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# Inhibition of DNA Binding Proteins by Oligonucleotide-Directed Triple Helix Formation

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**Oligonucleotides that bind to duplex DNA in a sequence-specific manner by triple helix formation offer an approach to the experimental manipulation of sequence-specific protein binding. Micromolar concentrations of pyrimidine oligodeoxyribonucleotides are shown to block recognition of double helical DNA by prokaryotic modifying enzymes and a eukaryotic transcription factor at a homopurine target site. Inhibition is sequence-specific. Oligonucleotides containing 5-methylcytosine provide substantially more efficient inhibition than oligonucleotides containing cytosine. The results have implications for gene-specific repression by oligonucleotides or their analogs.**

**E**UKARYOTIC TRANSCRIPTION IS REGULATED BY THE INTERPLAY of various protein factors at promoters (1). Although transcriptional regulation in prokaryotes may be less complex, prokaryotic repressors can function as negative regulators when bound to heterologous operators in eukaryotic promoters (2). This observation suggests that displacement of activating proteins might provide a general strategy for gene-specific repression in eukaryotes. Pyrimidine oligonucleotides bind with sequence-specific dependence to homopurine sites in duplex DNA by triple helix formation and could have sufficient specificity and affinity to

compete with site-specific DNA binding proteins for occupancy of overlapping target sites (3).

RNA and DNA polymer triple helices were first recognized more than 30 years ago. The structure and sequence requirements for triple helix formation have been investigated in vitro under both physiological and nonphysiological conditions. Poly(U) and poly(A) (polyuridylylate and polyadenylylate) form a stable 2:1 complex, as do poly(dT-dC) and poly(dG-dA) (polydeoxythymidylylate, polydeoxycytidylylate, and polydeoxyguanylylate-polydeoxyadenylylate) (4). Specificity arises from base triplets (T-A-T and C+G-C) formed by Hoogsteen base pairing of the second pyrimidine strand with the purine strand of the double helix (5, 6). Each base pair (bp) in a homopurine double helical DNA sequence affords two sequence-specific hydrogen bonds for triple helix formation. X-ray diffraction patterns of triple-stranded fibers [poly(A)·2 poly(U) and poly(dA)·2 poly(dT)] suggested an A form RNA-like conformation of the two Watson-Crick base-paired strands, with the third strand bound parallel to the purine strand of the duplex by Hoogsteen hydrogen bonds (6, 7). Mixed sequence pyrimidine oligonucleotides equipped with EDTA·Fe(II), the ethylenediamine tetraacetic acid chelate of Fe(II), selectively cleave homopurine sites in large DNA molecules (3). These studies confirm that, in the local triple helical complex, the pyrimidine (Hoogsteen) oligonucleotide is bound in the major groove, parallel to the Watson-Crick purine strand (3). Oligonucleotide-directed triple helix formation has also been reported in several other contexts (8–10). One of the most powerful aspects of the oligonucleotide approach to sequence-specific recognition of double helical DNA is the simplicity of the Hoogsteen hydrogen bonding mode. In a formal sense, a site size of more than 15 bp affords an ensemble of more than 30 discrete hydrogen bonds for sequence-specific recognition of DNA. This specificity is theoretically

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order to test the putative purine triple helix motif (9). This oligonucleotide is identical in sequence and polarity to the purine strand of the triple helix target site.

**Stable triple helix formation at target site.** The specificity of triple helix formation at the homopurine target site of pMTCAT-TH1 was confirmed by means of an affinity-cleaving assay (3, 16). In the presence of oxygen and dithiothreitol (DTT), triple helix formation involving oligonucleotide-EDTA-Fe(II) compounds such as 2 is accompanied by double-strand scission of the target DNA (3). Local cleavage results from the generation of diffusible radicals at the site of bound oligonucleotide-EDTA-Fe(II) (16). Under these conditions, double-strand scission may be limited by oligonucleotide-EDTA-Fe(II) autocleavage and is typically in the 10 to 25 percent range (16). Thus, as determined by footprinting studies (see below), target saturation produces only partial target cleavage (Fig. 2). After 30-minute (lane 3) or 180-minute (lane 4) binding reactions at 22°C in the presence of 2 at 1  $\mu$ M, cleavage of pMTCAT-TH1 was initiated by the addition of DTT. After a 15-hour cleavage reaction, DNA fragments of the expected sizes were observed. There is no evidence of other oligonucleotide binding sites on pMTCAT-TH1. In control experiments, incubation with 2 did not result in cleavage of pMTCAT.

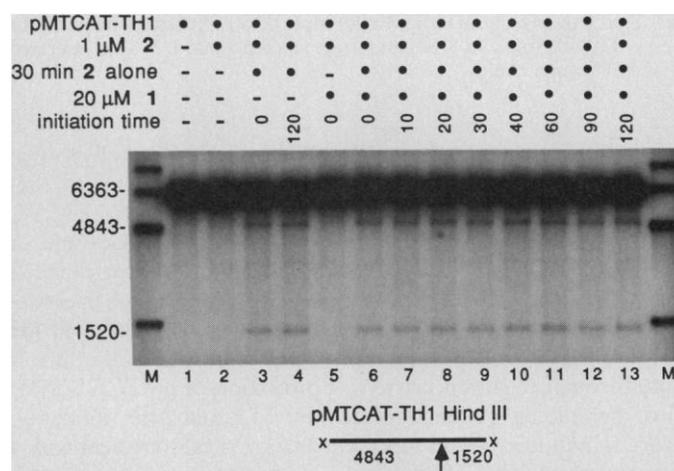
An affinity-cleaving competition assay was used to measure the kinetic stability of the triple helix under these conditions (Fig. 2, lanes 5 to 13). When allowed to bind to pMTCAT-TH1 in the presence of a 20-fold excess of 1, oligonucleotide-EDTA-Fe(II) 2 did not cleave the target DNA (compare lanes 3 and 5). It was therefore possible to measure the kinetic stability of the triplex involving 2 by adding excess 1 after an initial 30-minute binding reaction, and initiating the cleavage reaction after increasing incubation times. Comparison of lanes 6 to 13 of Fig. 2 indicates no substantial triple helix dissociation for at least 120 minutes at 22°C. This result is consistent with previous kinetic measurements of triple helix stability obtained by gel mobility shift assays (10). The apparent stability of the 2 complex suggested that the bound pyrimidine oligonucleotide (21 nt, 22°C, pH 6.8) might offer a stable block to DNA site recognition by binding proteins.

**Restriction endonuclease protection.** Experiments were performed to determine whether oligonucleotides bound to duplex DNA could confer endonuclease resistance to an overlapping restriction site. The endonuclease Ava I recognizes a single 6-bp site (CTCGAG) in pMTCAT and two identical sites in pMTCAT-TH1, one of which overlaps two to three base pairs of the triple helix target site. pMTCAT and pMTCAT-TH1 were treated with Ava I in the presence of 0 to 80  $\mu$ M concentrations of 1 or 5 (Fig. 3A). Increasing concentrations of 1 had no effect on control plasmid pMTCAT cleavage by Ava I (compare lanes 3, 6, 9, 12, 15, and 18). However, 1 conferred concentration-dependent protection from Ava I cleavage at the pMTCAT-TH1 triple helix target site (compare lanes 4, 7, 10, 13, 16, and 19). Cleavage at the distant Ava I site was unaffected. Endonuclease inhibition did not require prior equilibration of the target DNA with oligonucleotide. Reactions containing both endonuclease and oligonucleotide were initiated by addition of target DNA under conditions permissive for Ava I activity. This result indicates that 1 at 60 to 80  $\mu$ M concentrations fully protects the target Ava I site from endonuclease for at least 45 minutes at 37°C.

Purine oligonucleotide 5 was tested to determine if an alternative triple helix based on purine:purine:pyrimidine recognition could form at the pMTCAT-TH1 target site. Oligonucleotide 5 had no effect on pMTCAT-TH1 cleavage by Ava I at any of the oligonucleotide concentrations tested in these experiments (Fig. 3A, compare lanes 5, 8, 11, 14, 17, and 20). Deoxyribonuclease I (DNase I) footprinting experiments have confirmed that 5 does not bind to the

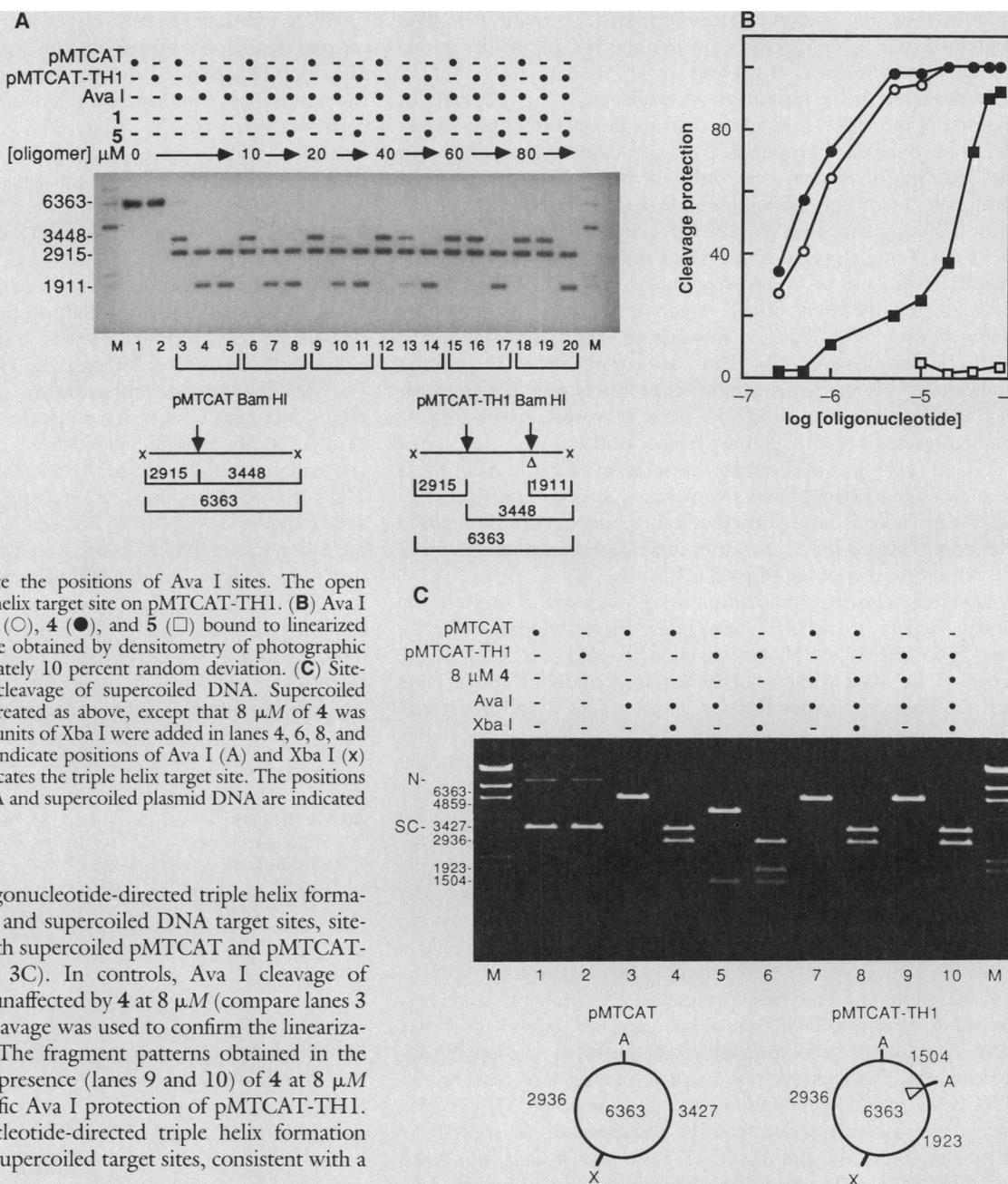
pMTCAT-TH1 triple helix target site (data not shown). It is of interest to compare this result to a report of apparent triple helix formation by a purine-rich oligonucleotide at a naturally occurring site in the *c-myc* gene (9). Although stable A·A·T base triplets have been reported (19), the failure of 5 to participate in triple helix formation suggests that mixed A·A·T and G·G·C recognition of homopurine targets is sequence composition dependent. Triple helix formation in the (G·C)-rich *c-myc* gene site may result entirely from G·G·C recognition of the target sequence by a G-rich oligonucleotide (9, 20).

The Ava I protection assay was used to compare oligonucleotides 1, 3, 4, and 5 (Fig. 3B). Substitution of 5-methylcytosine for cytosine in the Hoogsteen strand increases triple helix stability, although the basis for this increased stability is not fully understood (17, 18). The cleavage protection curves confirm that oligonucleotides containing 5-methylcytosine are substantially more effective than 1. A 50 percent endonuclease protection was observed at concentrations of 26  $\mu$ M for 1, 0.5  $\mu$ M for 3, and 0.25  $\mu$ M for 4. This corresponds to molar ratios of oligonucleotide to DNA site of approximately  $3 \times 10^4$ ,  $5 \times 10^2$ , and  $2.5 \times 10^2$ , respectively. Thus, the endonuclease inhibition constant for 3 is about 50 times greater than for 1. Oligonucleotide 4 confers even greater Ava I protection than 3. This improvement presumably reflects both the longer triple helix (21 compared to 20 base triplets) and the fact that 4 binds to three of the six base pairs of the Ava I site, while 3 binds only two of six. As described above, 5 had no effect at any of the oligonucleotide concentrations tested in these experiments.



**Fig. 2.** Stable triple helix formation at the target site of pMTCAT-TH1. Plasmid pMTCAT-TH1 was linearized by digestion with Bam HI and labeled at both termini by incubation with the Klenow fragment of *E. coli* DNA polymerase and  $\alpha$ - $^{32}$ P-labeled deoxyribonucleoside triphosphates. Specificity of triple helix formation was measured by oligonucleotide-directed affinity cleavage (3). Labeled plasmid (100 ng) was added to 25- $\mu$ l reaction mixtures containing 25 mM tris-acetate, pH 6.8, 100 mM NaCl, and 1 mM spermine. Lanes 3 and 4 included 2 at 1  $\mu$ M complexed with an equimolar amount of Fe(II). After incubation for 30 minutes at 22°C, cleavage reactions were initiated by addition of 4 mM DTT at 0 (lane 3) or 2 hours (lane 4) and were incubated at 22°C for 15 hours. DNA samples were precipitated with ethanol and analyzed by electrophoresis in 0.9 percent agarose with subsequent autoradiography. Standard lanes (M) contain phage  $\lambda$  DNA digested with Hind III. The linearized plasmid structure is indicated below. Positions of radioactive labels are indicated (x). The arrow indicates the 2 binding site on pMTCAT-TH1. A competition assay was used to monitor the rate of oligonucleotide dissociation from the triple helix under the conditions described above (lanes 5 to 13). After standard 30-minute binding reactions in the presence of 2, a 20-fold molar excess (relative to 2) of the noncleaving oligonucleotide 1 was added. In lane 5, 1 and 2 were added at the same time. Cleavage reactions were initiated at intervals after the addition of competitor oligonucleotide. The extent of affinity cleavage relative to lane 3 reflects the kinetic stability of the original triple helix.

**Fig. 3.** Restriction endonuclease protection. **(A)** Site-specific inhibition of linear DNA cleavage by *Ava* I. Plasmid DNA (100 ng, linearized by digestion with *Bam* HI) was added to 25- $\mu$ l reaction mixes containing competition buffer [25 mM tris-acetate, pH 6.8, 70 mM NaCl, 20 mM MgCl<sub>2</sub>, 400  $\mu$ M spermine, bovine serum albumin (BSA) at 100  $\mu$ g/ml, 10 mM  $\beta$ -mercaptoethanol], as well as 1.5 units of *Ava* I (Pharmacia), and 0 to 80  $\mu$ M oligonucleotide. Reactions were incubated at 37°C for 45 minutes and analyzed by electrophoresis in 0.8 percent agarose with subsequent autoradiography. Standard lanes (M) contain *Hind* III-digested phage  $\lambda$  DNA. Plasmid restriction maps are indicated below. Positions of radioactive labels are indicated (x). The filled arrows indicate the positions of *Ava* I sites. The open arrowhead indicates the triple helix target site on pMTCAT-TH1. **(B)** *Ava* I inhibition curves for **1** (■), **3** (○), **4** (●), and **5** (□) bound to linearized pMTCAT-TH1. The data were obtained by densitometry of photographic negatives, subject to approximately 10 percent random deviation. **(C)** Site-specific inhibition of *Ava* I cleavage of supercoiled DNA. Supercoiled plasmid DNA (100 ng) was treated as above, except that 8  $\mu$ M of **4** was added in lanes 7 to 10, and 10 units of *Xba* I were added in lanes 4, 6, 8, and 10. Restriction maps (below) indicate positions of *Ava* I (A) and *Xba* I (x) sites. The open arrowhead indicates the triple helix target site. The positions of nicked circular plasmid DNA and supercoiled plasmid DNA are indicated (N and SC, respectively).



To ascertain whether oligonucleotide-directed triple helix formation occurs at both relaxed and supercoiled DNA target sites, site-specific *Ava* I protection with supercoiled pMTCAT and pMTCAT-TH1 was measured (Fig. 3C). In controls, *Ava* I cleavage of supercoiled pMTCAT was unaffected by **4** at 8  $\mu$ M (compare lanes 3 and 7). Secondary *Xba* I cleavage was used to confirm the linearization site (lanes 4 and 8). The fragment patterns obtained in the absence (lanes 5 and 6) or presence (lanes 9 and 10) of **4** at 8  $\mu$ M confirm complete site-specific *Ava* I protection of pMTCAT-TH1. Thus, pyrimidine oligonucleotide-directed triple helix formation occurs at both relaxed and supercoiled target sites, consistent with a previous report (10).

**Restriction methylase protection.** To determine whether the observed endonuclease protection results could be extended to other sequence-specific DNA binding proteins, a restriction methylase was studied. In addition to providing a test for the generality of oligonucleotide inhibition of protein binding, site-specific inhibition of a methylase might also be applicable to genomic mapping. In the sequence 5' TCGA, *M*.Taq I methylates the N<sup>6</sup> position of adenine and the methylation prevents *Taq* I endonuclease cleavage at this sequence. A *Taq* I site partially overlaps the pMTCAT-TH1 triple helix target site. pMTCAT-TH1 was linearized by digestion with *Hind* III. When digested with *Taq* I endonuclease, the linearized plasmid is cleaved at four internal sites (Fig. 4, lanes 1 and 2). If pMTCAT-TH1 is methylated by *M*.Taq I before *Taq* I treatment, no endonuclease cleavage occurs (lane 3). When pMTCAT-TH1 is methylated by *M*.Taq I in the presence of **4** at 2.5 to 40  $\mu$ M, increasing methylation protection, revealed after subsequent *Taq* I digestion, is observed at the triple helix target site (lanes 4 to 8). This corresponds to molar ratios of oligonucleotide to DNA site of approximately  $1 \times 10^3$  to  $1.7 \times 10^4$ . The resulting cleavage

pattern indicates that triple helix specificity may be conferred on a restriction endonuclease by this technique. This result extends the previous observation that methylation protection is induced by sequence-specific DNA binding proteins (21). When implemented in conjunction with an appropriate methylase and endonuclease, transient oligonucleotide-directed triple helix protection may provide a novel method for precise enzymatic dissection of large DNA molecules.

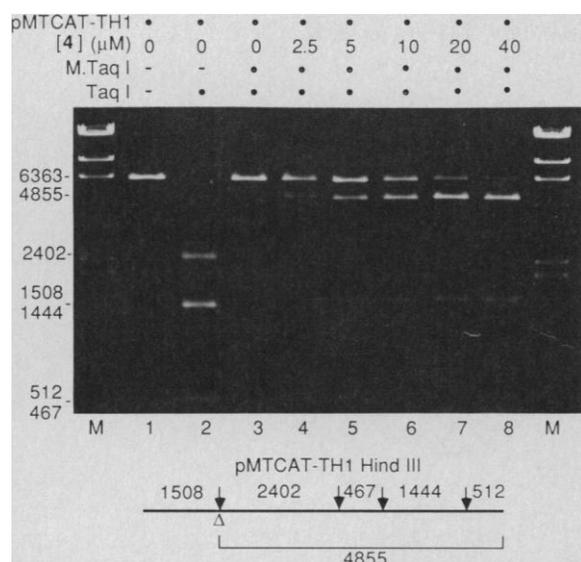
**Inhibition of transcription factor binding.** To extend the results obtained with prokaryotic DNA modifying enzymes, the effect of triple helix formation on the binding of a eukaryotic transcription factor was studied. Sp1 is a transcriptional activating protein that binds the consensus sequence 5' G(T)GGGCGPuPuPy in several eukaryotic promoters (15, 22, 23). In vivo footprinting studies indicate that the Sp1-A site of the MT-I promoter is occupied during both basal and metal-induced transcription, and is recognized by purified Sp1 protein in vitro (14). Complementary DNA clones encoding Sp1 have been isolated, permitting expression of

Sp1 in *E. coli* (24, 25). A polypeptide composed of the 516 carboxyl-terminal amino acids of Sp1 (Sp1-516C) exhibits both DNA binding and transcriptional activating activities (25). DNase I footprinting was used to monitor the effect of bound **4** on Sp1-516C binding to its overlapping recognition site in pMTCAT-TH1. Oligonucleotide **4** at 5 to 10  $\mu\text{M}$  caused some nonspecific inhibition of Sp1-516C binding, presumably the result of interactions of **4** with the protein in solution.

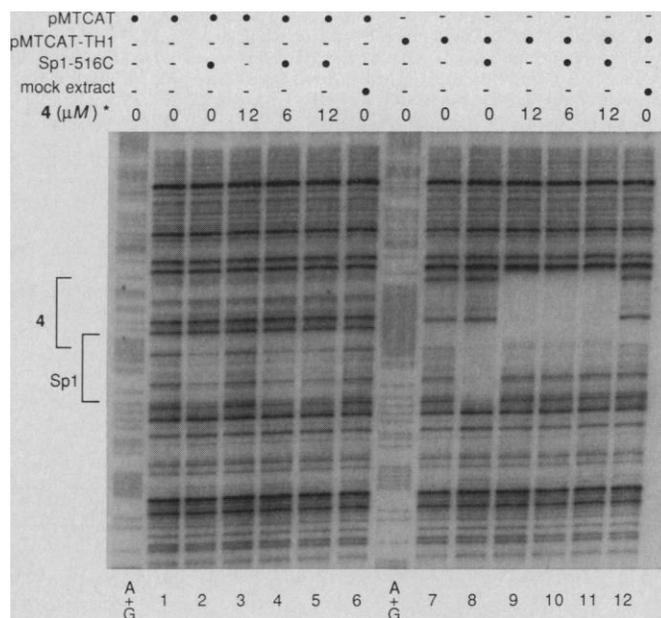
The effects of triple helix formation on Sp1-516C binding were therefore studied at concentrations of **4** that did not cause detectable nonspecific inhibition. MT-I promoter restriction fragments of pMTCAT or pMTCAT-TH1 were incubated with or without **4** in a small volume (binding reaction), and the resulting complexes were then diluted in footprinting buffer, incubated in the presence or absence of Sp1-516C, and treated with DNase I (Fig. 5). Incubation of MT-I promoter restriction fragments from pMTCAT or pMTCAT-TH1 with Sp1-516C extract resulted in the formation of a DNase I protected region (footprint) on the purine strand at the Sp1-A site (26) (compare lanes 1, 2, 7, and 8). Mock extracts from bacterial cells lacking Sp1 expression vectors did not produce footprints (lanes 6 and 12). When **4** at 12  $\mu\text{M}$  was first incubated in a 4- $\mu\text{l}$  binding reaction and then diluted to 50  $\mu\text{l}$  (**4** at  $\sim 1 \mu\text{M}$ ), a DNase I footprint was detected at the triple helix target site of pMTCAT-TH1 (lane 9) but not in pMTCAT (lane 3). DNase I footprinting reveals that bound **4** prevents Sp1-516C binding at the Sp1-A site of pMTCAT-TH1 (compare lanes 8, 10, and 11). Under these conditions, **4** does not nonspecifically inhibit Sp1-516C binding to the pMTCAT control fragment (compare lanes 2, 4, and

5). Thus, inhibition of Sp1-516C binding to pMTCAT-TH1 must result primarily from occlusion of the protein binding site by bound oligonucleotide.

**Implications.** These experiments assess the extent to which oligonucleotide-directed triple helix formation disrupts DNA-protein interactions in a eukaryotic promoter. A 21-bp homopurine triple helix target site was created in the murine MT-I promoter. The site overlaps recognition sequences for restriction endonuclease Ava I, restriction methylase M.Taq I, and eukaryotic transcription factor Sp1. Pyrimidine oligonucleotides form a specific, stable triple helix at this homopurine target site as judged by DNase I footprinting and affinity cleavage with an oligonucleotide equipped with  $\text{EDTA}\cdot\text{Fe(II)}$ . Protection from restriction endonuclease cleavage at this site is conferred by oligonucleotide-directed triple helix formation. Oligonucleotides containing 5-methylcytosine confer substantially more efficient protection than oligonucleotides containing cytosine. Triple helix formation selectively protects the target site



**Fig. 4.** Restriction methylase protection. Methylation protection by oligonucleotides was analyzed in a two-step procedure. Samples of pMTCAT-TH1 (100 ng, linearized by digestion with Hind III) were assembled in 10- $\mu\text{l}$  reaction mixtures containing competition buffer as well as 80  $\mu\text{M}$  S-Adenosylmethionine (New England Biolabs), **4**, at 0 to 40  $\mu\text{M}$  and 5.4 units of M.Taq I (New England Biolabs). Reaction mixtures were incubated for 1 hour at 44°C under paraffin oil. The methylated DNA was purified by extraction with phenol and precipitation with ethanol. Methylation protection was analyzed by digesting the methylated DNA with 6.75 units of Taq I endonuclease (New England Biolabs) in 25- $\mu\text{l}$  reaction mixtures containing 10 mM tris-HCl, pH 8.3 (at 25°C), 100 mM NaCl, 7 mM  $\text{MgCl}_2$ , BSA at 100  $\mu\text{g/ml}$ , and 10 mM  $\beta$ -mercaptoethanol. Digestions with Taq I were performed at 70°C for 1 hour under paraffin oil. DNA was analyzed by electrophoresis in 0.8 percent agarose containing ethidium bromide. Standard lanes (M) contain Hind III-digested phage  $\lambda$  DNA. A restriction map of Hind III-linearized pMTCAT-TH1 is shown below. Filled arrows indicate the positions of Taq I sites. The open arrowhead indicates the position of the triple helix target site.



**Fig. 5.** Inhibition of Sp1 binding. Sp1-516C was expressed in *E. coli* strain C600  $\Delta lon$  (30) by isopropyl- $\beta$ -D-thiogalactopyranoside (IPTG) induction of plasmid pSp1-516C (24). Bacterial extracts were prepared as described (24, 25). Mock extracts were prepared by IPTG treatment of C600  $\Delta lon$  cells. Fragments (606 bp) obtained by Kpn I and Bgl II digestion of pMTCAT and pMTCAT-TH1 were end-labeled at the Kpn I site by incubation with the Klenow fragment of *E. coli* DNA polymerase and  $\alpha$ - $^{32}\text{P}$ -labeled deoxyribonucleoside triphosphates. Binding reaction mixtures (4  $\mu\text{l}$ ) contained 10 to 20 fmole of [ $^{32}\text{P}$ ]DNA fragment and **4** at 0, 6, or 12  $\mu\text{M}$  in competition buffer. After incubation for 15 minutes at 25°C, binding reaction mixtures were diluted to 50  $\mu\text{l}$  with a solution containing 25 mM tris-acetate, pH 6.8, 0.5 mM EDTA, 10 mM  $\text{MgCl}_2$ , 50 mM KCl, 0.5 mM DTT, 10 percent (v/v) glycerol, 2 percent polyvinyl alcohol, and were cooled to 0°C. Extract (1  $\mu\text{l}$ ) from bacterial cells carrying pSp1-516C (4.9- $\mu\text{g}$  of protein) was added to some samples (lanes 2, 4, 5, 8, 10, and 11). Mock Sp1 extract (1  $\mu\text{l}$ , 4.9  $\mu\text{g}$  of protein) was added to other samples (lanes 6 and 12). Triple helix complexes containing **4** were tested in the absence (lanes 3 and 9) or presence (lanes 4, 5, 10, and 11) of Sp1 extract. After a 15-minute incubation at 0°C, 50  $\mu\text{l}$  of a solution containing 10 mM  $\text{MgCl}_2$  and 5 mM  $\text{CaCl}_2$  was added, and the samples were treated for 90 seconds at 0°C with DNase I at a final concentration of 100 ng/ml. Digestions were terminated by addition of 100  $\mu\text{l}$  of a solution containing 200 mM NaCl, 20 mM EDTA, 1 percent sodium dodecyl sulfate, and transfer RNA at 250  $\mu\text{g/ml}$ . DNA was purified by extraction with phenol and was precipitated with ethanol. DNA samples were analyzed by electrophoresis in 5 percent polyacrylamide sequencing gels containing 7.5M urea. Standard lanes (A+G) contain DNA fragments generated by an A+G base-specific chemical cleavage reaction (31). The positions of DNase I footprints due to the binding of Sp1-516C or **4** are indicated at the left.

from restriction methylation, and subsequently allows specific restriction endonuclease cleavage at the triple helix target site. Confering oligonucleotide specificity of more than 15 bp on a restriction endonuclease by triple helix formation may be useful for chromosome mapping. DNase I footprinting indicates that triple helix formation blocks the binding of eukaryotic transcription factor Sp1. These results suggest possible mechanisms for and constraints on the natural regulation of gene function by altered DNA structures in vivo. Oligonucleotide-directed triple helix formation offers a new tool for analyzing protein-DNA interactions in promoters, and in some cases such oligonucleotides or their analogs might be designed to function as artificial gene-specific repressors in vivo.

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32. We thank R. Tjian for pSp1-516C, and we also thank members of the Dervan and Wold groups for helpful discussions. Supported by grants from the Caltech Beckman Institute and the NIH (B.W. and P.B.D.), by a Gosney Fellowship, and an American Cancer Society Fellowship to L.J.M.

21 March 1989; accepted 5 July 1989

