The Early Faint Sun Paradox: Organic Shielding of Ultraviolet-Labile Greenhouse Gases

Carl Sagan and Christopher Chyba

Atmospheric mixing ratios of $\sim 10^{-5 \pm 1}$ for ammonia on the early Earth would have been sufficient, through the resulting greenhouse warming, to counteract the temperature effects of the faint early sun. One argument against such model atmospheres has been the short time scale for ammonia photodissociation by solar ultraviolet light. Here it is shown that ultraviolet absorption by steady-state amounts of high-altitude organic solids produced from methane photolysis may have shielded ammonia sufficiently that ammonia resupply rates were able to maintain surface temperatures above freezing.

The compositions and surface pressures of the early atmospheres of Earth and Mars after the end of the heavy bombardment are unknown. Models for Earth range from reducing atmospheres with surface pressures p_s ~ 1 bar (1) to $p_s \sim 10$ bar of CO₂ (2). Atmospheres near a neutral oxidation state are currently favored for two reasons. First, a vast reservoir of CO_2 is present in Earth's sediments in the form of carbonates ($p_{r} \simeq$ 60 bar) and in the atmosphere of Venus ($p_{\rm e}$ \simeq 90 bar). This carbon reservoir could have been in the early atmosphere partly as CO or CH₄ if some abundant reducing agent were present. However, metallic iron, one possible agent, is thought to have been segregated from the surface early and quickly during core formation (3), suggesting that carbon was present in the atmosphere primarily as CO_2 . The presence of other reduced gases such as NH3 also depends on the oxidation state of the upper mantle. If early continents were largely absent, the resulting inhibition of carbonate sedimentation may have led to dense CO₂ atmospheres; Kasting (4) estimates that $p_s(CO_2)$ was 0.1 to 10 bar 4 billion years ago (Ga). However, it is possible that the mantle may be more reducing than once thought (5), and geochemical arguments for early atmospheric composition are not yet conclusive. A second argument for an early atmosphere that was in a neutral oxidation state comes from photochemical kinetics, which suggests that atmospheric NH3 and CH4 would be photodissociated by solar ultraviolet (UV) radiation on short time scales (6-9). Here we argue instead that photodissociation of CH_4 may have led to the production of a high-altitude organic aerosol that ab-

sorbed UV rays and allowed reducing gases to exist for much longer times. If this is correct, the second of the two arguments against reducing gases in the early atmosphere is no longer compelling, with implications for the origin of life and the resolution of the early faint sun paradox.

The origin of life requires sources of preexisting organic molecules, which are much more readily generated in reducing rather than in neutral oxidation-state atmospheres (10). With allowance for exogenous sources, reducing atmospheres give organic mixing ratios in the early oceans some three orders of magnitude higher than those for neutral atmospheres (11). Thus, models for Earth's primitive atmosphere that postulate no significant abundance of reduced gases suffer the drawback that the origin of life is more difficult to understand.

The Early Faint Sun Dilemma

The equilibrium temperature of an airless, rapidly rotating planet is $T_e \equiv [SR^2(1 - T_e)]$ A)/ $4a^2\varepsilon\sigma$]^{1/4}, where σ is the Stefan-Boltzmann constant, ε the effective surface emissivity. A the wavelength-integrated Bond albedo, R the planet's radius, a the planet's distance from the sun, and S the solar constant at a. For Earth today, $T_e \simeq 255$ K, and the difference $\Delta T \simeq 33$ K between T_e and the observed mean surface temperature T_s is due to the mainly H₂O-CO₂ atmospheric greenhouse effect. Stars like the sun increase their luminosity through time as the hydrogen in the star's core is converted to helium. Estimates of the change in S between 4 Ga and today are $\simeq 0.25S_0$ (12), with an error of about $\pm 0.1S_0$, where S_0 is the present-day value. Holding A, ε , a, and the CO₂ mixing ratio constant at their current values for Earth, and letting the H₂O abundance be determined by its equilibrium vapor pressure, Earth's $T_s < 0^{\circ}$ C about 2 Ga (13–15). Allowance for an ice-albedo feedback implies a fully glaciated planet as recently as 1 Ga (16). These results contradict geological evidence for abundant, globally distributed liquid H_2O before 3 Ga (13, 14, 17, 18). The discrepancy is enhanced by evidence that before 2.5 Ga, Earth may have been considerably warmer than it is today (19). The implications are even more severe for Mars; yet there is strong evidence for liquid water on Mars 3.5 to 4.0 Ga (20).

This early faint sun paradox is only a paradox if we insist on holding A, a, ε , and greenhouse warming constant over time. Were A = 0.3 at 4 Ga, as it is today, T_{a} would have been 237 K (21); even if A had been 0 at 4 Ga, T_e would still have been only 259 K. In models of an all-ocean early Earth with a 14-hour day, simulated temperatures rise only an additional 5.5 K (22). Likewise, at most a few degrees can be pried out of plausible long-term variations in infrared emissivity. Although some low-mass planets can chaotically migrate in heliocentric distance a over 4 billion years (23), there is no evidence for the steady increases in a for Earth by the $\sim 10\%$ necessary to have compensated for the increase in S over its history. Another suggestion proposes that the early sun lost $\sim 10\%$ of its mass, producing a solar flux at Earth before ~ 4 Ga greater than its current value (24); however, there is as yet no clear evidence for such mass loss (25). Convolving the rapid rotation of Earth, an all-ocean planet, extremely low albedo, and a small solar mass loss, it is possible that early Earth reached 0°C but not much warmer temperatures. Such a conglomerate explanation seems strained. We therefore reconsider changes in greenhouse warming.

The Wien peak of Earth's blackbody emission 4 Ga, as today, laid at 8 to 14 µm, a window in the combined absorption spectra of H₂O and CO₂ at pressures below a few bars and temperatures < 300 K. Because small quantities of NH₃ provide considerable opacity around 10 µm, Sagan and Mullen (13, 14) proposed a volume mixing ratio for NH₃ ([NH₃]) of $\sim 10^{-5}$, pressurebroadened in an \sim 1-bar atmosphere, as the solution to the early faint sun dilemma for Earth and Mars. Subsequent calculations (6, 15) confirm that $[NH_3] \sim 10^{-5} \pm 1$ would suffice. In comparison, background abundances in Earth's current O_2 atmosphere are $[\rm NH_3]\sim 10^{-8}$ (26). These $\rm NH_3$ abundances represent a steady state after

Prior to his death in December 1996, Dr. Sagan directed the Laboratory for Planetary Studies at Cornell University, Ithaca, NY 14853–6801, USA. Dr. Chyba is in the Department of Planetary Sciences, University of Arizona, Tucson, AZ 85721–0092, USA. Final changes in response to editors' and referees' comments were made in this manuscript by Dr. Chyba subsequent to Dr. Sagan's death.

loss processes, including oxidation, rainout, high-altitude photolysis, and reaction with CO₂. Globally averaged, $[NH_3] \sim 10^{-2}$ [CH₄] today.

Although NH₃ is soluble in water and subject to rapid rainout (27), there is, in the absence of photolysis, an equilibrium atmospheric abundance determined by oceanic mineral equilibria. Independently, a lower limit can be derived from the requirement that the deamination of aspartic acid, a key amino acid for the origin of life, be reversed. Bada and Miller (28) thereby found [NH₃] for early Earth to be 10^{-7} at 0°C, 3×10^{-5} at 25°C, and 3×10^{-4} at 50°C, consistent with the $10^{-5 \pm 1}$ derived from the greenhouse requirement. Other estimates lie in a similar range (29).

All organisms on Earth are able to metabolize NH_4^+ (30). Few organisms can process N_2 directly, and ubiquitous metabolic facility with NH_4^+ may be a remnant from earlier times when atmospheric NH_3 was readily available (31). These chemical and biological arguments independently point to NH_3 as a minor constituent of the primitive atmosphere.

NH₃ Photolysis and CO₂ Greenhouses

Photochemical kinetics demonstrates that $[NH_3] \sim 10^{-5}$ would be photodissociated by the solar UV continuum at wavelengths $\lambda < 2300$ Å and irreversibly converted into N₂ within decades (6, 8, 9). Likewise, $[CH_4] \sim 10^{-5}$ would be photolyzed within centuries. These time scales are so short that hypotheses about resupply (13, 14) seem wholly without merit; an NH₃ outgassing rate $\sim 5 \times 10^{15}$ g year⁻¹ would be required to keep pace with photolysis, which implies $\sim 10^3$ bar of N₂ built up over ~ 1 billion years, contradicting current atmospheric and sedimentary rock inventories.

Owen et al. (8) proposed that massive amounts of CO₂ might close the 8- to 14μm window and provide the missing greenhouse effect. Numerous calculations have since shown that a few bars or less of CO_2 might suffice to raise the surface temperature of Earth 4 Ga to several tens of degrees Celsius (15, 32). But Rye *et al.* (33) argue from the absence of siderite in paleosols that [CO₂] between 2.75 and 2.2 Ga was $\leq 10^{-1.4}$ bar, implying that greenhouse gases other than CO₂ contributed to greenhouse warming in the late Archean and early Proterozoic eons. For Mars, there is extensive evidence for ancient outflow channels and valley networks (20), some evidence for lakes (34), and even an argument for oceans (35) 3 to 4 Ga. The general opinion has been that this evidence requires a massive early greenhouse effect on Mars (14, 15, 36), but the higher early subsurface thermal gradient eases the greenhouse demands (37). Massive CO_2 greenhouse models face challenges from CO_2 condensation (38) and the difficulty in finding sufficient corresponding martian carbonate sediments (39).

Ultraviolet Shielding

Given doubts about massive CO₂ atmospheres for Earth and Mars, we reexamine models of comparatively thin atmospheres in which minor constituents provide the needed infrared opacity. We ask whether, with no ad hoc assumptions, there is a way to shield NH3 and other UV-labile gases so that demands on the resupply rate are reasonable. Methane can be dissociated only by UV photons of $\lambda < 1450$ Å (the dissociation is therefore entirely dominated by solar Lyman α radiation), whereas NH₃ photolysis is driven by UV at wavelengths as long as 2300 Å. This longer wavelength UV, which in total energy flux exceeds that of $\lambda < 1450$ Å UV by more than two orders of magnitude, penetrates deeper into the atmosphere. The result is that whereas CH₄ photolysis is expected to occur high in the atmosphere (40, 41), photolysis of NH₃ should occur at a lower altitude, with NH₃ photolysis products spatially separated from those of CH_4 (6).

Photochemical modeling indicates that NH₃ photolysis, for $[NH_3] \sim 10^{-5 \pm 1}$, peaks at altitudes of 25 to 35 km, depending on $[NH_3]$ and the assumed eddy diffusion coefficient (6). Zahnle (42) has modeled the altitude at which $[CH_4]$ photolysis and polymerization should occur as a function of $[CH_4]$, $[CO_2]$, UV flux, and eddy diffusion profile. For contemporary values of the eddy diffusion profile and $[CO_2]$, he finds the altitude of CH_4 polymerization ranging from 40 to 75 km (43) as $[CH_4]$ varies from



Fig. 1. Solar UV flux at Earth (solid line) and the absorption cross section of NH₃ (dashed line) as a function of wavelength. With the exception of the Lyman α spike at 1216 Å, solar UV flux drops quickly shortward of 2000 Å, whereas NH₃ absorption falls rapidly longward of 2000 Å.

 10^{-5} to 6×10^{-4} (corresponding to CH₄ fluxes into the atmosphere ranging from 5×10^{10} to $\sim 4 \times 10^{11}$ cm⁻² s⁻¹). In all cases, he takes [H₂O] at the base of the stratosphere to be 4×10^{-6} ; that is, CH₄ polymerization is not prevented by OH generated from UV dissociation of H₂O (44). However, a transition from oxidation of CH₄ to polymerization occurs when the local C/O ratio passes through unity, at which point large percentages of CH₄ are polymerized. The CH₄/CO₂ ratio is therefore important.

Organic solids from atmospheric shocks (as well as interplanetary dust particles) contribute to a high-altitude layer of organic aerosols, depending on atmospheric composition (11). If CH_4 photolysis and other processes produce an organic haze, which in turn provides UV shielding to greater depths (the C_2 hydrocarbons absorb at considerably longer wavelengths than CH_4), NH₃ will lie below this screen and be substantially shielded from photolysis. Experimentally, N_2 -CH₄ gas mixtures with [CH₄] ~ 10^{-3} generate upon irradiation complex organic solids with strong UV absorption in the 2000 Å region (45). Destruction of NH_3 by reaction with OH (9) should also be greatly reduced, because photolysis of H₂O from photons with $\lambda < 2400$ Å will be suppressed. Submicrometer aerosols should settle out of the stratosphere on time scales $t \simeq$ 0.5 to 3 years (46), fairly independent of the atmospheric pressure on early Earth; $t \simeq 1$ year applies to the present Earth ($p_s = 1$ bar, gravitational acceleration g = 980 cm s⁻²) and Venus ($p_s = 90$ bar, g = 890 cm s⁻²).

To calculate the prolongation by shielding of the \sim 10-year photodissociation time scales of unshielded NH₃, we must evaluate two photodestruction rates. The photodestruction rate in the absence of any shielding is $J = \int l_{\lambda} \sigma_{\lambda} d\lambda$, where l_{λ} is the solar flux at the top of the atmosphere (47) and σ_{λ} is the NH_3 absorption cross section (9). If a high-altitude UV shield of optical depth τ_{λ} is present, this rate becomes $\tilde{J}' =$ $\int I_{\lambda} e^{-\tau_{\lambda} \sec \theta} \sigma_{\lambda} d\lambda$, where θ is the solar zenith angle; we take $\theta = 45^\circ$, the mean angle. These integrals effectively extend only from 1100 to 2300 A (Fig. 1), because the solar flux is negligible below 1100 Å and NH₃ absorption is negligible above 2300 A. The ratio J/J' therefore gives the enhancement of the lifetime of atmospheric NH₃ in the presence of a UV shield of optical depth τ_{λ} . Because σ_{λ} is increasing steeply and the Planck function is decreasing steeply at 2000 Å, these integrals are given approximately by their values near 2000 Å. Before performing the full numerical integrations, we first examine such an approximation.

All organic solids strongly absorb at $\lambda < 2300$ Å. The optical depth is given by $\tau_{\lambda} =$

 $\phi_{\gamma} t \alpha_{\lambda} / 4 \pi \rho R^2$, where ϕ_{γ} is the production flux (mass per time) of UV-shielding organic solids, *R* is the planet's radius, ρ is the bulk density of the organic solids, $\alpha_{\lambda} =$ $4\pi k / \lambda$ is the linear absorption coefficient of the organic solids, and *k* is the imaginary part of the complex refractive index (Table 1). We choose a conservative value of $k(2000 \text{ Å}) \approx 0.19$. The higher the proportion of elemental carbon, the higher *k* will be. We adopt $\rho \approx 1.4$ to 1.6 g cm⁻³, the range for the organic solids produced at low pressures from the radiation chemistry of 10:1 N₂-CH₄ gas mixtures (48).

Syntheses of organics in CH₄-N₂ models of Titan's atmosphere imply a production efficiency of 1.2 × 10⁻¹² g erg⁻¹ at $\lambda <$ 1550 Å (49). This value is within a factor of 2 of the photodissociation efficiency of CH₄ in pure CH₄ atmospheres at $\lambda \approx$ 1295 and 1470 Å (50). We calculate organic production efficiencies in UV-irradiated CH₄-NH₃ atmospheres (51) to be a factor of ~5 below those just cited for CH₄-N₂ atmospheres.

Most of the products of charged-particle irradiation of CH₄-N₂ atmospheres are in the form of organic heteropolymer (52). A lower limit on the fraction *f* of the irradiation products that are organic solids is taken to be 0.1 (52). We assume a similar range for the products of CH₄-N₂ photolysis. We estimate the net flux of UV energy below 1500 Å on Earth 4.0 Ga to have been 1 × 10^{27} erg year⁻¹ (11, 53), three times the current value. The production of high-altitude organic aerosols from CH₄ photolysis in the terrestrial atmosphere 4 Ga was then $\phi_{\gamma} \simeq (1.2 \times 10^{15}) f \text{ g year}^{-1}$. Other sources of high-altitude organic aerosols (11) amount to $\lesssim 10^{13} f \text{ g year}^{-1}$.

This calculation assumes the production of organic aerosols to have been UV-limited. The contemporary carbon outgassing rate (mainly as CO_2) from mid-ocean ridges is 1×10^{13} to 1×10^{14} g year⁻¹; estimates (54) of outgassing rates 3 Ga range from 4 \times 10¹³ to 6 \times 10¹⁴ g year⁻¹ (or ~10¹¹ cm⁻² s^{-1}). The outgassing rate 4 Ga must have been greater still but with an unknown CH_4 CO₂ ratio. It seems possible, even without exogenous input (55), that the carbon outgassing source would have been able to keep pace with the organic solid production sink, provided $CH_4/CO_2 \gtrsim 1$. Integrated over 1 billion years, the organic production would have been of the same order as the total estimated terrestrial inventory of carbon in the mantle and crust (56).

NH₃ Lifetimes, Diffusion Flux, and Resupply

Choosing k(2000 Å) = 0.19, appropriate for kerogen, with $\rho = 1.6 \text{ g cm}^{-3}$, t = 1year, and f = 0.5, we find $\alpha_{\lambda} = 1.2 \times 10^5$ cm⁻¹ and $\tau \approx 8.8$, yielding an attenuation of NH₃ photolysis by a factor exp($-\tau \sec \theta$) = 3.9 × 10⁻⁶. The lifetime of [NH₃] ~ 10⁻⁵, instead of 10 years, would then be 2.5 × 10⁶ years. The rate of NH₃ photodestruction would be ~2 × 10¹⁰ g year⁻¹ in this case or, integrated over 1 billion years, about 2 × 10¹⁹ g, or 0.5% the current atmospheric N₂ abundance. By comparison, the contemporary biogenic value is ~10¹³ to 10¹⁴ g NH₃ year⁻¹ (9, 31, 57). In CH₄rich reducing atmospheres, NH₃ is also a degradation product of HCN; steady-state production is estimated at ~10^{12 ± 1} g NH₃ year⁻¹ (58).

Models with k(2000 Å) = 0.22, appropriate for N₂-CH₄ irradiation residues (Titan tholin), with $\rho = 1.4 \text{ g cm}^{-3}$, t = 1 year, and f = 0.5 give $\tau \approx 11.7$, or a [NH₃] $\sim 10^{-5}$ lifetime of 1.5×10^8 years. Averaging the values for kerogen and Titan tholin, the lifetime of a [NH₃] $\sim 10^{-5}$ primeval atmosphere as a function of *f* and sedimentation time *t* for $k(2000 \text{ Å}) \approx 0.21$ and $\rho = 1.5 \text{ g cm}^{-3}$ shows that effective shielding of NH₃ by organic solids fails only for low *t* and low *f* (Table 2).

The full integrations of J/J' for kerogen optical constants, $\rho = 1.6 \text{ g cm}^{-3}$, t = 1 year, and f = 0.5 give $J/J' = 1.0 \times 10^5$, or lifetimes for [NH₃] ~ 10^{-5} only a factor of 2.5 less than those found by the 2000 Å approximation (Fig. 2). [The net solar UV flux 4 Ga for

Table 1. Values of the imaginary part of the complex refractive index k at 2000 Å for candidate organic solids that could serve as a UV shield.

Material	k _λ
Organic residue from irradiation of 6:1 H ₂ O:C ₂ H ₆ ice mixture* (67)	0.17
Kerogen (67)	0.19
Organic residue from irradiation of 10:1 N ₂ :CH ₄ gas mixture (48)	0.22
Organic residue from irradiation of H_2O -CO-CH ₄ -NH ₃ ice mixture (68)	0.21 to 0.29
Organic refractory grains modeling interstellar dust (69)	~0.2
Organic refractory grains modeling cometary dust (70)	0.28
Elemental carbon (71)	0.39
Gas-phase-deposited amorphous C (72)	0.47
Hydrogenated amorphous C from C electrodes (73)	0.55
Hydrogenated amorphous C from benzene oxidation (74)	0.50
Arc-evaporated C (75)	0.65
CH, heated to 500 K† (76)	0.82
Soot in hydrocarbon flames: (77)	~1.0

*Results are similar to those for 6:1 $H_2O:CH_4$ ice irradiation (78). $\pm At 0.276 \mu m$. $\pm Estimated from disper$ $sion relations at 300, 1000, and 1600 K at 0.3 <math>\mu m$; all curves are rising toward shorter wavelengths. $\lambda < 2300$ Å was about the same as it is today (11, 53).] For Titan tholin optical constants and $\rho = 1.4 \text{ g cm}^{-3}$, the result is $J/J' = 9.6 \times 10^6$, or a lifetime of $\sim 10^8$ years. As a consistency check, we note that for many of the organic solids (Table 1), $\tau(5500 \text{ Å})/\tau(2000 \text{ Å}) \sim 0.1$ or less, so that even for $\tau(2000 \text{ Å}) \sim 10$, there is still enough sunlight reaching Earth's surface to drive a significant net greenhouse effect.

However, atmospheres in which NH₃ lifetimes are sufficiently prolonged will violate the assumption that NH₃ photolysis occurs below the organic aerosol shield. For example, for f = 0.5 and 1-year sedimentation times (Table 2), shielding lengthens NH₃ photolysis lifetimes by a factor $\sim 10^6$. In this case, the time scale for NH_3 to reach the altitude of CH_4 polymerization would be shorter than the photolysis lifetime, and the NH₃ would no longer be shielded. Then the required NH₃ resupply flux ϕ will be given by its flux, dominated by eddy diffusion (59), to the CH_4 polymerization altitude. Contemporary eddy diffusion constants K vary from $\sim 10^3$ cm² s⁻¹ just above the tropopause to $\sim 10^6$ cm² s⁻¹ at an altitude of 80 km (60). If we choose $K = 10^5$ $cm^2 s^{-1}$ for the early atmosphere (6), and

Table 2. Lifetimes for atmospheres with [NH₃] \sim 10⁻⁵. The sedimentation times are from Oberbeck *et al.* (46). E indicates a value >4.5 billion years.

Sedi- men- tation time (years)	Lifetime (years) for given fraction of irradiation products in organic solids		
	0.1	0.5	0.9
0.5 1 3	40 200 6 × 10 ⁴	2 × 10 ⁴ 2 × 10 ⁷ E	5 × 10 ⁶ E E



Fig. 2. Solar UV flux with no attenuation (heavy line), compared with the flux at Earth's surface in the presence of a high-altitude organic solid formed by reactions of UV light with CH_4 . The dashed line shows the UV flux if the high-altitude haze is assigned kerogen optical constants. The dotted line shows the same, but with optical constants appropriate to Titan tholin.

an atmospheric scale height H = 8.4 km, an upper limit (61) for the NH₃ eddy diffusion flux is $\phi_e \approx (K/H)[NH_3]n(z) \approx 2 \times 10^9 \text{ cm}^{-2} \text{ s}^{-1} \text{ or } \sim 10^{13} \text{ g year}^{-1} \text{ at } z =$ 70 km; here n(z) is the contemporary atmospheric number density at altitude z. {Lower polymerization altitudes would give higher ϕ_0 due to larger n(z) [n(z = 40km) ~ 40n(z = 70 km)], although this effect is mitigated by lower values of K at lower n(z). In this case, $[NH_3] \sim 10^{-5}$ has a lifetime of $\sim [NH_3]M_a/\phi \sim 5000$ years (where $M_a = 5 \times 10^{21}$ g is the mass of the contemporary atmosphere), so that the current inventory of N2 would be generated by NH₃ photolysis in $\sim 5 \times 10^8$ years. Given resupply, half-billion-year time scales for the duration of $[NH_3] \sim 10^{-5}$ atmospheres appear possible.

Would CH₄ have been irreversibly converted to organic solids and NH₃ to N₂ and organic solids on the early Earth, or are there mechanisms for recycling? Tholins are stable and require temperatures of 600° to 1000°C for half their mass to be vaporized (62). If plate tectonics were in operation 4 Ga, with subduction of the crust down to hundreds of kilometers depth and a geothermal gradient substantially steeper than that which exists today, tholins may have been reprocessed into NH₃, CH₄, and other simple organic gases. Recycling of N_{2} into NH_{2} may occur by means of electrical discharges and NO (30) and TiO₂ photochemical catalysis in titanium-rich deserts (63). These processes reduce the need for outgassing or exogenous resupply. Because methanogens were among the earliest microorganisms, and life seems to have been widespread by 3.5 and possibly by 3.85 Ga (18), there is no need to seek nonbiological sources of CH₄ (or NH₃) in that period or later. Ultraviolet optical depths above 5 to 10 on Earth would have played an important role in shielding early organisms from UV damage (64).

Å point of comparison for this model is provided by Saturn's moon Titan. The UV and radiation processing of Titan's N₂-CH₄ atmosphere produces an aerosol with average particle radii ~0.25 μ m and an optical depth of about 7 between 2000 and 3000 Å (65), about the same as the optical depths calculated here for CH₄ photolysis in the early terrestrial atmosphere.

There are clearly uncertainties in many of the input parameters in our calculations. Nevertheless, were the atmosphere of the early Earth reducing, our results suggest that it would have been self-shielding against UV photodissociation. Were this atmosphere instead rich in N₂ with minor CO₂ and CH₄ components, self-shielding may also have been possible, but only if a CH₄/ CO₂ ratio \geq 1 could have been maintained. We wish only to suggest that a self-consistent solution to the early faint sun dilemma (66), without invoking massive CO_2 atmospheres, appears to be viable.

REFERENCES AND NOTES

- 1. S. L. Miller and H. C. Urey, Science 130, 245 (1959).
- J. C. G. Walker, Evolution of the Atmosphere (Macmillan, New York, 1977); Nature **302**, 518 (1983); Origins Life **16**, 117 (1986).
- 3. D. J. Stevenson, Science 214, 611 (1981).
- J. F. Kasting, in *The Proterozoic Biosphere*, J. W. Schopf and C. Klein, Eds. (Cambridge Univ. Press, Cambridge, 1992), pp. 165–168.
- R. J. Arculus, *Annu. Rev. Earth Planet. Sci.* **13**, 75 (1985). Some models admit reduced gases as minor constituents [J. F. Kasting, D. H. Eggler, S. P. Raeburn, *J. Geol.* **101**, 245 (1993); D. L. Anderson, *Science* **261**, 170 (1993)].
- W. R. Kuhn and S. K. Atreya, *Icarus* 37, 207 (1979).
 Y. L. Yung and J. P. Pinto, *Nature* 273, 730 (1978); J.
- F. Kasting, *J. Geophys. Res.* **87**, 3091 (1982). 8. T. Owen, R. D. Cess, V. Ramanathan, *Nature* **277**,
- 640 (1979).
- J. S. Levine and T. R. Augustsson, *Origins Life* 15, 299 (1985).
- P. H. Abelson, *Proc. Natl. Acad. Sci. U.S.A.* 55, 1365 (1966); S. L. Miller and G. Schlesinger, *Origins Life* 14, 83 (1984).
- C. F. Chyba and C. Sagan, *Nature* **355**, 125 (1992); in Comets and the Origin and Evolution of Life, P. J. Thomas, C. F. Chyba, C. P. McKay, Eds. (Springer-Verlag, New York, 1997), pp. 147–173.
- M. J. Newman and R. T. Rood, *Science* **198**, 1035 (1977); D. O. Gough, *Sol. Phys.* **74**, 21 (1981).
- 13. C. Sagan and G. Mullen, Science 177, 52 (1972).
- 14. C. Sagan, Nature 269, 224 (1977).
- 15. J. B. Pollack, Icarus 37, 479 (1979).
- G. R. North, J. Atmos. Sci. 32, 2033 (1975); W. C. Wang and P. H. Stoner, *ibid.* 37, 545 (1980).
- 17. An updating of this evidence includes (i) water-lain sediments 3.8 to 3.9 Ga [A. P. Nutman, J. H. Allaart, D. Bridgewater, E. Dimroth, M. Rosing, *Precambrian Res.* 25, 365 (1984)]; (ii) tidal or intertidal stromatolite fossils =3.5 Ga; (iii) pillow basalts, generated by underwater magma; (iv) alluvial detrital uraninite grains 3.0 to 2.3 Ga; and (v) turbidites and ripple marks 3.5 to 3.0 Ga [K. A. Eriksson, *Tectonophysics* 81, 179 (1982)].
- S. J. Mojzsis *et al.*, *Nature* **384**, 55 (1996); J. M. Eiler,
 S. J. Mojzsis, G. Arrhenius, *ibid.* **386**, 665 (1997).
- 19. This evidence includes (i) absence of signs of glaciation before 2.7 Ga [J. F. Kasting, Palaeogeogr. Palaeoclimat. Palaeoecol. 75, 83 (1989)], and the suggestion that more recent tillites are of impact rather than glacial origin, which would remove all upper limits on T_e before 2 Ga [V. R. Oberbeck, J. R. Marshall, H. R. Aggarwal, J. Geol. 101, 1 (1993)]; (ii) extreme thermophilic trends in the archaebacteria [C. Woese, Microbiol. Rev. 51, 221 (1987)], although this evidence might reflect a remnant population that survived the high-temperature pulse provided by the last major impact around 3.8 Ga [M. Gogarten-Boekels, E. Hilario, J. P. Gogarten, Origins Life 25, 251 (1995)]; (iii) sulfur isotope studies pointing to oceanic temperatures ~40° ± 10°C from about 2.6 to 3.5 Ga [H. Ohmotu and R. P. Felder, Nature 328, 244 (1987)]; and (iv) D/18O indications of mean surface temperatures of tens of degrees Celsius between 2.5 and 3.5 Ga [L. P. Knauth and S. Epstein, Geochim. Cosmochim. Acta 40, 1095 (1976)]. Differences in δ^{18} O data between coexisting cherts and phosphates have suggested temperatures as high as 100°C at 3.8 Ga [J. Karhu and S. Epstein, ibid. 50, 1745 (1986)]
- M. H. Carr, Water on Mars (Oxford Univ. Press, New York, 1996).
- 21. Sagan and Mullen (13) argued that on a much colder early Earth, the ice-albedo feedback (and the lower water vapor pressure) would more than compensate for any decreased cloudiness. The strength of this argument might be diminished on an all-ocean planet only a little above the freezing point [A. Henderson-

Sellers, *Nature* **279**, 786 (1979); W. B. Rossow, A. Henderson-Sellers, S. K. Weinreich, *Science* **217**, 1245 (1982)]. On an Earth as warm as or warmer than today, cloud feedback effects do not seem to be the answer [J. F. Kasting and D. H. Grinspoon, in *The Sun in Time*, C. P. Sonett, M. S. Giampapa, M. S. Matthews, Eds. (Univ. of Arizona Press, Tucson, 1991), pp. 447–462].

- G. S. Jenkins, H. G. Marshall, W. R. Kuhn, J. Geophys. Res. 98, 8785 (1993).
- M. J. Holman and J. Wisdom, Astron. J. 105, 1987 (1993).
- T. E. Graedel, I.-J. Sackmann, A. I. Boothroyd, *Geophys. Res. Lett.* **18**, 1881 (1991); A. I. Boothroyd, I.-J. Sackmann, W. A. Fowler, *Astrophys. J.* **377**, 318 (1991).
- 25. More extreme models propose that solar-type stars lose as much as half their original mass through an enhanced solar wind, implying an initial main-se-quence luminosity for the sun ~15 times the current value [J. A. Guzik, L. A. Willson, W. M. Brunish, Astrophys. J. **319**, 957 (1987)]. The equilibrium temperature for Earth at 1 AU and no greenhouse effect for a luminosity 15 times that of the sun's present value is <450 K. These models typically decay to standard stellar evolution values in ~0.2 billion years, providing no contradiction to terrestrial observations in the high-luminosity phase; but then they do not explain temperatures >0°C on Earth between 4.3 and 2.0 Ga.
- E. Robinson and R. C. Robbins, *J. Air Pollut. Control Assoc.* 20, 303 (1970); A. O. Langford and F. C. Fehsenfeld, *Science* 255, 581 (1992); P. K. Quinn, R. S. Charlson, T. S. Bates, *Nature* 335, 336 (1988).
- J. S. Levine, T. R. Augustsson, J. M. Hoell, *Geophys. Res. Lett.* 7, 317 (1980).
- 28. J. L. Bada and S. L. Miller, Science 159, 423 (1968).
- S. L. Miller and J. E. Van Trump, in Origin of Life, Y. Wolman, Ed. (Reidel, Boston, 1981), p. 135; H. D. Holland, The Chemical Evolution of the Atmosphere and Oceans (Princeton Univ. Press, Princeton, NJ, 1984).
- 30. If there were no other source of NH3, an oceanic steady-state abundance of NH₄⁺ in the 4- to 70-µM range is expected for a nonreducing atmosphere from electrical discharge converting N2 to NO, rainout as nitric and nitrous acids, and oxidation of ferrous to ferric iron in the oceans [D. P. Summers and C. Chang, Nature 365, 630 (1993)]. From Henry's law, the atmospheric NH₃ abundance in equilibrium with 20 μ M of NH₄⁺ at 30°C is given by log [NH₃] \simeq pH -15.6; at 0°C, the corresponding figure is log [NH₃] ≃ pH -17.1 [G. D. Farquhar, P. M. Firth, R. Wetselaar, B. Weir, Plant Physiol. 66, 710 (1980)]. These values are lower than the mean but within the ranges of contemporary values, despite the present oxidizing atmosphere. Temperatures > 80°C and alkaline oceans (pH > 8) would be required to approach [NH₃] \sim 10⁻⁵, unless another source of NH₃ were available. NH₄⁺ is also deposited from the atmosphere [J. D. Tjepkama, R. J. Cartica, H. F. Hemond, Nature 294, 445 (1981)].
- R. C. Burns and R. W. F. Hardy, *Nitrogen Fixation in Bacteria and Higher Plants* (Springer-Verlag, Berlin, 1975); J. A. Raven, B. Wollenweber, L. L. Handley, *New Phytol.* **121**, 5 (1992).
- W. R. Kuhn and J. F. Kasting, *Nature* **301**, 53 (1983);
 J. F. Kasting and T. P. Ackerman, *Science* **234**, 1383 (1986).
- R. Rye, P. H. Kuo, H. D. Holland, *Nature* 378, 603 (1995).
- J. Goldspiel and S. W. Squyres, *Icarus* **89**, 392 (1991); T. J. Parker, D. S. Gorsline, R. S. Saunders, D. C. Pieri, D. M. Schneeberger, *J. Geophys. Res.* **98**, 11061 (1993).
- V. R. Baker et al., Nature **352**, 589 (1991); M. W. Schaefer, J. Geophys. Res. **95**, 14291 (1990).
- J. B. Pollack, J. F. Kasting, S. M. Richardson, K. Poliakoff, *Icarus* **71**, 203 (1987).
- S. W. Squyres and J. F. Kasting, *Science* 265, 744 (1994).
- 38. J. F. Kasting, Icarus 94, 1 (1991).
- J. B. Pollack and C. Sagan, in *Near Earth Resources*, J. Lewis and M. Matthews, Eds. (Univ. of Arizona Press, Tucson, 1993); J. M. Goldspiel, thesis, Cornell University (1994). An upper limit on the total



amount of CO2 ice in a martian polar cap can be set by the condition that it not liquefy at its base as a result of hydrostatic pressure load, giving an upper limit of ~60 mbar CO2 [C. Sagan, J. Geophys. Res. 78, 1250 (1973)]

- A. C. Lasaga, H. D. Holland, M. J. Dwyer, Science 40. 174, 53 (1971).
- 41. W. E. McGovern, J. Atmos. Sci. 26, 623 (1969).
- 42. K. J. Zahnle, J. Geophys. Res. 91, 2819 (1986).
- 43. These altitudes are comparable to those calculated by setting the CH₄ optical depth $\tau = 1$ at $\lambda = 1216$ Å, the Lyman α wavelength. For a CH₄ scale height H = 8.4 km, CH₄ cross section at 1216 Å σ (CH₄) = 2 × 10⁻¹⁷ cm⁻² (41), atmospheric surface number density $n(0) = 2.6 \times 10^{19}$ cm⁻³, and [CH₄] = 10^{-5} , $\tau =$ 1 occurs at an altitude $z = H \ln\{[CH_{a}]\sigma(CH_{a})n(0)H\} \approx$ 70 km. For present [CO2], CO2 adds only ~10% to 7 at 1216 Å.
- 44. In charged-particle irradiation experiments with 2.5% H₂O in CH₄-NH₃ atmospheres, organic solids are also plentifully produced, involving aldehydes, ketones, furans, and carboxylic acids as indications of oxygen chemistry [B. N. Khare, C. Sagan, J. E. Zumberge, D. S. Sklarew, B. Nagy. Icarus 48, 290 (1981)]. Similar results are found in UV irradiation experiments [K.-y. Hong, J.-H. Hong, R. S. Becker, Science 184, 984 (1974); B. N. Khare, C. Sagan, E. L. Bandurski, B. Nagy, ibid. 199, 1199 (1978)].
- 45. G. D. McDonald, W. R. Thompson, M. Heinrich, B. N. Khare, C. Sagan, Icarus 107, 137 (1994).
- 46. V. R. Oberbeck, C. P. McKay, T. W. Scattergood, G. C. Carle, J. R. Valentin, Origins Life 19, 39 (1989).
- 47. M. Ackerman, in Mesospheric Models and Related Experiments, G. Fiocco, Ed. (Reidel, Boston, 1971), pp. 149-159.
- 48. B. N. Khare et al., Icarus 60, 127 (1984)
- 49 C. Sagan and W. R. Thompson, *ibid.* 59, 133 (1984). 50. W. A. Noyes and P. A. Leighton, The Photochemistry of Gases (Reinhold, New York, 1941).
- 51. G. Toupance, A. Bossard, R. Raulin, Origins Life 8, 259 (1977)
- 52. This result derives from continuous-flow experiments, in which the mean free path is much less than the radius of the reaction tube, so wall effects should not be important [W. R. Thompson, G. D. McDonald, C. Sagan, Icarus 112, 376 (1994)]
- 53. K. J. Zahnle and J. C. G. Walker, Rev. Geophys. Space Phys. 20, 280 (1982).
- 54. D. J. DesMarais, in The Carbon Cycle and Atmospheric CO2: Natural Variations Archean to Present, E. T. Sundquist and W. S. Broecker, Eds. (American Geophysical Union, Washington, DC, 1985), pp. 602-611.
- 55. CH₄ is present at 0.1 to 1% of [H₂O] in comets [M. J. Mumma, P. R. Weissman, S. A. Stern, in Protostars and Planets III, E. H. Levy and J. I. Lunine, Eds. (Univ. of Arizona, Tucson, 1993), pp. 1177-1252]. For an impactor mass flux $\sim 5 \times 10^{14}$ g year⁻¹ around 4 Ga (11), exogenous CH₄ supply provided <1% of the required flux.
- 56. C. F. Chyba, T. C. Owen, W.-H. Ip, in Hazards Due to Comets and Asteroids, T. Gehrels, Ed. (Univ. of Arizona Press, Tucson, 1994), pp. 9-58.
- 57. G. A. Dawson, J. Geophys. Res. 82, 3125 (1977); D. L. Baulch et al., J. Phys. Chem. Ref. Data 11 (suppl. 1), 327 (1982)
- 58. R. Stribling and S. L. Miller, Origins Life 17, 261 (1987).
- 59. D. M. Hunten and T. M. Donahue, Annu. Rev. Earth Planet. Sci. 4, 265 (1976).
- 60. S. C. Wofsy and M. B. McElroy, J. Geophys. Res. 78, 2619 (1973).
- 61. Integrating the eddy diffusion equation $\phi_{\varphi} = -Kn(z)[\partial f(z)/\partial z]$ for $n(z) = n(0)\exp(-z/H)$, where f(z) is $[NH_3]$ at altitude z, gives $\phi_e = -[Kn(z)/H][f(z) - f(0) \le (K/H) f(0)n(z)$.
- 62. C. Sagan, B. N. Khare, J. Lewis, in Saturn, T. Gehrels and M. S. Matthews, Eds. (Univ. of Arizona Press, Tucson, 1984), pp. 788–807
- 63. A. Henderson-Sellers and A. W. Schwartz, Nature 287, 526 (1980).
- 64. C. Sagan, J. Theor. Biol. 39, 195 (1973); J. F. Kasting, K. J. Zahnle, J. P. Pinto, A. T. Young, Origins Life 19, 95 (1989)

- 65. K. Rages and J. B. Pollack, Icarus 41, 119 (1980).
- 66. While completing this paper, we learned that related suggestions were made qualitatively by J. Kasting [J. Geophys. Res. 87, 3091 (1982)] and J. Lovelock [The Ages of Gaia (Norton, London, 1988), chap. 4].
- 67. B. N. Khare et al., Icarus 103, 290 (1993)
- P. Jenniskens, Astron. Astrophys. 272, 465 (1993). 68 G. Chlewicki and J. M. Greenberg, Astrophys. J. 69. 365, 230 (1990).
- 70. J. M. Greenberg and J. I. Hage, ibid. 361, 260 (1990).
- 71. H. J. Hagemann, W. Gudat, C. Kunz, J. Opt. Soc. Am. 65, 742 (1975).
- 72. W. W. Duley, Astrophys. J. 287, 694 (1984)
- E. Bussoletti, L. Colangeli, A. Borghesi, V. Orofino, 73. Astron. Astrophys. Suppl. Ser. 70, 257 (1987) 74
- F. Rouleau and P. G. Martin, Astrophys. J. 377, 526 (1991).

- 75. E. T. Arakawa, S. M. Dolfini, J. C. Ashley, M. W. Williams, Phys. Rev. B 31, 8097 (1985).
- 76. D. A. Anderson, Philos. Mag. 35, 17 (1977)
- 77. S. C. Lee and C. L. Tien, Proceedings, Eighteenth Symposium (International) on Combustion (Combustion Institute, Pittsburgh, 1981), pp. 1159-1166.
- 78. W. R. Thompson, B. G. J. T. P. Murray, B. N. Khare, C. Sagan, J. Geophys. Res. 92, 14933 (1987); B. N. Khare, W. R. Thompson, B. G. J. T. P. Murray, C. F. Chyba, C. Sagan, Icarus 79, 350 (1989).
- 79. This research was supported in part by NASA grants NAGW-3273, NAGW-1896, and NAGW-1870. We thank K. J. Zahnle, J. Bada, J. Kasting, W. R. Thompson, B. N. Khare, S. L. Miller, E. E. Salpeter, S. Epstein, J. W. Schopf, D. Layzell, D. Turpin, J. C G. Walker, S. Soter, J. Goldspiel, P. Gogarten, L. A. Wilson, G. Bowen, C. de Ruiter, R. Hargraves, and G. D. McDonald.

Trichodesmium, a Globally Significant Marine Cyanobacterium

Douglas G. Capone, Jonathan P. Zehr, Hans W. Paerl, Birgitta Bergman, Edward J. Carpenter

Planktonic marine cyanobacteria of the genus Trichodesmium occur throughout the oligotrophic tropical and subtropical oceans. Their unusual adaptations, from the molecular to the macroscopic level, contribute to their ecological success and biogeochemical importance. Trichodesmium fixes nitrogen gas (N2) under fully aerobic conditions while photosynthetically evolving oxygen. Its temporal pattern of N₂ fixation results from an endogenous daily cycle that confines N₂ fixation to daylight hours. Trichodesmium colonies provide a unique pelagic habitat that supports a complex assemblage of consortial organisms. These colonies often represent a large fraction of the plant biomass in tropical, oligotrophic waters and contribute substantially to primary production. N₂ fixation by *Trichodesmium* is likely a major input to the marine and global nitrogen cycle.

Trichodesmium, a colonial marine cyanobacterium (1) (Fig. 1), has intrigued naturalists, biologists, and mariners for well over a century (2). These cyanobacteria have been reported throughout the tropical and subtropical Atlantic, Pacific, and Indian oceans, as well as the Caribbean and South China seas (Fig. 2) (3, 4). Modern interest in Trichodesmium dates to the early 1960s with the recognition that the biological productivity of large expanses of the ocean is often limited by the availability of nitrogen (5) and the observation that Trichodesmium is diazotrophic (that is, an N_2 fixer). The current focus in assessing the global role of the upper ocean in assimilating atmospheric CO_2 has elevated the importance of quantifying marine N_2 fixation.

Although major advances in understanding the biology of Trichodesmium have recently occurred on diverse fronts, several important questions remain largely unresolved: (i) Where does Trichodesmium fit in the broader scheme of cyanobacterial phylogeny? (ii) How does Trichodesmium sustain simultaneous photosynthetic O₂ evolution with nitrogenase activity, and why does it fix N₂ only during daylight periods? (iii) What physiological, morphological, and behavioral adaptations contribute to Trichodesmium's ecological success in the oligotrophic marine environment? (iv) What environmental and ecological factors control production and N₂ fixation in Trichodesmium in situ, and to what extent does it contribute to productivity, nutrient cycling, and trophodynamics in tropical and subtropical seas? (v) What is the over-

D. G. Capone is at the Chesapeake Biological Laboratory, Center for Environmental and Estuarine Studies, University of Maryland, Solomons, MD 20688, USA, J. P. Zehr is in the Department of Biology, Rensselaer Poly-technic Institute, Troy, NY 12180, USA. H. W. Paerl is at the Institute of Marine Sciences, University of North Carolina, Morehead City, NC 28557, USA. B. Bergman is in the Department of Botany, Stockholm University, S-10691 Stockholm, Sweden. E. J. Carpenter is at the Marine Sciences Research Center, State University of New York, Stony Brook, NY 11794, USA.