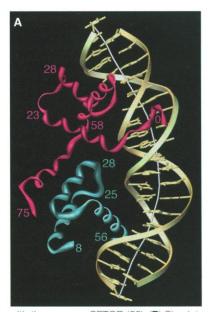
Crystal Structure of the MATa1/MATα2 Homeodomain Heterodimer Bound to DNA

Thomas Li, Martha R. Stark, Alexander D. Johnson, Cynthia Wolberger*

The Saccharomyces cerevisiae MATa1 and MAT α 2 homeodomain proteins, which play a role in determining yeast cell type, form a heterodimer that binds DNA and represses transcription in a cell type–specific manner. Whereas the α 2 and a1 proteins on their own have only modest affinity for DNA, the a1/ α 2 heterodimer binds DNA with high specificity and affinity. The three-dimensional crystal structure of the a1/ α 2 homeodomain heterodimer bound to DNA was determined at a resolution of 2.5 Å. The a1 and α 2 homeodomains bind in a head-to-tail orientation, with heterodimer contacts mediated by a 16-residue tail located carboxyl-terminal to the α 2 homeodomain. This tail becomes ordered in the presence of a1, part of it forming a short amphipathic helix that packs against the a1 homeodomain between helices 1 and 2. A pronounced 60° bend is induced in the DNA, which makes possible protein-protein and protein-DNA contacts that could not take place in a straight DNA fragment. Complex formation mediated by flexible protein-recognition peptides attached to stably folded DNA binding domains may prove to be a general feature of the architecture of other classes of eukaryotic transcriptional regulators.

Homeodomain proteins constitute a superfamily of DNA binding proteins that play critical roles in gene regulation and development in many eukaryotic species. These proteins have in common a conserved 60amino acid DNA binding domain that has been well characterized structurally, biochemically, and genetically (1, 2), whereas other portions of the proteins are quite divergent. The fold adopted by the homeodomain, as uncovered in structural studies of Drosophila (3-5), yeast (6, 7), and human (8) homeodomains, consists of three α helices and an NH2-terminal arm. Homeodomains bind DNA by inserting the third of these three α helices into the major groove of the DNA, while the NH₂-terminal arm contacts bases in the adjacent minor groove. Biochemical studies have shown that isolated homeodomains, which typically bind DNA as monomers, often exhibit only a relatively modest degree of DNA sequence selectivity (9-11). In the case of the yeast α^2 homeodomain protein, we know that the specificity and affinity with which $\alpha 2$ binds DNA is augmented by its association with either of two partner proteins: the product of the MATa locus, a1, or the non-cell type-specific protein MCM1. The $a1/\alpha 2$ and $\alpha 2/MCM1$ complexes each bind to a distinct set of DNA sites in the yeast genome, causing repression of the adjacent genes. Combinatorial control by a1 and $\alpha 2$ provides a means to achieve cell type-specific regulation of a large set of genes since a1 and $\alpha 2$ are expressed together in only the a/α diploid yeast cell type (12).

One of the partner proteins of $\alpha 2$, a1, is also a member of the homeodomain superfamily (13). In the diploid a/α cell type, these two homeodomain proteins form a heterodimer that binds to sites upstream of haploid-specific genes (hsg) (14-16). In the absence of $\alpha 2$, the **a**1 protein exhibits no detectable specific binding to DNA (11). However, the presence of a1 in solution dramatically raises the affinity of $\alpha 2$ for hsg operators. The cooperative binding of $\alpha 2$ with al depends on the 21-residue COOH-terminal tail of $\alpha 2$, which is located immediately COOH-terminal to its homeodomain (17, 18). Deletion of the COOHterminal tail renders $\alpha 2$ incapable of cooperative binding with a1 in vitro and of repressing the haploid-specific genes in vivo (18). This tail is required for interaction with the al partner protein only, as its absence does not affect the cooperative interaction of $\alpha 2$ with MCM1 (18). The interaction of the tail of $\alpha 2$ with the al protein is quite specific; for example, the tail fails to interact with $\alpha 2$ itself, a homeodomain closely related to a1 (17). Splicing this peptide onto the Drosophila engrailed homeodomain renders engrailed capable of cooperative interaction with al (17). Additional interactions between a1 and $\alpha 2$ are mediated by the NH₂-terminal domains of the respective proteins, which have been proposed to contact one another by way of a coiled-coil interaction (19). Deletion analysis of a1 and $\alpha 2$ has shown that their cooperative binding to the hsg operator requires only the homeodomain of **a**1 and the homeodomain plus the tail of α 2 (20). These fragments, which were used for



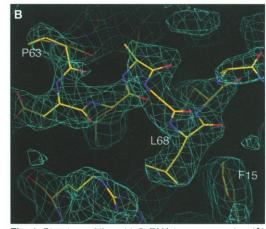


Fig. 1. Structure of the $\mathbf{a}1/\alpha2$ –DNA ternary complex. (**A**) Overall model of the complex. The $\alpha2$ protein is shown in red and $\mathbf{a}1$ is shown in blue. Sequence numbers for the $\mathbf{a}1$ and $\alpha2$ proteins follow the same color scheme. The white line indicates the local DNA axis. This figure, as well as Figs. 3B, 4, A and C, 6, B to D, and 7 were generated

with the program SETOR (59). (B) Simulated annealing omit map showing the COOH-terminal tail of $\alpha 2$ bound to **a**1. Residues 59 to 74 of $\alpha 2$ were deleted and the model was subjected to limited simulated annealing refinement in order to remove phase bias. The electron density map shown was calculated with $2F_{\alpha} - F_{c}$ coefficients and phases from the resulting model and is contoured at 1.0 σ .

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We previously described the x-ray crystal structure of the α 2 homeodomain bound to DNA (6). In the absence of its partner proteins, the α 2 homeodomain binds as a monomer to nearly straight B-form DNA. Although the fragment of α 2 crystallized contained the COOH-terminal tail that mediates heterodimerization with **a**1, the 21 residues of the tail were disordered in the

structure of the α 2-DNA complex. Solution nuclear magnetic resonance (NMR) studies show that the COOH-terminal tail is unstructured in solution as well, becoming ordered only upon heterodimerization with **a**1, when part of it forms a short α helix (7, 20).

In order to uncover how a flexible peptide tail mediates specific heterodimer formation between two homeodomains, we determined the three-dimensional structure of a ternary complex containing an $a1/\alpha^2$ heterodimer bound to DNA. This crystal structure, determined at a resolution of 2.5 Å, shows that the a1 and α^2 homeodomains bind in tandem to a 21-base pair (bp) DNA fragment. In the ternary complex, 16 residues of the COOH-terminal tail of α^2

Table 1. Crystallographic analysis. The purification and crystallization of the $a1/\alpha 2$ -DNA complex and the method of flash-freezing the crystals have been described (51). The complex crystallizes in space group P6₁ with unit cell dimensions a = b = 132.8 Å and c = 45.71 Å. The crystals form with one complex per asymmetric unit and contain 68 percent solvent. Diffraction from these crystals extends to a maximum resolution of 2.4 Å, with diffraction along the c axis falling off in intensity beyond 2.7 Å. Heavy atom derivatives were prepared by substituting 5-iodouracil for thymine at base 1, 11, or 22 of the DNA (IdU¹, IdU¹¹, and IdU²²). X-ray diffraction data collection, processing, and multiple isomorphorous replacement (MIR) phase calculation and refinement were as described (52). The MIR phases were used to calculate an electron density map at 2.8 Å resolution, which was improved by one round of solvent flattening (53). A nearly complete model of the complex was fit to this map by means of the O graphics program (54). A statistically random selection of 10 percent of the total reflection data was excluded from the refinement and used to calculate the free R factor ($R_{\rm free}$) as a monitor of model bias (55). The model was subjected to several rounds of positional and simulated-annealing refinement with X-PLOR (56). Several missing side chains and residues were added following inspection of $2F_o - F_c$ and $F_o - F_c$ maps and a phase-combined map calculated with SIGMAA (57). The analysis was continued with 120 cycles of positional and constrained temperature factor refinement, yielding an R factor at 2.8 Å resolution of 22.4 percent and an R_{free} of 29.2 percent. The model was then further refined at 2.5 Å resolution against the derivative data set, IdU¹¹. In the resolution range from 2.8 to 2.5 Å, the IdU¹¹ data set contained 94 percent of the expected data; of those reflections recorded, 81 percent of the intensities were greater than 2_σ. After rigid body, positional, and simulated annealing refinement, an additional five residues in the COOH-terminal tail of $\alpha 2$ were fit to $2F_o - F_c$ and $F_o - F_c$ maps. Simulated annealing omit maps (58) were calculated at many stages of the refinement to verify the placement of residues in the electron density map. Water molecules were included at the final stage of refinement, based on the presence of peaks in difference electron density maps of at least 3o in significance. All water molecules have B factors of less than 50 Å² and participate in at least one hydrogen-bonding interaction. The model of the complex presented here contains 1851 atoms and 58 water molecules. The average atomic B factor for the proteins, excluding water molecules and the COOH-terminal tail of $\alpha 2$, is 39.1 Å²; the average B factor of all atoms in the COOH-terminal tail of $\alpha 2$ is 60.4 Å².

	Native	IdU ¹	IdU ²²	ldU ¹¹
Resolution (Å)	2.74	2.40	2.65	2.40
Measured reflections	74,037	83,570	35,860	76,841
Unique reflections	12,014	16,357	10,715	18,106
Completeness (%)	92.8	90.4	80.0	94.0
Overall $l/\sigma(l)$	9.01	11.7	10.5	14.7
R _{merge} (%)*	13.1	7.4	6.8	5.5
R _{iso} (%)†		11.6	8.2	8.3
R _{cullis} ‡		0.57	0.65	0.60
Phasing power§		1.86	0.92	1.82
Mean overall figure of merit (20–2.8Å)		0.54		
× 711	Refine	ment statistics		
Resolution range	6–2.8 Å			6–2.5 Å
R factor (%)¶	22.4			22.5
R _{free} factor (%)#	29.2			29.8
Refined geometry	Overall	Protein	DNA	
rmsd bond length (Å)	0.018	0.016	0.019	
rmsd bond angle (°)	1.93	1.83	2.02	

* $R_{\text{merge}} = \Sigma |I - \langle I \rangle |\Sigma I$, *I*: observed intensity, $\langle I \rangle$: average intensity of multiple observations of symmetry-related reflections. * $R_{\text{iso}} = \Sigma |F_{\text{pH}} - F_{\text{p}}|/\Sigma F_{\text{p}}$, F_{pH} and F_{p} are the observed derivative and native structure factor amplitude. * $R_{\text{cultis}} = \Sigma |F_{\text{pH}} \pm F_{\text{p}}| - F_{\text{Hcatc}}|\Sigma |F_{\text{pH}} - F_{\text{p}}|$. * $P_{\text{p(calc)}}|2^{1/2}$, $|F_{\text{pH(obs)}}| - F_{\text{p(calc)}}|$ is the lack-of-closure error. * $R = \Sigma |F_{\text{p}} - F_{\text{p(calc)}}|/\Sigma F_{\text{p}}$. * $R = \Sigma |F_{\text{p}} - F_{\text{p(calc)}}|/\Sigma F_{\text{p}}$. *R factor for a subset of 10 percent of the reflection data that were not included in the crystallographic refinement. undergo a conformational change, becoming ordered and contacting the **a**1 homeodomain at a surface that does not participate in DNA binding. The heterodimer induces a pronounced DNA bend that is required for contacts between the two proteins. The manner in which **a**1 and α 2 heterodimerize represents a possible mechanism by which other transcriptional regulators can associate with one another on the DNA.

Overview of the ternary complex. The $a1/\alpha 2$ -DNA complex (Fig. 1A) contains the al and $\alpha 2$ proteins bound to a 21-bp fragment of bent duplex DNA. The structure of the complex was determined as described (Table 1); a representative view showing the fit of the model to the electron density map is shown in Fig. 1B. The sequence of the DNA site was derived from the sequences of 14 in vivo $a1/\alpha 2$ binding sites (Fig. 2C) and differs in the four central base pairs from the consensus sequence. Of a number of different DNA sequences and oligonucleotide lengths, this DNA site yielded the best crystals. The sequences of the proteins and the DNA, and the numbering scheme used to describe them, are shown in Fig. 2. The a1 and α 2 homeodomains bind in a tandem orientation to one face of the DNA, burying 2300 $Å^2$ of protein and DNA surface area. The tandem binding of the two homeodomains, which had been predicted on the basis of chemical protection experiments (16), is in agreement with the observed pattern of DNA protection from hydroxyl radical attack and methylation in the presence of bound $a1/\alpha^2$ (Fig. 2D).

The α^2 protein contacts the al homeodomain with a peptide tail located COOHterminal to the $\alpha 2$ homeodomain. As compared with the structure of $\alpha 2$ alone bound to DNA, an additional 16 residues are ordered in the ternary complex. This COOHterminal tail, consisting of residues 59 to 74, extends from the end of helix 3 of the $\alpha 2$ homeodomain (Fig. 1A). Residues 59 to 62 contain a short stretch of extended chain, followed by two turns of an amphipathic helix (residues 63 to 69), which contacts the al homeodomain on the face opposite to that which binds DNA. The helix in the tail of $\alpha 2$ packs between helices 1 and 2 of a1, with the axes of all three helices roughly parallel. The $\alpha 2$ tail helix is somewhat distorted, with deviations from ideal hydrogen bonding geometry at residues 64 and 65. As predicted in biochemical and NMR studies (20), all contacts with the al homeodomain are mediated by the COOH-terminal extension of $\alpha 2;$ the homeodomain of $\alpha 2$ (residues 0 to 59) forms no direct contacts with the al protein.

The model of the ternary complex contains residues 0 to 74 of $\alpha 2$, including the NH_2 -terminal arm (residues 0 to 7), helices 1 (residues 10 to 23), 2 (residues 28 to 37), and 3 (residues 42 to 58), and the COOH-terminal tail (59 to 74). An additional five COOH-terminal residues, which are disordered in the structure, are not required for

a1/ α 2 repression (18). Of the a1 protein, residues 8 to 56 are included in the model. The first 12 amino acids in the protein, including the NH₂-terminal arm, are disordered, as is the COOH-terminal residue of helix 3, Lys⁵⁷. The a1 and α 2 homeodo-



en: DEKRPRTAFSSEQLARLKREFNEN---RYLTERRRQQLSSELGLNEAQIKIWFQNKRAKIKK Antp: ZRKRGRQTYTRYQTLELEKEFHFN---RYLTRRRRIEIAHALCLTERQIKIWFQNRRMKWKK Octl: ZRRKKRTSIETNIRVALEKSFLEN---QKPTSEEITMIADQLNMEKEVIRVWFCNRRQKEKR

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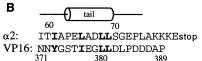
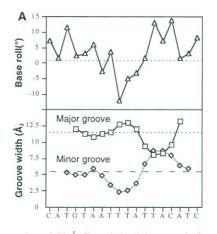


Fig. 2. (A) Sequence and secondary structure of the α 2, **a**1, engrailed (en), *Antennapedia* (*Antp*), and Oct-1 homeodomains (60). The residues of the homeodomain have been renumbered from 0 to 60 in accordance with the convention established in previous studies of homeodomains (3, 4, 6). In this numbering scheme, residues 128 to 151 of α 2 correspond to homeodomain residues 0 to 23 and residues 155 to 190 of α 2 correspond to homeodomain residues 24 to 59. Residues 152 to 154 of α 2, a three-amino acid insertion relative to other homeodomains, are labeled a, b, and c. The **a**1 residues 69

oryour of t	~	G		А	č	A 38	T \$7	т	А 35	А 34	A 33	т	А	А	т	G	т	А	G)T	A 28	
D crystal 5'- T	▼ 2 4	▼ 8 C	▼ 4 △	5 T	6	ź	8 4	9	▼ 10 T	▼ 11 T	▼ 12 T	▼ 18 △	▼ 14	▼ 15 T	▼ 16 △	17 C	18 ∆	19 T	20 C	▼ 21	•	•
consensus	т	с	A	т	G	т	A	A	A	A	A	т	т	т	A	С	A	т	С	A		
HO(10)					G							-				-			-			
HO(8) HO(9)	-	-	-		G	-	-			-		-				-			-			
HO(7) HO(8)					G G																	
HO(6)					G														-			
HO(5)					G																	
HO(4)	т	С	G	т	G	т	А	т	т	т	А	G	т	т	А	С	A	т	С	А		
HO(3)	т	С	А	т	G	т	т	А	т	т	А	т	т	т	А	С	A	т	С	А		
HO(2)	т	С	Α	т	G	т	с	С	А	С	Α	т	т	А	Α	С	A	т	С	Α		
HO(1)	G	С	G	т	т	т	А	G	А	Α	С	G	С	т	т	С	A	т	С	Α		
STE5(2)	т	С	А	т	G	т	А	С	т	т	т	т	С	т	G	С	A	т	С	А		
STE5(1)	G	с	т	т	G	т	т	А	А	т	т	т	А	с	А	С	A	т	С	Α		
RME(1)	Α	G	Α	т	G	т	с	Α	с	Α	G	Α	т	т	Α	С	A	т	С	Α		
ΜΑΤα1	С	А	А	т	G	т	А	G	А	А	А	А	G	Т	Α	С	A	т	С	А		

to 126 have been renumbered 0 to 57. (**B**) Alignment of the COOH-terminal tail of $\alpha 2$ with a segment of the herpes virus activator protein, VP16, which interacts with the Oct-1 homeodomain. The COOH-terminal tail of $\alpha 2$, residues 191 to 210, have been renumbered 60 to 79. Absolute residue numbers for VP16 are shown below the amino acid sequence. (**C**) Naturally occurring and synthetic DNA binding sites of **a**1/ $\alpha 2$. DNA sequences are written 5' to 3'; invariant and highly conserved bases are highlighted in bold. Sequences are shown of 14 in vivo binding sites for **a**1/ $\alpha 2$ located upstream of haploid-specific genes (*16*); the consensus sequence is derived from the list shown. (**D**) Sequence of the double-stranded oligonucleotide used in this crystallographic study and results of in vitro chemical protection experiments (*16*). Circled guanine bases are protected from methylation by binding to DNA of the **a**1/ $\alpha 2$ heterodimer. Triangles indicate bases that are protected from hydroxyl radical attack by **a**1/ $\alpha 2$.

Fig. 3. (A) Plot of DNA parameters. A plot is shown of base roll, major groove width, and minor groove width as a function of DNA sequence; horizontal dotted or dashed lines indicate average values for B-DNA. The sequence of the top strand only is shown. Groove widths are measured as the minimum in phosphate-phosphate distance less 5.8 Å for the van der Waals radii of the phosphate groups. All parameters were calculated with the program, CURVES (61). (B) Packing of complexes in the $a1/\alpha 2$ –DNA crystals; view looking down the crystallographic 6, axis. Adjacent complexes stack end-to-end in the crystal, with the dinucleotide 5'-ApT overhang in one complex forming base-pairing interactions with the complementary 5'-overhanging bases in the DNA of the adjacent complex. With each complex containing a 21-bp DNA fragment that has an overall bend of 60°, the



B GA GA

mains, which are 21 percent identical in

sequence, are similar in structure and super-

pose with a 1.0 A root-mean-square difference in $C\alpha$ positions (residues 8 to 57).

The DNA in the $a1/\alpha 2$ -DNA complex

contains a marked overall bend of 60° (Figs. 1A and 3). In contrast is the nearly straight

DNA in the complex of $\alpha 2$ alone bound to

DNA as discussed below, which contains a

bend of 8.8° within a single homeodomain

binding site and an overall bend of 7°. Our

observations are in agreement with solution

studies that have shown that the $a1/\alpha 2$

heterodimer introduces a bend in the DNA estimated at 100°, while $\alpha 2$ alone does not

(21). The bend in the $a1/\alpha^2$ binding site

occurs without dramatic local distortion or

kinking of the B-DNA helix. Rather, the

DNA helix is smoothly bent, most noticeably at the center of the DNA fragment and in the **a1** half of the binding site. The bend

is largely the result of a variation in base

roll, which adopts negative values near the

center of the DNA site and positive values

in flanking base pairs (Fig. 3A). A conse-

quence of the bend is a narrowing of the

minor groove at the center of the DNA

fragment, between the a1 and $\alpha 2$ proteins

(Fig. 3A). The continued bending of the

DNA in the al half of the site leads to a

widening of the minor groove and a nar-

rowing of the major groove. The DNA in

the ternary complex bends toward the a1/

 α 2 heterodimer, facilitating interactions be-

tween the two proteins. The COOH-termi-

nal tail of $\alpha 2$ spans the gap between the al

and $\alpha 2$ homeodomains at the point where

the minor groove of the DNA helix is at its

narrowest. Without the observed bend in

the DNA, the tail of $\alpha 2$ could not reach its

binding site on the back of the al homeo-

domain. The protein-protein interactions at

the heterodimer interface, as well as the

-5

DNA structure and crystal packing.

stacked complexes form a superhelical spiral with a radius of 63 Å. The pitch of the superhelix, corresponding to the crystallographic *c* axis, is 45.71 Å.

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contacts between al and $\alpha 2$ and their respective DNA subsites (both described below), probably play a role in stabilizing the bend. In addition, we observed a spine of hydration in the minor groove of the DNA, where it narrows and base roll angles are negative, and in the major groove of the DNA, where bending results in a minimum in major groove width and a maximum positive value of base pair roll angles (Fig. 3A). This hydration may contribute to the stability of the bent DNA conformation, in addition to participating in water-mediated hydrogen bonds between the proteins and the DNA bases.

The DNA bending gives rise to an unusual packing of complexes in the crystal. As has been observed in other crystals of protein-DNA complexes (4, 6, 22–26), the DNA stacks end-to-end in the crystal, forming a pseudocontinuous helix with Watson-Crick base pairing between the overhanging 5'-ApT at the end of one complex and the complementary unpaired bases at the end of the adjacent complex. In the $a1/\alpha^2$ -DNA crystals, each successive complex bends in the same direction, resulting in a superhelical spiral of complexes that obeys the 6_1 screw symmetry of the space group (Fig. 3B). As measured from the projection shown in Fig. 3B, the radius of curvature of the DNA in the ternary complex is 63 Å. There are crystal contacts between the α 2 homeodomain in one complex and the al protein in the neighboring complex that may limit the degree of bending that can occur at the ends of the DNA. The observed 60° bend may therefore represent an underestimate of the bend induced by $a1/\alpha^2$ in a single binding site embedded in a longer fragment of DNA.

Heterodimer interface. The COOH-terminal tail of $\alpha 2$ is unfolded in the monomer, even when bound to DNA. On interaction with the al protein, the tail of $\alpha 2$ folds to form a complementary surface to its binding site on the al homeodomain. The heterodimer is stabilized primarily by hydrophobic interactions, in addition to the presence of several hydrogen bonds (Fig. 4, A and B). A hydrophobic patch on the a1 homeodomain is formed by Val¹⁹ of helix 1, Val³⁴ of helix 2, and Leu²⁶ in the strand that connects helices 1 and 2. These three side chains are partly exposed and lie at the floor of a depression in the surface of the a1 homeodomain (Fig. 4, A and C). This depression is flanked at one end by a salt bridge between Lys²³ and Glu³⁰, and at the other by Phe¹⁵

In the tail of $\alpha 2$, residues 63 to 69 adopt a helical conformation with three leucine side chains (Leu⁶⁵, Leu⁶⁸, and Leu⁶⁹) projecting from one face of the helix (Fig. 4A). In addition, Ile^{61} packs against Leu⁶⁵ and Leu⁶⁹ and helps to stabilize the short helix. The amphipathic helix binds to the **a1** homeodomain, inserting the three leucine residues into the hydrophobic patch between helices 1 and 2 of **a1** (Fig. 4, A and C). The **a1**/ α 2 heterodimer is further stabilized by hydrogen bonds with the **a1** homeodomain that are mediated by main chain atoms in

the COOH-terminal tail of $\alpha 2$ flanking the amphipathic helix (Fig. 4B). The two antiparallel helices of **a**1 and the helix contributed by the tail of $\alpha 2$ form a three-helix bundle, with the helix in $\alpha 2$ parallel to helix 2 of **a**1. The total buried surface area is 754 Å².

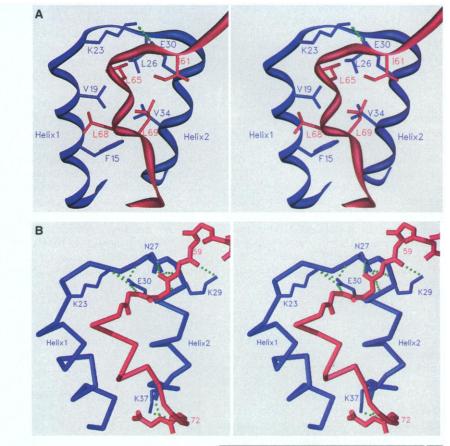
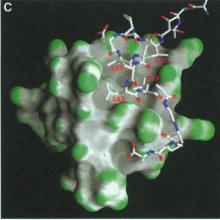


Fig. 4. (A) Stereo view of the $a1/\alpha^2$ heterodimer interface. The a1 protein is shown in blue and the α2 protein is shown in red; helix 3 of the a1 homeodomain has been deleted for clarity. In the a1 homeodomain, residues Phe¹⁵, Val¹⁹, Leu²⁶, and Val³⁴ form a hydrophobic patch between helices 1 and 2. Residues 63 to 69 in the tail of a2 form a distorted amphipathic helix with residues Leu⁶⁵, Leu⁶⁸, and Leu⁶⁹ exposed on one face. Ile61, located NH2-terminal to the amphipathic helix, packs against Leu⁶⁵ and Leu⁶⁹. The hydrophobic face of the α 2 tail forms favorable van der Waals contact with the hydrophobic patch between helices 1 and 2 of the a1 homeodomain, as well as with the salt bridge formed by Lys²³ and Glu³⁰. (Additional contacts, not shown, are formed with the aliphatic side chain of Arg²².) (B) Stereo view of the hydrogen-



bonding interactions between **a**1 and α 2. The main chain NH of Ala⁶² in α 2 donates a hydrogen bond to the O_e of Glu³⁰ in **a**1, and the second O_e accepts a hydrogen bond from the peptide NH of Asn²⁷ of **a**1. The side chain of Asn²⁷ in **a**1 forms a bridging contact, donating a hydrogen bond to the peptide N of Thr⁶⁰ in α 2 and capping helix 2 of **a**1 by accepting a hydrogen bond from the peptide N of Lys²⁹. The side chain of Lys²⁹ donates a hydrogen bond to the carbonyl of lle⁵⁹. COOH-terminal to the amphipathic helix in the α 2 tail, the carbonyl of Glu⁷² hydrogen bonds with the N² of Lys³⁷ in **a**1, while the amide N of Glu⁷² forms a hydrogen bond with the carbonyl O of Lys³⁷. (**C**) Depiction of the molecular surface of the **a**1 homeodomain, with a stick model of the COOH-terminal tail of α 2. The **a**1 surface is color-coded such that the most convex part of the surface is green, the most concave part is gray, and planar surfaces are white [the figure was made with the program GRASP (62)].

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The results of mutagenesis studies of the $\alpha 2$ protein verify the importance of the heterodimer contacts we observed. Point mutations that change a wild-type residue to alanine were introduced at various positions in the α^2 tail and assayed for their effect on the ability of the intact $\alpha 2$ and al proteins to repress transcription in yeast (Fig. 5). The strongest effect of alanine substitution was observed at Ile61, Leu65, Leu⁶⁸, and Leu⁶⁹, the four hydrophobic residues that mediate key interactions in the $a1/\alpha^2$ complex. Substitution of side chains in the $\alpha 2$ tail that are not involved in heterodimer contacts have a negligible effect on repression (Fig. 5). In prior studies substitution of Leu^{65} with Ser was found to disrupt the ability of $a1/\alpha 2$ to repress haploid-specific genes in vivo (27) and the affinity of intact $a1/\alpha 2$ for DNA in vitro was 200 times lower (28).

Protein-DNA interactions. The $a1/\alpha 2$ heterodimer forms an extensive set of contacts with a DNA binding site that spans 18 bp (Fig. 6A). Each homeodomain contacts both the bases and the sugar-phosphate backbone by means of a combination of direct side chain contacts and water-mediated hydrogen bonds. Common features of al and $\alpha 2$ binding include a conserved set of phosphate contacts and one base contact that serve to stabilize the homeodomain on its binding site. A key residue is Asn⁵¹, invariant among all homeodomains (2), which forms a bidentate contact with an adenine base (Fig. 6, A, B, and D) that has been observed in the engrailed, Oct-1, and α 2-DNA complexes (4, 6, 8). Flanking this base, a1 and α 2 contact four phosphates in the same way (Fig. 6A). These contacts are mediated by four residues that are identical in both proteins-Leu²⁶, Gln⁴⁴, Trp⁴⁸, and Arg⁵³—and by the main chain NH of residue 8. DNA sequence recognition presumably arises from contacts with the DNA bases that are mediated by side chains that differ between the two homeodomains.

The α 2 protein contacts a total of 7 bp in either the major or minor groove. Four base pairs in the major groove are contacted by three side chains in helix 3: Asn⁵¹, Arg⁵⁴, and Ser⁵⁰ (Fig. 6B). Of these, Ser⁵⁰, which contributes to DNA binding specificity differences among homeodomains (29-31), forms water-mediated hydrogen bonds with 2 bp, and Arg⁵⁴ donates hydrogen bonds to a base (Gua⁶) and to a side chain, Asn⁵¹, which contacts Ade³⁸. The Arg⁵⁴ contact accounts for the observation that Gua⁶ is the sole guanine in the $\alpha 2$ site that is protected from methylation by $a1/\alpha 2$ binding. In the minor groove, 5 bp are contacted by three residues in the NH₂terminal arm of $\alpha 2$ —Arg⁴, Gly⁵, and Arg⁷ (Fig. 6C). Two of the base pairs contacted in the minor groove are also contacted by

side chains in the major groove, thereby accounting for the high DNA sequence conservation at these positions (Fig. 2C). In addition to contacting 2 bp, Arg^7 hydrogen bonds to a water molecule that is part of the spine of hydration observed where the minor groove narrows. Further stabilization of the α 2 homeodomain on its binding site is provided by a set of hydrogen bonds, salt bridges, and van der Waals interactions with the sugar-phosphate backbone of the DNA that are diagrammed in Fig. 6A. Most of these arise from side chains in helix 3 that form contacts with the DNA backbone flanking helix 3.

The al homeodomain is positioned on the DNA in a manner similar to that of the α^2 protein, contacting bases in the major groove with five residues in helix 3—Val⁴⁷, Ile⁵⁰, Asn⁵¹, Met⁵⁴, and Arg⁵⁵ (Fig. 6D). With the exception of the invariant Asn⁵¹, the identities of DNA-contacting residues 47, 50, 54, and 55 differ from those in $\alpha 2$ and hence mediate different base contacts. The Ile⁵⁰ and Met⁵⁴ residues are in van der Waals contact with, respectively, Thy¹⁵ and Cyt¹⁷. Also, Arg⁵⁵ forms two hydrogen bonds with Gua²⁶, thereby accounting for the protection of this base from methylation when $a1/\alpha 2$ binds to DNA; Arg⁵⁵ donates an additional hydrogen bond to Thy¹⁹, which is base-paired with the adenine (Ade²⁶) that is contacted by the invariant Asn⁵¹. The Val⁴⁷ residue forms additional van der Waals contacts with Ade²⁶. Furthermore, 10 residues participate in contacts with the DNA backbone (Fig. 6A). The observed major groove contacts are consistent with the sequence conservation in the **a**1 subsite (Fig. 2C): All four invariant DNA positions are contacted in the major groove and two of the base pairs (Thy¹⁹·Ade²⁶ and Cyt²⁰·Gua²⁵) are contacted by more than one side chain.

In addition to direct side chain-DNA contacts, we see a network of five water molecules immobilized in the major groove at the interface between helix 3 and the DNA (Fig. 6D). These water molecules participate in hydrogen bond interactions with a total of 4 bp, and are stabilized by a hydrogen bond formed by one of the water molecules with the guanidinium of Arg⁴⁶, which also forms a salt bridge with a phosphate. Formation of this network of watermediated contacts requires the observed local DNA curvature. An extensively hydrated interface between helix 3 and the DNA has also been observed by solution NMR in the Antennapedia-DNA complex (32).

Unlike that of the $\alpha 2$ homeodomain, the NH₂-terminal arm of **a**1 is disordered in the crystal. This result was unanticipated, as our present structure of the $\alpha 2$ homeodomain and earlier structural studies of homeodomains (4–6, 8) show that the NH₂-

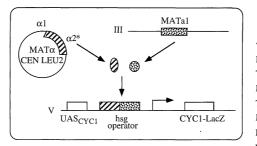


Fig. 5. Effects of point mutations in the COOHterminal tail of α 2 on **a**1/ α 2-mediated repression in vivo. Alanine was substituted for the wild-type residue at various positions in the COOH-terminal tail of α 2. Low copy (CEN) plasmids containing the MAT α locus with specific site-directed mutations in the MAT α 2 gene (α 2* in the figure) were trans-

	β-gal units	Prod	omone uction α-factor	Mating Behavior as a as α				
			the function		4500			
vector only	45.0	+++		+				
MAT a2	5.4	+		+				
T58A	6.5	+		+				
I59A	7.0	+		+				
T60A	6.3	+		+				
I61A	22.7	+	+++	+	+			
P63A	7.4	+		+				
E64A	7.8	+		+				
L65A	44.1	+	+++	+	+			
D67A	6.1	+		+				
L68A	19.5	+	+++	+	+			
L69A	32.4	+	+++	+	+			
E72A	5.9	+		+				

formed into a MATa strain and repression by $a1/\alpha^2$ was monitored: (i) by expression of a test promoter whose expression is controlled by a single hsg operator, (ii) by the ability of transformants to produce mating pheromones, and (iii) by the ability of the transformants to mate. The mutations in the COOHterminal tail of α^2 are shown in the first column on the left. The second column shows the expression of a CYC-LacZ reporter construct that contains an hsg operator. In a cell carrying a wild-type MATa2 gene on a plasmid, the β -galactosidase reporter gene is expressed at approximately one-ninth the level of a cell containing the plasmid vector only [this level of repression is not complete, probably because of plasmid loss—see (28)]. Four of the α 2 tail mutants fail to repress efficiently the test promoter, with Leu⁶⁵ \rightarrow Ala having the strongest effect. The third and fourth columns show the production of mating pheromones by the transformants. For all of the mutants, production of a factor is repressed as compared to the vector control, indicating that the a2 mutant proteins are synthesized in the cell and can still function with MCM1 to repress the a-specific genes (18). The fourth column indicates that the four mutants that show significant derepression of the test promoter fail to repress STE12, a haploid-specific gene required for α -factor production. The fifth and sixth columns show the mating behavior of the transformants. The phenotype of the four mutants that enable the yeast to mate as α cells are consistent with a defect in $a1/\alpha2$ -mediated repression.

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terminal arm of the homeodomain binds in the minor groove of the DNA. Chemical modification experiments that probe the protection of the DNA backbone from hydroxyl radical attack in the presence of the $a1/\alpha^2$ heterodimer are consistent with the binding of the NH₂-terminal arm of a1 in the minor groove (16). It is possible that the NH₂-terminal arm of a1 has been displaced from the minor groove in the $a1/\alpha^2$ -DNA crystal, where the NH₂-terminal arm of a1 would be expected to bind near the junction between two double-stranded synthetic oligonucleotides. The 5' phosphate

A

group that would be present at that junction in a continuous DNA strand could be of importance in stabilizing binding of the NH₂-terminal arm of al to DNA. If there are indeed further DNA contacts formed with bases in the minor groove, some may contribute further to the observed DNA sequence preference of the al protein.

Because of the extensive set of contacts formed by the al homeodomain with DNA, it is surprising that al does not bind DNA detectably on its own. There are several possible explanations for this phenomenon. One is that DNA bending is required for the protein-DNA contacts we observe. The energy to induce and stabilize this bend might be too great for al alone, but may be facilitated by the presence of $\alpha 2$ on the DNA and the interaction between the $\alpha 2$ tail and the al homeodomain. Another explanation may be that the binding of the tail of $\alpha 2$ to al induces a small conformational change in the al homeodomain that increases its overall DNA binding energy. Solution NMR studies of the al homeodomain have shown that, on heterodimerization with $\alpha 2$, the resonances in al that are most perturbed on complex formation cor-

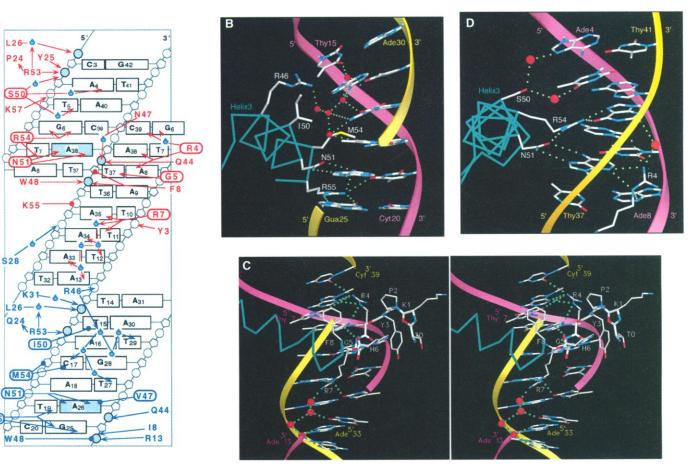


Fig. 6. Protein-DNA interactions. (A) Schematic diagram summarizing contacts formed by both **a**1 and α 2 with the DNA. The α 2-mediated contacts are shown in red: a1-mediated contacts are shown in blue. Residues encircled with ovals form base contacts. Hydrogen bonds and salt bridges are indicated by arrows; van der Waals interactions are indicated by lines that end in circles. Bond criteria are as follows: for hydrogen bonds, less than or equal to 3.3 Å separation of a donor-acceptor pair; van der Waals interactions, less than 4.0 Å distance between contacting groups. Residues involved in base contacts are encircled with ovals. The shaded phosphate groups and adenine bases are contacted in a conserved manner by both a1 and $\alpha 2$. The complex equilibrium constant for dissociation of the ternary complex into free a1, a2, and DNA is 10^{-15} M² for the **a**1 and α 2 fragments used in this study and 10^{-16} M² for the intact proteins (11, 20). (**B**) Contacts formed between helix 3 of $\alpha 2$ and bases in the major groove. Asn⁵¹ contacts Ade³⁸, with the O_δ of the side chain accepting a hydrogen bond from the N6 of the base and whose N δ is in contact with the N7 (dist. = 3.4 Å). Arg⁵⁴ hydrogen bonds to the N7 and O6 of Gua⁶ with its NH1, while its NH2 group forms a bridging hydrogen bond to the Oδ of Asn⁵¹. Ser⁵⁰ forms water-mediated hydrogen bonds with the N7 of Ade⁴ and the O4 of Thy⁵. (C) Stereo view of contacts between the NH₂terminal arm of $\alpha 2$ and bases in the minor groove of the DNA. A total of five

bases are contacted by three side chains in the NH₂-terminal arm of α 2. Arg⁴ contacts 2 bp directly and a third via water-mediated hydrogen bonds. The Ne of Arg⁴ is within hydrogen bonding distance of the N3 of Ade⁸ and the N3 of Ade³⁸, whereas the NH₂ contacts the Gua⁶·Cyt³⁹ base pair via water-mediated hydrogen bonds. The peptide NH of Gly⁵ donates a hydrogen bond to the O2 of Thy³⁷, while the guanidinium group of Arg⁷ donates hydrogen bonds to the N3 of Ade³⁵ and the O2 of Thy¹¹. In addition, the NH₂ of Arg⁷ helps to stabilize the spine of hydration in the minor groove. (D) Contacts between the ${\bf a1}$ homeodomain and bases in the major groove. ${\rm lle^{50}}$ is in van der Waals contact with the methyl of Thy¹⁵, while Asn⁵¹ forms two hydrogen bonds with Ade²⁶, whose O_δ accepts a hydrogen bond from the N₆ of the base and whose N δ donates a hydrogen bond to the N7. The C γ of Met⁵⁴ is in van der Waals contact with the C6 of the Cyt17 pyrimidine, while the Ce is in van der Waals contact with the adjoining subdeoxyribose. The Arg⁵⁵ side chain forms contacts with two base pairs, its NH1 donating a hydrogen bond to the N7 of Gua²⁵ and its NH2 donating hydrogen bonds to the O6 of Gua²⁵ and the O4 of Thy¹⁹. In addition, there is a network of five bound water molecules in the major groove that hydrogen bond to the guanidinium of Arg⁴⁶, as well as 3 bp. Not shown in this depiction is Val⁴⁷, whose C_Y is in van der Waals contact with the C8 of Ade²⁶

respond to residues that lie in portions of helices 1 and 2 and in the loop connecting them (33). A likely set of contacts that could be affected by a conformational change in the loop are those mediated by the invariant homeodomain residue Arg⁵ which participates in an extensive set of contacts with the sugar-phosphate backbone, a bound water molecule, and the backbone of a1 in the loop between helices 1 and 2 (Fig. 6A) (34). Very small changes in the loop conformation could easily disrupt this network of contacts, thereby diminishing the affinity of a1 for DNA in the absence of $\alpha 2$. Since Arg⁵³ is invariant among all homeodomains and mediates a conserved set of contacts in a1, $\alpha 2$, and other homeodomains, it is likely to be crucial for formation of favorable interactions between a1 and its DNA binding site.

In previous studies, the homeodomain was found to be positioned on the DNA in a highly conserved manner by DNA contacts that are mediated by conserved residues (4-6, 8). A pertinent question is whether the orientation of the homeodomain on the DNA and the contacts it forms are perturbed as a result of heterodimerization. We find that, despite the additional protein-protein interactions and the concomitant distortion in the DNA, the $\alpha 2$ homeodomain in the heterodimer binds DNA in a manner essentially identical to that found in the structure of $\alpha 2$ alone bound to DNA (Fig. 7). This similarity extends to the side chain contacts formed by $\alpha 2$ with its binding site and the local structure of the DNA. The few differences between the two structures are most likely the result of differences in the resolution of the structure determinations (35). Because of the similarity in the way $\alpha 2$ is positioned on the DNA in the presence and absence of al, it is very surprising that a mutant $\alpha 2$ protein with Ala substituted for Ser⁵⁰, Asn⁵¹, and Arg⁵³ exhibits no significant difference in the affinity of the $a1/\alpha^2$ heterodimer for DNA while greatly reducing the affinity of $\alpha 2$ alone for DNA (28). It is possible, however, that this triple mutation reduces the DNA sequence discrimination of the $a1/\alpha^2$ heterodimer without impairing its affinity for the DNA.

The structure of the $a1/\alpha 2$ -DNA complex shows how the binding specificity of a homeodomain can be raised by complex formation with a second protein. The heterodimerization of the $\alpha 2$ and a1 homeodomains, each with only modest affinity and specificity for DNA, results in a heterodimer that binds DNA in a highly specific manner, preferring its own binding site over random DNA by a ratio of at least 10⁵ (11). The specificity of this interaction derives from at least three sources: the specificity of the interactions between the

COOH-terminal tail of $\alpha 2$ and the a1 homeodomain, summation of the DNA sequence preferences of the individual proteins, and the precise binding site spacing imposed by the nature of the heterodimer interface. It is clear from the $a1/\alpha 2$ -DNA structure that insertion or deletion of base pairs between the a1 and $\alpha 2$ binding sites would disrupt heterodimer contacts, and this observation is supported by studies of $a1/\alpha 2$ binding to altered DNA sites (16, 35a). A final contributing factor to ternary complex stability may be the relative deformability of a given sequence of DNA in that DNA bending is required for formation of the complex that we observe. Thus the sequence at even noncontacted bases may contribute to overall complex stability. The net result of all these considerations is a larger set of criteria that must be met for a stable $a1/\alpha 2$ -DNA complex to form, and hence a much higher specificity of binding than is observed for the monomeric $\alpha 2$ or al proteins.

Multi-protein complex formation in transcription. The work presented above shows how the interaction between two

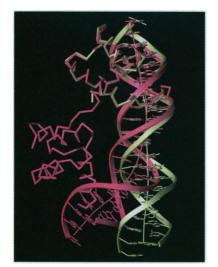


Fig. 7. Interaction of $\alpha 2$ with DNA in the $a1/\alpha 2$ -DNA ternary complex as compared with the structure of a2 alone bound to DNA. The two structures were aligned by performing a least-squares superposition of the $\alpha 2$ homeodomain in the $\boldsymbol{a}1/$ α 2–DNA complex with one of the α 2 homeodomains in the structure of a 2 alone bound to DNA (6). Since the latter structure contains two α2 homeodomain monomers bound to a 21-bp DNA fragment, the homeodomain chosen for the alignment is the one bound to the identical 9-bp seguence to which $\alpha 2$ is bound in the ternary complex. The second a2 homeodomain contained in the α 2-DNA structure, which does not contact the other α 2 monomer, has been omitted for clarity. The conformation of the $\alpha 2$ homeodomains, the local structure of the DNA, and the protein-DNA contacts are nearly identical in the two complexes. There are pronounced differences outside the $\alpha 2$ binding sites due to the overall 60° bend in the DNA induced by the $a1/\alpha^2$ heterodimer.

homeodomain proteins can be mediated by a flexible tail that becomes ordered on complex formation. There are now numerous examples of cooperative interactions involving homeodomain proteins, some of which might be mediated by the same types of interactions observed in the $a1/\alpha^2$ heterodimer. The Caenorhabditis elegans homeodomain protein MEC-3 heterodimerizes with the UNC-86 POU domain protein, which also contains a homeodomain (36). This interaction is reminiscent of a1/ α 2 heterodimer formation in that it is dependent upon the 16 amino acids COOHterminal to the MEC-3 homeodomain. Cooperative interactions have been observed between the Drosophila extradenticle (exd) homeodomain protein and the Ultrabithorax (Ubx), engrailed, and abdominal-A homeodomain proteins (37-38). These interactions require either NH₂- or COOH-terminal extensions to the homeodomain, one as short as 15 residues (37). Pbx1, a human homeodomain protein closely related to exd (39), similarly has been observed to bind DNA cooperatively with several Hox homeodomain proteins (40). The Pbx-Hox interactions are dependent on a short NH₂terminal peptide in the Hox proteins and a COOH-terminal tail in Pbx. The homeodomain most closely related to both Pbx and exd is a1, with which they share 40 percent sequence identity (41); in contrast, a1 and α 2 share only 21 percent sequence identity. The sequence similarity between a1, Pbx, and exd may imply the existence of a common mechanism used by these proteins to recognize their homeodomain partners.

The asymmetric nature of $a1/\alpha^2$ heterodimer formation raises the possibility that a similar type of interaction could occur between a homeodomain protein and a nonhomeodomain protein containing a peptide similar to the tail of $\alpha 2$. An example of this may be the mammalian Oct-1 POU homeodomain protein, which binds DNA cooperatively with the herpes virus VP16 transcriptional activator (42). Point mutations in Oct-1 that disrupt the Oct-1-VP16 complex are located in helices 1 and 2 of the Oct-1 homeodomain. As shown above and noted in the NMR study of $a1/\alpha^2$ (33), the location of the Oct-1 mutations is analogous to the region on the al homeodomain that is contacted by the tail of $\alpha 2$ (43, 44). If Oct-1 is acting as the analog of a1, what part of VP16 may play a role similar to the COOH-terminal tail of α 2? The results of deletion and mutagenesis studies have identified a 12-residue region of VP16 responsible for interaction with the Oct-1 homeodomain (45, 46). This region (residues 376 to 387), which has been proposed to form an amphipathic helix, contains sequence similarity to the helix in the α 2 tail that contacts a1 (Fig. 2B). Modelbuilding studies show that this peptide from VP16, when folded in the conformation of the $\alpha 2$ tail, could pack between helices 1 and 2 of Oct-1 in a manner similar to that observed in the $a1/\alpha 2$ heterodimer.

It is likely that there are transcription factors from other structural classes that are also bound in protein-protein complexes by a flexible peptide that adopts a distinctive conformation only upon complex formation. There are strong parallels between the manner in which $\alpha 2$ interacts with a1 and the way in which it forms a complex with the MADS box protein (47), MCM1 (48, 49). A short region NH₂-terminal to the $\alpha 2$ homeodomain that is unstructured in the free protein is required for cooperative binding with MCM1 (50). This NH₂-terminal peptide specifies complex formation with MCM1, as splicing it to the engrailed homeodomain confers on engrailed the ability to bind DNA cooperatively with MCM1 (50). Thus $\alpha 2$ has evolved as a DNA binding protein capable of interaction with two structurally distinct partners by acquiring peptide extensions both NH₂and COOH-terminal to its DNA binding domain that specify complex formation with different partners. The presence of flexible protein-recognition peptides that extend from stably folded DNA binding domains may prove to be a general feature of the architecture of other classes of eukaryotic transcriptional regulators.

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 C, Cys; D, Asp; E, Glu; F, Phe; G, Gly; H, His; I, Ile; K, Lys; L, Leu; M, Met; N, Asn; P, Pro; Q, Gln; R, Arg; S, Ser; T, Thr; V, Val; W, Trp; and Y, Tyr.
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