

recent demonstration that two *rpoD* mutations (Glu<sup>575</sup> to Lys and Asp<sup>570</sup> to Gly) prevent activation of several *E. coli* operons by wild-type PhoB (17). However, those *rpoD* mutations can also affect promoter recognition directly, because they enhance recognition of the wild-type *lac* promoter in the absence of CAP-cAMP (18). The Glu<sup>575</sup> to Lys mutant also raises the activities of several mutants in the *lac* promoter ( $\pm$ CAP-cAMP) and P22 *ant* promoter (which has no activator) (8). In contrast, the Arg<sup>596</sup> to His mutant has no effect on the activities of 20 *P*<sub>lac</sub> alleles ( $\pm$ CAP-cAMP) and 18 *P*<sub>ant</sub> alleles (8). Thus, all known properties of  $\sigma^{70}$ -RH596 indicate that it does not affect promoter recognition directly but affects positive control by  $\lambda$  cI and AraC. It is likely that further evidence for the role of  $\sigma^{70}$  in positive control will be forthcoming (19).

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- The phage P22-3957 carries *P*<sub>RM</sub>-*kan1*, a substitution replacing DNA between a Taq I site near gene 9 and a Sau 3AI site in *sieA* with a fusion of  $\lambda$  *P*<sub>RM</sub> to the *kan* gene from pUC71K. From 5' to 3' (right to left in Fig. 3), one strand of *P*<sub>RM</sub>-*kan1* contains a 26-nucleotide (nt) Cla I-Eco RI segment of pBR322 (nts 23 to 4359, with the Cla I site joined to the compatible Taq I site of P22); wild-type  $\lambda$  *P*<sub>RM</sub> and *O*<sub>R</sub> (nts 38,150 to 38,041); and *kan* DNA from the start codon to a downstream Bam HI site, with the Bam HI site joined to the compatible Sau 3AI site of P22. P22-3957 also carries  $\Delta$ MM, an Mlu I-Mam I deletion.
- The substitution *P*<sub>lac</sub>-*cIA* replaces DNA between a point in *sieA* and an Eco RI site near *erf* with a fusion of the *E. coli lacZ* promoter and ribosome binding site to  $\lambda$  cI. The promoter lacks part of the CAP-cAMP binding site and part of the operator. From 5' to 3' (left to right in Fig. 3), one strand of *P*<sub>lac</sub>-*cIA* contains *bla* of pBR322 (nts 3146 to 2); *P*<sub>lac</sub> (nts -59 to -7 fused to nts +15 to +36);  $\lambda$  cI (nts 37,943 to 36,967); nts 27 to 369 and 652 to 2066 of pBR322; CTAGAG; and Hind III-Eco RI *att-int* segment of  $\lambda$  (nts 27,480 to 31,752), with the Eco RI site in  $\lambda$  *exo* joined to the Eco RI site near P22 *erf*. Phages P22-3997 through -4000 carry *pc*<sup>+</sup>, *pc1*, *pc2*, and *pc3* versions of  $\lambda$  cI, respectively. They also carry four *cl* mutations that do not change the amino acid sequence but create restriction sites.
- The *rpoD* plasmid pMS1297 (Tet<sup>R</sup>, 5.0 kb) (Fig. 2) contains CTCTAGAG; Mun I (filled in)-Stu I fragment of P22 *sieA*; CTCTAGAGAATT; *P*<sub>lac</sub>UV5, -59 to +36; CCCATGGG; Hpa I-Apa I (filled in) fragment containing wild-type *E. coli rpoD*, followed by a G residue; and nts 4359 to 1429, 2297 to 3232, and 3428 to 3540 of pBR322. The *rpoA* plasmid pMS1250 (Tet<sup>R</sup>, 4.3 kb) is identical, except it has wild-type *E. coli rpoA* (Hpa I-Apa I, made blunt-ended by T4 DNA polymerase, followed by a C residue) instead of *rpoD*. In both plasmids, the 208-bp *sieA* segment is dispensable, contains a unique Ssp I site, and is flanked by Xba I sites. For PCR mutagenesis, we linearized plasmid DNA with Ssp I and amplified by 30 cycles of standard PCR using Taq DNA polymerase and *sieA*-derived primers (Fig. 2). Products were cleaved with Xba I, producing sticky-ended plasmid DNA, which was circularized with T4 DNA ligase, precipitated with ethanol, dissolved in water, and electroporated into *Salmonella typhimurium* JR501 [S. P. Tsai *et al.*, *J. Gen. Microbiol.* **135**, 2561 (1989)]. Cells carrying pMS1297 were always propagated at  $\leq 30^\circ\text{C}$ . Electroporated cells ( $\sim 40 \mu\text{l}$ ) were diluted with 0.9 ml of SOC medium (Bio-Rad), incubated for 2 hours (at which time cultures contained  $\geq 10^4$  Tet<sup>R</sup> transformants), diluted with 5 ml of LB broth + tetracycline (50  $\mu\text{g/ml}$ ), and incubated overnight. Plasmid DNA was extracted and electroporated into MS4020, which is JR501 carrying P22-3957 (*P*<sub>RM</sub>-*kan1*) (11) and P22-3999 (*P*<sub>lac</sub>-*cIA*-*pc2*) (12). Outgrowth was repeated as above. Overnight cultures were plated on LB broth + tetracycline (20  $\mu\text{g/ml}$ ) + kanamycin (6  $\mu\text{g/ml}$ ) plates. About 0.05% of Tet<sup>R</sup> transformants grew on this concentration of kanamycin, but most did not have a mutant plasmid. For each of eight PCR reactions,  $\sim 500$  Kan<sup>R</sup> colonies were pooled. Plasmid DNA extracted from each pool was electroporated into MS4020, and outgrowth and plating were repeated. If mutant plasmids were present, the Kan<sup>R</sup> frequency was now at least 100-fold that in the previous step. One plasmid from each pool was analyzed.
- The phage P22-4229 carries *P*<sub>RM</sub>-*lacZ*, a substitution replacing DNA between a Hpa I site in gene 9 and a Sau 3AI site in *sieA* with a fusion of  $\lambda$  *P*<sub>RM</sub> to *E. coli lacZ*. We derived *P*<sub>RM</sub>-*lacZ* from *P*<sub>RM</sub>-*kan1* by replacing an Xho I-Nru I *kan* fragment with *lac* sequences. From 5' to 3' (right to left in Fig. 3), one strand of *P*<sub>RM</sub>-*lacZ* contains GTT of the Hpa I site joined to GACTCTAGAG; wild-type  $\lambda$  *P*<sub>RM</sub> and *O*<sub>R</sub> (nts 38,107 to 37,941); nts 1 to 35 of *kan* coding sequence, followed by TTAA, which creates a stop codon for *kan*; wild-type *lacZ* and *lacY* (nts 1268 to 6274 of *lac*), except the Eco RI site in *lacZ* is mutated and *lacZ* codons 7 to 9 are replaced with CCC; AATT; and Nru I-Bam HI fragment carrying the 3' end of *kan*, with the Bam HI site joined to the compatible Sau 3AI site in *sieA*. P22-4229 also carries  $\Delta$ AB, an Acc I-Bbs I deletion.
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- Supported by NIH grant GM36811 to M.M.S.

16 July 1993; accepted 9 November 1993

## Catalytic Activity of an RNA Domain Derived from the U6-U4 RNA Complex

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U6 RNA contains two regions that are essential for proper splicing of nuclear precursor messenger RNA (pre-mRNA). A comparison of putative secondary structures of the U6-U4 RNA complexes from different phyla revealed a conserved domain that is similar to the catalytic hammerhead RNA motif. Although no catalytic activity was detected in the mammalian U6-U4 RNA complexes, two nucleotide changes in U6 RNA and one in U4 RNA conferred cleavage activity to the complex. Furthermore, the highly conserved domain of the wild-type complex, without the accompanying flanking regions, cleaved an RNA substrate and exhibited other characteristics of the hammerhead ribozyme. The possible involvement of this structure in pre-mRNA splicing is also discussed.

The splicing of nuclear pre-mRNA is a complex process involving both proteins and small nuclear RNAs (snRNAs) in a multimolecular structure called the spliceosome. The requirement for RNAs with

highly conserved sequences, higher order structures, or both, has led to speculation that pre-mRNA splicing may have originated from, or may still rely on, catalytic properties of RNA. The lariat intermediates in pre-mRNA splicing and in the self-splicing group II introns have fostered these notions because if these intermediates denote a common evolutionary origin, then pre-mRNA splicing should be based on RNA chemistry despite the requirement for protein factors (1, 2).

To clarify this possible relation, atten-

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tion has focused on the five snRNAs (U1, U2, U4, U5, and U6) required for pre-mRNA splicing. Because the size and sequence of U6 RNA (~105 nucleotides) are well conserved among organisms as diverse as yeast and humans, its putative role in catalysis has been of interest (3). Researchers have reported that U6 RNA of yeast is similar to the catalytic center of the hairpin ribozyme found in the negative strand of the satellite RNA of tobacco ring virus (4). Mutational analyses suggest that U6 snRNA is base-paired to U4, U2, or both. In the case of U2, it forms a structure that has been likened to parts of group II introns (5) and to the guanosine-binding site of group I introns (6). Because base pairing between U6 and U4 RNAs is required for assembly of the U6-U4 snRNA protein complex into the spliceosome (7), one model suggests that in the chronology of splicing a U6-U4 complex may be replaced by a U6-U2 complex before the chemical events of splicing occur (5, 8).

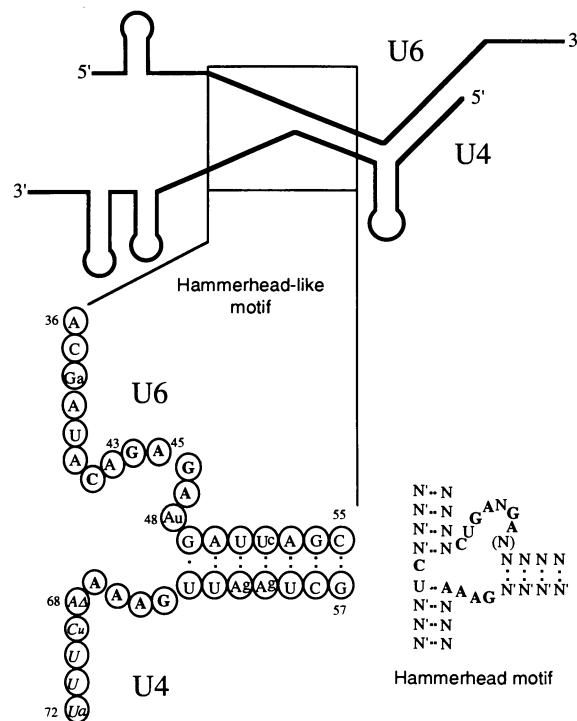
From a compilation of known sequences of U6 and U4 RNAs and a putative structure of the U6-U4 complex (9), we identified a consensus secondary structure of the complex (Fig. 1) that is remarkably similar to the hammerhead ribozyme motif (10). The stem formed between nucleotides 49 through 55 of U6 and nucleotides 57 through 63 of U4 corresponds to stem II of the hammerhead. Also, the single-stranded regions (C<sup>42</sup>AGAGAA<sup>48</sup> in U6 and G<sup>64</sup>AAA<sup>67</sup> in U4) fit the conserved nucleotides in positions 3 through 9 and 12 through 15 of the hammerhead ribozyme (10, 11). This hammerhead-like domain includes sequence CAGAG, which is essential for *in vitro* splicing in yeast (3); nucleotides A<sup>45</sup> and G<sup>46</sup>, which are critical in pre-mRNA splicing, correspond to nucleotides essential for activity in the hammerhead ribozyme. Thus, U6-U4 could form a hammerhead-like catalytic center if stems I and III of the hammerhead were established by base pairing between the appropriate regions of U6 and U4 with a third RNA segment. Furthermore, most small introns found in yeast U6 RNA genes are located in this region (4). These structural similarities raise the possibility that U6-U4 may form a catalytically active hammerhead-like motif.

To test whether the hammerhead-like motif in the U6-U4 RNA complex is capable of catalytic activity, we prepared human U6 and U4 RNAs and variants thereof by T7 RNA runoff transcription (12). To improve similarity to the hammerhead domain, we made a U6 RNA variant with a U (referred to here as U<sup>45.1</sup>) inserted before position 46 and replaced

A<sup>43</sup> with U. In U4 RNA, A<sup>65</sup> was deleted (Fig. 1). A 21-nucleotide RNA substrate, UUGGGAAAAGUC↓UAUCGUUCC (↓ indicates the potential cleavage site), partially complementary to U6 and U4 RNAs, was prepared by chemical synthesis (13).

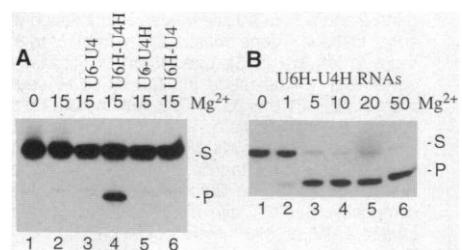
Experiments were performed by the incubation of the radioactive 5'-labeled substrate with an excess of U6 or U4 (individually or in combination) in the presence of 20 mM magnesium chloride at neutral pH. When both snRNAs were used, we first annealed equal molar quantities by heating them to 75°C and then by

slowly cooling them to room temperature before the addition of substrate. The substrate was efficiently cleaved only by the complex formed from the mutant U6 (with two mutations) and the mutant U4 (one mutation) (Fig. 2A). The radioactively labeled product, a 12-nucleotide fragment, was that expected of a hammerhead-type cleavage. Also, the 3' fragment produced in the reaction could be 5'-labeled by [ $\gamma$ -<sup>32</sup>P]adenosine triphosphate (ATP) with T4 polynucleotide kinase, indicating that it contains a free 5'-hydroxyl and that cleavage results in the retention of the phosphate group by the



**Fig. 1.** Simplified secondary structure model of the human and yeast U6-U4 snRNA complex and the conserved hammerhead-like motif formed between them. The cutout shows the conserved nucleotide residues 36 through 55 of U6 and 57 through 72 of U4 represented by uppercase letters in circles. Lowercase letters show sequence variants in yeast U6-U4 RNAs. Delta (triangle) indicates a deletion of A found in yeast U4 RNA. The pattern of the hammerhead ribozyme is shown for comparison. N-N' is the Watson-Crick base pair, and (N) is an optional nucleotide.

**Fig. 2.** Catalytic activity of U6 and U4 RNAs. We prepared wild-type genes of human U6 and U4 RNAs by polymerase chain reaction (PCR) amplification of plasmids containing genomic U6 or U4 DNA in order to remove the flanking sequences and to introduce Hind III and Eco RI restriction sites upstream and downstream of the U6 or U4 genes (27). We made the mutated U6 and U4 RNAs, represented as U6H and U4H, respectively, by synthesizing two overlapping oligonucleotides that were filled in with the use of *Vent* DNA polymerase (New England Biolabs) so that U6H contains an A<sup>43</sup> to U mutation and a U insertion after 45; U4H has a deleted A<sup>68</sup>. The PCR products, cleaved with Hind III and Eco RI, were cloned into the same sites of plasmid pGEM4 (Promega) and sequenced. U6 and U4 RNAs were produced by transcription of Eco RI-linearized plasmids with T7 RNA polymerase. Nucleotides GGAGACAAGUU and GAAUU from vector pGEM4 were added to the 5' and 3' terminus, respectively, to both the U6 and U4 RNA transcripts. (A) Reactions were run by incubation of ~1 nM of substrate UUGGGAAAAGUC↓UAUCGUUCC with 500 nM of U6, U4, mutant U6, or mutant U4 RNA (as indicated) for 10 hours at 37°C in 50 mM tris-HCl (pH 7.4). The millimolar concentration of magnesium chloride is indicated at the top of each lane. (B) The reactions were done as in (A) with varying millimolar concentrations of magnesium chloride indicated at the top of each lane. The intact substrate and the cleavage product are indicated as S and P, respectively.



upstream 5' RNA fragment (14). The yield of cleavage product increased with the concentration of  $Mg^{2+}$  (Fig. 2B). The  $Mg^{2+}$  concentration that produces the half-maximum cleavage at 10 hours is approximately 5 mM, a value consistent with the  $K_{Mg}$  (dissociation constant) found for the hammerhead ribozyme (11, 15). The fact that a variant complex of U6-U4 with only three mutations different from the wild-type sequence has catalytic activity suggests that the wild-type complex shares at least some of the conformational properties of the hammerhead catalytic core, including stem II of the hammerhead and possibly a  $Mg^{2+}$ -binding motif (15, 16).

Because the long, flanking regions adjacent to the hammerhead-like motif of U6-U4 RNA could affect folding of and accessibility to the potential catalytic center, we tested a shortened version of the U6-U4 RNA complex (Fig. 3A). The core region of the synthetic hammerhead-like structure was constructed with nucleotides 36 through 53 of the wild-type U6 RNA and nucleotides 59 through 67 of the wild-type U4 RNA, nucleotides that are well conserved both in mammals and yeast. We copied the remaining nucleotides, 68 through 72, from the equivalent sequences of a family of U4 RNA pseudogenes in order to stabilize stem I (17). We fused the two fragments by a GUGA tetranucleotide loop (11) to facilitate the formation of the hammerhead structure (Fig. 3A). The substrate (UCCUGUC  $\downarrow$  UAUCGU), which can base pair to

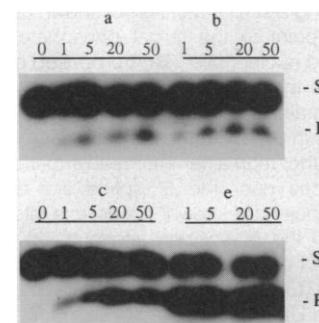
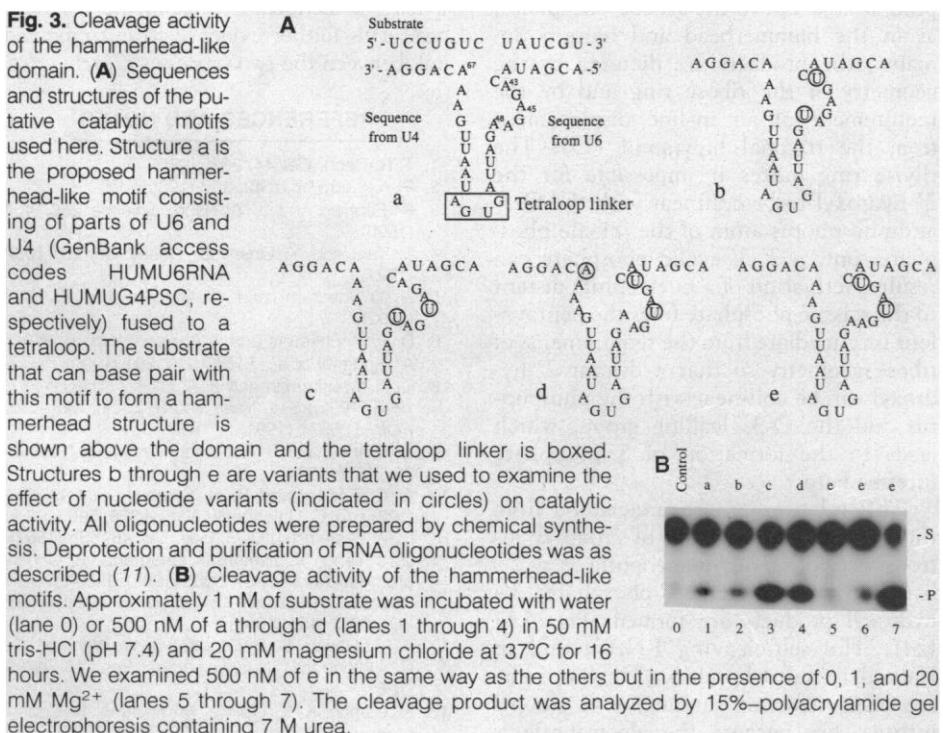
both U6 and U4 RNAs, was also made. This U6-U4 fusion product cleaves the 5'-labeled substrate in the presence of  $Mg^{2+}$  at neutral pH and 37°C (Fig. 3B, lane 1). This observation leads to the suggestion that the U6-U4 RNA complex has the capacity to form a catalytic core similar to the hammerhead although the sequence differences between this complex and the consensus hammerhead may have a negative effect on catalytic efficiency.

We made variants of the hammerhead-like domain (Fig. 3A, a through e) to determine which, if any, of the positions that differ from the hammerhead structure could restore higher activity. The replacement of A<sup>43</sup> and A<sup>48</sup> by U (structure b) had little effect on cleavage activity (Fig. 3B). However, insertion of U before nucleotide 46 (structure c) increased activity (Fig. 3B). Therefore, even though this position shows no nucleotide preference in the hammerhead domain (10, 18), the absence of this position resulted in a loss of activity. Also, the identity of the nucleotide at position 48 of U6 RNA, U in yeast but A in the human genome, did not affect cleavage significantly (Fig. 3B), as can be seen from a comparison of lanes 1 and 2 (low activity with A and U) with lanes 3 and 4 (high activity with A and U). In the case of the U4 RNA domain, the presence of an additional adenosine after A<sup>67</sup>, a variant found in some human but not in yeast U4 RNA (3, 9, 17), affected cleavage but not in a significant way (Fig. 3B, lane 3 and 4). Therefore, sequence differences found in natural iso-

lates of U6 or U4 RNAs affect but do not abolish the activity of the hammerhead-like catalytic domain described here.

Just as hammerhead activity is dependent on the concentration of  $Mg^{2+}$  (10), so are cleavages both by the hammerhead-like domain and by the mutated native U6-U4 RNAs. Both reach approximately half of their cleavage rate at a  $Mg^{2+}$  concentration between 1 and 5 mM (Figs. 2B and 4), which is approximately the optimal  $Mg^{2+}$  concentration for splicing. Because the short version of the hammerhead-like domain and the mutated U6-U4 have nucleotides identical to those found at positions 5, 8, and 9 of the hammerhead ribozyme, where 2'-hydroxyls affect the  $Mg^{2+}$ -binding constant (15), it is possible that this hammerhead-like structure encompasses elements of a  $Mg^{2+}$ -binding cavity.

Although we have shown that the U6-U4 complex has a low amount of catalytic activity, it is not known whether this activity relates to pre-mRNA splicing. It is unlikely that this structure is involved directly in pre-mRNA catalysis because U4 leaves the spliceosome before the first catalytic step (8). On the other hand, divalent metal ions are essential for RNA-based catalysis, and they are believed to be involved in the chemical step of catalysis (19). It is possible that the hammerhead-like domain of the U6-U4 RNA complex plays a role in pre-mRNA splicing by serving as a  $Mg^{2+}$ -binding site. Similarity of the secondary structures of the U6-U4 and U6-U2 RNA complexes (Fig. 5A) (5) suggests that this putative  $Mg^{2+}$ -binding motif in U6-U4 RNA could be present in the U6-U2 complex as well (5). A similar motif can be observed not only in the hammerhead ribozyme, U6-U4 RNA, and U6-U2 RNA, but also in the hairpin ribozyme (20) and in domain V and VI of group II introns (21) (Fig. 5A). Further-



**Fig. 4.**  $Mg^{2+}$  dependence of cleavage. Cleavage was performed under conditions as described. Hammerhead-like domains a, b, c, and e were examined with  $Mg^{2+}$  concentrations of 0, 1, 5, 20, 50 mM as indicated at the top of each lane.



14. The cleavage of the 21-nucleotide oligomer by the hammerhead ribozyme produces a 12-nucleotide fragment with a 2',3'-cyclic phosphate and a 9-nucleotide fragment with a free 5'-hydroxyl that can be labeled by T4 polynucleotide kinase and [ $\gamma$ - $^{32}$ P]ATP. The experiment was done as follows: After the 5'-labeled substrate was cleaved by the U6-U4 RNA complex as described, it was desalted, lyophilized, and relabeled with T4 kinase (11). The cleavage products were analyzed on a 20%-polyacrylamide denatured gel.
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28. Supported in part by NIH grant R01 AR36865-08 (B.N.-G.). J.-H.Y. holds a fellowship from the Medical Research Council of Canada. R.C. is Fellow of the Evolutionary Biology Program of the Canadian Institute for Advanced Research.

22 June 1993; accepted 22 October 1993

## Mitotic Repression of RNA Polymerase III Transcription in Vitro Mediated by Phosphorylation of a TFIIB Component

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Interphase cytosol extracts prepared from *Xenopus laevis* eggs are active in RNA polymerase III (Pol III) transcription. Addition of recombinant B1 cyclin to these extracts activates mitotic protein kinases that repress transcription. Affinity-purified p34<sup>cdc2</sup>-cyclin B kinase (mitosis-promoting factor) is sufficient to effect this repression in a simplified Pol III transcription system. This mitotic repression involves the direct phosphorylation of a component of the Pol III transcription initiation factor TFIIB, which consists of the TATA box-binding protein (TBP) and associated Pol III-specific factors. The transcriptional activity of the TFIIB-TBP fraction can be modulated in vitro by phosphorylation with mitotic kinases and by dephosphorylation with immobilized alkaline phosphatase.

Nuclear RNA transcription becomes repressed when eukaryotic cells enter mitosis (1). Studies have observed both general mitotic repression of transcription and mitotic repression of specific genes transcribed by RNA polymerase II or III (2, 3). Mitotic repression of Pol III transcription can be reproduced in vitro (4) with the use of *Xenopus* egg extracts that can easily be shifted from interphase to mitosis (5-7). Interphase egg extracts are active in the transcription of Pol III-transcribed genes

(4, 8), including genes encoding 5S RNA and tRNAs. In contrast, mitotic extracts, generated by the conversion of interphase cytosol to mitosis by means of purified recombinant B1 cyclin (4, 6, 7, 9, 10), are repressed in transcription (4). Mitotic repression in vitro does not require mitotic chromosome condensation, nucleosome assembly, or the binding of a general repressor protein (4). Instead, mitotic repression occurs even in a simplified Pol III transcription system when a mitotic kinase fraction of an egg extract is added (4). Action of one or more mitotic kinases is essential for inhibition because the kinase inhibitor 6-dimethylaminopurine (DMAP) blocks inhibition (4). Thus, mitotic repression of transcription in vitro involves the direct phosphorylation of the transcriptional machinery.

To elucidate the kinase or kinases that

mediate mitotic repression in the *Xenopus* Pol III system, we used partially purified Pol III transcription factors, Pol III (11), and *Xenopus* mitotic kinases isolated by p13-agarose affinity chromatography (4, 12). The yeast p13suc1 gene product binds the mitotic cdc2-cyclin B kinase (mitosis-promoting factor, MPF) and related kinases (13). When a mixture of transcription factors (TFIIIA, TFIIB, TFIIC, and Pol III) was incubated with mitotic kinases bound to p13-agarose beads and the beads were subsequently removed by centrifugation, the transcription of 5S DNA was repressed (Fig. 1A, lane 1). Similar repression of *Xenopus* tRNA<sup>Met1</sup> and tRNA<sup>Tyr</sup> transcription was observed (14). This inhibition could be prevented by including the kinase inhibitor DMAP in the reaction (lane 4) or by substituting adenosine triphosphate (ATP) with the nonhydrolyzable analog adenylyl-imidodiphosphate (AMP-PNP) (lane 3). In contrast, p13-agarose bound with interphase egg extract proteins had no effect on transcription (lane 2). Thus, immobilized cdc2-cyclin kinase and related mitotic kinases directly repress transcription.

Purified cdc2-cyclin B kinase alone caused mitotic repression in the reconstituted transcription system. The cdc2 kinase was purified from a cyclin-activated mitotic extract by glutathione-Sepharose chromatography with the glutathione-S-transferase tag present on the recombinant cyclin B1 protein (4, 6, 9, 10, 12); the immobilized cdc2-cyclin B kinase inhibited 5S gene transcription (Fig. 2B, lane M).

To identify the target of the mitotic kinase, we performed a rescue experiment. A mixture of the transcription factors was treated with either interphase or mitotic p13-agarose in the presence of ATP, and the beads were removed after incubation. Factors treated with mitotic beads did not support transcription of the 5S RNA gene (Fig. 1B, lane 5). Each of the chromatographic fractions needed for Pol III transcription (and not exposed to the p13-bound kinase) was added back to separate reactions. DMAP was included to ensure that any secondary kinase activity present in the original factor mixture would not phosphorylate the added untreated factor or factors. Addition of the phosphocellulose fraction PC-B, which contains TFIIB and Pol III, fully restored transcription of the 5S RNA gene (Fig. 1B, lane 7). Neither TFIIIA (lane 6) nor TFIIC (lane 8) gave significant rescue of transcription (15). The same effect was observed with the tRNA<sup>TyrD</sup> gene template (14). These results suggest that the target of the mitotic kinase is a component of the PC-B fraction and that the relevant factor or factors support transcription in the nonphosphorylated forms and are inactive in the phosphorylated forms.

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