

# Hydrogen Radicals, Nitrogen Radicals, and the Production of O<sub>3</sub> in the Upper Troposphere

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The concentrations of the hydrogen radicals OH and HO<sub>2</sub> in the middle and upper troposphere were measured simultaneously with those of NO, O<sub>3</sub>, CO, H<sub>2</sub>O, CH<sub>4</sub>, non-methane hydrocarbons, and with the ultraviolet and visible radiation field. The data allow a direct examination of the processes that produce O<sub>3</sub> in this region of the atmosphere. Comparison of the measured concentrations of OH and HO<sub>2</sub> with calculations based on their production from water vapor, ozone, and methane demonstrate that these sources are insufficient to explain the observed radical concentrations in the upper troposphere. The photolysis of carbonyl and peroxide compounds transported to this region from the lower troposphere may provide the source of HO<sub>x</sub> required to sustain the measured abundances of these radical species. The mechanism by which NO affects the production of O<sub>3</sub> is also illustrated by the measurements. In the upper tropospheric air masses sampled, the production rate for ozone (determined from the measured concentrations of HO<sub>2</sub> and NO) is calculated to be about 1 part per billion by volume each day. This production rate is faster than previously thought and implies that anthropogenic activities that add NO to the upper troposphere, such as biomass burning and aviation, will lead to production of more O<sub>3</sub> than expected.

The hydrogen radicals OH and HO<sub>2</sub> (collectively known as HO<sub>x</sub>) are central to the photochemistry of the troposphere (1). Although present at a mixing ratio of typically less than a few parts per trillion by volume (pptv), OH largely defines the

oxidative power of the atmosphere (2). The oxidation of carbon monoxide (CO) and other hydrocarbons by OH is the dominant mechanism for the production of O<sub>3</sub> in the troposphere. It has been long assumed that the major source of HO<sub>x</sub> in the lower atmosphere is the reaction of excited state oxygen atoms (produced in the photolysis of O<sub>3</sub>) with H<sub>2</sub>O, with an important contribution from the oxidation of methane (CH<sub>4</sub>). Photochemistry has been thought to be slow in the upper troposphere (defined here as the region between 8 km and the local tropopause) because the low concentration of H<sub>2</sub>O was thought to preclude significant HO<sub>x</sub> chemistry. It has been suggested, however, that the photolysis of acetone (3), hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) (4), and methylhydrogen peroxide (CH<sub>3</sub>OOH) (5, 6) transported from the lower troposphere can provide a significant source of HO<sub>x</sub> in the upper troposphere.

We report here observations of OH and HO<sub>2</sub> in the upper troposphere. The measured concentrations of these radicals are significantly larger than would be expected on the basis of production from O<sub>3</sub>, H<sub>2</sub>O, and CH<sub>4</sub> alone. Inclusion of production of HO<sub>x</sub> from the photolysis of acetone

leads to much better agreement between calculated and observed HO<sub>x</sub>. However, in air masses where there are indications of recent convective transport from the lower troposphere, observed concentrations of HO<sub>x</sub> are often greater than calculated, even when HO<sub>x</sub> production from acetone is included. This finding is consistent with the theory that additional HO<sub>x</sub> sources, such as peroxides, are important in the photochemistry of this region of the atmosphere.

These observations suggest that photochemistry in the upper troposphere has a much greater global significance than previously believed. The production of O<sub>3</sub> in this region is rapid, and this chemistry affects the radiative balance at Earth's surface. These measurements directly illustrate that in the upper troposphere, the production rate of ozone increases rapidly with the concentration of NO. Thus, the presence of larger-than-expected concentrations of HO<sub>x</sub> means that increases in the concentrations of NO from aircraft (7) or biomass burning will lead to the production of significantly more O<sub>3</sub> than previously thought.

**Measurements.** All observations were obtained between October 1995 and August 1996 with instruments aboard the NASA ER-2 aircraft (8). The flights were made near the airfields of operation: NASA-Ames Research Center, Mountain View, California (37°N, 122°W), and Barbers Point Naval Air Station, Hawaii (21°N, 158°W). Typically, the ER-2 ascended quickly to 10 km before commencing a series of flight legs of a half-hour duration, staggered at ~2 km until maximum altitude was reached (21 km).

A key test of both the instrumentation and our understanding of atmospheric photochemistry is the measurement of the diurnal dependence of the concentrations of the free-radical species OH, HO<sub>2</sub>, and NO. Because these radicals are produced by photolytic processes, their concentration is expected to be negligible at night. On 3 August 1996, the ER-2 flew a series of flight legs near Hawaii beginning 1 hour before sunrise. In contrast to the other flights, the ER-2 maintained constant altitude (11.8 km) for many flight legs. The measured concentration of the hydrogen radicals OH and HO<sub>2</sub> ([OH] and [HO<sub>2</sub>]) was not statistically different from zero during the night (Fig. 1). This directly demonstrates that the ER-2 HO<sub>x</sub> instrument does not suffer from artifacts that have hampered previous attempts to measure tropospheric OH with laser-based techniques (9).

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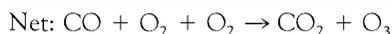
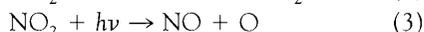
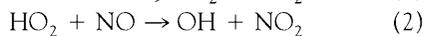
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We have used a photochemical model, constrained by the measured [NO], [CO], and the hydrogen radical precursors [O<sub>3</sub>], [H<sub>2</sub>O], and [CH<sub>4</sub>] to calculate [OH] (10). Consistent with the observations, the calculated [OH] depends strongly on [NO] (Fig. 1). However, the absolute magnitude is significantly smaller than the measurements. The disagreement is largest at high solar zenith angles (SZAs). As will be discussed, the discrepancy is typical of upper tropospheric measurements and reflects the presence of primary HO<sub>x</sub> sources in addition to the simple O<sub>3</sub>, H<sub>2</sub>O, and CH<sub>4</sub> photochemistry.

**The catalytic cycling of HO<sub>x</sub> and the production of O<sub>3</sub>.** The partitioning of the HO<sub>x</sub> family between OH and HO<sub>2</sub> changes significantly as a function of altitude, reflecting important differences between the photochemistry of the stratosphere and the troposphere. In the lower stratosphere, the

cycling of OH and HO<sub>2</sub> via reactions with O<sub>3</sub> represents an important catalytic pathway for O<sub>3</sub> destruction (11). In the upper troposphere, on the other hand, the low temperature, low mixing ratio of O<sub>3</sub> [ $<150$  parts per billion by volume (ppbv)], and high abundance of CO ( $>50$  ppbv) completely change the effect of HO<sub>x</sub> catalysis on ozone. HO<sub>x</sub> cycling changes from being the major sink of O<sub>3</sub> in the lower stratosphere to the major source of O<sub>3</sub> in the upper troposphere:



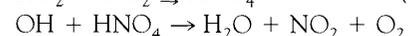
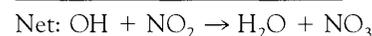
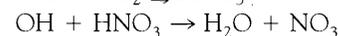
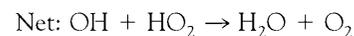
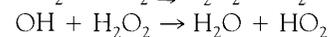
Simultaneous measurements of [OH], [HO<sub>2</sub>], [NO], and [CO], combined with

the measured rate coefficients for reactions 1 and 2 (12), provide a direct test of this photochemistry. The agreement between the measured and calculated ratio of [HO<sub>2</sub>] to [OH] in the upper troposphere is quite remarkable (Fig. 2), particularly given that the uncertainty in the rate of reaction 1 alone has been estimated to be nearly a factor of 2 (1 $\sigma$ ) (12). The data suggest that the photochemical processes that cycle HO<sub>x</sub> and lead to O<sub>3</sub> production (reactions 1 and 2) are well understood. Provided that reactions 1 and 2 define the major pathway for cycling OH and HO<sub>2</sub>, the rate of O<sub>3</sub> production will equal the rate of these reactions:  $P_{\text{O}_3} = k_1 \times [\text{OH}] \times [\text{CO}] = k_2 \times [\text{HO}_2] \times [\text{NO}]$ . To understand the production of O<sub>3</sub> in the upper troposphere, we therefore need to understand what controls the absolute concentration of HO<sub>x</sub>.

**Sinks and sources of HO<sub>x</sub> in the upper troposphere.** To test whether our understanding of the HO<sub>x</sub> budget is complete, we calculate the rate of HO<sub>x</sub> destruction (which can be inferred from the ER-2 measurements) and ask whether this sink can be balanced by known sources. We expect production and loss to balance because the lifetime of HO<sub>x</sub> in the upper troposphere is relatively short (5 to 30 min).

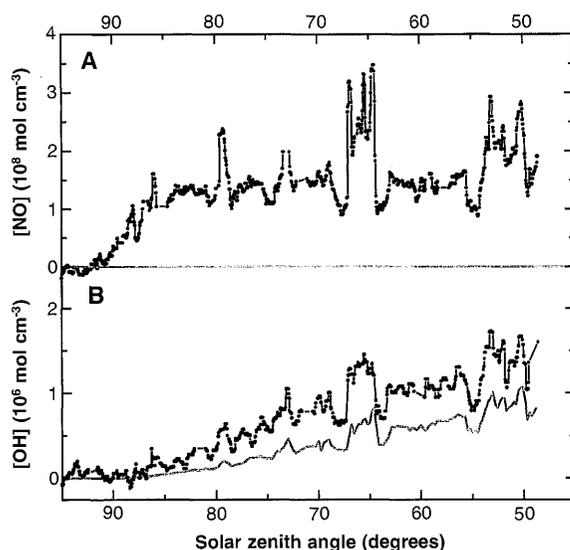
**HO<sub>x</sub> sinks.** Individually, the lifetime of OH or HO<sub>2</sub> is on the order of seconds to minutes and is determined largely by the rates of reactions 1 and 2, which cycle OH and HO<sub>2</sub> rapidly. The lifetime of the HO<sub>x</sub> family, however, is significantly longer and is determined by processes that eventually lead to the production of water vapor. The loss rate of HO<sub>x</sub> can be estimated with the measurements of [OH], [HO<sub>2</sub>], [NO], and [NO<sub>2</sub>] (13), combined with calculated photolysis rates (10) and the measured kinetic rate constants (12).

The major processes that remove HO<sub>x</sub> in the upper troposphere are

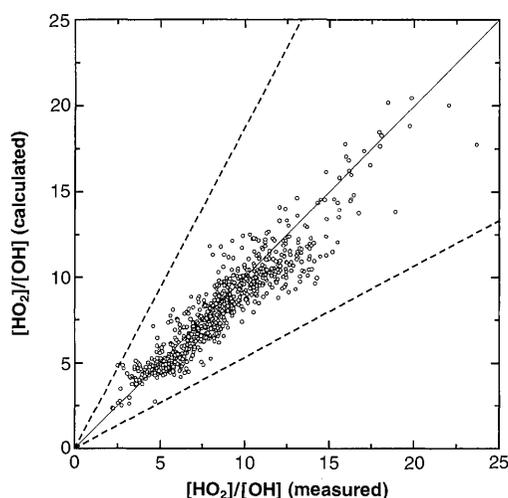


The competition between photolysis of H<sub>2</sub>O<sub>2</sub>, HNO<sub>3</sub>, and HNO<sub>4</sub> and their reac-

**Fig. 1.** Sunrise measurements of [NO] (A) and [OH] (B). The measurements have been filtered with a 1-min running median. A photochemical model, constrained by the observed abundance of NO, CO, and long-lived species such as O<sub>3</sub> and H<sub>2</sub>O, has been used to calculate [OH]. Although the structure in measured [OH] (driven by the variation in [NO]) is mirrored in the calculation (B, gray line), the absolute magnitude is significantly smaller. This model scenario assumes that the source of the hydrogen radicals is limited to simple O<sub>3</sub>, H<sub>2</sub>O, and CH<sub>4</sub> photochemistry. At sunrise, the concentration of NO increases more rapidly than that of OH due to the rapid photolysis of its source, NO<sub>2</sub>.

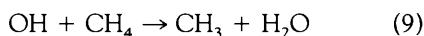


**Fig. 2.** The partitioning of HO<sub>x</sub> in the upper troposphere. The processes that produce O<sub>3</sub> in the troposphere determine the ratio of [HO<sub>2</sub>] to [OH] (reactions 1 and 2). The agreement between the measured and calculated ratio is much better than could be expected given the uncertainty in the thermal rate coefficients (+120% to -70%) for these reactions and the measured ratio ( $\pm 250\%$ ). For example, shown as dashed lines are the calculated ratios determined by adjusting the rate constant for reaction 1 to its 1 $\sigma$  uncertainty limits (12). This figure includes data for which NO and OH are more than 10 times above their detection limit (50 and 0.25 pptv, respectively). In addition, to ensure that the partitioning is not affected by production or loss of HO<sub>x</sub>, only data for which the calculated HO<sub>x</sub> cycling rate is significantly faster than the calculated rate of HO<sub>x</sub> destruction (and therefore HO<sub>x</sub> production) are shown.

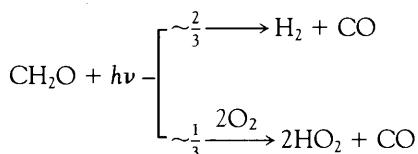
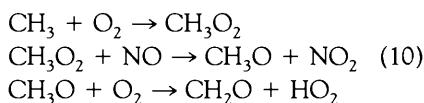


tion with OH determines the efficiency of HO<sub>x</sub> removal for processes 6 to 8. From our measurements and the appropriate rate constants for these reactions (12), we estimate that process 5 accounts for more than 60% of the total loss rate of HO<sub>x</sub> in most of the upper tropospheric air masses sampled. As a result, the sink depends quadratically on [HO<sub>x</sub>] and the photochemistry is strongly buffered.

**Autocatalytic HO<sub>x</sub> sources.** The concentrations of HO<sub>x</sub> are partially maintained through the autocatalytic oxidation of hydrocarbons. For example, although OH is initially consumed in the oxidation of CH<sub>4</sub>:

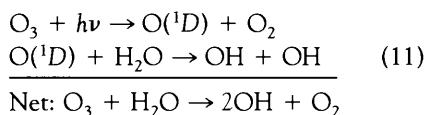


subsequent chemistry leads to net HO<sub>x</sub> production:



When [NO] is sufficiently high (which is usually the case in the upper troposphere), more than 1.5 molecules of HO<sub>2</sub> are produced for each OH lost via reaction 9 (14). Although oxidation of other hydrocarbons also results in net production of HO<sub>x</sub>, the rate of CH<sub>4</sub> oxidation in the air masses sampled significantly exceeds the oxidation rate of all other hydrocarbons combined (15). From the measured [OH] and [CH<sub>4</sub>], we calculate that autocatalytic HO<sub>x</sub> production is equal to about one-half of the calculated HO<sub>x</sub> sink. This source can only amplify other sources; without so-called primary sources of HO<sub>x</sub>, there would be no OH and hence autocatalytic production would not occur.

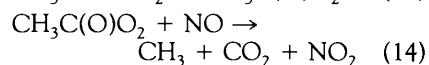
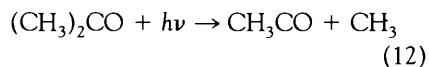
**Primary sources of HO<sub>x</sub>.** The reaction of excited-state oxygen atoms, O(<sup>1</sup>D), with H<sub>2</sub>O is usually considered to be the dominant mechanism for primary production of HO<sub>x</sub> in the troposphere (2):



Recent measurements and analysis have greatly improved our understanding of the production of O(<sup>1</sup>D) from the photolysis of O<sub>3</sub>. These studies indicate that throughout the troposphere and lower stratosphere, the O(<sup>1</sup>D) production rate is larger than previously thought (16). Nevertheless, we calcu-

late from the measured [H<sub>2</sub>O] and [O<sub>3</sub>] that process 11 can account for only a small fraction of the primary production required to balance the calculated sink of HO<sub>x</sub> in many of the tropospheric air masses encountered above 10 km.

Recently, Singh *et al.* (3) suggested that the photolysis of acetone (17) can account for significant production of HO<sub>x</sub> in the upper troposphere:



The subsequent chemistry of CH<sub>3</sub> (process 10) leads to production of HO<sub>2</sub>.

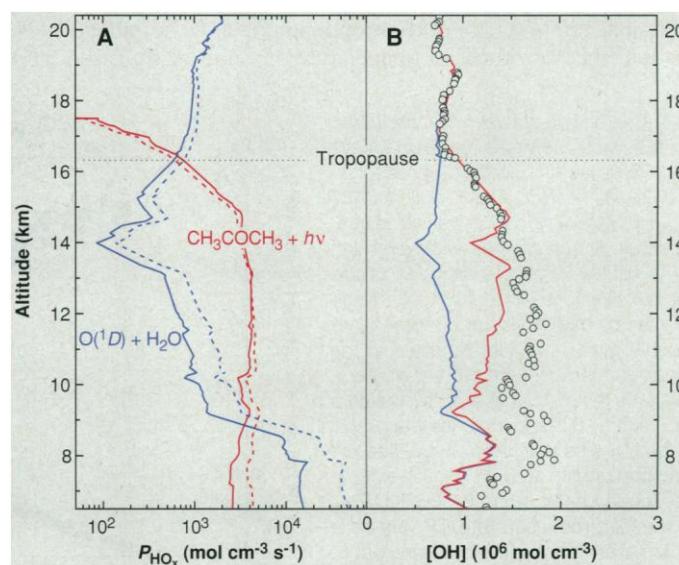
Acetone was not measured in our study. We have estimated the abundance of acetone from the measured [CO] using a correlation between these species observed in the upper troposphere on a previous aircraft campaign (18). From this relation, we estimate the concentration of acetone to be 300 pptv for the typical concentration of CO (70 ppbv). In the arid upper troposphere, the production of HO<sub>x</sub> from photolysis of this small concentration of acetone can be many times larger than the contribution from the reactions of O(<sup>1</sup>D) with H<sub>2</sub>O.

Figure 3 shows the calculated HO<sub>x</sub> production rate and the measured [OH] as the airplane descended into Barber's Point on the afternoon of 11 November 1995. The SZA was 70°. Between 10 and 15 km, the

photolysis of acetone is calculated to produce nearly 5 times more HO<sub>x</sub> than process 11. With the inclusion of the photolysis of acetone, the calculated [OH] increases by about a factor of 2 in the upper troposphere, improving agreement with the measurements, particularly above 10 km. The role of acetone is most pronounced when the sun is low in the sky (as in this descent) because the production rate of O(<sup>1</sup>D) from O<sub>3</sub> photolysis occurs at shorter wavelengths than acetone and thus is more strongly peaked at solar noon. As shown in Fig. 3, the 24-hour average HO<sub>x</sub> production rate from ozone photolysis (dashed blue line) is significantly larger than the rate calculated for the time of day of this descent. Thus, for measurements made at high sun (low SZA), particularly those made during the summer, we find that the agreement between calculated and measured [HO<sub>x</sub>] is less sensitive to the presence of acetone.

Even with the inclusion of acetone in our analysis, the calculated [OH] and [HO<sub>2</sub>] can sometimes be as much as a factor of 5 smaller than observed (6). This is particularly true of the measurements made during winter. Early work by Chatfield and Crutzen and a more recent study by Prather and Jacob suggest that convective transport of peroxides such as H<sub>2</sub>O<sub>2</sub> (4) and CH<sub>3</sub>OOH (5, 6) may provide a large source of HO<sub>x</sub> in the upper troposphere. Consistent with this theory, the largest differences between calculated and measured [HO<sub>x</sub>] are correlated with indicators of the recent convective origin of the air, such as high relative hu-

**Fig 3.** The production rate of HO<sub>x</sub> (A) and the concentration of OH (B) on 7 November 1995. (A) As shown in blue, the HO<sub>x</sub> production rate from the reaction of O(<sup>1</sup>D) with H<sub>2</sub>O (process 11) drops by orders of magnitude between 7 km and the tropopause following the drop in the mixing ratio of H<sub>2</sub>O. Shown in red is an estimate of the HO<sub>x</sub> production rate from photolysis of acetone, which recent measurements have shown is ubiquitous in the upper troposphere. Both the instantaneous production rates (solid lines) and the 24-hour average rates (dashed lines) are shown. (B) Without the acetone source, the measured [OH], shown here filtered with a 30-s running median, and [HO<sub>2</sub>] (not shown) are underpredicted by about a factor of 2 between 12 km and the tropopause. Even with acetone, [HO<sub>x</sub>] is often underpredicted. For example, at the bottom of this profile, measured OH concentrations are 20 to 100% larger than calculated. Typical of all the observations, the agreement between calculated and measured [OH] is excellent in the stratosphere.



midity and elevated  $[\text{CH}_3\text{I}]$  (a short-lived marker of transport from the planetary boundary layer) (6, 19). Recent  $\text{HO}_x$  measurements made from the NASA DC-8 aircraft also suggest that  $\text{HO}_x$  precursors are transported in convective events (20). Whereas  $\text{H}_2\text{O}_2$  is highly water soluble and should be scavenged efficiently in precipitation associated with convective updrafts,  $\text{CH}_3\text{OOH}$  is only sparingly soluble (21) and can therefore be transported over larger distances (22). Although the transport of  $\text{CH}_3\text{OOH}$  simply redistributes a  $\text{HO}_x$  reservoir from the lower to the upper troposphere, the impact on the photochemistry in the troposphere is significant because, as discussed below, the amount of  $\text{O}_3$  produced per molecule of  $\text{HO}_x$  increases with altitude.

The lack of simultaneous measurements of acetone and peroxides leaves uncertainty in our inference of the species responsible for maintaining the large concentrations of  $\text{HO}_x$  measured in the upper troposphere. Further measurements during other seasons and at different locations are needed to investigate whether the conclusions about missing  $\text{HO}_x$  sources are robust globally. Simultaneous measurements of  $\text{HO}_x$ , acetone, and the peroxides are required. Nevertheless, the observations described here show that measured  $[\text{OH}]$  and  $[\text{HO}_2]$  cannot be sustained by primary production from the reaction of  $\text{O}(^1\text{D})$  with  $\text{H}_2\text{O}$  alone (process 11). Photochemical models that include only this source of  $\text{HO}_x$  will significantly underestimate  $[\text{OH}]$  and  $[\text{HO}_2]$  in the arid upper troposphere. It is likely that this underestimate of  $[\text{HO}_x]$  is typical of the entire upper troposphere of the tropics and subtropics because the low temperature at

and above 10 km generally restricts  $[\text{H}_2\text{O}]$  to less than 100 parts per million by volume (ppmv). Because the major primary source of  $\text{HO}_x$  in these air masses is not process 11, the rate of  $\text{O}_3$  production does not, to first order, depend on either  $[\text{O}_3]$  or  $[\text{H}_2\text{O}]$ .

**$\text{HO}_x$ , NO, and the  $\text{O}_3$  production efficiency.** In our measurements, the mixing ratio of NO was usually between 50 and 200 pptv in the upper troposphere. This concentration is not atypical; previous airborne measurements have shown that in the tropical and middle latitudes,  $[\text{NO}]$  usually increases with altitude (23). The elevated  $[\text{NO}]$  in the upper troposphere directly affects the efficiency of  $\text{O}_3$  production. This efficiency is often described in terms of the NO chain length (the number of  $\text{O}_3$  molecules produced before NO is converted to  $\text{HNO}_3$ ). This is a useful construct for the lower troposphere, where most of the nitric acid is removed heterogeneously by rain or dry deposition to the surface. In the upper troposphere, however, significant recycling of  $\text{HNO}_3$  back to NO occurs by photolysis and reaction with OH. As a result, the NO chain length does not necessarily limit  $\text{O}_3$  production.

The data presented here suggest that the primary sources of hydrogen radicals in much of the upper troposphere are the photolysis of transported  $\text{HO}_x$  precursors other than  $\text{O}_3$  and  $\text{H}_2\text{O}$ . Thus, the  $\text{O}_3$  production efficiency will, in part, be regulated by the  $\text{HO}_x$  chain length (the number of  $\text{O}_3$  molecules produced from these transported  $\text{HO}_x$  precursors). NO and  $\text{NO}_2$  are the key species that determine this chain length. As discussed above, NO controls the partitioning within the  $\text{HO}_x$  family; the higher

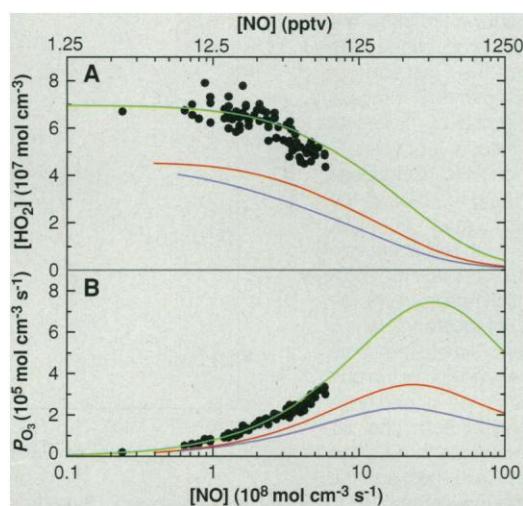
$[\text{NO}]$ , the smaller the ratio of  $[\text{HO}_2]$  to  $[\text{OH}]$ . Increases in  $[\text{NO}]$  therefore lead to a faster rate of cycling within the  $\text{HO}_x$  family (reactions 1 and 2) with respect to the major  $\text{HO}_x$  sink (reaction 5), and as a result, more  $\text{O}_3$  is generated from each molecule of  $\text{HO}_x$  before it is destroyed. In addition, increases in  $[\text{NO}]$  generally accelerate autocatalytic production of  $\text{HO}_x$  (process 9) because the rate of this process increases with  $[\text{OH}]$ . Thus, we expect the  $\text{HO}_x$  chain length (and therefore the  $\text{O}_3$  production rate) to increase rapidly with  $[\text{NO}]$ .

The sensitivity of the production rate of  $\text{O}_3$  to  $[\text{NO}]$  is illustrated by data obtained near San Francisco on 2 February 1996. On this flight, the ER-2 encountered an air mass with widely varying  $[\text{NO}]$  and only small changes in  $[\text{H}_2\text{O}]$  ( $70 \pm 15$  ppmv),  $[\text{CO}]$  ( $95 \pm 10$  ppbv), and  $[\text{O}_3]$  ( $60 \pm 10$  ppbv). The source of the NO may have been aviation exhaust, because numerous fresh plumes were observed with very high ratios of  $[\text{NO}]$  to  $[\text{NO}_y]$  and small spatial extent ( $<500$  m). The nonplume observations illustrate the dependence of  $\text{O}_3$  production on  $[\text{NO}]$ . For these calculations, the production rate of  $\text{O}_3$ ,  $P_{\text{O}_3}$ , is assumed to equal the rate of reaction 2,  $P_{\text{O}_3} = k_2 \times [\text{HO}_2] \times [\text{NO}]$ , where  $k_2$  is the rate coefficient for this reaction (12). For very low  $[\text{NO}]$  ( $<1 \times 10^8$  mol  $\text{cm}^{-3}$ ), the  $\text{HO}_x$  cycling occurs mostly via the self-reaction of  $\text{HO}_2$  followed by the photolysis of  $\text{H}_2\text{O}_2$ , and therefore  $[\text{HO}_2]$  is independent of  $[\text{NO}]$ .  $P_{\text{O}_3}$  is very low and increases linearly with  $[\text{NO}]$ . At higher  $[\text{NO}]$ ,  $[\text{HO}_2]$  begins to decrease, and  $P_{\text{O}_3}$  increases more slowly than the rise in  $[\text{NO}]$ .

The calculated response of  $[\text{HO}_2]$  and  $P_{\text{O}_3}$  to variations in the primary production rate of  $\text{HO}_x$  (Fig. 4) shows that the additional primary  $\text{HO}_x$  sources significantly increase  $P_{\text{O}_3}$ . For all calculated scenarios,  $P_{\text{O}_3}$  is predicted to be inversely correlated with  $[\text{NO}]$  for  $[\text{NO}] > 4 \times 10^9$  mol  $\text{cm}^{-3}$ , because processes 7 and 8 become important sinks of the hydrogen radicals leading to a reduction in the  $\text{HO}_x$  chain length. Additional atmospheric and laboratory studies detailing the photochemistry of  $\text{HNO}_3$  and  $\text{HNO}_4$  are required to understand better how  $P_{\text{O}_3}$  will vary at very high concentrations of NO.

The response of  $\text{O}_3$  production to changes in  $[\text{NO}]$  shown in Fig. 4 is not generic: The response is larger when primary production of  $\text{HO}_x$  is enhanced. Furthermore, the level of NO for which the  $\text{HO}_x$  chain length begins to decrease depends on the ratio of  $[\text{NO}_2]$  to  $[\text{NO}]$ , which is strongly dependent on temperature and  $[\text{O}_3]$  (24). For these flights,  $[\text{NO}]$  in the upper troposphere increases with altitude and, as a result, the  $\text{HO}_x$  chain length also increases. We calculate that the chain length typical-

**Fig. 4.** The relation between  $\text{O}_3$  production and  $[\text{NO}]$ . Measurements made on 2 February 1996 illustrate how the  $\text{O}_3$  production rate depends on  $[\text{NO}]$ . At 240 millibar (10.7 km), large variability in  $[\text{NO}]$  was observed. Numerous aircraft plumes with very high  $[\text{NO}]$  ( $>1$  ppbv) were also sampled at this altitude. Because the photochemistry within the plumes is far from photochemical equilibrium, only data obtained in the background atmosphere are shown here. To exclude the plumes, we sorted the data for  $\text{NO}/\text{NO}_y < 0.3$  and for times when  $[\text{NO}]$  changed by less than 50 pptv per second (corresponding to 210 m spatial extent). Three model curves illustrate how  $[\text{HO}_2]$  (A) and the  $\text{O}_3$  production rate (B) vary as a function of assumptions about the production rate of  $\text{HO}_x$ . In blue, acetone is assumed to be zero; the primary  $\text{HO}_x$  source is limited to production from the reaction of  $\text{O}(^1\text{D})$  with  $\text{H}_2\text{O}$ . In red, acetone is assumed to be present at 400 pptv (18). In green is shown a calculation where we increased the primary  $\text{HO}_x$  source to a value consistent with the  $\text{HO}_x$  observations. At very high  $[\text{NO}]$ , the calculations predict that  $\text{O}_3$  production will be anticorrelated with  $[\text{NO}]$  because the  $\text{HO}_x$  chain length becomes shorter as the high  $[\text{NO}_2]$  increases the  $\text{HO}_x$  sink via processes 7 and 8.



ly increases from about 5 at  $\sim 7$  km to 10 to 20 near the tropopause. This long chain length is important for  $O_3$  production only because there is significant  $HO_x$  production in the upper troposphere fueled by acetone and other transported  $HO_x$  precursors. From the observations of  $[HO_2]$  and  $[NO]$ , we calculate that about 1 ppbv of  $O_3$  is produced each day in the upper tropospheric air sampled. In some air masses with very high  $[NO]$ ,  $P_{O_3}$  exceeded 5 ppbv per day.

**Significance.** The measured  $[HO_x]$  suggests that in situ photochemistry occurring in the upper troposphere plays a much more important role than previously thought in determining the concentration of  $O_3$ . Limited observations of the change in tropospheric  $O_3$  since preindustrial times suggest that the increase in  $O_3$  has contributed about  $0.4 W m^{-2}$  to the global mean radiative forcing at the surface (25). Because the  $O_3$  changes have occurred mostly in the Northern Hemisphere, the forcing in this hemisphere may be twice as large. For comparison, the total increases in the global mean forcing from increases in the concentrations of long-lived greenhouse gases (such as  $CO_2$ ,  $N_2O$ , and  $CH_4$ ) is estimated to be  $2.45 W m^{-2}$  (25). The measured  $[HO_x]$ ,  $[CO]$ , and  $[NO]$  are consistent with a photochemical production rate for  $O_3$  of about 1 ppbv per day in the upper troposphere. Because the upper troposphere is flushed relatively quickly, the data suggest that chemistry occurring in this region may significantly affect the concentration of  $O_3$  throughout the lower atmosphere.

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- When the concentration of NO is low ( $< 50$  pptv), the reaction of  $HO_2$  with  $CH_3OO$  can eliminate the  $HO_x$  source from the oxidation of methane and other hydrocarbons.
- Although the rate constant for OH reacting with  $CH_4$ ,  $k_9$ , can be significantly slower than the rate constant for OH reacting with other hydrocarbons, the abundance of methane is so large (1.8 ppmv) that the rate of reaction  $9 (k_9 \times [CH_4] \times [OH])$  vastly exceeds that of the other hydrocarbons. For example, although OH reacts with propane 1000 times faster than with  $CH_4$ , the measured concentration of methane was typically 50,000 to 100,000 times greater than that of propane in the upper troposphere.
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