Physique Nucléaire, P. Gugenber-ger, Ed. (CNRS, Paris, 1964), vol. 1, p. 487; in Nuclear Structure, Dubna Symposium (Inter-national Atomic Energy Agency, Vienna, 1968), p. 170 p. 179.
 64. D. R. Bès and R. A. Broglia, *Nucl. Phys.* 80, 289
- (1966).

- 65. J. H. Bjerregaard, O. Hansen, O. Nathan, S. Hinds, *ibid*. **89**, 337 (1966).

- Hinds, *ibid.* 89, 337 (1966).
 66. See the review by R. A. Broglia, O. Hansen, C. Riedel, Adv. Nucl. Phys. 6, 287 (1973).
 67. N. Bohr and F. Kalckar, Mat. Fys. Medd. Dan. Vidensk. Selsk. 14 (No. 10) (1937).
 68. B. Mottelson, in Proceedings of the International Conference on Nuclear Structure, D. A. Bromley and E. W. Vogt, Eds. (Univ. of Toronto Press, Toronto, 1960), p. 525.
 69. R. Pitthan and Th. Walcher, Phys. Lett. B 36, 563 (1971); Z. Naturforsch. Teil A 27, 1683 (1972).
- (1972).
- 70. 71.
- (1972).
 See, for example, the review by G. R. Satchler, *Phys. Rep.* 14, 97 (1974).
 S. M. Polikanov, V. A. Druin, V. A. Karnaukhov, V. L. Mikheev, A. A. Pleve, N. K. Skobelev, V. G. Subbotin, G. M. Ter-Akop'yan, V. A. Fomichev, *J. Exp. Theor. Phys.* (U.S.S.R.) 42, 1464 (1962).
 V. M. Strutinsky, *Nucl. Phys. A* 95, 420 (1967).
 R. Balian and C. Bloch, *Ann. Phys.* 69, 76 (1971).
- 72. 73.
- R. Balaan and C. Broch, Jan. 1997.
 J. A. Wheeler, in *Atti del Convegno Mendeleeviano*, M. Verde, Ed. (Accademia delle Scienze di Torino, Torino, 1971), p. 189; W. J. Swiatecki, private communication (1971).
 This issue appears to be endemic in all strongly
- SwiateCK1, private communication (1971). This issue appears to be endemic in all strongly interacting many-body systems ranging from condensed matter to elementary particles. The approach described here is closely related to that of the Fermi liquid theory as developed by Landau (76). This formulation operates with a phenomenological effective interaction between the quasinarticles from which the counting bethe quasiparticles from which the coupling between the particles and the collective modes can be derived. The description of nuclear dynamics in terms of the concepts employed in the theory of Fermi liquids has been developed by Migdal
- (7).
 (1) D. Landau, J. Exp. Theor. Phys. (U.S.S.R.) 30, 1058 (1956); *ibid.* 32, 59 (1957).
 (1) A. B. Migdal, Theory of Finite Fermi Systems and Applications to Atomic Nuclei (Wiley/Interscience, New York, 1967).
 (1) While the renormalization of the electric quadrupole operator followed directly from the coupole operator followed directly from the coupole operator followed constraints.
- pole operator followed directly from the coupling to the deformation of the nuclear surface (23, 79), the occurrence of large deviations in the magnetic moments for configurations with a single particle outside closed shells was felt as magnetic moments for configurations with a single particle outside closed shells was felt as an especially severe challenge to the description in terms of particles coupled to surface oscilla-tions [see, for example (5)]. The clue to the understanding of this effect came from the recog-nition that special kinds of configuration mixings could give rise to large first-order effects in the magnetic moments ($\mathcal{B}0$, $\mathcal{B}1$). Later, it was recog-nized that this was a manifestation of the par-ticle-vibration coupling involving collective modes of spin-flip type ($\Lambda \pi = 1+$) (23, 68). Ex-perimental evidence for the occurrence of such modes in heavy nuclei came only at a much later time ($\mathcal{B}2$). The interpretation of the strong $\mathcal{M}1$ transitions in light nuclei was discussed by Ku-rath ($\mathcal{B}3$) in terms of an intermediate situation between ($\mathcal{L}S$) and (\mathcal{J}) coupling. K. Alder, A. Bohr, T. Huus, B. Mottelson, A. Winther, *Rev. Mod. Phys.* 28, 432 (1956). A. Arima and H. Horie, *Prog. Theor. Phys.* 12, 623 (1954). R. J. Blin-Stoyle and M. A. Perks, *Proc. Phys.*
- 79.
- 80.
- 623 (1954).
 81. R. J. Blin-Stoyle and M. A. Perks, *Proc. Phys. Soc. London Sect. A* 67, 885 (1954).
 82. B. L. Berman, M. A. Kelly, R. L. Bramblett, J. T. Caldwell, H. S. Davis, S. C. Fultz, *Phys. Rev.* 185, 1576 (1969); R. Pitthan and Th. Walcher, *Z. Naturforsch. Teil A* 27, 1683 (1972).
 83. D. Kurath, *Phys. Rev.* 130, 1525 (1963).
 84. The discovery of the weak-coupling multiplet in ²⁰⁹Bi (85) was a major incentive to the exploration of the scope of the particle-vibration coupling the score of t
- tion of the scope of the particle-vibration cou-
- pling (23, 86). J. Alster J. Alster, *Phys. Rev.* **141**, 1138 (1966); J. C. Hafele and R. Woods, *Phys. Lett. B* **23**, 579 85. 1966).
- 86. B. R. Mottelson, in Proceedings of the Inter-B. R. Mottelson, in Proceedings of the International Conference on Nuclear Structure, J. Sanada, Ed. (Physical Society of Japan, 1968); I. Hamamoto, Nucl. Phys. A 126, 545 (1969); ibid. 141, 1 (1970); ibid. 155, 362 (1970); D. R. Bès and R. A. Broglia, Phys. Rev. C 3, 2349 (1971); ibid., p. 2389; R. A. Broglia, V. Paar, D. R. Bès, Phys. Lett. B 37, 159 (1971).
 D. R. Bès, G. G. Dussel, R. A. Broglia, R. Liotta, B. R. Mottelson, Phys. Lett. B 52, 253 (1974).
- (1974)
- 88. 89. J.
- (19/4).
 A. Bohr and B. Mottelson, Annu. Rev. Nucl. Sci. 23, 363 (1973).
 J. Ungrin, R. M. Diamond, P. O. Tjøm, B. Elbek, Mat. Fys. Medd. Dan. Vidensk. Selsk. 38 (No. 8) (1971).