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# COVER

Artist's representation of the molecular signaling initiated by cell surface receptors. Four Perspectives in this issue and their associated Connections Maps in *Science*'s STKE highlight pathways that regulate diverse functions—from cell motility and survival to development in mammals, plants, and insects. See the special section beginning on page 61.

### Illustration: Chris Bickel/Science

For related online content, see page 11 or go to www.sciencemag.org/sciext/cellsignaling07/

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### MOLECULAR BIOLOGY

Telomeric Repeat-Containing RNA and RNA Surveillance Factors at Mammalian Chromosome Ends

C. M. Azzalin, P. Reichenback, L. Khoriauli, E. Giulotto, J. Lingner In mammals, RNA is transcribed from repetitive DNA at the ends of chromosomes and, along with other regulatory proteins, is incorporated locally into silenced chromatin.

10.1126/science.1147182

### BIOCHEMISTRY

Nanomechanical Basis of Selective Gating by the Nuclear Pore Complex R. Y. H. Lim, B. Fahrenkrog, J. Köser, K. Schwarz-Herion, J. Deng, U. Aebi Regulators of nuclear permeability reversibly collapse parts of nuclear pore proteins, a process that may underlie control of nuclear transport in cells.

10.1126/science.1145980

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10.1126/science.1146788

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M. J. Rust, J. S. Markson, W. S. Lane, D. S. Fisher, E. K. O'Shea The cycling of three protein components comprising the circadian clock in cyanobacteria is driven by a pattern of sequential phosphorylation that can be described mathematically.

10.1126/science.1148596

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C. Kim, A. Facchetti, T. J. Marks

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# **Rethinking Coral Composition**

Modern coral reefs are built primarily by scleractinian corals, which arose in the Triassic after the Permian extinction. Today, all of these corals form skeletons of aragonite, and this composition has been thought to be typical of fossil scleractinians as well. Stolarski *et al.* (<u>p. 92</u>) now have identified a Cretaceous scleractinian coral with a primary calcite skeleton. The fine preservation of internal structures and the Mg and Sr chemistry show that the calcite is primary, not diagenetic. This result tightens the evolutionary connection between these corals and rugose corals, which formed calcite skeletons but were eliminated in the Permian extinction. These results suggest that corals may be able to alter their biochemistry in response to changes in seawater chemistry.

# Intimate Contact

Composite materials commonly consist of strong or stiff particles or fibers surrounded by a matrix material. Often the best properties are observed when the contact between the reinforcing materials and the matrix are maximized, but in many cases, poor distribution and adhesion of the reinforcing material limit dispersion. Podsiadlo et al. (p. 80) used a layer-by-layer deposition technique to distribute clay platelets into a polymer matrix, and obtain nearly the idealized properties for a series of thin, transparent films. The nanometer-scale clay platelets formed ordered sheets that allow very strong hydrogen bonding with the polymer matrix, which ensured efficient load transfer between the polymer and clay.

# The Importance of Neutrality

Atmospheric aerosol particles, which have diameters between 3 and 10 nanometers (nm), are formed continually in all parts of the troposphere. These particles play key roles in climate because they can absorb or reflect sunlight and affect cloud formation and atmospheric chemical reactions. However, how and in what quantities aerosols form are poorly understood, largely because of the difficulty in observing the smaller particles from which they grow. **Kulmala** *et al.* (<u>p. 89</u>, published online 30 August) investigated the distribution of particles smaller than 3 nm in diameter and found that an abundant pool of neutral clusters is present at almost all times. These clusters dominate the process of atmospheric aerosol formation, at least over boreal forests. These findings dispel the suggestion that aerosol production is driven mainly by processes involving ion clusters.

# Check the Substrate Before You Grow

Organic thin-film transistors (TFTs) can be fabricated by depositing an

organic semiconductor layer on a polymeric insulator such as polystyrene (PS) or polymethylmethacrylate that coats the gate electrode. **Kim et al.** (p. 76) show that these thin insulating layers have plass transition

have glass transition temperatures,  $T_g$ , well below that of the corresponding bu

that of the corresponding bulk material and in the range of temperatures normally used for deposition of the semiconductor layer. Pentacene layers grown at temperatures below the surface-depressed  $T_g$  exhibited much higher carrier mobilities and grain sizes than those layers grown at higher temperatures, where the material is rubbery and has greater polymer chain motion. Surface treatments that crosslink the chains of the insulating layer, such as oxygen-plasma treatment of PS films, increased the surface  $T_g$  and restored higher mobility.

# Missing Jigsaw Piece

The Pacific tectonic plate apparently underwent a shift about 50 million years ago, as evidenced in the changing of the track of the Hawaiian-Emperor chain of seamounts. Why this happened has not been clear. **Whittaker et al.** (p. 83) show that additional plate movement between Australia and Antarctica around this time can be gleaned from magnetic and satellite gravity data, which would indicate that a major plate reorganization occurred between 50 and 53 million years ago. Revised Pacific Ocean–floor reconstructions suggest that subduction of the Izanagi spreading ridge and subsequent

Marianas/Tonga-Kermadec subduction initiation may have been the ultimate causes of these events.

# Learning, Autism, and the Synapse

A small number of individuals with autism harbor mutations in genes encoding neuroligins and neurexins, cell adhesion proteins that facilitate neuronal communication across synapses. **Tabuchi et al.** (p. 71, published online 6 September; see the Perspective by **Crawley**) studied the functional consequences of one of these mutations, an R451C substitution in neuroligin-3, by introducing the mutant protein into mice. The mice displayed enhanced spatial learning skills but impaired social interactions, and these behavioral changes were accompanied by a selective increase in inhibitory synaptic transmission. Thus, alterations in the balance of exci-*Continued on page 15* 

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### Continued from page 13

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in natural environments. Captive-reared individuals with captive-reared parents

have half the reproductive success of

captive-reared fish with wild parents. The

rate of fitness decline can be ~40% per

captive-reared generation, which suggests

that breeding programs need further evaluation of their impact when used to

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tatory and inhibitory synapses can affect learning and such alterations may be a contributing factor in the pathogenesis of autism.

# Neuronal Roadmap

As the neural system develops, a distinctive network of interneuron connections is created. **Colón-Ramos** *et al.* (p. 103) now find that, in the nematode worm *Caenorhabditis elegans*, the supporting glial cells provide the requisite road map for making these connections. Particular glial cells express netrin, a signaling molecule, which tells the postsynaptic neuron where to find its connection and tells the presynaptic neuron where to build the substructures required for the connection. Localization of the netrin expression in the glial cells serves to focus the neuronal synapse-building capacity in the right spot.

# Captive Breeding Reduces Reproductive Fitness

Captive breeding programs to prevent extinction are now in place for restoring many endangered wild populations and species, although the impact of such programs remains largely untested. Araki et al. (p. 100) evaluated the reproductive suc-



# Fair's Fair...or Not

The experimental benchmark for demonstrating that humans have developed a sense of fairness is their behavior when playing the ultimatum game. If the division of spoils proposed by the first player is not generous enough (roughly 40 to 50% of the total), the second player will usually refuse to accept the proposal (giving up any hope of a gain), which has the consequence of depriving the first player of any payout as well. **Jensen et al.** (p. 107) have now implemented a trimmed-down version of the ultimatum game in chimpanzees. When in the role of player 2, our nearest relatives, unlike human subjects, will accept any number of raisins, and, perhaps as a consequence, chimps show little propensity to make fair offers.

# Plant Protector Identified

ARAKI

HITOSHI

CREDIT:

Plants that survive an initial pathogen attack often develop enhanced resistance to subsequent infections. For example, prior infection of tobacco plants by tobacco mosaic virus (TMV) exhibit enhanced resistance elsewhere in the plant to subsequent challenge by TMV or other pathogens, which is termed systemic acquired resistance (SAR). The development of SAR requires the movement of a signal made in the primary infected tissue through the phloem to the distal systemic tissue. **Park et al.** (p. 113; see the news story by Leslie) show that the mobile signal for SAR is a biologically inactive form of salicylic acid, methyl salicylate (MeSA), a key hormone for activating host defenses to many plant pathogens.

# Anatomy of an Immune Response

Intravital imaging techniques allow experimentally induced immune responses to be traced in real time. Nevertheless, the techniques have often relied on the transfer of nonphysiological numbers of artificially labeled immune cells into animals. **Khanna et al.** (p. 116) report the use of in situ confocal microscopy of the spleen with a sufficient level of resolution to detect fine features of an immune response to a bacterial infection. Endogenous primary and secondary (memory) T cell responses could be compared, revealing unexpected relocalization within the spleen, as T cells underwent activation, expansion, and then migration out to peripheral anatomical sites.



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Donald Kennedy is Editorin-Chief of Science.

# Sputnik Nostalgia

HERE WE ARE, IN THE MIDDLE OF AN INTENSE STRUGGLE OVER HOW WE CAN IMPROVE the education of young men and women about science. In the United States, we have the America COMPETES legislation, with its emphasis on STEM, the rather dull acronym for science, technology, engineering, and mathematics education. Some nations thought to be better at STEM than the United States seem to be worrying too. My present job sometimes leads people who are concerned about the quality of science education to ask me questions like this: "What must happen to wake us up and get us really committed to this?"

Well, once upon a time, an event of that kind really did happen. On 4 October 1957, the Soviet Union launched a 183-pound Earth-orbiting satellite named Sputnik, an event whose anniversary is saluted from different national perspectives in this issue. Sputnik's

appearance, and its annoying "beep-beep" as it passed overhead, produced a striking reaction in the United States that was only enhanced when the Project Vanguard rocket-a much-advertised U.S. contribution to the International Geophysical Year-blew up trying to launch a satellite much smaller than Sputnik only months later. Trumped first, then humiliated!



The response was one of those political improbabilities. Congress promptly passed the National Defense Education Act, as well as legislation that established the National Aeronautics and Space Administration. The post of Science Adviser to the President was created, though not in statute, and President

James Killian of the Massachusetts Institute of Technology came to occupy it. Almost immediately, the National Science Foundation (NSF) budget for science education tripled. That soon altered lives; one of them was mine, and I hope you will forgive a few personal reflections.

The physicists quickly got to work, the CHEMStudy curriculum came along, and new opportunities for biologists appeared. Sputnik had scarcely fallen out of orbit (leaving a part or two in Los Angeles) when I found myself on a trip to Fishs Eddy, New York, which hadn't seen many college professors. There, talking with a high-school biology teacher in a downtown bar after a day in various classrooms, I found him thinking about his job in much the same way that I thought about mine. NSF later sent me to Hamilton West High in Trenton, New Jersey, showing me how tough it is to teach seven classes in a row.

Soon organizations came together to build intellectual momentum behind the sense of urgency. The Biological Sciences Curriculum Study (BSCS), founded the year after Sputnik and celebrating its 50th anniversary next year, brought together some thoughtful curriculum planners and textbook writers. That resulted in series of texts focusing on cell biology, diversity, and ecology (although its "three-colors" approach of blue, yellow, and green, respectively, produced an occasional jest). But the development of challenging curricula focusing on different levels of organization and student interests turned out to be a science education milestone.

In the early to mid-1960s, Stanford became a destination for hundreds of high-school teachers enrolling in in-service NSF summer programs. My colleague Paul Hurd in the School of Education would ask me to offer a seminar course for 15 or 20 of these students. I got to pick a topic that interested me and might perhaps be introduced into classes, should it inspire teachers. A couple of times I taught animal navigation and orientation; the seminars were fun and even interesting for some graduate students, one of whom later went with me to teach an NSF summer institute in neurobiology at Carleton College.

Lively times-but 50 years later, what can be learned from the post-Sputnik attention to science education? I think the schools improved through teacher training and curricular innovation, largely due to strong federal engagement. First lesson for today: Let's lose our national wariness about letting the feds into K-12 education. The second lesson comes from perhaps the greatest reward of the Sputnik experience: the establishment of a real community of professional engagement among committed people who taught science at different levels. In the current movement toward school reform, revitalizing that sense of shared mission may be the most important policy goal of all.

Donald Kennedy

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# EDITORS'CHOICE EDITED BY GILBERT CHIN AND JAKE YESTON

# PSYCHOLOGY State of Reference

Students in elementary physics classes are introduced to the concept of frame of reference-the spatial coordinate system used by an observer to describe events-for instance, in the context of the perceived motion of trees by a passenger in a moving automobile. Adding in the dimension of time leads into nonintuitive territory, as in the example of a traveling astronaut who returns to Earth younger than her stay-at-home twin.

Building on previous work that demonstrated that internal physiological states can influence one's perception of physical quantities (such as thirsty people being more likely to characterize objects as transparent; that is, resembling water), Balcetis and Dunning show that internal psychological states are also

capable of altering our perception of the external world. They induced states of high or low cognitive dissonance (a mismatch between thought and action) by asking or telling two groups of students to walk across campus wearing various fruit- and vegetablethemed adornments. In order to render a freely chosen yet somewhat embarrassing task less unpleasant to fulfill, the first set of students mentally shortened the distance they had to cover by estimating it to be fully 40% less than the average estimate made by the second group. Intriguingly, the route to ameliorating the state of dissonance appeared to be purely perceptual, as the free-choice students did not shorten their time of exposure by walking faster; in fact, they took about 10% longer. - GJC

Psychol. Sci. 18, 917 (2007).

# MATERIALS SCIENCE Thin and Fast

Temperature changes in gas-phase chemical processes such as combustion and explosions can evolve on the submicrosecond time scale, but commercial thermocouples (TCs) are limited to millisecond response times. Thin-film TCs can achieve submicrosecond responses, but extreme film thinness (less than 100 nm) affects sensitivity through decreases in the thermopower. In principle, TCs made from submiexposure. In laser-heating tests, response times varied from tenths of microseconds to several microseconds, with outputs of 20 µ.V/°C. - PDS Nano Lett. 7, 10.1021/nl071990g (2007).

## CELL BIOLOGY Death Throes in Living Color

Mitochondria-the tiny double-membranebounded organelles that provide healthy cells with a ready supply of energy—also play a key role in the triggering of programmed cell death or apoptosis. Sun et al. have combined light microscopy and

three-dimensional electron microscopic tomography to record in detail the structural changes in mitochondria in cells that have been stimulated to undergo apoptosis. One of the first events

observed after

stimulation was a

Vesiculated reconstructed mitochondria.

rearrangement of submitochondrial morphology: The inner mitochondrial membrane changed from an organized arrangement of folded membrane cristae into a vesicular patchwork, which was accompanied by

the release of several mitochondrial proteins into the cytosol. However, one key mitochondrial protein involved in the apoptosis pathway, cytochrome c, was released efficiently independently of and before this remodeling. Swelling of the mitochondria occurred after the collapse of the membrane potential and was accompanied by a dissolution of the intramitochondrial structure. This generation of a composite time-course overview of morphological changes within single cells should help to dissect a variety of nonsynchronous cellular events. - SMH

Nat. Cell Biol. 9, 1057 (2007).

# ECOLOGY/EVOLUTION

Something Fishy in Speciation

Adaptation to environmental conditions is believed to drive population divergence and hence demonstrates the predictability of evolutionary change. By investigating the morphology, genetic divergence, and mate choice of Bahamas mosquitofish, which live in isolated pools, Langerhans et al. demonstrate parallel speciation events among pools, in which the presence or absence of a fish predator appears to be driving speciation. In pools with strong predation, mosquitofish have evolved a morphology conducive to high-speed escape swim-Continued on page 21



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Cabinet for Economic Development

### Continued from page 19

ming. Haplotype and allozyme analyses show that these morphological changes in response to predation have occurred multiple times. As these fish prefer to mate with similar individuals, the presence or absence of a predator drives speciation through mate selection. Taken all together, this set of results shows a direct link between natural selection and speciation: The traits under divergent selection between environments are the same traits used in mate choice, resulting in reproductive isolation between populations inhabiting different environments. --- LMZ

### Evolution 61, 2056 (2007).

# APPLIED PHYSICS A Bigger Photonic Playground

In metallic nanostructures, surface plasmons, the collective oscillations of free electrons, can induce such phenomena as enhanced optical

transmission and collimation of light through a subwavelength aperture. Though the structures are patterned on length scales of 100 nm, surface plas-

mons can interact over much longer distances. Henzie et al. cleverly combined a series of standard lithographic techniques to make larger photonic structures. Using interference lithography, they patterned high-quality silicon masters from which hundreds of photomasks could be made for patterning over centimeter length scales. Patterns of holes were created in both Si and Au films, either as infinite arrays or as a set of islands or patches. The patterned Au

## EDITORS'CHOICE

arrays exhibited an order-of-magnitude enhancement of optical transmission, a feature comparable to the optical quality seen in nanohole films produced by ion milling. When patches were not too far apart, plasmon interactions between them also led to much higher sensitivity in refractive index sensing. - MSL

Nat. Nanotechnol. 2, 549 (2007).

### ECOLOGY

## Millennium Bugs

One thousand years ago, the Emperor of China ordered that locust abundance be recorded so as to predict swarms. Although wetland management techniques reduced locust outbreaks in the second half of the 20th century, they have recently become troublesome again in the Yangtze and Yellow River basins, perhaps as a consequence of global climate change. Locust numbers peak during drought years and in years after floods, reflecting differential effects of moisture and warmth on different life cycle stages. This discrepancy has made it difficult to predict how the warmer and wetter conditions that are projected to prevail in East Asia will affect locust numbers.

Stige et al. combined the millennial timeseries data with recent temperature and precipitation reconstructions of historical weather and discovered that locust abundance is highest during periods with a high frequency of floods and droughts. The records reveal that these more variable climates actually tended to occur during the coldest and wettest decades. So, warmer conditions will not necessarily favor locust breeding. - CA

> Proc. Natl. Acad. Sci. U.S.A. 104, 10.1073/pnas0706813104 (2007).



# << Gut Sensations

Recent studies have helped to define the proteins that transform the arrival of sugars on the tongue into a sensation of sweetness. Two studies suggest that the same pathway functions in the intestinal tract. Jang et al. found that the sugar-sensitive G protein-coupled receptor (T1R2/T1R3) and the G protein subunit gustducin could be detected in enteroendocrine cells-specifically, the L cells, which secrete the

appetite-regulating glucagon-like peptide (GLP-1) of the human duodenum. Application of glucose to human L cells resulted in GLP-1 release, which was blocked by an antagonist of T1R3. In mice, gustducin was also present in L cells, and delivering glucose directly into the duodenums (to bypass the tongue) of normal mice and of gustducin-deficient mice showed that GLP-1 secretion was absent in the latter group of animals and that the temporal pattern of insulin secretion was altered. Margolskee et al. connect glucose absorption to glucose sensing via the T1R2/T1R3 pathway. Normal mice, unlike those deficient in gustducin or T1R3, showed an increase in sodium-glucose cotransporter 1 (SGLT1) mRNA and protein and in glucose uptake when fed a high-carbohydrate diet or a low-carb diet containing artificial sweeteners. - NRG Proc. Natl. Acad. Sci. U.S.A. 104, 15069; 15075 (2007).

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	al. (2007) Bright far-red fluorescent aging. Nat. Methods 4(9): 741-746



Cell and protein labeling using far-red proteins Phoenix cells expressing TurboFP635 (A); 3T3 cells expressing TagFP635 fusion with alpha-tubulin (B); HeLa cells expressing TagFP635 fusions with clathrin (C) and vinculin (D).

Images C-D were kindly provided by Michael W. Davidson (Florida State University).

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# RANDOMSAMPLES

# EDITED BY CONSTANCE HOLDEN

# Beetle Battles

Collecting stag beetles is a long-established hobby for Japanese boys. But things are now getting out of hand: Thanks to an arcade game called Mushi (insect) King, the beetles are all over Japan, and one subspecies is becoming endangered in its native habitat in Turkey.

In Mushi King, players collect cards with the picture and vital statistics of one of various beetle species. By inserting the card into the game machine, players control their bug in virtual fights. The game has spurred interest in exotic beetles, leading to imports of more than a million a year, according to Koichi Goka, an entomologist at the National Institute for Environmental Studies in Tsukuba. Prize bugs sell over the Internet for \$400 or more.

This year's hottest beetle is *Lucanus cervus akbesianus*, a rare subspecies found only in the Amanos Mountains of southern Turkey. The Amanos Environmental Protection Association has warned that overharvesting is pushing this beetle toward extinction.

In Japan, meanwhile, Goka worries that the beetle battle might move into the real world if the aliens escape and breed, with the big foreign bugs muscling out their weaker domestic rivals. "It is not an actual problem yet, but there is a big risk," Goka says. But, he says, the Environment Ministry hesitates to designate stag beetles as an invasive species because "the market has already become too large to control."

# Posthumous Peer Review

NATCH

Remembrances of deceased members written since 1995 have

been available at the U.S. National Academy of Sciences Biographical Memoirs Web site. Now the site is adding more than 900 accounts dating back to 1877. They aren't your typical sketchy Web bios but are hefty appreciations of the subject's work and life, typically written shortly after the person's death by colleagues or friends.

The former chief engineer at AT&T offers his take on Alexander Graham Bell, for example, and physics heavyweight Hans Bethe recalls J. Robert Oppenheimer, who headed the Manhattan Project. >>

www.nasonline.org/site/PageServer? pagename=MEMOIRS\_A

# **Our Ancestral Brains**

Evolutionary psychologists have come up with a new piece of evidence that we are still operating with our old hunter-gatherer brains: We notice animals more than we notice objects.

Graduate student Joshua New, along with John Tooby and Leda Cosmides, both of the University of California, Santa Barbara, theorized that human beings have evolved a "category-specific" attention system that pays especially close heed to other animals. To test the idea, they showed volunteers scenes for a fraction of a second and then the same scenes with changes in the position of an animal or an object, including a car. Even when the animals were smaller than or not contrasted

as much as the objects, the viewers spotted changes in their position more quickly and accurately than they did changes in inanimate targets.

The authors, in a paper published online last week in the Proceedings of the National Academy of Sciences, say animals are detected faster not simply because they are more interesting. "Even dull animals like pigeons ... recruit a surprising amount of attention—as do turtles resembling rocks," Tooby says.



### David Buss, an evolutionary psychologist at the University of Texas, Austin, says the work

Hot beetle.

bolsters the theory that humans evolved "specialized psychological mechanisms ... for solving distinct adaptive problems." The alternative view is that human information-processing machin-

ery is "domain-general" and did not evolve to process specific types of information.

> Viewers notice changes in the elephant (circled, *above*) more often than in the van (*below*).

# WORLD OF WATER

Replicas of distinctive towers that rise from California's extremely salty Mono Lake will be featured at a major exhibit on water at the American Museum of Natural History in New York City. The massive pillars, of a type of limestone called tufa, form underwater from an interaction of calcium from freshwater springs with carbonates in the lake water. Up to 10 meters high, they now poke out because of water diversions.

The exhibit, called Water:  $H_2O = Life$ , is designed to explore water from every angle, from its various cultural and spiritual aspects to the shortage of clean water facing most of the world's poor. It opens on 3 November and leaves for a world tour next June.



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**GEMS FROM THE PAST.** Working with artifacts including Egyptian mummies and Australian Aboriginal bark paintings, conservation scientist Eric Hansen spent 20 years at the Getty Conservation Institute in Los Angeles, California, figuring out ways to preserve rare objects. Now Hansen has taken over preservation research at another treasure vault: the U.S. Library of Congress, the largest library in the world.

Hansen, a chemist and archaeologist, says one major project will be to conserve magnetic tapes that are degrading. "You lose all information because you can't run it through a machine," he says. Bolstered by a planned doubling of the Ph.D. research staff to six, Hansen will also be trying to pin down the shelf life of CDs, DVDs, and recycled paper and find ways to strengthen millions of books weakened by age. "The challenge here is the sheer amount in the collections," he says.

# THEY SAID IT

"It took me 8 years at Harvard to figure out I'm not that stupid."

—Anthropologist Sven Haakanson, a member of the Alutiiq community in Alaska and one of 24 winners of this year's MacArthur fellowships. Haakanson, 40, directs the Alutiiq Museum in Kodiak and works to erase the culture's selfperception that native people are "worthless." A full list of the fellows, who will receive \$500,000 each, is at www.macfound.org.

# MONEY MATTERS

STRIKING SILVER. An international mining company has promised to pay \$10 million for a clean and cost-effective way to extract silver from its Veladero mine in Argentina. The usual method uses a cyanide solution to leach out the precious metal. But the mine's estimated 180 million ounces of silver are encrusted with silica in particles a few micrometers in diameter, and the mineral has resisted every trick tried by scientists at the Barrick Gold Corp. in Toronto. The price of silver makes it too expensive to grind the ore down to the size necessary to make traditional leaching viable. "Our in-house metallurgists can't figure it out," says Barrick spokesperson Vincent Borg. "The best way to solve [the problem] is to reach out" to the scientific community, he says.

Tibor Rozgonyi, a mining engineer at the Colorado School of Mines in Golden, says Barrick's offer is "a good approach" because it's likely to get many different heads thinking about a difficult problem. In addition to the prize, the company has announced that it will fund development and testing of promising techniques. "We are definitely considering [submitting] a proposal," says Rozgonyi, who has been working with colleagues on using bacteria to extract ore.

FIGHTING DISEASE. A. Alfred Taubman, who made a fortune developing real estate, has given \$22 million to the University of Michigan Health System to help found a new institute that will conduct basic research on human disease. A portion of the gift will go to five scholars at the university's medical school, each of whom will receive a research award of \$200,000 per year for 3 years. Taubman's previous gifts to his alma mater add up to more than \$38 million.

Got a tip for this page? E-mail people@aaas.org

# Pioneers >>

**NAMING RIGHTS.** Shiva Balak Misra was a graduate student at Memorial University in Newfoundland, Canada, when he discovered the 565-million-year-old fossils of soft-bodied organisms shaped like leaves and spindles. Misra published his findings in the *Geological Society of America Bulletin* but returned to his native village in north India in 1971 to build a school.

Now, 40 years after the discovery, the fossils bear his name. Fractofusus misrai belongs to a class of fossils known as Ediacaran life forms: creatures that emerged about 600 million years ago and



thrived until the dawn of the Cambrian 540 million years ago. "We needed a formal nomenclature, and we didn't want to forget the people associated with past discoveries," says Guy Narbonne, a paleontologist at Queen's University in Kingston, who led the naming initiative.

Misra says he left research to realize his dream of founding a school in Kunaura, the village where he spent his childhood. "I had to walk 10 kilometers to school until class [grade] eight," says Misra. Five years after founding the school, however, he needed an income and became a geology professor at Kumaon University in Nainital, a town in the foothills of the Himalayas. His wife now manages the school, which has 700 students in grades 1 to 10.



# THIS WEEK



Plants' alarm bell

A.

A wish list for European astronomy

### AIDS RESEARCH

NEWS>>

# Promising AIDS Vaccine's Failure Leaves Field Reeling

On Tuesday 18 September, AIDS vaccine research suffered one of its most devastating setbacks.

That day, an interim safety analysis that no one expected would reveal anything signifi-

cant showed that the vaccine widely thought to have the best shot at success had failed in a large human trial. "We were all in shock and devastated," says Peggy Johnston, who heads AIDS vaccine research at the National Institute of Allergy and Infectious Diseases (NIAID) in Bethesda, Maryland, which was one of three partners conducting the multicountry trial of the vaccine, made by the pharmaceutical giant Merck.

Three days later, Merck, NIAID, and an academic consortium known as the HIV Vaccine Trials Network (HVTN) announced that the trial, dubbed STEP, had been halted. Started in December 2004, the trial involved

3000 HIV-negative men and women from North and South America, the Caribbean, and Australia who were at high risk of becoming infected.

AIDS researchers around the world were stunned by the trail's results. "This was the first AIDS vaccine clinical trial in history where most people thought they'd at least see something positive," says John Moore, an AIDS researcher at Weill

# SCIENCE OPENS CHINA BUREAU

Science has opened a news bureau in Beijing to report on science and science policy developments in China. The bureau is staffed by Asia News Editor Richard Stone, who has been based in Bangkok, Thailand, for the past 2 years. Before that, Stone spent 4 years in Cambridge, U.K, as Science's European News Editor and a year teaching in Kazakhstan on a Fulbright fellowship. He can be reached at <u>rstone@aaas.org</u>.

Cornell Medical College in New York City. "It's very dispiriting for the field," says Lawrence Corey, an AIDS researcher at the University of Washington, Seattle, who also heads HVTN. "It will take time to



Knocked out. Disappointing interim results abruptly ended a Merck vaccine trial that used this recruiting poster.

unravel where this leaves us and how we move forward."

Researchers had pinned their hopes on Merck's vaccine because it uses a novel strategy. Instead of trying to trigger antibodies to HIV, as most other candidates do to some degree, this one relies exclusively on another arm of the immune system that stimulates what are known as killer T cells. HIV has so many mutant types that it's easy

> for the virus to dodge antibodies. Killer T cells, in contrast, appear to work against a wide array of variants, selectively targeting and destroying cells that the virus has managed to infect. Although only antibodies can actually prevent infections, the hope was that a T-cell vaccine might beat back HIV before it could get a foothold, or at least keep levels of the virus (the viral load) in check.

To trigger the T-cell response, the vaccine uses a modified adenovirus, or cold virus, as a vector to shuttle three HIV genes into the body. But many people have strong immunity to that adenovirus, which theoretically could cripple the vector and render the vaccine ineffective. To assess the magnitude of this problem and increase chances that the vaccine would work, half of the people enrolled in the study had to have low antibody levels against that adenovirus. The interim analysis focused on only those 1500 people, most of whom were men who have sex with men.

In participants who received at least one



dose of the vaccine, 24 of the 741 vaccinated people became in fected, compared with 21 of the 762 participants who received a dummy shot. More discouraging still, there was virtually no difference in viral loads between the two groups. "I was hopeful we'd see *some* dampening of viral replication," says Norman Letvin, an AIDS vac-

cine researcher at Harvard Medical School in Boston, Massachusetts, whose earlier monkey studies with the vaccine did show such a decline.

Letvin and his colleagues vaccinated monkeys and then challenged them with a lab virus, SHIV, which combines HIV with its simian cousin SIV. But when another group later tested the Merck vaccine against a more potent SIV, it failed. To Ronald Desrosiers, head of Harvard's New England Primate Research Center in Southborough, Massachusetts, that failure should have raised more red flags. "Everything protects against SHIVs," says Desrosiers.

Anthony Fauci, NIAID's director, worries that the Merck failure will give the broader T-cell vaccine concept a bad rap. "Clearly this indicates the failure of a product. Whether or not it indicates the failure of a concept, we don't know at this point," Fauci says. NIAID researchers have developed another T-cell vaccine that has more HIV genes and differs in several other key features. A large-scale trial was slated to

# FOCUS





Special report: Greening science

start this fall but has been delayed pending a more thorough analysis of the STEP results. HVTN has also put on the back burner its plans for a trial of the Merck vaccine in South Africa.

Fauci worries, too, that the failure could have reverberations throughout the pharmaceutical industry, which already is wary of investing in AIDS vaccine research and development. "There's certainly a danger of industry scratching its head and saying before we put substantial resources in, we need more sound scientific data," says Fauci.

Merck, based in Whitehouse Station, New Jersey, would not speculate about the future of its AIDS vaccine program. "The best thing we can contribute to the field overall is a thorough analysis of the data," says Mark Feinberg, the company's vice president of medical affairs. Many intriguing questions remain, he notes, such as what happened in the other 1500 participants, what was the immune responses in the "breakthrough" infection cases, and are there differences in heterosexual transmission (so far, only one woman out of the 1000 volunteers became infected). "It's not like we're not interested in the questions anymore, but it's unclear where the next breakthrough will come from," he says. "And that's not just a question for Merck. It's a question for the entire field." –JON COHEN

# Myanmar's Secret History Exposed in Satellite Images

When militiamen backed by Sudan's government attacked non-Arab villages in the country's Darfur region in 2003, Sudanese officials dismissed international criticism and called the reports of violence propaganda. Earlier this year, Sudan's denials lost all credibility when the world saw before-and-after satellite images showing that scores of settlements in the region had indeed been destroyed. Observers say the images played an important role in persuading the United Nations Security Council to authorize a new peacekeeping mission in Darfur this summer.

Last week, AAAS (the publisher of Science) released similar satellite images of Myanmar—also known as Burma—showing that dozens of villages in the eastern part of the country had been uprooted or razed to the ground. The images, taken over the past year by commercial high-resolution satellites, strengthen field accounts of a military campaign by Myanmar's dictatorship against the country's ethnic minorities, which has claimed thousands of lives and forced many more to flee to refugee camps in Thailand.

Human rights organizations hope the evidence will help prod the international community into taking tougher measures against Myanmar's government, which in recent days has cracked down on pro-democracy protestors in the capital city of Yangon. "We are following the example provided by the Darfur images," says Aung Din, policy director for the U.S. Campaign for Burma in Washington, D.C., one of AAAS's partners on the project. "We have two intentions: Convincing the international community to do more to stop human rights abuses in Myanmar, and letting the military junta know that we have satellites in the sky watching this territory."

At 25 of the 31 locations in east Myanmar that were examined in the study, researchers found visual evidence confirming eyewitness accounts of villages having been burned or shifted and showing military camps that have been set up near minority settlements. In one denials" issued by Myanmar's government.

Satellite images helped in the same way to counter Sudan's denials, says Ariela Blatter of Amnesty International, which teamed up with AAAS for a project called Eyes on Darfur. Blatter says that although Sudanese officials have "downplayed the validity" of



Wiped out. The village near Kewy Kee in east Myanmar photographed by a commercial satellite on 5 May 2004 (left) has disappeared in an image taken on 23 February 2007.

set of photos, for example, blackened scars of buildings appear in a village in Papun district after attacks were reported on 22 April.

"Eighteen of the locations showed evidence consistent with destroyed or damaged villages," says AAAS's Lars Bromley, who directed the project. "We found evidence of expanded military camps in four other locations as well as multiple possibly relocated villages, and we documented growth in one refugee camp on the Thai border." Bromley says the images help to "discredit the the project, they have found it hard to reject the evidence altogether.

Myanmar's government may prove to be more unyielding. Last year, after AAAS launched the project, Myanmar's information minister, Kyaw Hsan, predicted that critics would use "fabricated satellite photos" to claim "that the [military] is dislodging villages by force and torturing the village people." This week, Myanmar had no comment on the evidence.

-YUDHIJIT BHATTACHARJEE



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## PLANT BIOLOGY

# At Long Last, Pathologists Hear Plants' Cry For Help

A sick plant has something in common with an athlete who slathers on stinky sports balms. Both are counting on the salutary effects of methyl salicylate, the pungent oil of wintergreen. This compound turns out to be a long-sought distress call that rouses plant resistance against disease, researchers report on page 113. "Finally, we've been able to identify a signal that activates this plant-wide defense," says co-author and plant pathologist Daniel Klessig of the Boyce Thompson Institute for Plant Research in Ithaca, New York.

Unlike animals, plants can't mobilize a cadre of targeted immune cells to fight infection. But that doesn't mean that they just stand there and take it. When a pathogen infects one part of the plant, say a leaf, that tissue sounds the alarm, and other parts beef up their defenses, not only to that pathogen but also to other potential attackers. Some evidence even indicates that nearby plants can heed the alert.

For more than 50 years, scientists have pursued the so-called mobile signal that wends through the plant's phloem, or foodtransporting tissue, and spreads the alarm. In the 1990s, they thought they had nabbed this molecular messenger: salicylic acid, a key plant hormone and a close relative of the main ingredient in aspirin. However, grafting experiments proved them wrong: The graft still exhibited systemic resistance even if the infected part of the plant lacked the supposed messenger.

Klessig and colleagues came upon what

seems to be a real messenger while chasing the receptor for salicylic acid. The team's experiments eliminated one candidate receptor, the enzyme SABP2. However, they discovered that SABP2 transforms methyl salicylate into salicylic acid and that the enzyme is necessary for systemic resistance, suggesting that methyl salicylate might be the signal.

To determine whether methyl salicylate indeed delivers a warning from the site of an infection to the rest of the plant, the team performed grafting experiments on tobacco plants and then exposed the graft recipient, or rootstock, to tobacco mosaic virus. Systemic resistance still occurred when the graft was missing an enzyme that makes methyl salicylate, but not if this protein was absent from the rootstock, indicating a need for methyl salicylate only where the infection occurred.

The researchers engineered plants to make an overactive form of SABP2 that uses up methyl salicylate. When they used those plants as rootstock, no systemic resistance developed after the rootstock was infected. But if the graft alone manufactured this unstoppable enzyme, resistance appeared, again arguing for the need for methyl salicylate at the infection site.

The scientists also used RNAi to banish SABP2 from the graft or the rootstock. After infection of the rootstock, the graft developed resistance only if it could make SABP2. It didn't matter whether the rootstock could produce the enzyme.

# SCIENCE SCOPE

# **Boycott: Blocked**

The British University and College Union (UCU) last week dropped efforts to boycott exchanges with Israeli researchers, terminating debate on the issue after lawyers advised that UCU risked violating British antidiscrimination laws. Conceived as a protest of Israeli policies toward Palestinians, the current proposal was circulated in May, following two similar attempts in Britain in 2005 and 2006. Opponents of the idea included the British and Israeli governments, scientists, and AAAS, the publisher of *Science*.

"We are really happy," says chemist Yoram Cohen of Tel Aviv University in Israel, adding that collaborations have continued despite the talk of barriers. He adds, "Some of the biggest criticisms of Israeli policy come from Israeli academia." -BENJAMIN LESTER

# **Budget: Boosted**

The acting chief of the National Institute of Environmental Health Sciences (NIEHS) assured Congress last week that he will partly reverse \$11.1 million in funding cuts made by his controversial predecessor, David Schwartz. Acting director Samuel Wilson said at a House hearing that he will restore cuts, including \$966,000 slashed from the \$3.1 million budget of the institute's journal, Environmental Health Perspectives. Schwartz, who has come under fire for ethics issues and for shifting NIEHS's focus from disease prevention to clinical research, is on temporary leave as director as he awaits a high-level review of the institute's management. -JOCELYN KAISER

# **Regulation: Required?**

At an international meeting in Washington, D.C., last week, the Bush Administration emphasized voluntary measures to tackle climate change-a hands-off approach that has been widely used by the Environmental Protection Agency (EPA) to deal with environmental problems. But clear examples of success are rare, according to a report released last week by the EPA's inspector general. The report finds that EPA lacks a system for determining whether its 54 voluntary programs-which cover everything from reducing air pollution to creating safer detergents-are improving the environment. EPA associate administrator Brian Mannix agreed that stronger management is needed but noted that White House officials already review voluntary programs. That's not good enough oversight, says William Pizer of Resources for the Future, calling the inspector general's report "damning criticism."

-ERIK STOKSTAD

REDIT

Overall, the experiments indicate that the infected tissue requires the ability to make methyl salicylate, whereas the target tissue needs to be able to break it down. "I'd say we were quite confident that methyl salicylate is a signal [for resistance]," says Klessig.

"It's a pretty persuasive series of experiments," says molecular plant pathologist Terrence Delaney of the University of Vermont, Burlington.

Raising the alarm probably involves two steps, Klessig says. The tissue under attack first produces methyl salicylate and releases it into the phloem for distribution. When this messenger arrives in target tissues, SABP2 converts it into salicylic acid, which triggers systemic resistance. The work is "an elegant solution" to the question of how to reconcile earlier evidence implicating salicylic acid in systemic resistance, says plant pathologist Luis Mur of the University of Wales in Aberystwyth, U. K.

Agriculture could benefit from the discovery, says Klessig. Fine-tuning methyl salicylate levels—either through genetic engineering or selective breeding—might fortify crop defenses and reduce the amount of pesticides farmers need to apply.

However, the methyl salicylate pathway may not be the whole story. Some data suggest that the signal is a lipid—methyl salicylate is not—and that a lipid called jasmonic acid might serve as an independent signal or as a partner. "The key question is, are we looking at a parallel system?" Mur asks. Klessig doesn't have an answer, at least not yet. "It's quite possible, even likely," he notes, "that there are multiple signals."

-MITCH LESLIE

### PALEOANTHROPOLOGY

# Nariokotome Boy to Go on the Road Despite Protests

When Ethiopian officials announced plans last year to send the famous human ancestor "Lucy" to the Houston Museum of Natural Science in Texas, many paleoanthropologists were furious at the risk to an irreplaceable specimen. The late F. Clark Howell of the University of California, Berkeley, predicted that Lucy's journey would "start an avalanche" of exhibits of original hominid fossils. Last week. Howell's remark began to seem prescient: Officials at the National Museums of Kenya announced government approval for their plans to send Nariokotome Boy, the partial skeleton of a 12-year-old, to The Field Museum in Chicago, Illinois.

The 1.5 million-yearold fossil, the most complete skeleton of *Homo erectus* found, continues to be a source of scientific data, and many researchers are angry at the news. A traveling exhibit is "prostitution" of the fossils, charges Kenyan paleoanthro-

pologist Richard Leakey, whose team discovered the skeleton in 1984.

No formal agreement has been signed, and Field Museum officials say the announcement in Nairobi last week took them by surprise, as they are still in negotiations and have yet to



Chicago-bound. This rare skeleton of *Homo erectus* may travel from Kenya to Chicago, Illinois.

raise funds for the exhibit. But the proposal under discussion includes exhibiting Nariokotome Boy and its retinue of fossils from Kenya for 18 months, perhaps as early as 2009. "The Field Museum is indeed currently at an initial stage of discussions ... with the aim of organizing a traveling exhibit in the U.S.A.," says Robert D. Martin, curator of biological anthropology at The Field Museum. He says The Field has great experience in caring for fragile fossils and will strive to make sure that profits benefit Kenyan science. The Field is also considering exhibiting Lucy, who is now drawing 2000 people each weekend day in Houston.

With regard to the Lucy exhibit, many researchers argued that original fossils are too fragile to be packed up and sent around the world. Several museums

declined to exhibit Lucy because of the risk of damage and because there was no compelling scientific reason to take her out of Ethiopia (*Science*, 27 October 2006, p. 574).

The Nariokotome Boy announcement, made by National Museums of Kenya Director General Idle Omar Farah, is drawing similar reactions. Says co-discoverer Alan Walker of Pennsylvania State University in University Park: "Like many others, I don't approve. It took about a century after [finding] the first bones of *H. erectus* for us to find a partial skeleton, and it would be a disaster if we lost it."

The Lucy exhibit and any display of Nariokotome Boy outside their homelands also violate a 1999 international agreement that original hominid fossils should not be transported from their country of origin without compelling scientific reasons. Many African researchers oppose sending fossils overseas because such exhibits do little to spark investment in African scientific infrastructure, says paleoanthropologist Frederick Kyalo Manthi of the National Museums of Kenya. Meave Leakey, also of the National Museums of Kenya, adds that "the timing is unfortunate since the specimens will be seen overseas just at the time that they are planned to be put on exhibit for the first time in Kenya."

Field Museum Provost Neil Shubin says he and Martin are working to make sure the fossils would be available for study. They also would create an exhibit in Nairobi and have payments go to the National Museums of Kenya, which, according to Farah, is seeking a total endowment of \$3.5 million.

Some researchers do support traveling exhibits if done right. Lucy and Nariokotome Boy are the "patrimony for all of humankind" and should occasionally travel for study and short exhibits, says paleoanthropologist Ian Tattersall of the American Museum of Natural History in New York City. So far, however, neither the American Museum nor the Smithsonian Institution in Washington, D.C., has signed on for either exhibit. **ANN GIBBONS** 

# Is Battered Arctic Sea Ice Down For the Count?

A few years ago, researchers modeling the fate of Arctic sea ice under global warming saw a good chance that the ice could disappear, in summertime at least, by the end of the 21st century. Then talk swung to summer ice not making it past mid-century. Now, after watching Arctic sea ice shrink back last month to a startling record-low area, scientists are worried that 2050 may be overoptimistic.

"This year has been such a quantum leap downward, it has surprised many scientists," says polar researcher John Walsh of the University of Alaska, Fairbanks. "This ice is more vulnerable than we thought." And that vulnerability seems to be growing from year to year, inspiring concern that Arctic ice could be in an abrupt, irreversible decline. "Maybe we are reaching the tipping point," says Walsh.

There's no doubt that 2007 was a special summer melt season. The ice area remaining in September-the year's low pointhad been shrinking since satellite monitoring began in 1979. Some years it recovered a bit, others it declined further, but overall it shrank 8.6% per decade. In 2005, it hit a record low of 5.6 million square kilometers, down 20% from 1979. But last month, "we completely blew 2005 out of the water." says sea ice specialist Mark Serreze of the University of Colorado, Boulder. Ice area plummeted to 4.13 million square kilometers, down 43% from 1979. That's a loss equivalent to more than two Alaskas. The new low is more than one Alaska below the trend line. Nothing else like that appears in

the satellite record or, for that matter, in monitoring from ships and planes during the rest of the 20th century, says Walsh.

An immediate cause of the record-breaking year is clear enough. As Serreze explains, an unusually strong high-pressure center sat over the central Arctic Ocean while a strong low hovered over Siberia. This weather pattern allowed more solar heat through the clear skies beneath the high-pressure center and pumped warm air up from the south between the high and the low.

The vicissitudes of weather may have enhanced ice loss this year, but there's more going on than that, scientists are realizing. For one thing, their models underestimate how fast summer ice has been disappearing in the warming Arctic. "It's very alarming the way things are changing so fast," says polar oceanographer D. Andrew Rothrock of the University of Washington (UW), Seattle. "We've thought we have the important physics in the models, but ... it seems our models aren't very good in the Arctic."

Researchers say the models probably lack some realistic feedbacks, natural processes that can amplify a climatic nudge—whether natural or humanmade into a shove. And that shove could send the ice past a tipping point. "You get a kick in the right direction," says Serreze, "and it sends the ice over the edge" and into a meltdown from which it cannot recover.

Last December, researchers reported finding that at least one climate model includes feedbacks that can accelerate sea ice into a >



Bad sign. Arctic sea ice (gauged here using NASA's measurement techniques) has been declining, but 2007's unfavorable weather drove the increasingly vulnerable ice to a new record low.

## In the Navy

The University of Hawaii (UH) is moving ahead with plans to build a Navy-affiliated research laboratory near one the system's 10 campuses. Approval by the university's Board of Regents last week followed more than 4 years of controversy over the Applied Research Laboratory (ARL), which is expected to bring in as much as \$10 million per year for 3 to 5 years in research funds from the Navy and other agencies, including NASA and the National Institutes of Health. The ARL will be the fifth such University Affiliated Research Center; other hosts include sites at Johns Hopkins and Pennsylvania State universities.

But finalizing the Hawaii deal amidst opposition by community, student, and faculty groups wasn't easy; in 2005, anti-ARL protestors took over the university president's office for 6 days. Pressure from opponents led the university to specify in the contract that no classified research would occur during the first 3 years of operation. UH vice president for research James Gaines says the lab will raise the school's profile. Critics, however, accuse UH of disregarding what UH, Manoa, plant scientist Hector Valenzuela calls "general overwhelming opposition." The center, he says, "is against what the university is all about."

-BENJAMIN LESTER

## Leszek is More

Leszek Borysiewicz is the new chief executive of Britain's Medical Research Council (MRC). Borysiewicz, an immunologist who helped develop vaccines against cervical cancer, was most recently deputy rector at Imperial College London. He takes over as MRC is attempting to respond to a government report last year that called for more emphasis on research with clinical and commercial applications. But Borysiewicz says that does not mean shortchanging basic research. "We're not going to improve our translational science without keeping the basic research strong," he says.

Meanwhile, he will oversee the controversial relocation of the National Institute for Medical Research (NIMR) from the London suburb of Mill Hill into the city. NIMR researchers fought the original plans, saying the proposed site was too small (*Science*, 18 February 2005, p. 1028). But now MRC has joined forces with the Wellcome Trust/Cancer Research U.K. and University College London to bid for a site near the British Library that would eventually house 1500 scientists. The government, which is selling the property, should announce a decision on the sale in the coming weeks.

-GRETCHEN VOGEL

# NEWS OF THE WEEK

tipping point. Modeler Marika Holland of the National Center for Atmospheric Research (NCAR) in Boulder, Colorado, and colleagues wrote in *Geophysical Research Letters* (*GRL*) that when NCAR's Community Climate System Model, version 3—which has one of the most sophisticated ice components available—is run under a strengthening greenhouse, sea ice loss can suddenly accelerate, in one case cutting ice area by twothirds in a decade and wiping out September ice by 2040.

Such accelerations were

driven by two feedbacks in the model. In one, thinner ice one year made ice melt more easily the next year. In another, when white, highly reflective ice melted, the darker, more absorptive open water that replaced it absorbed more solar energy. The added heat could help melt more ice and keep new ice thinner that year—and even the next, if the heat lingered through the winter.

Holland and her colleagues "showed that in models, these abrupt changes can occur," says Walsh. Now, "this is the first time we may have seen it" in the real world.



In an in-press *GRL* paper, polar researcher Donald Perovich of the U.S. Army Cold Regions Research and Engineering Laboratory in Hanover, New Hampshire, and colleagues report estimates of increasing solar heating of the Arctic Ocean. They found that a large area of Arctic waters north of the Bering Strait had been absorbing increasing amounts of solar heat since 1979 as summer ice retreated, suggesting that the ice-reflectivity feedback has been operating there.

And in a paper appearing in GRL this

week, Son Nghiem of the Jet Propulsion Laboratory in Pasadena, California, and colleagues report a continuing decline in the thicker, older ice that tends to persist from year to year. Much of the decline in perennial ice, they found, was due to winds blowing it out of the Arctic Ocean. But thinning from added heat had made it easier for the wind to blow the ice out. That would add a dynamical feedback to the thermal feedback of ice reflectivity.

Researchers suspect that these and other feedbacks are eroding sea ice's ability to resist the warming of recent decades. "Might we lose summer sea ice by 2030?" asks Serreze. "That is not unreasonable." Next September could tell whether natural variability just made for one bad year in the Arctic or whether it is pushing the ice over the edge. Meteorologist Ignatius Rigor of UW is worried. Given the beating the ice has taken of late, he says, "the chances of another extreme next year are pretty high."

-RICHARD A. KERR



# **European Science by the Numbers**

The first round of peer-reviewed grants from the European Research Council (ERC) is out, and the agency's analysis of applicants and finalists paints a revealing picture of Europe's scientific landscape. Nearly 9000 applications flooded in this spring (Science, 4 May, p. 672); review panels narrowed these down to just 559 finalists. The ERC will select about 250 young scientists from the list by January 2008 and award each of them roughly €1 million (\$1.4 million). This week, the ERC released new figures about where the applicants come from and where they hope to work. Italians far outpaced all other nationalities, submitting more than 1700 applications-a sign, says ERC Vice President Helga Nowotny, of the dire lack of support for young researchers there. Italians were fairly successful, too: 70 made it to the final round, although just fewer than 50 plan to work in Italy. The U.K. has the best "braingain" statistics: More than 100 of the finalists work in the U.K. but just 42 are British. The big surprise, Nowotny notes, is Poland. Just three Polish researchers are finalists, and none plans to work in Poland. Michal Kleiber, president of the Polish Academy of Sciences and a member of the ERC scientific council, sees the results as disappointing; he thinks they reflect the salary caps in Poland that spur top applicants to work elsewhere. He also notes that although Poland has 8% of the E.U. population, its science budget accounts for less than 1% of overall E.U. research spending. More details are available at: http://erc.europa.eu/pdf/erc-stg-statistics-stage1-20071001\_en.pdf -GRETCHEN VOGEL

# ASTRONOMY **Europeans Lay Down Their Wish List for Next 2 Decades**

European astronomers are in a buoyant mood. They have what is widely acknowledged to be the world's number one optical instrument-the Very Large Telescope (VLT) in Chile-and several other ambitious projects under construction or on the drawing board. And last week, following a consultation process that for the first time brought together European researchers from all branches of astronomy, a new umbrella body called Astronet laid out the continent's goals for the next decade or two. "It describes the sort of science we want to do and the sort of tools we will need," says Johannes Andersen, director of the Nordic Optical Telescope at La Palma in the Canary Islands and chair of the Astronet board.

Astronet's Science Vision poses four basic questions, including "Do we understand the extremes of the universe?" (which takes in dark matter, dark energy, regions of strong gravity and the source of high-energy cosmic rays) and "How do we fit in?" (covering the heliosphere, Earth-sun interactions, minor bodies, and planetary atmospheres). Along the way, the vision dips into galaxy formation and evolution and how dust clouds form into stars and planets. The document also suggests a list of instruments needed to meet each question's science goals (see figure, below). More than two dozen of these instruments are still at the planning stage, and the report says building them all would cost "several billion euros" over the next 2 decades. But the report's authors readily acknowledge that this is a wish list. A construction schedule "wasn't the job of the Science Vision," says Astronet program coordinator Jean-Marie Hameury of the French research agency CNRS. "We won't be able to do it all. Hard choices will have to be made "

Those hard choices will fall to a Roadmap working group, which over the next year will hammer out realistic schedules and cost estimates under the watchful eve of Astronet's funding-agency sponsors. "We will be pushing the bounds, making the case where appropriate for increased astronomy spending in Europe," says the working group's head, astrophysicist Michael Bode of Liverpool John Moores University in the U.K.

European astronomy has in the past been a fragmented community. Optical astronomers work together through the European Southern Observatory; the European Space Agency handles most space missions; and national research agencies fund those bodies as well as their own astronomy programs. Europeans watched enviously as their American counterparts laid out plans in a series of decadal reviews drawn up by the U.S. National Research Council. Andersen says that plans for a similar European effort were discussed a decade ago, but it was not until 2005 that a group of national funding agencies for astronomy grew impatient, set up Astronet, and told astronomers to get organized. "Funding agencies want a comprehensive long-term plan so they can act rationally," says Andersen.

There are several key differences between the European and American planning efforts, Andersen says. First, Astronet was instigated by funding agencies (now numbering 17) rather than by the research community. The agencies will be involved in framing the Roadmap document, so they "will have signed up to it in principle," says Bode, although Andersen adds

Extremely Large Telescope (ELT)

What is the origin and evolution

High-precision radial velocity monitors

Next generation UV and x-ray satellites

High-res IR interferometer in space

High-precision photometry satellite

of stars and planets?

How do galaxies form

Square Kilometer Array (SKA)

Gamma-ray burst satellites

X-ray spectrometry satellite

Large IR spectroscopy satellite

Large UV space telescope

and evolve?

that "there are no guarantees."

The Astronet process also differs from the decadals in that first a panel, consulting with the whole community, works out the scientific priorities, and then a new panel has to whittle those aspirations down to a realistic program in the Roadmap. The Science Vision-coordinated by Tim de Zeeuw, director of ESO since last month-involved a draft report, extensive consultation via a Web site, and a meeting in Poitiers, France, last January attended by 228 scientists from 31 countries. "This has never been done before in Europe," says Bode. "Some were very skeptical, but it worked very well. People learned to think more strategically." The Roadmap will follow a similar trajectory culminating in a symposium in Liverpool next June. And finally, whereas the decadals prioritize projects and list construction costs, the Roadmap will go into much more detail and will also cover operating costs, schedules, management, research and development, and industry involvement. "The Roadmap is going to bite all those bullets. That's why it's going to be so tough," says Andersen.

With U.S. astronomers facing a flat budget and possible facility closures to pay for new projects (Science, 10 November 2006, p. 904), Britain's Astronomer Royal Martin Rees of the University of Cambridge, U.K., who has not been directly involved in Astronet, thinks Europe has reason to be optimistic. "The VLT is a symbol of what Europe can do when it works together," he says. "It's important that Europe thinks big and long term."

How do we fit in?

Solar radio spectral imaging

Large-aperture solar telescope

Medium-aperture UV satellite

Magnetospheric satellite fleet

Plasma interchange sensors

Mars sample return mission

Missions to minor bodies

Network of ground-based radars

High-latitude solar encounter satellite

Missions to Jovian and Saturnian systems

-DANIEL CLERY

Questions	Do we understand the extremes of the universe?
Proposed ground-based facilities	Cherenkov Telescope Array (CTA)
	Very long baseline sub-mm interferometer
Proposed	X-ray survey satellite
space missions	Wide-field imaging telescope
ALC: NO	CMB polarization satellite
	Laser Interferometer Space Antenna
	Large x-ray observatory
targazing. The Astrone	t Science Vision describes what Euro

Propose ground facilitie Propose space n

CREDITS: (PHOTOS, TOP AND BOTTOM) LHEA AT NASA/GSFC; (MIDDLE) SKA

ience Vision describes what European astronomers want to find out and what tools they need for the job. Stargazing.

# **NEWSFOCUS**

# Greening the Meeting

Scientific travel pours huge amounts of greenhouse gases into the atmosphere. Some societies are changing the way they run their annual meetings-and a few scientists are proposing even more drastic changes

EVERY DECEMBER, GEOSCIENTISTS DESCEND on San Francisco for the Fall Meeting of the

American Geophysical Union (AGU). In 2002, the 9500 participants traveled an average of 7971 kilometers to get there and back. That means their share of the carbon dioxide emitted by the planes they flew on totals about 11,000 metric tons-roughly the same as 2250 Honda Civics during a year's worth of normal driving.

Flying is a carbon-intensive activity. Scientists may not rack up as many frequent-flier miles as international business travelers, but one thing every field has in common is the big annual meeting and numerous smaller workshops and conferences. Add up the CO, emitted in traveling to all those gatherings, and it amounts to a sizable contribution to global warming. Scientists have been instrumental in raising public consciousness about air travel and CO, emissions. Now they are beginning to examine the consequences of their own jetting around the globe.

Several scientific organizations are trying to reduce the carbon footprint of their gatherings. The approaches include tinkering, such as reducing the use of plastic cups and reusing

tote bags, and offering attendees the chance to pay to compensate for the carbon emissions their travel generates. More radical ideas include shrinking or eliminating some meetings. A few virtual meetings have taken off, but they sacrifice networking and brainstorming. Until there's a quick, convenient, and carbonneutral way to travel, self-restraint may be the solution, says David Reay, a climate scientist at the University of Edinburgh, U.K.

### A growing problem

Scientific conferences are a booming business. Conference Service Mandl, a scientific conference service provider, lists nearly 4000 upcoming events over the next 2 years or so in its online directory. They range from tiny, highly focused Gordon Research Conferences (GRC) to the 800-pound gorilla of the conference world: the annual meeting of the Society for Neuroscience. (AAAS, publisher of Science, runs an annual general scientific meeting that drew 8000 attendees in 2007.)

Conferences are also growing in size. Since 1971, Neuroscience attendance has burgeoned from less than 1500 to a 2005 peak of nearly 35,000-a small city's worth of researchers, flying in from all over the planet. AGU's Fall Meeting has added 6000 participants over the past 5 years, an increase of more than 60%. And since 1995, the number of Gordon conferences in the United States and overseas has jumped from 130 to 180, with a surge in combined attendance of 40%. In short, even as the globe warms, more scientists than ever are on the move.

The Ecological Society of America (ESA) has taken a hard look at the environmental impact of its annual meeting. In response, it slimmed down the program book, began using soy-based inks, and now distributes its advertiser kit only electronically. The society also arranges with hotels to change linen less frequently and has removed Styrofoam from the meeting entirely. Some of the changes make more of a difference than others, but "every little bit helps," says Michelle Horton, a meeting organizer at ESA.

Other organizations are moving in similar directions, albeit more slowly. AGU paid little attention to the environmental impact of its meeting until recently, according to a spokesperson, but at its next meeting in December the organizers intend to try


webcasting some conference sessions to make it easier for people to tune in from home, as well as asking shuttle-bus drivers to turn off their engines while waiting to load.

These measures only address the conference itself, of course, rather than the larger impact of people traveling to it. According to the Society for Conservation Biology (SCB), 95% of the society's entire emissions comes from jet fuel used in getting members to the annual meeting. Everything else-running the executive offices for an entire year, for instance-pales in comparison. So SCB, as well as ESA, has begun offering carbon offsets to its members to compensate for the emissions related to their air travel. Check a box on either organization's meeting registration form, and they'll tack a maximum of \$20 on to the admission fee, putting it toward projects that help offset carbon. However, offsetting is still new, and some environmentalists think the practice is so plagued by flaws that it is little more than feel-good greenwashing (see sidebar).

Even within ESA, the idea has been slow to catch on. Last year, only six ESA members ponied up extra cash to offset their trip, meeting

KRAUSE/SCIENCE

# Offsets: Worth the Price of Emission?

With society's environmental conscience outpacing its willingness to cut down on carbonintensive travel, carbon offsetting is coming to the fore as a way for concerned citizens and organizations to reduce their contributions to global warming. The most popular approaches are planting trees to sequester carbon from the atmosphere or paying energy companies to pump renewable energy onto the grid. A new crop of companies has sprung up to cater to the need.

It's a simple idea that's fraught with problems. For instance, the Society for Conservation Biology (SCB) offsets members' emissions from travel to the annual meeting by hiring locals to replant goat-decimated World Heritage Area habitat in South Africa's Baviaanskloof (Baboon Valley). According to offset committee chair Paul Beier, the project provides real, verifiable carbon reductions. However, trees die, so organizations that use offset schemes like SCB's must commit to maintaining their investment and replanting in case of fire or disease. Offsets based on renewable energy technology only work if every dollar spent on an offset actually translates into an increase in the number of green kilowatts a provider pumps onto the grid—tricky to verify if the offset provider is half a world away.

Issues like these have led governments and nongovernmental organizations around the world to introduce offset-certification schemes to give consumers confidence that their money won't be wasted. Technical matters aside, however, some, like British environmentalist George Monbiot, argue that the very concept of offsets—allowing people to feel better about causing carbon emissions—saps the will to conserve or consume less. **–B.L.** 

organizers say. At this year's conference, held in August in San Jose, California, greater awareness pushed that number up to 500—a huge increase but still less than 15% of the meeting's 3600 registrants. Members of SCB seem to feel more strongly; in the program's debut in July, 97% of the 1600 attendees at the meeting held in Port Elizabeth, South Africa, checked the offset box on their registration form.

#### Make the meeting count

Another option would be to hold annual meetings less frequently. But that can be a tough sell. When SCB's Board of Governors voted

on this idea in South Africa, some members considered the meeting's exchange of ideas too important to forgo. "We tied eight to eight," says Paul Beier, a conservation biologist at Northern Arizona University in Flagstaff and chair of the SCB carbon-offset committee. So the issue was tabled until the next meeting. In any case, Beier thinks his society should restrict meetings to major cities because holding them in scenic outlying areas such as Port Elizabeth means more connecting flights and more emissions. "Nearly everyone flew through Johannesburg," he says, so "in the future, we should hold any meeting in southern Africa in Jo'burg."

The importance of location is also evident from the unpublished analysis of the 2002 AGU and ESA meetings by David Scott and Lawrence Plug, both of Dalhousie University in Halifax, Canada. They found that ESA could have reduced its meeting's emissions more than 13% by changing the venue from Tucson, Arizona, to the more central spot of Omaha, Nebraska.

Edward Hall, a geographer at the University

## TRAVEL TIPS

- 1. Skip meetings when you can.
- When you can't, combine trips to get the most out of your air miles.
- 3. Avoid conferences in far-flung lands.
- For conferences close to home, carpool or take a train.
- Choose a hotel close to the conference to avoid commuting.
- Ask conference organizers to team with local hotels to reduce linen changes and other waste for conference attendees.
- Avoid using disposables such as plastic tableware and Styrofoam cups.
- Don't collect brochures that will only get thrown out.

of Dundee, U.K., suggests a more radical approach: Limit attendance, especially by international travelers. Earlier this year, Hall published a breakdown of the environmental impact of the 2006 annual meeting of the Royal Geographical Society in Area, the society's journal. He found that more than 95% of the 810 metric tons of carbon emitted during 4 million kilometers

of conference travel resulted from foreign attendees flying into the U.K.

#### **NEWSFOCUS**

That idea might have trouble getting off the ground. Case in point is a small conference concerning, ironically, greenhouse gases. The organizers of the conference-the groups Chemical Research Applied to World Needs and the International Conference on Carbon Dioxide Utilization (ICCDU)-had some discretionary funds at their disposal, and several of the 151 delegates suggested carbon offsets for travel to the conference in Ontario. Instead, the organizers decided to offer travel scholarships to delegates from developing countries, which will be less equipped to cope with warming. "We felt it was very important for them to attend," says Philip Jessop, an ICCDU member and chemist at Queen's University in Kingston, Canada.

#### Virtually there

Researchers don't necessarily have to attend a meeting in person to get something out of it. Virtual conferences are a growing trend; they have recently

been held on topics including nanoscale structures, animal diseases, amphibian conservation, and climate change.

One of the largest such events is the Virtual Conference on Genomics and Bioinformatics (VCGB). In 2001, a Peruvian geneticist named Willy Valdivia-Granda, then associated with North Dakota State University in Fargo, founded the conference to enable



Poster child. The Society for Neuroscience hosts the largest scientific meeting, but all such gatherings consume copious jet fuel and other resources.

recalls a particularly jam-packed venue in India. "They had so many people participating that they had to show the conference in

> city hall," he says. Attendees to VCGB

gather at local nodes linked together using Access Grid, a virtual collaboration system developed at Argonne National Laboratory in Illinois. A simple node typically consists of a laptop with a webcam, says project lead Thomas Uram of Argonne, but a topof-the-line installation might feature a dedicated conference room sporting several computers linked to large flat-panel displays with motorized

webcams, microphones, and sophisticated echo-cancellation equipment. All that can cost as much as \$20,000-much more than the cost of getting to a conference.

The system creates a permanent virtual meeting space on the Internet, which can house collaborators' data and files. that allows participants to talk things over via video, audio, and chat. Although the original purpose was to facilitate collaboration between small groups of researchers, Access Grid also works for an international multicast on the scale of VCGB.

In addition to broadening its audience, VCGB has had an environmental pavoff. According to an analysis by climate scientist Reay, the 2001 conference prevented the release of 900 metric tons of CO<sub>2</sub>. The savings have increased with subsequent years' growing attendance.

Lower tech virtual formats avoid some of the costs and technical savvy required to set up a conference using Access Grid,

but they have the same basic shortcomings: They lack impromptu conversations and networking between sessions. "I'm nervous of virtual conferences," says plant biologist Gregory Copenhaver of the University of North Carolina, Chapel Hill, Although he has never participated in a conference like VCGB, he says he worries that "you lose that sense of catching someone in a hallway" and sitting down for a chat.

Reay agrees. "I can't see a future where we don't have conferences," he says. "A lot of the best scientific ideas I've been privy to have come over a glass of wine at a conference dinner or a bar later on." The problem is magnified at small, focused meetings like the Gordon conferences, whose main focus is on that kind of direct personal interaction. According to a GRC organizer, linking in an attendee remotely has been tried: It "failed miserably."

At their best, conferences put minds in close proximity and can foster the kind of environment that leads to new ideas. Sometimes, they just rehash information that is already published or easily accessible online. Researchers concerned with the environmental impact they make should pose a question before they register, says Do I really need to go -BENJAMIN LESTER Reay. "Ask yourself, 'Do I really need to go to this meeting?""



Face to face. Virtual meetings, like this one at the Access Grid site in Arlington, Virginia, save on travel—at the expense of hallway brainstorming.

5 OCTOBER 2007 VOL 318 SCIENCE www.sciencemag.org



#### SUSTAINABILITY

# **This Man Wants to Green Your Lab**

Allen Doyle and his team spread the gospel of sustainability from lab to lab, but it's no easy task in the competitive world of research

SANTA BARBARA, CALIFORNIA—For the price of a few pizzas, Allen Doyle saved his science building \$16,000 in electricity costs this year—and kept more than 6 metric tons of carbon from entering the atmosphere. The six-story structure where Doyle manages a soil ecology lab at the University of California, Santa Barbara (UCSB), houses 55 fume hoods, each of which burns through as much energy as three averaged-sized U.S. homes. "I offered pizza to anyone who would let us shut off their [unused] hoods for 6 months or more," he says. "I was hoping for three hoods; we got nine."

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Doyle hates to see anything wasted. The typical lab consumes four to five times as much energy as an equivalent-sized office or classroom, to say nothing of the huge amount of plastic, paper, and hazardous chemicals researchers go through. Yet in Doyle's experience, scientists are blasé about reducing their environmental footprint while at work. "There's a bit of a 'Don't ask, don't tell' culture out there," he says. Many researchers chastise the government for not doing more for sustainability, says Doyle, "but we're ignoring the same issues in our own labs." In the spring of 2006, Doyle co-founded a program called Laboratory Assessments for Research Sustainability (LARS)\* with campus sustainability coordinator Katie Maynard. Assisted by a team of interns, Doyle goes from lab to lab on campus, identifying trouble spots, offering advice, and throwing in cookies and coffee when necessary. "We're just

## LAB TIPS

- Close hood sashes and disable unused hoods.
- 2. Defrost freezers regularly.
- 3. Turn off equipment at night.
- 4. Borrow and lend used equipment.
- Share surplus chemicals and use environmentally friendly reagents.
- Request removal of unused light bulbs from ceiling fixtures.
- 7. Print double-sided.

\* http://www.sustainability.ucsb.edu/LARS/

looking for simple answers that may have a significant impact on campus," he says.

What makes Doyle's program stand out from other sustainability efforts around the country is its student-driven approach, says Dale Sartor of the U.S. government-sponsored Labs21 Program, which aims to improve the sustainability of research laboratories (see p. 40). Already, LARS has helped shut down unused vacuum systems and other utilities, saving departments thousands of dollars in electricity. And by helping researchers trade surplus materials, Doyle has cut down on industrial waste.

Still, the grassroots effort has its limits. Doyle says his team has been stymied by scientists more concerned with cost and competition than conservation. But he remains optimistic that his program is a model for what scientists with a green streak across the country can do to help their labs go easier on the environment.

#### Campus crusader

Tag along with Doyle for a day, and you can't help but catch a bit of his sustainability fever. The lanky 49-year-old credits his environmental passion to "Jacques Cousteau, Marlin Perkins, and the brook across the street." After earning a master's degree in chemical oceanography from the University of Alaska, Fairbanks, Doyle came to UCSB almost a decade ago. Since then, he has somewhat obsessively turned the lab he manages into a shrine to conservation. Old wooden shelves

#### NEWSFOCUS

overflow with opaque plastic tubes, each sporting numerous black marks indicating the number of times they have been reused. And almost every scrap of paper in the room has been printed on at least twice: readouts from a spectrophotometer bleed through to a lab inventory list.

In June, Doyle was spreading the gospel to a neuroscience lab run by Kenneth Kosik. Doyle sees opportunities for conservation around every corner; sometimes he gets so fired up, he can't get his suggestions out fast enough. As third-year graduate student Fernando Santiago shows the team around Kosik's lab, two of Doyle's undergraduate volunteers pepper Santiago with questions. Is there a labwide policy for shutting the lights off? Do you recycle unused chemicals? How does evervone commute?

Inside the tissue-culture room, two large hoods glow aquamarine with UV light. Doyle immediately zooms in on a glass vacuum trap that isn't working efficiently. By simply repositioning it, he tells Santiago, the lab could avoid clogging the

building's vacuum system and cut down on wasted energy. "It made a lot of sense and hadn't occurred to me," Santiago says later.

Elsewhere in the lab, Doyle's team offers more obvious suggestions. *Turn your computers off at night*. The average computer uses at least 100 watts. If the members of the Kosik lab powered down its four desktop computers when they left for the night, the lab could



Waste stream. Plastic tubes and old electronics can become huge sustainability problems for biomedical labs.

keep a maximum of 700 kilograms of carbon out of the atmosphere each year. *Defrost your freezers regularly*. The frost insulates the coils and makes the compressor work harder to pull heat away. *Make yourself aware of the electricians on campus*. Sometimes a simple tweak can help a piece of equipment run more efficiently or save a gadget that would otherwise end up as industrial waste.

Other issues don't lend themselves to simple solutions. For example, Santiago guesses his lab goes through somewhere between 20 and 40 kilograms of plastic a month-in the form of pipette tips, polypropylene tubes, and tissue culture plates (not to mention the packaging). "Plastic use is a huge issue in biomedical labs," says Doyle. But when he suggests that the Kosik lab switch to glass, Santiago looks skeptical. Reusing glass opens the lab up to the risk of contamination. "If we lost even a few cell lines because of this, it would be a big punch to the stomach," Santiago says. "Nobody wants to take that kind of blow to their science in the name of sustainability." Plus, hiring a dishwasher would cost \$7 to \$8 an hour.

Doyle admits that the issue is not clear-cut. Washing glass has its own environmental impact in terms of water use—especially in southern California. Still, he doesn't give up easily. "I could reuse your plastic for my work," he says, explaining that because his lab studies dirt, it doesn't

have major contamination issues. "I could live downstream of you." Santiago agrees, and a new sustainability relationship is born.

#### Not easy being green

Even with the best intentions, Doyle's program is struggling to grow beyond its pilot phase. LARS currently operates on about \$40,000 and is largely staffed by interns,



# Energy-Efficient Freezers for Everyone

If you live in the United States, it's easy to spot the most energy-efficient appliances at your local home electronics store. Thanks to a joint program of the Environmental Protection Agency (EPA) and the Department of Energy, more than 50 types of products—from computer monitors to air conditioners—sport an "Energy Star" label if they are among the most energy-efficient

items in their line. But leaf through a catalog of lab equipment, and you'll find no such guides.

Paul Mathew hopes to change that. The staff scientist at Lawrence Berkeley National Laboratory has been working with EPA for more than a year to put Energy Star labels on lab appliances. "People are clamoring for energy-efficient equipment," he says, "and the best way to do this is to have labels." Starting in 2008, researchers should have their wish—at least as far as fridges and freezers are concerned.

That still leaves out a host of other lab gadgets, including ovens and centrifuges. The short-term prospects for getting Energy Star labels on these products are dim, says Mathew, because they represent a niche market. So he and colleagues at Labs21, \* a federal green-labs program, have been calling manufacturers and plugging in watt meters to obtain energy-use figures for as much lab equipment as they can. Those data should start appearing on the Labs21 Web site in about a year, meaning scientists will soon be able to tell which water bath is likely to send their energy bills off the deep end. **–D.G.** 

www.labs21century.gov

who call themselves the Laboratory Research and Technical Staff (LabRATS). Doyle and his helpers scrounge most of the money from receptive departments and grants from foundations. The university won't commit hard funding until more labs are eager for assessments.

That's been a big challenge. In its first year, Doyle and his crew only visited labs they were friendly with, racking up 11 assessments. But in early January, the program started cold-calling professors, and the reception was far more chilly. Out of 27 invitations, only four labs have said yes.

Why the cold shoulders? "People don't want to take time away from their projects," says LARS co-founder Maynard, even if it's only for a couple of hours. And researchers just don't give conservation a high priority, adds UCSB paleobotanist and campus sustainability crusader Bruce Tiffney: "Scientists think about being green in their personal lives, but when it comes to work, they start thinking about publications and promotions." To that end, they typically don't want to risk using recycled reagents or tweaking delicate equipment just to save a few watts.

The lack of tangible incentives is also a roadblock, says Doyle. Sustainable lab practices often save money, especially when energy is involved, he says, but labs don't see those savings because the university pays the bills. UCSB campus energy manager Jim Dewey agrees. "Researchers aren't going to make compromises just to save the campus money," he says. And if making a change costs the lab itself cash, forget it. "Researchers are not held responsible for meeting carbon goals," Dewey says. "They're held responsible for meeting their budget."

Labs in hot fields—especially those run by young professors—also worry about competition. Doyle recommends that researchers turn off their water baths at night to save energy. But heating those baths back up in the morning can take precious time. "The pressures on productivity are huge," he says. "If you ask a lab to do something that will slow them down, it won't work out."

#### Spreading the word

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Despite faculty resistance, Doyle's program has begun to win converts on campus. After the LabRATS visited a soil science lab in the fall of 2006, the researchers began pestering the recycling office about recycling pipettes, paper, and electronics. "Apparently, we got them thinking, and they started calling every other day," says Maynard. In other instances, lab members have become LabRATS themselves and have helped spread the word to

# Do-It-Yourself Recycling

What if your lab went through enough plastic pipette tip boxes a month to fill a small backyard pool, and your university didn't recycle any of it? Such was the case in the Johns Hopkins University laboratory of Bert Vogelstein as it plowed hot and heavy into the cancer genome project in early 2006. "The sheer volume of what we were wasting was annoying to me," says postdoc Devin Dressman.

So Dressman took matters into his own hands. He hauled the plastic boxes to a local recycling pickup site and made reusable cardboard receptacles back in the lab. "Most people were really into it," Dressman says of his labmates.

Eventually, Dressman convinced his building manager that the program made financial sense. Johns Hopkins pays about 66 cents a kilogram to destroy biohazard trash, he notes, so the campus reduces those costs by recycling the harmless pipette boxes. The entire medical campus is now recycling the boxes, and efforts are under way to get the rest of the university involved. "It's a win-win situation for everybody, and it's self-sustaining," says Dressman. Best of all, he no longer has to schlep plastic across town himself. "My goal was to take myself out of the picture," Dressman says. "I'm not here to do recycling, I'm here to do research." **–D.G.** 



Over the next year, Doyle hopes to reach even more scientists. One goal is to incorporate "eco-training" into the safety course that all faculty members and students must complete before working in a lab. Another project involves creating a Web site for surplus equipment to make it easier for scientists around campus to find and trade used equipment.

Still, Doyle says that to make more than an incremental impact, he'll need to get the university involved. If UCSB were to mandate a similar program in every department-what Doyle describes as going from retail to wholesale-he predicts it could save the campus hundreds of thousands of dollars in utility bills and equipment purchases. So far, university officials have shown no sign of wanting to set any requirements. With enough faculty support, however, they just might. A positive sign is that LARS just got permission from the dean of the Division of Mathematical, Life, and Physical Sciences to assess all eight labs in the department's new marine science building.



Tip top. Devin Dressman sits on a throne of recyclable pipette tip boxes.

other institutions, too, at least on a similarly small scale. "The challenge is finding a blend of dedicated staff and students to make the communications happen and to look for the conservation opportunities," says Doyle, "but our experience is that there are strong personalities and dedicated conservationists on most campuses." They just need the right tools, says Doyle, and he is planning on publishing his survey questions and other techniques on the Web.

For now, however, Doyle is focused on the task at hand. A couple of hours after visiting the Kosik lab, the LabRATS finish an assessment of an ecology lab run by Bradley Cardinale. The lab runs out of a World War II Army barracks, and Doyle jokingly refers to it as a recycled building. Cardinale's lab has done a good job optimizing its equipment to save energy, but Doyle suggests decommissioning a few unused overhead lights and unclogging a cold-room compressor. Cardinale seems eager to comply. "I think it's going to be a very successful program, and it makes a lot of sense for academics to get involved," he says. "If we don't take leadership for sustainability, who will?"

-DAVID GRIMM

Doyle thinks his approach could work at

# <u>COMMENTARY</u>

Numerical creativity



50 years after Sputnik



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# LETTERS

edited by Jennifer Sills

## A World with Corals: What Will It Take?

IF THE ARTICLE "A WORLD WITHOUT CORALS?" (NEWS FOCUS, R. STONE, 4 MAY, P. 678) LEFT YOU reaching for a stiff drink, you are not alone. The measures required to limit climate change can seem an eternity away to coastal communities left to deal with the consequences. Yet, since the 1997-98 mass bleaching-an unforgiving global event that destroyed 16% of the world's coral reefs-practitioners and scientists have worked to identify meaningful actions that can promote reef survival in the face of climate change.

We believe it is more useful to ask, "What would it take to have a world with corals?" In this respect, the community responsible for the sustainable management of reefs has recently produced a series of consensus viewpoints (1-3). The emerging agenda stresses the need for a two-



pronged approach: (i) global actions to reduce climate change and (ii) local actions to support ecosystem resilience.

The challenge of achieving international action on climate should not overshadow the significance of local interventions. Growing evidence suggests that local management will assist coral reefs through the period where we, as a global society, struggle to stabilize Earth's atmosphere. Strategies as broad as retaining herbivores (4), protecting naturally resilient areas (e.g., the sidebar "Palau combats coral bleaching," C. Pala, 4 May, p. 680), and maintaining conditions for coral recruitment (5) appear to be effective for shoring up the resilience of reefs in preparation for the next 100 years of stress.

Although the current greenhouse trajectory is disastrous for coral reefs and the millions of people who depend on them for survival, we should not be lulled into accepting a world without corals. Only by imagining a world with corals will we build the resolve to solve the challenges ahead. We must avoid the "game over" syndrome and marshall the financial, political, and technical resources to stabilize the climate and implement effective reef management with unprecedented urgency.

#### HEIDI SCHUTTENBERG<sup>1,2</sup> AND OVE HOEGH-GULDBERG<sup>2,3</sup>

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## **Pseudoscience in Bosnia**

IN THE NEWSMAKERS ITEM "DIGGING FOR pride" (27 July, p. 435), Bosnian Prime Minister Nedzad Brankovic is quoted as asking, "Why don't we recognize something that is visible to the naked eye?" An answer to his question is that Semir Osmanagic and his colleagues have so far failed to publish, in a peer-reviewed journal, a credible case that the ruins of a monumentconstructing "supercivilization" are anything other than a haphazard collection of jointed bedrock, Leisegang banding, sole marks, concretions, and other geologic features mixed in with some unrelated medieval, Roman, and other artifacts and ruins (1).

For example, Osmanagic and his colleagues claim that giant, meter-scale, "stone balls" found near Zavidovici, Bosnia and Herzegovina, are man-made artifacts related to a Bosnian "supercivilization." Examination of petrographic thin sections of recently obtained samples of the Zavidovici "stone balls" and the bedrock that originally enclosed them found that they consist of litharenite (2). Typical thin sections of the "stone balls" exhibit pervasive carbonate cement, including poikilotopic calcite spar. The calcite cement has often replaced framework grains. The bedrock, either from which these objects came or in which they are still partially encased, consists of litharenite almost identical in composition to these spherical to subspherical boulders. Local bedrock differs from these objects in that it typically lacks the strongly developed carbonate cement. Their carbonate cements, their subspherical shape, and their having been embedded in local bedrock demonstrate that they are naturally formed, calcite-cemented cannonball concretions, which have been described from Egypt, Kansas, New Zealand, and the southwestern United States (3-6).

However, no matter how obviously natural the various features that comprise pseudoarchaeological sites are to conventional geologists and archaeologists, dismissing them as "pseudoscience" is not enough. Instead, we need to explain to the public-using empirical data and logical arguments published in either popular articles, field guidebooks, Web pages, or other misidentified or misrepresented as cultural



artifacts. The wide interest generated by Bosnian "pyramids," the "Phoenician Furnace and Fortress" of Oklahoma, and other pseudoarchaeological sites offers an opportunity to educate a curious public about the origin and significance of the geologic features such as systematic jointing, Leisegang banding, ripple marks, sole marks, and concretions that comprise them.

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## Effect of Poor Census Data on Population Maps

THE REVIEW "LARGE-SCALE SPATIAL-TRANSMISSION models of infectious disease" (S. Riley, 1 June, p. 1298) states that "[f]or humans, an accurate estimate of population density is available for the entire Earth, up to a resolution of 1 arc sec." The differing modeling approaches and input data used in the many global human population surfaces (1-3) mean that the estimated spatial distribution of populations and consistency both within and between products varies markedly.

The spatial resolution of input census data is critical to the mapping accuracy (4). For many countries, contemporary census data collected at a high administrative unit level exist to facilitate "accurate," realistic-looking population mapping (e.g., fig. S1A) (5). For the majority of low-income countries, however, such data do not exist. This is especially true for much of Africa, where census data used for the production of global products are often over a decade old and at a resolution just below national level; a simple glance at the blocky and unrealisticlooking population distributions mapped for many African countries suggests that accuracy varies substantially (e.g., fig. S1B).

The lack of high-resolution data across much of the low-income regions of the world is likely to represent a significant limit to extending the reliable application of largescale spatial transmission models of infectious diseases.

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- See Supporting Online Material at www.sciencemag.org/ cgi/content/full/318/5847/43a/DCL.

#### Response

TATEM RAISES A POTENTIALLY IMPORTANT ISSUE. The accuracy of estimates of population density varies according to the quality of available supporting census data. However, current estimates for areas with poor census data may be sufficiently accurate to be used by studies based on large-scale spatial-transmission models.

Consider the potential transmission dynamics of reemergent smallpox. The main hypothesis supported in (1) is that, for the United Kingdom, spatial disc vaccination around known cases at either 15 or 50 km would not be an efficient addition to contact tracing, isolation, and vaccination. For the Central African Republic (CAR), results from a similar study would depend on the underlying assumptions of the human population model. Specifically, visual comparison of output from the global population model (2) for the CAR and northern Democratic Republic of Congo (immediately south of the CAR) suggests that heterogeneity between major roads in the CAR is underestimated. The sensitivity of predictions of disc vaccination efficacy for the CAR would have to be tested against this frailty, just as they would have to be tested against other key assumptions such as travel behavior and pathogen transmissibility. The post-hoc adjustment of global population data required for these sensitivity analyses would present particular technical

challenges. However, given the much lower population densities in the CAR compared with the United Kingdom, if accurate travel data were available, it is entirely possible that a large-scale spatial-transmission model could be used with current global human population estimates to generate robust evidence in support of disc vaccination, perhaps with disc sizes greater than 50 km.

Another example where current population density estimates for Africa may be useful is in the analysis of the effects of sexual behavior change on the incidence of HIV in Uganda and Zimbabwe at different times (3). Did behavior changes affect the evolution of the regional incidence pattern over time, or is HIV incidence locally self-sustaining? If similar sustained behavior changes occur in other countries, can we predict spatial patterns of endemicity and/or eventual eradication of sexually transmitted infections? How useful could spatial targeting of resources across the region be in minimizing overall incidence? I do not suggest for a moment that large-scale spatialtransmission models can provide rapid definitive answers to these broad questions. However, using current population density estimates to construct large-scale models with these questions in mind might be a good starting point from which more specific relevant hypotheses could be generated.

#### STEVEN RILEY

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- I thank G. Garnett for commenting on this response and The Research Fund for the Control of Infectious Diseases of the Government of the Hong Kong Special Administrative Region for funding.

## Light-Splitting Method Not New

THE NEWS OF THE WEEK ARTICLE "LIGHTsplitting trick squeezes more electricity out of Sun's rays" (E. Kintisch, 3 August, p. 583) conveys the erroneous impression that a spectral splitting solar concentrator using a dichroic mirror is a novel, unproven method to achieve high efficiency. Although the group at the University of Delaware deserves commendation for setting an efficiency record, the approach is not new. In 1978, a group at Varian, working under a U.S. Department of Energy/Sandia contract, demonstrated an identical system using silicon and AlGaAs cells (1). The 28.5% module efficiency set a record at the time, which has been surpassed with the advent of stacked multijunction cells. Today, textbooks on photovoltaics describe such systems (2).

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#### Letters to the Editor

Letters (~300 words) discuss material published in *Science* in the previous 3 months or issues of general interest. They can be submitted through the Web (www.submit2science.org) or by regular mail (1200 New York Ave., NW, Washington, DC 20005, USA). Letters are not acknowledged upon receipt, nor are authors generally consulted before publication. Whether published in full or in part, letters are subject to editing for clarity and space.



Reports: "Intra- and intermolecular band dispersion in an organic crystal" by G. Koller et al. (20 July, p. 351). The legend for Fig. 1 should have included the following information: The illustrative STM image of Fig. 1B was obtained at the Institute of Physics, Freie Universität Berlin, in collaboration with L. Grill.

Reports: "Food web-specific biomagnification of persistent organic pollutants" by B. C. Kelly et al. (13 July, p. 236). In Table 1, molecular weights were incorrectly reported for six chemicals. The corrected molecular weights (in parentheses) for the following compounds are: trifluralin (335); 1,2,4,5 TeCBz (216); PCB 180 (395); PBDE 47 (486); PBDE 99 (565); and PBDE 209 (960).

#### TECHNICAL COMMENT ABSTRACTS

#### Comment on "Top-Down Versus Bottom-Up Control of Attention in the Prefrontal and Posterior Parietal Cortices"

#### Jeffrey D. Schall, Martin Paré, Geoff F. Woodman

Buschman and Miller (Reports, 30 March 2007, p. 1860) described the activity of ensembles of neurons in parietal and frontal cortex of monkeys performing visual search for targets that were easy or hard to distinguish from distractors. However, their conclusions are called into question by discrepancies between their results and publications from other laboratories measuring the same neural process.

Full text at www.sciencemag.org/cgi/content/full/318/5847/44b

#### Response to Comment on "Top-Down Versus Bottom-Up Control of Attention in the Prefrontal and Posterior Parietal Cortices"

#### Earl K. Miller and Timothy J. Buschman

We reported latencies for target selection based on the earliest neurons to show effects, which Schall *et al.* mistakenly compare to latencies based on population averages. We show that there are actually no discrepancies across studies and also discuss the relative merits of single-electrode versus multiple-electrode approaches.

Full text at www.sciencemag.org/cgi/content/full/318/5847/44c



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# **BOOKS** ETAL

#### ENVIRONMENT AND HEALTH

## **On Sickness and Surroundings**

#### Peder Anker

nvironmental health and justice have moved to the center stage of ecological concern. The current debate first flared with the dramatic events at Love Canal near Niagara Falls, New York. That polluted landscape became the scene of a social call to action against lethal living conditions that culminated in the 1980 evacuation of the Love Canal community. Phil Brown's Toxic Exposures documents the social movements that subsequently arose to improve people's environmental health in many other locations. Asthma has been a core issue for this movement, and Gregg Mitman's excellent study Breathing Space explores old and recent efforts to find relief for sufferers within allergenic landscapes.

The environmental health and justice movements brought new academic perspectives that address issues related to risk perception, landscape history, and the dynamics of a democratic society. They generally focus on the social and ecological complexity of environmental health problems, and they tend to conclude that one should seek interdisciplinary answers and solutions. Instead of equating an illness with the effect of a precise cause, as medicine tends to do, Mitman (a historian of science and medicine at the University of Wisconsin, Madison) recommends paying greater attention to the social and ecological relationships of diseases. Brown (a sociologist at Brown University) also emphasizes social and ecological complexity in dealing with toxic exposures.

The call for complex analysis tends to backfire when polluters answer with the same appeal to the complexity of their social or financial situations. Both authors readily admit this and recognize the importance of finding simple solutions to urgent problems. A quick medical or technical fix to toxic pollution is more helpful to those people exposed than ten sociological or historical studies. Yet swift scientific solutions lead to a

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#### Breathing Space How Allergies Shape Our Lives and Landscapes

#### by Gregg Mitman

Yale University Press, New Haven, CT, 2007. 330 pp. \$30. ISBN 9780300110357.

Toxic Exposures Contested Illnesses and the Environmental Health Movement

*by Phil Brown* Columbia University Press, New York, 2007. 392 pp. **\$**29.50, £19. ISBN 9780231129480. state in which one is dealing only with the symptoms and not the underlying causes. As Mitman points out: "We take a pill or a puff, feel better, and conveniently ignore how that chemical moving inside our bodies connects us to a larger political economy and ecology of allergic disease." It is in locating these background issues that sociologists or historians of science may be of help to the scientific community.

Brown's sociological study shows the importance of laypeople's identification of toxic exposure and challenges to established

medical perspectives. He analyzes three very different cases to demonstrate this: social movements addressing breast cancer, asthma, and Gulf War illness. In all three areas, he demonstrates, grassroots activity and mobilization played key roles in generating new scientific knowledge, finding solutions, and helping victims. Dealing with these toxic exposures required crossing specialist, social, and economic barriers. He explains why it is more likely that social, scientific, and policyrelated answers to toxic exposures will be found when questions arise from those who have been exposed. Brown's argument is particularly convincing in his analysis of breast cancer, where he documents the importance of a social (as opposed to individual) call to action, the value of laypeople raising scientific questions, and the force of ground-level political mobilization among women.

In his analysis of asthma, Brown claims that "attention to the new asthma epidemic comes from empowered laypeople who are concerned about environmental triggers of the disease." This claim needs some qualification in view of Mitman's study, which shows that the asthmatic and allergic epidemic is an old phenomenon dating back to financially "empowered laypeople" with a different social and political setting than those Brown discusses. They both hold, though, that the call to action did not start in the scientific laboratory, but instead among the victims. Indeed, the thinking of the environmental health movement Brown describes looms large behind Mitman's historical analysis.

A combination of scholarly and engaging history, *Breathing Space* offers an alluring account of how allergies shape people and the environment. Mitman's historical research, archive work, and methodology are rigorous. His account is also witty (as in telling about the well-to-do's use of allergy as a convenient justification for going on vacation; I laughed out loud twice) and moving (as when address-



Mr. A. Wiper Weeps on a train. The hood sheltered him from the dust and smoke of the railway. Hay fever could be addressed humorously by those with the money to afford the holiday cure.

ing the racial bias and environmental injustice toward the urban poor).

Mitman takes the reader through six more or less independent stories describing how allergies came to shape people and spaces in the United States. He starts with the holiday resorts of the 1870s in which the leisure class searching for escape from hay fever created a substantial tourist economy in mountain environments. At the time, allergy was understood as a functional nervous disease best cured through travel to landscapes and hotels of leisure. Mitman turns this social history of allergy into an environmental history, arguing that the upper-class escape reshaped holiday landscapes into anything but allergy-safe places. Allergenic plants such as ragweed followed the infrastructure of large hotels (e.g., trains, roads, vegetable gardens, and tree cuttings). Mitman shows how botanical research into the life and spread of the giant ragweed (Ambrosia trifida) led to medical investigations of its allergenic powers. From these studies came new understanding of the importance of its pollen, followed by a social "war against ragweed" in the form of massive clearings and subsequent "vaccine" of nature in the form of herbicides.

Inspired by environmental justice methodology, Mitman develops a novel way of analyzing the urban history and ecology of ghetto cultures in New Orleans and New York City in the 1960s and 1970s. Instead of pinpointing one cause of asthma, the cockroach-which inevitably led to a narrow focus on its eradication-Mitman untangles a web of environmental, racial, social, and economic factors to explain the causes of allergies among the poor. Equally interesting is his history of airconditioning and other attempts to engineer pollen- and dust-free indoor environments as refuges from allergic diseases. In these pages, Mitman argues that the one-size-fits-all technological fix of air-conditioning could not provide an asthma-safe zone inside buildings.

Neither could the billion-dollar pharmaceutical industry, to which Mitman devotes the last part of his book. Taking medicine against allergies, he argues, is an escape from place. In addition to addressing medical treatments of the body, the book offers a plea for addressing land use, the urban matrix, and building construction as well as the social and economic inequalities that in combination create an environment triggering allergic reactions. "Allergy is not a thing but a relation," Mitman stresses, and consequently one needs to take a broad social and ecological approach.

In reading these books, I was struck by their Amerocentric focus. Brown discusses the U.S. "environmental health movement," and in telling about "our lives and landscapes" Mitman only includes Americans. As the forces at work—plants, insects, animals, people, pollution, money, companies, politics, social movements, science—move around on a global scale, there is an urgent need to discuss environmental health concerns on the same international level.

Brown and Mitman show that environmental health advocacy groups have shaped not only the political and social dynamics of research but also the ways in which landscapes and society evolve. Their focus on the broad circumstances of scientific developments is both timely and important. *Toxic Exposures* and *Breathing Space* demonstrate that dreams about solving environmental problems through one medical or technological fix come at the expense of understanding the underlying social and ecological complexity of a problem.

10.1126/science.1145071

A Disappearing Number

Conceived and directed by

Simon McBurney, devised

Co-produced by Complicite,

Festival, Ruhrfestspiele, in

association with Theatre

Royal Plymouth. Barbican

Theatre, London. Through

complicite.org/productions/

6 October 2007. www.

detail.html?id=43

barbicanbite07, Wiener

Festwochen, Holland

by Complicite

#### THEATER: MATHEMATICS

## Variations on a Theorem

#### Louise Whiteley

A math lesson in southern India, circa 1900. The teacher is explaining what happens when you divide a number

by itself—if you have ten fruits, and divide them between ten people, each gets one. Likewise with a thousand fruits and a thousand people, and for any other number you might care to mention. Srinivasa Ramanujan, a young boy already displaying unusual talent and a fondness for asking difficult questions, challenges: "But is zero divided by zero also one? Ifno fruits are divided among no one, will each still get one?" (1).

Ramanujan had put his finger on something that has trou-

bled scholars as long as symbols have been used to stand for numbers: does zero really belong on the number line? How can something be nothing? Brilliant but unorthodox, he struggled to conform to the academic system



Srinivasa Ramanujan (Shane Shambhu) and G. H. Hardy (David Annen).

in India and to attract the attention of the British establishment. Finally, in 1913, a letter from Ramanujan arrived in the tweedy lap of Cambridge mathematician G. H. Hardy, who recognized his genius and excitedly issued an invitation.

As a Brahmin, Ramanujan's religious beliefs forbade him leaving India, but eventually his mother received a vision that allowed him to follow his ambition to England, just as thousands of years before the concept of zero had traveled from East to West. A Disappearing Number, a play from Complicite and director Simon McBurney, tells the story of the famous collaboration that resulted—and of Ramanujan's ulti-

mately tragic attempt to find intellectual fulfilment in cold, wartime Cambridge.

The production follows Hardy and Ramanujan—along with an array of present-day characters including math lecturer Ruth, her husband Al, an Indian call center worker, and a particle physicist—in their struggles with loss, permanence, and identity and on journeys to and from India. The multiple narratives are knitted together through the use of repetition, overlap, and some ingenious staging. Pro-

jected scenes are used to evoke different places and times, and ordinary objects such as chairs are used to link them—serving as cars, trains, and airplanes; as dance partners; and even as the subject of musings on the essential nature of reality.

Al and Ruth's relationship is used to help the audience follow the math. We attend Ruth's lectures along with Al and follow his attempts to understand the ideas she is so pas-

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sionate about. One night, after Ruth has been trying to explain the infinite convergent series  $1 + 1/2^{1} + 1/2^{2} + 1/2^{3} + 1/2^{4} + 1/2^{5} + \dots = 2$ , Al suddenly exclaims that he understands: "In the end, it adds up to two!" No, says Ruth frustratedly from under the bedcovers, there is no "in the end"; the series adds up to two only in infinity. Later, alluding to her longed-for pregnancy, Ruth quips that the series might in fact add up to three, making the audience complicit in a mathematician's joke.

As is de rigueur for any film or play about mathematics, numbers are everywhere: in flight times, telephone numbers, turbulence, and the ripples on water. But the production also focuses on the links between the human themes it explores and the meanings of mathematical concepts integral to Ramanujan's work, such as infinity, nothingness, convergence, and partition. Mathematicians might complain that there is too

much semantic slip here. For example, connecting the concept of infinity to the characters' desire to "leave something behind" doesn't really encompass the mysterious boundlessness that Al struggled to understand. But for the most part the two genuinely illuminate each other, both enriching the narrative and bringing the math alive.

Hardy believed that pure mathematics was a creative endeavor: as a poet makes patterns out of words, a mathematician makes patterns out of ideas (2). But in mathematics as well as in the arts, the process of pattern-making can be contentious. Ramanujan is famous for reaching his theorems through amazing leaps of intuition, which were baffling to Hardy, raised as he was on a strict diet of logical proofs. A scene in which Ramanujan scrawls off theorems at breakneck speed, while Nitin Sawhney's mathematically infused tabla music and Kathak dance unfolds around him, perfectly illustrated this and reflected the mixture of imaginative leaps and thorough research that characterizes Complicite's recent work.

It has been said that Hardy immediately recognized that Ramanujan's theorems must be true, because they were beautiful. A Disappearing Number may not enable us to look at an equation and see that it is beautiful. However, through our encounters with characters who can, we get some intriguing, and moving, glimpses of what this might mean.

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SPACE EXPLORATION

## How a Race Was Won

r e are now in the grips of a 21stcentury space race, as many nations set their sights again on the Moon and then Mars. But 50 years after the launch of the Sputnik satellite, the first man-

**Epic Rivalry** The Inside Story of the Soviet and American Space Race by Von Hardesty and Gene Eisman National Geographic, Washington, DC, 2007. 367 pp. \$28, C\$36.

ISBN 9781426201196.

Von Hardesty and Gene

Eisman (respectively, a curator and a researcher at the Smithsonian Institution's National Air and Space Museum) weave

together historical details of the events that led to Sputnik's launch in 1957 and its subsequent impact on the human psyche. Following World War II, German missile technology was upgraded in parallel projects led in the United States by immigrant Wernher von Braun and in the USSR by Sergei Korolev. Both visionary engineers had the same goal: to fire a ballistic missile into space orbit during the International Geophysical Year, 1957. With Sputnik's successful launch, Koro-

made object to orbit Earth, what might we learn from that achievement and the subsequent competition to dominate space it triggered? Why, after the early Soviet lead, was it the Americans who first walked on the Moon?

In Epic Rivalry,

Hardesty and Eisman emphasize the different political approaches of the two countries. The communist Soviet Union could muster an effective work force, which triumphed under Korolev's inspirational leadership. But perhaps, they argue, its workers lacked the personal drive of the more individualistic Americans. Moreover, the entire Soviet project was shrouded in military secrecy, even located in a secret spaceport, Baikonur. Cosmonauts didn't grumble but gamely sealed themselves in their orbiting tin cans "like Spam," parachuting out individually as their capsules crashed to Earth in the Siberian snow. The more autonomous American astronauts demanded manual controls so they could wrestle the capsule down safely into the sea. The characters of the crews, mostly drawn from military test pilots, are striking for their bravery. All were extreme risk takers, astonishingly willing to gamble their own lives simply for the excitement and challenge

Today, the authors point out, such risks are deemed less acceptable, and space explo-



of flying in space.

First out of the gate. The Soviets launched Sputnik 1 on 4 October 1957.

lev's team won the competition, perhaps because of his boldness in building a more powerful rocket capable of lofting large payloads.

Sputnik's orbit not only captured the public's imagination-people around the world marveled at it streaking across the night skies-but also instilled fear. The Americans in particular saw its global reach as a distinct threat. They responded by rapidly expanding their own space program. Although the Soviets' Luna 9 was the first craft to make a soft landing on the Moon, their program slipped into disarray-particularly after Korolev's untimely death in early 1966-and was eventually overtaken.

ration has become an international collaborative exercise. The American style of carrying out space travel fully in the public glare has meant that appetites for failure are small, and accidental losses weigh heavily. The competitive aspects are, however, still alive in the private sector, with cash prizes driving the development of space technology and private space travel being a real possibility. The roles of national leaders in setting directions are also still relevant, as is pride. With more players at the table, let us see where the next space race will take us.

-loanne Baker

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#### SUSTAINABILITY

# Learning from 10 Years of Climate **Outlook Forums in Africa**

Anthony G. Patt, 12 Laban Ogallo,3 Molly Hellmuth4

n 1997, meteorologists and representatives from government ministries, nongovernmental organizations, and businesses held the first regional Climate Outlook Forum (COF), in Kadoma, Zimbabwe. Their goal was to negotiate a seasonal climate forecast, providing an indication of rainfall 3 to 6 months in advance, that would be useful in climate-sensitive sectors, such as agriculture, food security, health, and waterresource management. We describe examples of the uneven progress toward this goal during the 10 years since then and suggest lessons that apply to current efforts to promote sustainable development, climatechange adaptation, and disaster risk reduction in Africa.

By the 1990s, scientists had developed models to predict El Niño-Southern Oscillation (ENSO), a multiannual cycle in the tropical Pacific Ocean (1), and had identified climate anomalies in Africa associated with its different phases (2). During an El Niño, for example, much of southern Africa often experiences low seasonal rainfall and poor crop yields (3). Although models could not predict particular weather events more than about 10 days in advance, they could forecast likely seasonal conditions.

It was still unclear to the COF organizers (Note S1 in Supporting Online Material), however, whether people would trust the forecast and use it to improve decisions (4). The

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The seasonal forecast: Climatologists categorize the total quantity of seasonal rainfall as above normal, near normal, and below normal, defined for each measurement station such that historical measurements fall within each category, or tercile, with equal frequency. Based on how ENSO and other external factors affect different geographical zones, forecasters can depict the likelihood of rainfall falling within each tercile for the coming season, as well as the most likely outcome within each zone. The gray zones indicate areas where the effect of external factors is slight, and hence the probabilities remain at one-third for each tercile. This type of forecast provides potentially valuable information for a large geographical area, but can be difficult to use for many management decisions. Users need access to local historical data to know what range of actual rainfall each tercile represents, and then to incorporate this information into a probabilistic decision-making framework.

> COF addressed the issue of trustworthiness by negotiating a single consensus forecast for the entire region (see figure, above), which would have the backing of the regional Drought Monitoring Centre (DMC) in Harare and the participating countries' national meteorological and hydrological services (NMHSs). They addressed the issue of forecast use by holding roundtable and panel discussions with potential forecast users. The COF generated enthusiasm among those who attended, and East and West Africa followed

suit by holding COFs in February and May of 1998, respectively. Since then, COFs have taken place annually or biannually in three African regions, as well as in regions outside of Africa.

Unfortunately, the first efforts to apply forecasts were not encouraging. In mid-1997, El Niño conditions had led to a forecast of likely dry conditions over most of southern Africa. The response in Zimbabwe was that banks restricted credit, and farmers reduced their planting. When near-normal rains actually fell in much of the country, national-level harvests suffered because of the anticipatory action (5), and people accused the COF organizers of having misled them (6).

Lack of trust in the forecast created its own problems. War depleted Ethiopian food stocks in the late 1990s, and people feared that a drought could trigger famine. Sea surface temperatures in 1999 led to a forecast of likely dry conditions. But humanitarian organizations were unwilling to commit resources on the basis of probabilistic predictions, and relief efforts only began after the rains had failed, costing several months' time (7).

The use of forecasts has been a learning process, and

the positive examples that emerged include the following five. As in 1999, the 2002 forecast in Ethiopia predicted a high likelihood of drought, suggesting the need for food relief. In contrast to 1999, an emergency management team began meeting shortly after the COF to identify specific actions (8), and donors were willing to begin making commitments before the situation had grown critical (Note S2).

In a pilot project in Mali operating since 1982, agricultural extension officers helped

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As new projects and programs are proposed to

promote climate adaptation and disaster risk reduction in Africa, it is important to learn

from the successes and failures of the Climate

Outlook Forums.



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farmers to base their decisions on forecasts of weather 10 days ahead (Note S3). Seasonal forecasts were also used, once they became available in 1998. A 2004 survey found income gains of 10 to 80% when participating farmers were compared with a control sample (8). A pilot project in Zimbabwe (2000-05) also showed gains from forecast use (Note S4). In collaboration with the agricultural extension service. the first author of this article held preseason climate workshops each year in four villages, inviting a random sample of subsistence farmers to participate. Postseason interviews revealed that farmers who made specific decisions on the basis of the forecast benefited, with gains in yields averaging 9% (9).

In 2001, Metéo France began to provide seasonal catchment forecasts for the Manantali Dam in West Africa, working with the dam authority to develop a management model for seasonal commitments in power generation and agriculture (Note S5). In the preoperational phase of the project, use of a model based on the forecast, rather than historical records, improved dam management considerably, and forecasts are now being used for actual dam operations (10).

Starting in the 1990s, the World Health Organization (WHO) began exploring the potential for climate information to guide malaria prevention and control efforts. A series of studies showed how malaria transmission rates are related to climatic conditions (11, 12). The International Research Institute for Climate and Society (IRI), WHO, and DMC-Harare launched the Southern African Malaria Outlook Forum (MALOF) in 2004 (Note S6). The strategies developed at the MALOF influenced decisions and appear to have led to marked reductions in mortality and morbidity the following year (8).

Experience suggests three necessary conditions for forecasts to be useful. First, forecasts must provide information that is specific to particular users' needs, going beyond tercile probabilities (13). To predict crop yields, for example, one has to combine the forecast with indicators such as soil moisture (14). Seasonal forecasts could be useful for planning power generation in East Africa (15, 16), but there is no formal operational use of forecasts because the information currently available is not catchment-specific. One factor explaining the successful response to the forecasted food insecurity for Ethiopia in 2002 was the development, shortly after the COF, of scenarios and specific action plans.

The second condition is institutional: Forecasters need to work in partnership with potential users to develop and interpret forecasts (17). The MALOF provides a good example. Public health organizations and forecasters organize each year's meeting. This has resulted in greater attention to historical climate and real-time weather data, which are often more relevant for malaria control efforts than the preseason forecast. The COFs, in contrast, have adapted less to user needs. Agricultural and food security planners have repeatedly identified the need for additional information, such as anomalies in the dates of rainfall onset or cessation. But such users have participated little in planning the agendas and forecasting activities for subsequent COFs, and attention has remained focused on predicting seasonal rainfall totals.

Inclusive communication is the third condition, because probabilistic forecasts of climatic anomalies are so hard to understand (18). A study of farmers in South Africa found that most people misinterpreted many of the basic forecast terms (19). In Zimbabwe, farmers who had participated in preseason forecast workshops were five times as likely to have changed decisions because of the forecasts as those who had learned the forecast from the media (9). Radio may be the most efficient communication medium in Africa, but organized listening groups, like the workshops in Mali and Zimbabwe, improve comprehension (20). Communication also needs to cover the forecast's reliability. A survey of South African water managers found that few understood or trusted the forecasts' reliability enough to use as the basis for decision-making (21).

Improving the capacity for forecast use may be an effective way for Africa to prepare for climate change (22), and several organizations engaged in development, disaster risk reduction, and climate-change adaptation in Africa are now launching programs that will incorporate climate forecasts into their activities. The largest of these, a partnership between the African Union, the United Nations Economic Commission for Africa, the African Development Bank, the United Kingdom Department for International Development, and the Global Climate Observing System, intends to spend up to \$200 million over the next 12 years to spread out the use of climate information to help achieve the U.N. Millennium Development Goals.

The success of these new programs will depend on developing user-specific forecasts and interactive communication, but the emerging enthusiasm among development and humanitarian organizations creates the appropriate institutional conditions for partnerships with forecasters. Whether what follows is additional sector-specific meetings, like the MALOF, or shared responsibility for the multisector COFs, it may now be possible to extend the limited benefits of seasonal climate forecasts to reach many more people.

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# PERSPECTIVES

The launch of Sputnik startled the world but

did not lead to a healthy Soviet space program.

#### HISTORY OF SCIENCE

# Sputnik and the Soviets

**Roald Sagdeev** 

y launching a modest spherical capsule called Sputnik on 4 October 1957, the Soviets had no ambitions at all to shock the world. It was simply another routine test for the first intercontinental ballistic missile, known as R-7 [(1), p. 195]. Five preceding attempts failed to bring conclusive results, and the Kremlin desperately needed success. After the first explosions of American atomic bombs in the summer of 1945, the Soviet leadership under Stalin was paranoid over the perceived vulnerability of the USSR to nuclear attack. The United States not only had nuclear weapons and heavy bombers; its bases encircled the Soviet Union from locations in Europe, Turkey, and Japan. Yet the geostrategic configuration of the USSR did not favor a symmetrical approach with bombers. Rockets seemed the best asymmetric response to close the window of vulnerability. The Soviet nuclear bomb had already been tested in late 1949, and Andrei Sakharov's team was actively engaged in developing the ultimate weapon, the hydrogen bomb. If only the delivery vehicle had been available.

That dream gained momentum with one of the lessons Stalin took from the Second World War: Even the imperfect V-2 rockets the Germans kept lobbing at the British became weapons of terror. With the Red Army entering East Germany, a large team of Soviet rocket engineers and technicians-including future heroes of the Space Age Sergei Korolev and Valentin Glushko-descended in 1945 on Peenemünde, the birthplace of the V-2. In the bombed-out ruins, the Soviets picked up every remaining piece of hardware and documentation (2). The Soviets, along with the remaining German rocket scientists and engineers, had to act like archaeologists to reconstruct Wernher von Braun's secrets. It took 18 months to reproduce the entire rocket design and finally successfully launch one. Then, in 1947, the Soviets shipped everything (including the key German personnel) to Russia, where a modified V-2 was produced and assembled. Both Korolev and Glushko were promoted to lead a much larger effort to develop a rocket program. This was quite a change for people who, until less than 3 years before, had been prisoners of the KGB's

Shocking satellite. Postcard from 1958 commemorating the launches of Sputnik-1 and Sputnik-2. Although reported as a routine matter in the Soviet press, the launch of Sputnik-1 shocked the world.

sharaga, a gulag where the inmates worked as intellectual serfs on defense projects.

The design of R-7 was initiated in 1953 to make a delivery vehicle for Soviet hydrogen bombs. At that time Sakharov and his colleagues did not yet know how heavy the warhead was going to be. The figure given to the rocket team was soon discovered to be a huge overestimate, and so the original R-7, later modified and renamed a few times, is still kept as an indispensable workhorse of the Soviet (now Russian) space program. Under the name "Soyuz" this rocket serves when needed as the lifeline for the International Space Station.

The work on R-7, the biggest liquid-propellant rocket of the 1950s, was under way when Korolev, urged by the leaders of the Soviet scientific community, persuaded the government to let him build and launch the first human-made object ever to orbit Earth. In May 1956, the Kremlin, in response to that request and in conjunction with the International Geophysical Year (IGY) of 1957-1958, adopted Korolev's plan as part of the R-7 program. This intent was confirmed in an official statement in September 1956 [(3), p. 4].

Although the Soviet press had announced the news about the launch of Sputnik-1 in a rather routine and businesslike fashion, the world was surprised and shocked. I had just started work at a classified location, which later became the Kurchatov Institute of Atomic Energy. We had no idea about the coming launch, and our reaction was surprise mixed with a feeling of pride (2).

Khrushchev quickly discovered that this was a new political propaganda vehicle and demanded another immediate spectacle for the eve of the 40th anniversary of the Great October Revolution (see the figure). The launch of Sputnik-2 was scheduled for 3 November 1957. This left no time to prepare even a modest scientific payload dedicated to the IGY program. As the veteran of Soviet rocketry Boris Chertok would later remark, the result was a death sentence for a poor dog named Laika, sent as a kamikaze passenger on that launch [(1), p. 198].

Sputnik-1 did not carry scientific instruments, and there would have been no practical scientific output had it not been for the indirect data on the gradual evolution of Sputnik's orbit due to atmospheric drag force. This phenomenon enabled researchers to reconstruct the atmospheric density at the satellite's altitude. With that, the whole of Earth's outer atmosphere became an object of scientific research. The USSR Academy of Sciences immediately





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#### PERSPECTIVES

started lobbying the government and the space industry for the opportunity to develop scientific instrumentation to be launched into space. Korolev firmly promised that a third Sputnik would be dedicated to scientific experiments. This came not a moment too soon: Despite initial setbacks, the American program was rapidly acquiring momentum. Scientific competition in space, on top of the military rocket race, was imminent.

In the spring of 1958, Korolev ran the last briefing before the final green light for the launch of Sputnik-3. It carried the first impressive collection of scientific instruments, each of which was reported to be functioning normally. However, trouble was discovered in a tape recorder, whose function was to gather and store the science data. The scientists were alarmed and wanted to postpone the launch. To their great disappointment, Korolev ordered that the countdown begin-and the tape recorder did not work in orbit. Consequently, the science data came only from the area of direct radio contact with the satellite. And within this area the cosmic ray detectors signaled extreme levels of enhanced radiation.

Did it embrace the whole planet at altitudes above the atmosphere? With the lack of data, there was no way to tell. A few weeks later in 1958, a cosmic ray detector was launched to scan every bit of the satellite's orbit, but it was an American launch (4). It brought one of the most interesting developments of the early space era: the discovery of radiation belts, now named after James A. Van Allen.

A few years later, in conversation with one of the Sputnik-3 scientists, Korolev made a confession. On that unfortunate day, Khrushchev reached him on the phone at the Baikonur launch site. He said that the Italian Communist Party leaders had urged him to do something spectacular before the Italian parliamentary elections the next day. The priorities were thus established. Science was not simply a poor relative of the military-industrial complex, but a hostage to high-level politics too.

After Sputnik, the two superpowers in the Cold War embarked on very different approaches. The Soviet Union, by virtue of its closed system, did not establish a space program that was independent of the military. There was no legislation even remotely similar to the United States' 1958 Space Act, which instituted NASA as a civilian agency. The Soviet military owned and operated every launch site as well as the network of ground control centers. Planning and oversight for all aerospace programs was performed by departments and ministries of the Communist Party's Central Committee. Everything in the country's everyday life beyond defense contracts was given secondary priority. In certain areas of military technology, and with an almost unbearable burden on the Soviet economy, sometimes this approach did bring impressive results. But as we know, this modus vivendi finally proved unsustainable, and not only in space programs.

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#### HISTORY OF SCIENCE

# Science and Sputnik

#### John C. Mather

child of the atomic age, I was born a year and a day after Hiroshima, but I grew up in the seclusion of the Rutgers Agricultural Experiment Station, a mile from the Appalachian Trail. Science seemed exciting and a little dangerous,

but not the force that would guide the fate of nations. Then in October 1957, Sputnik was launched, and America went into a frenzy. In 1958 Congress created NASA, in 1960 the (nonexistent) missile gap with the Soviet Union helped elect John Kennedy as president, in 1961 Kennedy endorsed NASA's Apollo program to go to the Moon before the decade was out, in 1962 there were Soviet missiles in Cuba, and a year later Kennedy was assassinated. The Apollo program was one side of an arms race that led to the collapse of the Soviet Union without nuclear war.

The events surrounding Sputnik stimulated

Next generation. The James Webb Space Telescope, scheduled for launch in 2013. It will have a 6.5-m hexagonal primary mirror with 18 beryllium segments that deploy after launch, and will occupy an orbit 1.5 million km from Earth.

> a rich era in satellite-based astronomy. In 1970, at the University of California, Berkeley, I embarked on a thesis project with Paul Richards to measure the spectrum of the Big Bang's cosmic microwave background radiation discovered only 5 years before. Then in 1974, soon after I left Berkeley, NASA announced an opportunity

to propose new satellite instruments. Pat Thaddeus, my postdoctoral advisor, told me to call Rainer Weiss, David Wilkinson, and Michael Hauser, and we put together a proposal to measure the cosmic microwave background spectrum and anisotropy (i.e., spatial fluctuations), and to find the cosmic infrared Sputnik led to an energetic U.S. space program and new discoveries about the cosmos.



background from the first galaxies. In 1976, NASA chose members of our team and two other teams (from Berkeley and Jet Propulsion Laboratory) to define the Cosmic Background Explorer (COBE) mission (1) and assigned Goddard Space Flight Center to provide engineering.

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Infrared astronomy experienced a boom during this time, thanks to Nancy Boggess of NASA, and we owe her credit for four major projects: the Infrared Astronomical Observatory, COBE, the Spitzer Space Telescope, and the Stratospheric Observatory for Infrared Astronomy (SOFIA). To make a long story (2, 3) short, the COBE spacecraft was launched in 1989, proved that the background radiation has a blackbody spectrum within 50 parts per million (ppm) and is therefore the remnant of the hot Big Bang, that it has anisotropies at 10 ppm presumably due to the quantum mechanics of the Big Bang, and that there is a cosmic infrared background radiation field twice as bright as expected. The COBE project opened the field of precision cosmology, which now offers new questions like: What is dark matter? What is dark energy? Was the evolution of the universe after the Big Bang simple or complex? The details may be detectable through their influence on the polarization of the background radiation, but the questions about dark matter and dark energy require different approaches (4).

After COBE, I worried that NASA might never again do anything so exciting, but in October 1995 Edward Weiler at NASA Headquarters asked me to work on the Next Generation Space Telescope, to follow the Hubble Space Telescope. This project is now named the James Webb Space Telescope (JWST), after the second NASA administrator, who persuaded Kennedy to start the Apollo program, and built up space science capabilities within NASA and universities. The JWST has reached technological maturity and is on its way to launch in 2013 (see the figure). The telescope will carry out infrared observations, from 0.6 to 29 µm, that even the mighty Hubble could not undertake. Most of this wavelength range cannot be observed from the ground, and the JWST will be far more sensitive than the Hubble and Spitzer telescopes that preceded it. The European Space Agency and Canadian Space Agency are contributing major components (5).

With the JWST, future observers might study the first objects to form after the Big Bang, the formation of galaxies like the Milky Way, the formation of stars and planets, and the development of planetary systems capable of supporting life. The JWST is built with unclassified technology, but without the national investment in detectors and space optics for military and surveillance purposes, the JWST could not be built. Swords are sometimes beaten into plowshares.

What does the future hold for science, and

the world? One doesn't have to be a rocket scientist to know that science, engineering, and management are all required for the challenges of energy supply, environmental quality, and public health. Management of catastrophic events, from bridge collapse to wars, from volcanoes and earthquakes to tsunamis, storms, droughts, plagues, and killer rocks from the sky, is not out of range of human capability. If we can put a man on the Moon, why can't we do these other things? Technologically, we can, of course. Although there is no simple process for achieving worldwide consensus and taking worldwide action, nothing concentrates the mind like clear and impending doom. Perhaps climate change and energy supply will be the Sputnik for the next generation.

As to the long-term outcome, I'm cau-

#### HISTORY OF SCIENCE

tiously optimistic. Kennedy challenged the United States to go to the Moon, not because it was easy, but because it was hard, and the nation responded. NASA's mission continues to expand the human sphere, both by observation and by travel, and I can imagine no discovery more fundamental than life on other planets, here in the solar system, or around some other star.

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# Sputnik and Satellite Astronomy

#### Giovanni F. Bignami

## After Sputnik, European researchers emphasized basic research over politics and made important discoveries in space-based astronomy.

Rew sounds have turned out to be more international than the "bip...bip" of the Sputnik satellite (1). Sputnik didn't speak Russian or English when it was launched in October 1957, yet it was clearly understandable and immediately popular. However, from the prestigious radio telescope at Jodrell Bank in the United Kingdom to amateur radio buffs in Turin, Italy, Sputnik's signals were regarded, at least in Europe, as a tribute more to science than to politics.

A generation of leading European physicists at the time, including Henk van de Hulst in the Netherlands, Giuseppe "Beppo" Occhialini in Italy, and Reimar Lust in Germany, immediately understood the science potential of space. Occhialini, for example, teamed up with an illustrious immigrant to the United States, Bruno Rossi, to start a space research program in Italy and Europe, the European Space Research Organization (ESRO), which later became the European Space Agency (ESA). Before Sputnik, the European school of physics had already been thinking about a unified particle physics laboratory (soon to become CERN) and now they turned their attention to space.

NASA was coming together in the United States at about the same time. There was, however, a fundamental difference. NASA had been created from the start to counter not only Sputnik but also Laika the dog (1957) and Gagarin the man (1961). Europe was not under the same kind of pressure and could afford the luxury of creating ESRO in 1962, entirely dedicated to science. The European space science program lives on today as the sole mandatory part of ESA (created in 1975) and is a direct legacy of the reaction to Sputnik of those physicist "founding fathers."

When space astronomy started in the 1960s, the first goal was to search for highenergy photons invisible on the ground because they were being absorbed by our atmosphere. These x-rays carry information about truly fundamental processes in celestial objects, including the life and death cycles of stars. Enrico Fermi's Italian school of physics deserves credit for helping invent the technology for building telescopes to gather highenergy photons in space. Three graduates of this school, Rossi at MIT, and Giuseppe Vaiana and Riccardo Giacconi at Harvard led groups that conceived and constructed x-ray detectors and telescopes later flown in space by NASA.

Astronomy with x-rays thus joined radio

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astronomy and moved us into an era of observing the invisible. Out of proportion with its modest budget, ESA's many missions have contributed to discoveries that poured in over the decades as detectors covering the full wavelength spectrum were launched from different continents. X-rays, for example, helped us understand the mechanisms of the solar corona. Stars, young and old, sometimes shine much brighter in x-rays than in the optical spectrum, and x-ray detectors provided a new look at their evolution and death. X-rays have shown us how stars collapse when they die and leave behind cores of a new state of matter. such as neutron stars. More important, x-rays from space gave the first evidence for black holes, objects so dense that not even light can escape them. Giacconi shared a Nobel prize for physics in 2002 for these and other discoveries.

Gamma-ray astronomy, another science of the invisible, has shown us objects emitting radiation that, on Earth, is produced only by radioactivity and particle accelerators. ESA's first mission, COS-B (1975), for example, showed the reality of "gamma-ray stars," that is, neutron stars that are only visible in gamma-rays (2).

Gamma-rays were also the protagonists of a unique success story in space science. During the Cold War, gamma detectors were launched to ferret out covert nuclear tests. Sure enough, the Vela spy satellites (a "secret" code name from the Spanish "velar," to monitor) launched by the United States detected suspi-



Other worlds. The proposed Darwin mission is a group of four satellites that will search for Earth-like planets and analyze their atmospheres for chemical signatures of life.

cious bursts of gamma-rays. However, they came from the sky and not from the USSR (3), as was first disclosed at a 1973 conference where Soviet scientists admitted observing something similar. Gamma-ray bursts represented one of the top astronomical enigmas for a quarter of a century until the Italian/Dutch satellite BeppoSax (4), launched 10 years ago, showed that the bursts originated from enormous explosions in galaxies at cosmological distances, when galaxies were being born into a young Universe.

No one had observed stars being born before the development of satellite-based infrared astronomy, which yielded the first images of star "nurseries." These are "warm" (by interstellar standards, actually -200°C) dust cocoons, collapsing to form tens of new baby stars. Space infrared telescopes, such as ESA's Infrared Space Observatory (5), have also shown that water is abundant wherever stars are conceived and, in general, that water is everywhere in the sky. Water, we have learned, is the second most abundant molecule, after hydrogen, in the Universe. Think of it when you go swimming: You are floating in molecules that had probably been around for some time before raining on the newly formed Earth.

Where should we look in the next half-century? Europe has set for itself a long-term "Cosmic Vision" (6) to carry space science to 2025. Alas, we have discovered, like everyone else has, that choosing is hard. We want to study gravitational waves and we want to under-

stand dark energy; we want to travel to Mars and we want to explore Jupiter. We need large interferometric telescopes in space to discover new planets (see the figure) and we need large orbiting collectors to catch more photons. To make a "concord out of this discord" is the challenge being faced by the new generation of European researchers.

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#### EVOLUTION

# Feathers, Females, and Fathers

#### Michael G. Ritchie

A lifted Wallace, Darwin's contemporary and rival, argued that when species hybridize, natural selection favors individuals who are more fussy about whom they mate with, which therefore increases female discrimination of males from different species (1). Modern evolutionary genetics has questioned the importance of the "Wallace effect" (also known as "reinforcement") because genetic recombination between female discrimination and male trait genes would scramble combinations of loci

The author is at the University of St. Andrews, Fife KY16 9A], UK. E-mail: mgr@st-andrews.ac.uk that favor speciation. Several solutions to this have been proposed, including close genetic linkage of such loci. A simpler possibility is sexual imprinting, which causes a female to prefer males that resemble her father. A study of flycatchers by Sæther *et al.* on page 95 of this issue (2) has taken advantage of natural hybridization that occurs between species of this bird, and demonstrates that female preference for father-like males is due to sex linkage of genes for female preferences rather than to sexual imprinting. The linkage of genes that influence speciation to sex chromosomes may turn out to be a common influence on the origin of species. Sex linkage of genes involved in adaptation and speciation extends to birds, explaining why females prefer males of their own species.

The evolutionary biologist J. Felsenstein (3) famously argued that because of genetic recombination, there might be fewer species of animals than we expect. In other words, if sexual species hybridize, recombination jumbles up their genes such that independent sets of loci coding for hybrid unfitness, male sexual traits, and female preferences are unlikely to crystallize out into new species. Exceptions may occur if the genes are all tightly genetically linked on one chromosome. Felsenstein also recognized that a "single-allele" solution could facilitate speciation. In this case, allelic replacement at one locus simultaneously causes selective mating between individuals

that are genetically related or have similar characteristics (known as assortative mating), but it was difficult to imagine the mechanism for this. Sexual imprinting is one possibility (4, 5); if a gene makes a female prefer males that are like her father, then the same allele could increase assortative mating between populations. It has been proposed that sexual imprinting may be involved in rapid speciation in the face of gene flow in birds (6) and fish, including the dramatic radiations of African cichlids (7).

Unlike most animals, female birds are the heterogametic sex, having the equivalent of a human Y chromosome, called the W chromosome (so females are ZW, males ZZ). Collared and pied flycatchers meet and occasionally hybridize along a contact zone in central and northern Europe. Hybrid females are sterile but hybrid males are fertile, an example of "Haldane's rule" in that the heterogametic sex (having two different sex chromosomes) shows greater dysfunction. It also indicates that fertility dysfunction probably involves genes on the sex chromosomes. In these hybrid zones of contact, male sexual plumage differences are accentuated and hybridization levels reduced, providing evidence that the Wallace effect has occurred (8). What accounts for this? Female sexual imprinting on paternal traits such as plumage differences, or



CREDIT: JOHAN TRAFF

Father-like traits preferred. A male collared flycatcher feeds its chicks. Collared and pied flycatchers occasionally hybridize in central and northern Europe. Females develop a sexual preference for males of their own species as a result of sex-linked genes, not sexual imprinting.

genetic linkage between preference and trait loci?

Only up to 5% of mating pairs of flycatchers in the hybrid zone are between species, but Sæther et al. realized that the resulting offspring offered an excellent opportunity to test the mechanism underlying the inheritance of mate preferences. If preference genes are autosomal, hybrid females should have intermediate preferences, but if the preferences depend on the father, then hybrid females should prefer males that are like their father. This was unambiguously the case: Only 4 of 31 hybrid females mated with a male other than their paternal type (and hybrid males mated randomly). This pattern is consistent with Z linkage of mate preference loci (females receive their single Z chromosome from their father, whereas males get a copy from each parent), but it is also consistent with paternal sexual imprinting in females. Very neatly, Sæther et al. disentangled Z linkage and imprinting by examining the choice of females that had been cross-fostered. Offspring sometimes result from extra-pair copulations (females mating with males of another species, or heterospecific males), leading to females that are reared by heterospecific males. The authors also successfully cross-fostered some chicks between parents of either species. As adults, these females mated with males of their own

species even if they had been reared by heterospecific males, ruling out sexual imprinting. Although sample sizes were understandably low (Sæther et al. must have been particularly anxious when awaiting the return of migratory females the season after the cross-fostering), the results strongly support Z linkage. There are perhaps alternative explanations (such as genomic imprinting, in which gene expression is influenced by which parent the allele comes from), but they seem much less likely.

It has been argued that sexual imprinting is a widespread phenomenon that can increase speciation rates (6, 9), particularly by reinforcement (10). However, some models give only ambiguous support for this (11, 12), and another empirical study failed to demonstrate any role in speciation (5). Genetic linkage may be more straightforward (13). Previous studies of flycatchers implied that the Z chromosome also carries loci that influence male plumage and hybrid unfitness (14); therefore, all these loci will have reduced genetic recombination, facilitating the Wallace effect. Linkage may be a more common factor to promote this than "singleallele" solutions. One potential case of a single-allele system has recently been described in the fruit fly Drosophila melanogaster (15), but the locus is unidentified and very tight linkage cannot be unambiguously discounted. A series of hybridization studies in a variety of organisms suggest that chromosomal rearrangements such as inversions may be another means of reducing genetic recombination between favored gene arrangements (16). The fact that traits involved in sexual isolation (male traits and female preferences) are sex-limited may mean that sex linkage of loci is favored, as gene expression must be influenced by the sex chromosomes. Lepidoptera, the other major animal group in which females are heterogametic, are particularly likely to show sex linkage of genes involved in adaptation and speciation (17), so it is very interesting that Sæther et al. suggest that this phenomenon extends to birds.

More studies with the experimental ingenuity of Sæther *et al.* will be required to test whether this is a general phenomenon, but an intriguing hypothesis is that female heterogamety and strong sex linkage might mean that the Wallace effect is more common in birds and butterflies than in other groups.

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#### MEDICINE

# Testing Hypotheses About Autism

#### **Jacqueline N. Crawley**

the Beatles' insight, that something in the way you move attracts me like no other, aptly describes how neurons connect with each other. Specific forms of cell adhesion molecules expressed in neuronal processes-a neurexin in axons and a neuroligin in dendrites-attract and bind in midsynaptic space with exquisite selectivity (1, 2). The resulting synaptic connections regulate excitatory and inhibitory transmission of information in neural circuits (see the figure). On page 71 of this issue, Tabuchi et al. (3) report that mice with a mutation in neuroligin-3 display increased activity of inhibitory synapses in the brain. This specific mutation yet been detected. Because multiple genes, each accounting for a small percentage of the variance, typify many neuropsychiatric disorders (8), focus is now shifting to alternate genetic strategies to reveal the genetic basis for autism (7). The true significance of so many unreplicated candidate genes for autism remains a fascinating conundrum. A logical working hypothesis is that many factors can disrupt developing brain pathways necessary for sociability, interactive communication, and flexible executive function (9).

Targeted mutations in mice offer a rational strategy to systematically test hypotheses about each candidate gene for autism. If the A challenging, but potentially fruitful approach to evaluate proposed candidate genes for autism is to study analogous behaviors in mouse models.

isms, is a common iterative process at early stages of understanding a human disease. Altered neuronal connectivity and larger brain volume at early ages in autism (20) suggest exploring genes that control programmed cell death and/or dendritic pruning in rodent models. Poor attentional disengagement at very young ages, detected in the Baby Siblings project (21), may implicate inhibitory neurophysiological mechanisms similar to those described by the Sudhof team (3). Ultimately, robust mouse models provide translational tools for developing effective treatments.

Neuroligin-3 R451C mice displayed deficits in some, but not all, of the social tasks assessed



was identified previously in the genomes of two brothers with autism (4), raising interest in the role of neurexin-neuroligin complexes in autism spectrum disorders.

The search is on for genes that underlie autism, a neurodevelopmental disorder of unknown cause that is diagnosed by abnormal social interactions, impaired communication, and repetitive behavior (5). Concordance for autism spectrum disorders reaches >90% for identical twins, compared to <10% for fraternal twins and siblings (6, 7), indicating a strong genetic component. Like the mutation studied by Tabuchi et al.-R451C, in which arginine at position 451 in neuroligin-3 is substituted with cysteine-many genetic polymorphisms have been associated with autism, but each was found in only a few individuals, and seldom replicated across studies (6, 7). No ubiquitous single-gene mutation linked to the disorder has

mutation results in a phenotype analogous to the symptoms of autism, then that gene may play a critical role. Because no biochemical or neuroanatomical markers for autism are known, the mouse phenotype is defined by behavioral criteria relevant to the three diagnostic symptoms of autism.

Why is it hard to develop good mouse models of autism, and why are model animals essential? The challenge is to design mouse behavioral tasks with sufficient analogies to the three diagnostic symptoms. Fortunately, mice are a social species, with high levels of social interaction. Behavioral neuroscientists are generating useful assays for autism-like social and communication deficits, and for motor stereotypies, repetitive behaviors, and perseverative habits (10-19). A caveat is the apparent circular logic in modeling symptoms (face validity) without knowing causes (construct validity). In fact, perfecting animal models as mechanistic hypotheses emerge, while investigating proposed genetic, biochemical, and environmental factors in model organby the authors, and not on the conventional parameters. These mice also showed faster acquisition and reversal in some components of a spatial learning and memory task, a finding counterintuitive to the resistance to change in g routine that is a hallmark of autism. The authors are in good company. Mice with mutations in various candidate genes for autism, and proposed inbred strain models, display behavioral abnormalities relevant to only one or two diagnostic symptoms of autism, and some show confounding physical dysfunctions (11-17). Currently, only one strain, BTBR, appears to model all three symptoms without confounding abnormalities (18, 19).

Faster learning of the Morris water maze by R451C mice is remarkable. The R451C mutation increased the frequency of inhibitory synaptic events in mouse brain structures without changing the total number of inhibitory synapses. It is intriguing to speculate how more inhibitory neurotransmission nitive performance. As the authors state, cases

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of savant abilities are associated with autism, but exceedingly rarely, and not in the two brothers with the R451C polymorphism (4). Cognitive researchers may want to explore the appealing notion that alterations in neurexinneuroligin complexes shift the balance of excitatory and inhibitory synapses to enhance learning and memory.

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#### BIOPHYSICS

# Going with the Flow

#### **Ronald G. Larson**

The field of rheology—the study of the deformation and flow of polymers, colloids, or emulsions—long had to content itself with macroscopic experiments, because the microstructures that produce the rheological response were beyond the reach of experimental tools. But now, new tools borrowed from biophysics allow these microscopic substructures

to be probed directly, as illustrated by a recent use of optical tweezers to study the dynamics of entangled polymers (1). Another recent study exemplifies borrowing in the reverse direction: the use of a microrheological tool to study the actin filament network of a cell (2). These examples are just a small sampling of a growing synergy between rheology and biophysics that is yielding deeper understanding of the dynamics of biopolymers such as DNA and actin filaments and of conventional synthetic polymers such as polyethylene.

Since the mid-1990s, long double-stranded DNA molecules have been widely used to study the flow properties of polymers (3, 4). Stained by intercalating dyes, double-stranded DNA molecules were visualized as they deformed, tumbled, stretched, and relaxed as a result of flow, yielding a thorough basis for understanding the flow behavior of dilute polymers (5-8).

However, dilute polymer solutions, in which the polymer molecules do not overlap, are rare in practical applications. Far more



important are the higher-concentration regimes in which polymer molecules overlap and entangle with each other, as occurs, for example, when molten polyethylene is blown into plastic film or when silk solutions are spun by spiders into a thread.

Since the seminal work of de Gennes (9) and Doi and Edwards (10), theories for the rheology of densely entangled polymers have relied on the tube model. In this model, each polymer molecule is confined by entanglements with its neighboring molecules to a tubelike region that roughly follows the contorted, random-walk contour of the polymer molecule. Polymer motion is preferentially directed along this tube rather than perpendicular to it, with dramatic consequences for polymer dynamics and rheology. The tube model has remained largely phenomenologi-

Motor-driven fluctuations. In (12), actin filaments (red) were pulled by ATP-powered myosin motors (gray) in the direction of the arrows. The resulting tensile forces are marked with (+); contractile forces are marked with (-). The cross-links (blue) link the mobile actin chains into a soft-solid network. A growing synergy between rheology and biophysics is yielding insights into the flow properties of polymers and biomolecules.

An insider view of entanglement. In (9), a probe DNA molecule was held stretched by two optically trapped microspheres in a solution of other DNA molecules. The confining tube potential (orange region) was explored by displacing the spheres perpendicular to the probe chain and measuring the resulting forces.

cal, with the properties of the confining tube determined only indirectly through observation of polymer motion and rheological properties. However, this may now be changing.

In a recent study, Robertson and Smith (1) attached small beads, which served as handles for optical traps, to both ends of a single long probe DNA molecule, which they mixed with a densely entangling solution of other, similar, DNA molecules (see the first figure). By stretching the probe molecule to nearly full extension using the traps and allowing surrounding molecules to relax, they created a straightened version of the tube. When both optical traps were displaced by the same



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amount perpendicular to the tube axis, the probe molecule was pressed into the entangling chains forming the "wall" of the tube (see the first figure).

By monitoring the slight deflections of the bead handles from the centers of their optical traps, the authors measured the forces exerted on the beads and hence on the probe molecule. The results indicate that the tube diameter slowly grows with time, as suggested by recent molecular dynamics simulations (11). Discoveries such as this are leading to a more refined understanding of entanglement interactions and should stimulate development of quantitative theories of entangled polymer dynamics and rheology.

While rheology has benefited from methods and tools borrowed from biophysical studies, microrheology tools developed for miniaturized rheological studies are used increasingly in biophysics. In conventional rheology, millimeter- or centimeter-size plates, cylinders, and other geometries are used to apply deformations and measure stress. In passive microrheology, these are replaced by thermal agitation, which spontaneously generates stress locally, with nanometer-scale deformation monitored by dynamic light scattering (12) or by laser interferometry (2). In active microrheology, optical traps are used to apply oscillatory forces onto probe particles, whose displacements then allow the frequency-dependent viscoelasticity of the micrometer-scale environment to be probed (2).

Originally applied to colloids, polymer solutions, and emulsions (12), versions of microrheology that use optical or atomic force microscopy are becoming prime tools for analyzing rheological behavior at the cellular and subcellular level in biology (13). For example, Mizuno et al. (2) recently used both active and passive microrheology to study networks of actin filaments interacting with myosin motors (see the second figure). At thermodynamic equilibrium, the frequency-dependent responses of active and passive methods were the same. However, in the presence of an energy source [in this case, adenosine 5'triphosphate (ATP)], the active and passive methods yielded very different results at low frequency because of large nonequilibrium fluctuations driven by ATP hydrolysis. The motor-driven fluctuations also stiffened the actin network by a factor of 100. This finding may be of biological importance, because such networks form the "skeleton" of the cell, whose stiffness can be modulated through myosin motor activity, as demonstrated for a range of cells including stem cells (14).

Tools closely related to microrheology are likely to be used increasingly to manipulate single molecules of DNA and of the proteins that wrap, cleave, repair, unwind, copy, and transcribe the DNA (15, 16). Likewise, new tools developed for studies of biopolymers and colloidal forces in the cell are likely to be applicable to a wide range of nonbiological fluids. Thus, the fruitful exchange of experimental and theoretical methods between the fields of biophysics and rheology is likely to continue.

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#### MATERIALS SCIENCE

# There's Room in the Middle

Anthony K. Cheetham and C. N. R. Rao

Ondensed matter researchers have traditionally focused either on inorganic materials or on organic and bio-materials. Much less effort has been devoted to hybrid materials that contain both inorganic and organic components. This has changed in the past decade with the discovery of crystalline hybrid materials—called metalorganic frameworks or MOFs—that contain cavities and channels akin to those found in zeolites. Other recent discoveries include hybrid framework structures that are dense rather than porous, and systems that are more similar to classical inorganic materials.

Hoskins and Robson were among the first to combine molecular inorganic and organic building blocks to create open networks. The resulting topologies were often analogous to known inorganic structures such as diamond (1). Many other highly porous MOFs have since been synthesized (2, 3). The properties of porous hybrid frameworks often resemble those of classical zeolites; for example, they can absorb gases and allow shape-selective catalysis. However, hybrid frameworks offer a wider range of structures and properties. For example, they can display chirally selective heterogeneous catalysis (4). Their electronic properties have also attracted attention (5).

Yet, apart from porous hybrid frameworks, the field has other opportunities to offer. Two aspects of the enormous structural diversity of hybrid framework materials deserve greater emphasis. Dense inorganic-organic hybrid materials offer opportunities for creating unusual properties or combinations of properties.

First, an increasing number of hybrids are being discovered in which the inorganic structural elements form an infinite array in one, two, or three directions (see the figure, top panel) (6-9). (In contrast, in MOFs, isolated metal ions or clusters are connected via organic linkers.) Infinite inorganic connectivity-for example, metal-oxygen-metal-provides the structural basis for many key physical properties of inorganic materials, such as ferromagnetism, metallic conductivity, and even superconductivity. These properties are thus likely to be found in hybrids of the kind shown in the top panel of the figure. Furthermore, they may be found in combination with other properties that result from the presence of the organic components.

Second, given that the overwhelming majority of functional inorganic materials adopt dense structures, greater attention

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should also be paid to dense hybrids. Dense hybrid systems can be much more thermally stable than their porous or molecular analogs, especially when they do not contain water and are thus not prone to dehydration. For example, the dense alkaline earth tartrate (see the figure, bottom panel) is stable to ~400°C in air (10), sufficiently robust for most applications.

Hybrids with dense or extended inorganic framework structures can exhibit a wide range of physical properties. The first example is iron(III) gallate (see the figure, top panel). Its structure comprises parallel chains of corner-sharing FeO6 octahedra, which are cross-linked by the gallate linkers. There is no significant porosity, but the extended inorganic connectivity along the chains of octahedra leads to antiferromagnetic coupling, with an ordering temperature of 43 K in the cobalt analog of this phase. In the iron(III) phase, there is strong charge transfer from the gallate ion to iron, leading to a deep blue color. This unusual material has been used since Roman times as a dve and pigment in cosmetics and printing, but only recently have the origins of its properties been understood (8, 9).

Examples of magnetically ordered hybrids are now quite common among both MOFs and extended inorganic systems, but most of these materials order at very low temperatures. Jain et al., however, recently described a nickel tetracyanoethylene hybrid and related compounds that order ferromagnetically well above room temperature (11). The perovskite-like hybrid material [(CH<sub>3</sub>)<sub>2</sub>NH<sub>2</sub>]Ni(HCOO)<sub>3</sub> (see the figure, middle panel) orders ferromagnetically at about 36 K, with magnetic exchange interactions that are mediated by formate bridges (12). There are also examples of ferroelectric hybrid framework compounds, including a three-dimensional cadmium coordination polymer, based on a chiral proline ligand, that exhibits a saturated spontaneous polarization higher than that in the classical ferroelectric, Rochelle's salt (potassium sodium tartrate) (13).

Hybrids with interesting optical properties are also beginning to attract attention, especially rare earth- and alkaline earthcontaining photoluminescent materials that might be used as phosphors or sensors. Now that the synthetic procedures for forming anhydrous framework materials are better understood as a result of systematic studies as a function of temperature and composition (6), there is a much higher probability that phases with sufficient stability to be used as commercial phosphors (that is, up to 200°C in air) might be found.



**Examples of dense hybrid inorganic-organic** framework structures. (Top) In iron(III) gallate, parallel chains of corner-sharing FeO<sub>6</sub> octahedra (in light blue) are cross-linked by organic ligands. The deep blue material has been used as a dye since Roman times. (Middle) This hybrid analog of the ubiquitous perovskite structure orders ferromagnetically. NiO<sub>6</sub> octahedra are shown in dark blue. (Bottom) The dense hybrid magnesium p-tartrate is stable in air to 400°C. MgO<sub>6</sub> octahedra are shown in blue. Furthermore, in the case where the anhydrous materials are homochiral, as in some alkaline earth tartrates (see the figure, bottom panel) (10), it may be possible to achieve polarized light conversion.

In contrast to the magnetic and optical properties of hybrids, metallic and semiconducting behavior has been elusive, possibly because it has proven relatively difficult to introduce mixed valence into these systems. However, high conductivity (50 Scm<sup>-1</sup> at 300 K, greater than that of most conducting polymers) has been observed in a Cu(I) coordination polymer containing an electron-accepting tetraazanaphthacene ligand (14), similar to some organic molecules found in conducting polymers. It may thus be possible to exploit the redox behavior of not only the metal ions but also the organic ligands.

Several aspects of hybrid framework materials have not yet been explored. Their mechanical properties could be of great utility, potentially offering hardness in some directions and softness in others. There has also been very little effort toward making nanoparticles of hybrids, although these could exhibit unique properties, as has been found for certain inorganic nanoparticles.

It may also be possible to make hybrids with properties that are rare in purely organic or inorganic systems. Future targets in this direction should include multiferroic behavior, such as the combination of ferroelectricity and ferromagnetism. This might be feasible, for example, in the hybrid perovskites described above. To adapt a famous quotation by Richard Feynman, there can be no doubt that "there is plenty of room in the middle."

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# From primates to proteomics

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#### INTRODUCTION

# An Insider's View

THE COVER OF THIS SPECIAL ISSUE DEPICTS A VANTAGE POINT NEAR THE surface of the cell as molecules carrying cellular signals veer down from the membrane and through the cytoplasm, some diving deep to the nucleus below. The image is apropos of the unusual and inspiring point of view of the field of cell signaling provided by an eclectic selection of topics chosen to reflect the nature of current signaling research and the viewpoints of authorities who have recently contributed detailed descriptions of cell regulatory pathways to the Database of Cell Signaling at *Science*'s STKE (see www.sciencemag.org/sciext/cellsignaling07/). These pathways describe mechanisms that underlie leading causes of life-threatening diseases (such as heart disease and cancer), control excessive stimulation of the immune system (like that in patients suffering from arthritis), and regulate development and a range of environmental responses in plants.

Oxygen is necessary for life as we know it, but either too much or too little oxygen can lead to trouble. Semenza (p. 62) describes how cells sense hypoxia through a mechanism that leads to activation of the transcription factor HIF-1 $\alpha$  (hypoxiainducible factor 1 $\alpha$ ), which in turn regulates the expression of hundreds of genes. Promoting such a signaling mechanism could provide a useful strategy to combat diseases such as atherosclerosis, in which circulation and resultant oxygenation are disrupted. Inhibition of HIF-1 $\alpha$ -mediated acclimation of cancer cells might provide a strategy for combating invasion and metastasis.

Hedgehog is an unusual proteinaceous signaling molecule with key roles in developmental patterning. As Jacob and Lum explain (p. 66), not all the components of Hedgehog signaling are known. Nor do we fully understand why in mammalian cells Hedgehog signaling components are localized at the primary cilium. However, disruption of this pathway clearly leads to developmental defects in humans, and excessive Hedgehog signaling contributes to certain cancers.

Hawkins and Stephens (<u>p. 64</u>) describe signaling through the  $\gamma$  subtype of phosphoinositide 3-kinases, which link G protein–coupled receptors to the generation of phosphorylated lipid signaling molecules. The resistance of mice lacking functional PI3K $\gamma$  to inflammatory disease has focused efforts on exploiting PI3K $\gamma$  inhibitors to control diseases such as rheumatoid arthritis. Other indications suggest roles for this pathway in cardiovascular disease.

Müller and Sheen (p. 68) describe how plants use a two-component signaling mechanism, well known from prokaryotic organisms, to respond to cytokinin, a hormone derived from adenine. Examples of cytokinin-regulated processes include development and growth, stress tolerance, and leaf senescence, and the list continues to grow.

The new Connections Maps in the Database of Cell Signaling provide expertly curated information on these complex signaling mechanisms and may enable new insights into the pathways and help decipher the clues they offer to advance new therapies. A participating authority recently proclaimed, "I am excited just thinking about what might be possible in a few years' time." We hope you will be, too, and that you'll share your "wish list" with us at <u>sigtrans-feedback@highwire.stanford.edu</u>.

-L. BRYAN RAY, NANCY R. GOUGH, ELIZABETH M. ADLER, JOHN F. FOLEY

# Cell Signaling

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Hedgehog Signaling Pathway in Drosophila

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## Cell Signaling

# Life with Oxygen

#### Gregg L. Semenza

The survival of all metazoan organisms is dependent on the regulation of O<sub>2</sub> delivery and utilization to maintain a balance between the generation of energy and production of potentially toxic oxidants. Hypoxia-inducible factor 1 (HIF-1) is a transcription factor that functions as a master regulator of oxygen homeostasis and has essential roles in metazoan development, physiology, and disease pathogenesis. Remarkable progress has been made in delineating the molecular mechanisms whereby changes in cellular oxygenation are transduced to the nucleus as changes in gene transcription through the activity of HIF-1. Pharmacologic agents that activate or inhibit the hypoxia signal transduction pathway may be useful therapies for ischemic and neoplastic disorders, respectively, which are the major causes of mortality in industrialized societies.

ife with oxygen began some 2.5 billion years ago with the evolution of organisms capable of transducing solar energy into the chemical energy of carbon bonds. In this process of photosynthesis, carbon dioxide and water are converted into glucose, with O2 generated as a side product of the reaction. Photosynthetic organisms prospered and multiplied, leading to a progressive increase in atmospheric O2 concentrations. About 1.5 billion years ago eukaryotic organisms appeared containing mitochondria, subcellular organelles in which glucose is oxidized to carbon dioxide and water, thereby completing the energy cycle. Reducing equivalents are generated that pass through the mitochondrial respiratory complex, which results in the formation of a proton gradient that is used to drive the synthesis of adenosine 5'-triphosphate (ATP). A third landmark, the appearance of the first metazoan organisms, was attained ~0.5 billion years ago. Just as the evolution of eukaryotes was dependent on the prior establishment of photosynthesis, metazoan evolution was dependent on the highly efficient recovery of energy contained within the chemical bonds of glucose through the process of oxidative phosphorylation, which, compared with glycolysis, produces 18 times as much ATP per mole of glucose and thus provides the energy necessary for developing and maintaining complex multicellular organisms.

The utilization of  $O_2$  as a substrate for energy production is not without risk. The electrons transferred through the mitochondrial respiratory chain ultimately react with  $O_2$  to form  $H_2O$ , a process that is catalyzed by cytochrome c oxidase (complex IV). However, a fraction of electrons escape the respiratory chain and combine with  $O_2$ prematurely, resulting in the generation of superoxide anion, which is converted to hydrogen peroxide by the action of superoxide dismutase. The oxidation of lipids, nucleic acids, and proteins by these reactive oxygen species (ROS) can result in cellular dysfunction or death. Acute increases or decreases in the cellular O<sub>2</sub> concentration (hyperoxia and hypoxia, respectively) result in generation of excess ROS (1). This finding implies that efficient respiratory chain function occurs within a narrow range of O<sub>2</sub> concentrations (2). Hypoxia may also result in deficient ATP production due to substrate limitation. All eukaryotic organisms must maintain oxygen homeostasis, and this requirement is a critical organizing principle of metazoan evolution and biology, as described in detail below.

The transcription factor HIF-1 (hypoxiainducible factor 1) has an essential role in the maintenance of oxygen homeostasis in metazoan organisms. Within any given cell type, HIF-1 controls the expression of hundreds of genes (2), and because the battery of target genes varies considerably from one cell type to another, the complete HIF-1 transcriptome is likely to include thousands of genes. HIF-1 is a heterodimer composed of an O2-regulated HIF-1a subunit and a constitutively expressed HIF-1ß subunit (2). HIF-1a is continuously synthesized and degraded under normoxic conditions, whereas under hypoxic conditions, HIF-1a degradation is inhibited, the protein accumulates, dimerizes with HIF-1ß, binds to cis-acting hypoxia-response elements in target genes, and recruits coactivator proteins, all of which leads to increased transcription (Fig. 1A). An important element of complexity derives from the HIF-1 a paralogue HIF-2a, which is also O2-regulated, dimerizes with HIF-1B, and activates transcription of an overlapping but distinct set of target genes (3). Another paralogue, HIF-3 $\alpha$ , appears to function as an inhibitor of HIF-1 $\alpha$  (4). Establishing the specific roles of HIF-1a, HIF-2a, and HIF-3a in oxygen homeostasis is a major challenge of current research.

O<sub>2</sub>-dependent degradation of HIF-1α is triggered by binding of the von Hippel-Lindau tumorsuppressor protein (VHL), which interacts with the protein Elongin C, thereby recruiting an E3 ubiquitin-protein ligase complex that ubiquitinates HIF-1a and targets it for degradation by the 26S proteasome. VHL binding is dependent on the hydroxylation of proline residue 402 or 564, or of both residues, by the prolyl hydroxylase PHD2, which is a dioxygenase that uses O2 and a-ketoglutarate as substrates and generates CO2 and succinate as by-products (5-8). PHD1 and PHD3 also hydroxylate HIF-1a when overexpressed, but their physiological functions have not been established. PHD2 activity is reduced under hypoxic conditions either as a result of substrate limitation (7) or as a result of inhibition of the catalytic center [which contains Fe (II)] by ROS generated at complex III of the mitochondrial respiratory chain (1), ROS levels increase in response to hyperoxia, but HIF-1 a levels do not, which suggests that the site of ROS generation may be different in hyperoxic cells or that ROS generation by complex III is necessary, but not sufficient, to induce HIF-1a under hypoxic conditions. FIH-1 (factor inhibiting HIF-1) is another dioxygenase that hydroxylates asparagine residue 803 of HIF-1a and, thereby, blocks its interaction with the coactivator p300 (9). The half-life of HIF-1 a is also regulated in an O2-independent manner by the competitive binding of either heat shock protein HSP90, which stabilizes the protein, or RACK1, which interacts with Elongin C and, thereby, promotes HIF-1a ubiquitination and degradation that is independent of PHD2 and VHL (10). A second major O2-independent regulatory mechanism is the stimulation of HIF-1 a protein synthesis by signal transduction via phosphatidylinositol 3-kinase, protein kinase B (AKT), and mammalian target of rapamycin (11).

HIF-1 is present in the roundworm Caenorhabditis elegans (7), which consists of fewer than one thousand cells and is so small and simple that all cells obtain O2 by direct diffusion from the atmosphere. However, O2 becomes limiting when the worms burrow into the soil in search of nutrients. The resulting hypoxia induces the HIF-1-mediated expression of genes encoding glucose transporters and glycolytic enzymes, which catalyze the anaerobic production of ATP through the fermentation of glucose to lactic acid (Fig. 1B). In mammalian cells, HIF-1 also regulates synthesis of mitochondrial acetyl coenzyme A (acetyl CoA), subunit composition of cytochrome c oxidase, and mitochondrial biogenesis (2, 12). The evolution of larger and more complex metazoans required the concomitant evolution of anatomic structures and physiological mechanisms designed to ensure the delivery of optimal concentrations of O2 to every cell. In the fruit fly Drosophila melanogaster, this is accomplished by tracheal tubules through which O2 is transported to the interior of the organism. In mammals, such as the laboratory mouse Mus musculus, complex respiratory and circulatory

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## **SPECIAL**SECTION

Fig. 1. Molecular mechanisms of oxygen homeostasis. (A) O2-dependent posttranslational modifications of the HIF-1a subunit by PHD2 and FIH-1 (not shown) serve as molecular switches that regulate the interaction of HIF-1 $\alpha$  with VHL and p300, which, in turn, determine the half-life and transcriptional activity of HIF-1, respectively. Two additional proteins form multivalent complexes that increase the efficiency of these reactions: OS-9 (a protein that was originally identified in osteosarcomas) interacts with HIF-1 $\alpha$  and PHD2 and promotes hydroxylation, whereas SSAT2 (a paralog of spermidine/spermidine acetyltransferase-1) interacts with HIF-1 $\alpha$ , VHL, and Elongin C and promotes ubiquitination. Finally, pharmacological inhibitors of HSP90, such as 17allylaminogeldanamycin (17-AAG), promote the binding of HIF-1 $\alpha$  to RACK1, which recruits the Elongin C ubiquitin-ligase complex in an O2independent manner. Blue, red, and green arrows denote processes that occur under hypoxic, normoxic, or O2-independent conditions, respectively. Additional factors regulating HIF-1 are described in the STKE Connections Map (17). (B) Under hypoxic conditions, HIF-1 induces the expression of genes encoding the following proteins: (1) glucose transporters GLUT1 and GLUT3, which increase intracellular glucose uptake; (2) glycolytic enzymes, which convert glucose into pyruvate; (3) lactate dehydrogenase A, which converts pyruvate into lactate; (4) pyruvate dehydrogenase (PDH) kinase 1, which phosphorylates and inactivates PDH, the enzyme that converts pyruvate into acetyl CoA for entry into the mitochondrial tricarboxylic acid (TCA) cycle, which generates reducing equivalents that are passed on to the electron transport chain (ETC); and (5) cytochrome c oxidase subunit COX4-2, which replaces COX4-1 and, thereby, increases the efficiency of mitochondrial respiration under hypoxic conditions.

(heart, blood, and vasculature) systems evolved to deliver  $O_2$  to each of the trillions of cells that compose the adult organism. The development of these systems before birth and their use after birth are controlled by HIF-1. Thus, HIF-1 mediates developmental and physiological pathways that either promote  $O_2$  delivery to cells or allow cells to survive  $O_2$  deprivation (Fig. 1).

In the case of *Homo sapiens*, the ability of the species to prosper and multiply over the last 0.0001 billion years has been associated with an increase in life span. Humans in industrialized

societies are less likely to die of infection, predation, or starvation and more likely to die of cardiovascular and neoplastic disorders in which aging, dietary excess, and physical inactivity play important etiological roles. Atherosclerotic stenosis of large arteries in the coronary and femoral circulations results in myocardial and limb ischemia, respectively, conditions in which cells are deprived of  $O_2$  and glucose and accumulate toxic metabolites. The deprivation of  $O_2$  in ischemic cells induces adaptive homeostatic responses in young healthy experimental animals, such as the increased production of vascular endothelial growth factor and other angiogenic cytokines, which promote tissue perfusion. These processes appear to be blunted in humans as a result of aging, atherosclerosis, cigarette smoking, diabetes, and hypertension (13). A major challenge of current research in this field is to understand the mechanisms underlying the impairment of  $O_2$ homeostasis and to devise therapeutic strategies to counteract them. In contrast, cancer cells coopt adaptive mechanisms mediated by HIF-1 to promote their survival, proliferation, perfusion,

## Cell Signaling

and invasion of body tissues (14, 15), and clinical trials of therapeutic strategies designed to block these pathways are ongoing.

The last landmark on our timeline, a mere 0.0000002 billion years ago, is the discovery of oxygen, which has been called the most important discovery in the history of science (16). Life, after all, depends on it.

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#### PERSPECTIVE

# PI3Kγ Is a Key Regulator of Inflammatory Responses and Cardiovascular Homeostasis

Phillip T. Hawkins and Len R. Stephens

Class I phosphoinositide 3-kinase (PI3K) signaling pathways regulate several important cellular functions, including cellular growth, division, survival, and movement. Class IB PI3K (also known as PI3Ky) links heterotrimeric GTP-binding protein—coupled receptors to these pathways. Activation of class IB PI3K results in the rapid synthesis of phosphatidylinositol-3,4,5-trisphosphate [PtdIns(3,4,5)P<sub>3</sub>] and its dephosphorylation product PtdIns(3,4)P<sub>2</sub> in the plasma membrane. These two lipid messengers bind to pleckstrin homology domain—containing effectors that regulate a complex signaling web downstream of receptor activation. Characteristic features of this pathway are the regulation of protein kinases and the regulation of small guanosine triphosphatases that control cellular movement, adhesion, contraction, and secretion. Most of the ligands that activate class IB PI3K are involved in coordinating the body's response to injury and infection, and recent studies suggest that small molecule inhibitors of this enzyme may represent a novel class of anti-inflammatory therapeutic agents.

alass I PI3Ks are well-established signal transduction enzymes that drive extensive signaling networks downstream of cell surface receptor activation (1). Most of the interest in these enzymes has focused on the important roles of class IA PI3Ks (PI3Ka, B, and  $\delta$ ) in coordinating cell growth, division, and survival in response to activation of protein tyrosine kinase-coupled growth factor receptors. In contrast, class IB PI3K (PI3Ky) allows fastacting, heterotrimeric G protein-coupled receptors to access PI3K signaling networks. Most of the ligands that have been established to activate PI3Ky are involved in the regulation of multiple cell types in the immune system and vascular lining, and mice lacking the catalytic subunit of PI3Ky are generally healthy but remarkably resistant to the development of several inflam-

matory pathologies in mouse models of human inflammatory disease (2, 3).

PI3Ky was originally characterized as a heterodimer of p101 regulatory and p110y catalytic subunits (4, 5). A homolog of p101, called p84 or  $p87^{PIKAP}$ , has recently been discovered that also forms dimers with p110y, but the relative tissue expression and relevance of p101 versus p84 to the activation of PI3Ky in different cellular contexts has yet to be established (6, 7). PI3Ky is a lipid kinase that catalyzes the conversion of phosphatidylinositol-4,5-bisphosphate [PtdIns(4,5)P2] to phosphatidylinositol-3,4,5trisphosphate [PtdIns(3,4,5)P<sub>3</sub>] in the inner leaflet of the plasma membrane. This lipid kinase activity is stimulated by the combined actions of p101- or p84-dependent interaction with GBy subunits and p110y-dependent interaction with guanosine triphosphate (GTP)-bound Ras (8). GBy subunits are liberated during the direct interaction of receptors with the G, family of heterotrimeric GTP-binding proteins, but the

origin of GTP-bound Ras in this pathway has yet to be established.

PtdIns(3,4,5)P3, and its dephosphorylation product PtdIns(3,4)P2, are signaling lipids whose increases in concentration coordinate the plasma membrane localization and regulation of several direct protein effectors. The magnitude and timing of these lipid signals is also controlled by phosphatases that remove the 5- [such as Src homology 2 (SH2) domain-containing inositol polyphosphate 5-phosphatase (SHIP) and other inositol polyphosphate 5-phosphatases], 3- (such as PTEN), and 4- (such as inositol polyphosphate 4-phosphatases) monoesterified phosphates from these lipids. As with other phosphoinositides [for example, PtdIns3P, PtdIns4P, PtdIns(3,5)P2, and PtdIns(4,5)P2], PtdIns(3,4,5)P3 and PtdIns(3,4)P2 recognize their protein effectors by binding to conserved families of small, lipid binding domains. PtdIns(3,4,5)P<sub>3</sub> and PtdIns(3,4)P<sub>2</sub> are thought to bind predominantly to a subfamily of pleckstrin homology (PH) domains, which bind the headgroup of either or both of these lipids with high affinity and specificity (1). A characteristic feature of PI3K signaling pathways is the large number of direct, PH domaincontaining effectors and the complex signaling networks in which they are involved, usually in partnership with other signaling pathways that are activated in parallel (Fig. 1). The relative abundance of these effectors and their targets define the nature of the regulatory experience delivered in a particular cellular context; however, the activation of the serine/threonine kinase PKB (protein kinase B, also known as Akt) appears to be a universal response to activation of this pathway and plays a key role in regulating metabolic, secretory, and transcriptional responses [see the canonical PI3K class IB pathway in Science's STKE database (9)].

PI3Kγ is activated by several chemokines [for example, interleukin-8, macrophage inflammatory protein (MIP)–1α, MIP-2, MCP-1, Gro-α, and RANTES], pro-inflammatory lipids (for example, PAF and LTB<sub>4</sub>), bacterial products [for example, *N*-formyl-Met-Leu-Phe (fMLP)], and other vaso-active stimuli [for example, C5a, adenosine diphosphate (ADP), and angiotensin

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II], acting predominantly through Gi-coupled receptors (2, 3). Thus, this pathway is involved in the regulation of several cell types that are classically considered to be part of the innate and adaptive immune system (neutrophils, macrophages, monocytes, endothelial cells, mast cells, dendritic cells, and T cells) and also cell types additionally involved in the regulation of blood pressure (smooth muscle cells) and blood clotting (platelets). Most of these cell act together in coordinated responses to injury and infection that involve multiple ligands, receptors, and intracellular signaling cascades. Where sufficient detail is known concerning the regulation of a particular cell type, it invariably seems that activation of PI3Ky by Gi-coupled receptors acts cooperatively with ligands working through other receptor types. Often these other receptors transduce their signals through protein tyrosine kinases, which in turn activate class IA PI3Ks (PI3Ka, PI3KB, and PI3Kb) (1). Further, sustained activation of Gi-coupled receptors often stimulates Src family protein tyrosine kinases and subsequently activates class IA PI3Ks in parallel to PI3K $\gamma$ . Thus, in many contexts of cellular activation, multiple class I PI3Ks are involved. Because a major class IA PI3K in the hematopoietic system is PI3K $\delta$ , PI3K $\gamma$  and PI3K $\delta$  are often found working together to deliver physiological regulation of a particular response. Despite the apparent opportunity for redundancy that these complex signaling systems represent, work with p110 $\gamma$  knockout (KO) mice indicates that PI3K $\gamma$  plays a nonredundant role in several important physiological responses (2, 3), probably because of its partial contribution to so many of the relevant cell-activation pathways.

PI3K $\gamma$  was discovered in neutrophils, and initially its expression was thought to be restricted to cells of the hematopoietic lineage; hence, most of our knowledge of this enzyme comes from the study of neutrophils and related cell types [see the specific PI3K class IB pathway in *Science's* STKE database (10)]. Activation of neutrophil PI3K $\gamma$  by chemoattractants present on the surface of inflamed endothelium



regulates the efficiency with which these cells are captured and exit the vasculature. Activation of PI3Ky also contributes to the efficiency with which neutrophils chemotax toward higher concentrations of chemoattractants released at sites of infection and inflammation and also to the efficiency with which they secrete proteases, reactive oxygen species (ROS), and other antimicrobial products at their final destination (usually in response to high concentrations of end-point chemoattractants and priming cytokines). The cooperation between chemoattractants and cytokines in the delivery of maximal ROS production appears to involve the sequential activation of PI3Ky and PI3K\delta. The molecular details of how PtdIns(3,4,5)P3 and PtdIns(3,4)P2 coordinate the regulation of these neutrophil responses are still incompletely understood, but it is clear that there is a central role for the regulation of small gunosine triphosphatases (GTPases) of the Rac and Rho family and the Arf family and that this is likely to be a general feature of this pathway in different cell types. There also appears to be a

small role for PI3K $\gamma$  in the development of the inflamed endothelium itself. The net result of all of these effects probably explains why several laboratories have reported a substantial reduction in the speed and sometimes extent to which neutrophils arrive at sites of inflammation in p110 $\gamma$  KO mice (2). PI3K $\gamma$  also plays an important

role in other immune cells and their functions, for example, in the chemotaxis of cells in the monocyte or macrophage lineage to sites of inflammation, in the homing of dendritic cells to lymph nodes, and in the development and activation of T lymphocytes (this last is a partially redundant role with PI3K8) (2, 3). PI3Ky also contributes to the activation of mast cell secretion by adenosine, in concert with immunoglobulin E (IgE)dependent activation of PI3Kô, and to the activation of platelet aggregation by ADP, in concert with PI3KB (2, 3). It seems likely that PI3Ky is involved in purinergic stimulation of autocrine and paracrine regulatory loops in other cell types as well.

Although PI3Kγ was initially thought to be restricted to cells of the hematopoietic lineage, it is now clear that this isoform also plays key roles in additional cell types. There is good evidence that PI3Kγ participates in angiotensin II regulation of smooth muscle con-



**Fig. 1.** This schematic diagram places the class IB signaling pathway into perspective, with respect to the other signaling pathways that usually operate in parallel to deliver physiological regulation in any particular context of cellular regulation. Some examples of the various categories of signaling elements are shown to illustrate different levels of signaling organization, but the lists are not meant to be exhaustive. Additional abbreviations are as follows: ECM, extracellular matrix; FcRs, Fc receptors; TLRs, Toll-like receptors; GPCRs, G protein–coupled receptors; GEFs, guanine nucleotide exchange factors; GAP, GTPase-activating protein; and NADPH, reduced form of nicotinamide adenine dinucleotide phosphate.

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traction (11), and there is a fascinating story emerging on the role of PI3K $\gamma$  in the regulation of myocyte contractility (12). In particular, a comparison between the differential effects of p110 $\gamma$  deletion and a p110 $\gamma$  kinasedead knockin mutation suggests that p110 $\gamma$ contributes a nonlipid kinase or scaffolding function to the reduction of cyclic adenosine monophosphate (cAMP) concentration in myocytes, delivering decreased contractile force in response to  $\beta$ -adrenergic stimulation. The mechanism for this effect may involve direct binding of PI3K $\gamma$  to a cAMP phosphodiesterase (PDE3B).

Because PI3K $\gamma$  is involved in the mechanisms that direct several types of immune cells to sites of inflammation in the joints, lungs, and other organs, several laboratories have investigated the susceptibility of p110 $\gamma$  KO mice to various models of inflammatory disease, particularly those with an autoimmune component. Descriptions of P13K $\gamma$  involvement in the regulation of blood vessel contraction, clot formation, and the heart are also starting to prompt the analysis of these mice in models of cardiovascular disease (13). This work is driven by the knowledge that the adenosine triphosphatebinding site of the catalytic subunit of PI3Ks is a druggable target, with PI3K isoform-selective inhibitors in development in several pharmaceutical companies (2). The first PI3K $\gamma$ -selective inhibitors are now starting to appear, with efficacy so far in the treatment of mouse models of rheumatoid arthritis (14) and systemic lupus (15).

There is clearly still much to be discovered about the regulation of PI3K $\gamma$  individual receptors, the relative contributions of G $\beta\gamma$  subunits and Ras, the importance of p101 versus p84, and also the potential new scaffolding functions for both regulatory and catalytic subunits. There is also still more to learn about how the lipid products of PI3K $\gamma$  regulate complex cellular responses in different cell types. Perhaps of greatest general interest, however, is just how effective the first p110 $\gamma$ -specific inhibitors will prove to be in clinical trials of human inflammatory disease.

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#### PERSPECTIVE

# Deconstructing the Hedgehog Pathway in Development and Disease

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The Hedgehog (Hh) family of secreted signaling proteins is a master regulator of cell fate determination in metazoans, contributing to both pattern formation during embryonic development and postembryonic tissue homeostasis. In a universally used mode of action, graded distribution of Hh protein induces differential cell fate in a dose-dependent manner in cells that receive Hh. Though much of this pathway has been elucidated from genetically based studies in model organisms, such as *Drosophila* and mice, the importance of Hh-mediated signaling in humans is clearly evident from malformations and a broad range of cancers that arise when the pathway is corrupted.

The goal of this Perspective and the two Connections Maps (1, 2) is to highlight recent insights into the unconventional methods by which Hh proteins normally function and how this pathway is implicated in pathological contexts such as cancer. Production of active Hh protein begins with autocatalytic cleavage of a precursor molecule to yield a cholesterolmodified amino-terminal signaling domain (HhN). Subsequent palmitoylation of HhN results in a dually lipidated molecule that is restricted to the cell membrane. Release of HhN from

the cell membrane is mediated by Dispatched (Disp/Disp1, hereafter Disp), a 12-transmembrane protein that is structurally similar to the Hh receptor, Patched (Ptc/Ptch1, hereafter Ptc) (Fig. 1A). Both proteins belong to the Resistance Nodulation Division (RND) superfamily of proteins that in prokaryotes function to transport small molecules across membranes. Disp and Ptc likely act as small-molecule transporters, as their activity in the Hh pathway is dependent on residues important to the function of RND protein family members. In Drosophila, HhN released by Disp appears to be incorporated into particles scaffolded by the lipid-transporting lipophorin proteins (3). This previously unknown role for lipoprotein complexes in Hh signaling may represent a universal mechanism for

distributing other lipophilic signaling molecules in animals, such as the Wnt proteins (3).

Initiation of the pathway response entails Hh proteins binding to Ptc, which in turn derepresses the seven-transmembrane protein Smoothened (Smo). This process may be transduced through a small molecular intermediary because Ptc substoichiometrically inhibits Smo (4). Several candidate small molecules have emerged, including cholesterol biosynthesis metabolites, such as oxysterols, that promote Smo function when exogenously added to cultured cells (5). Considering that the potent Smo antagonist cyclopamine, a naturally occurring teratogen, is structurally similar to sterols, there is growing evidence that Ptc gates interactions between Smo and specific sterols to regulate Smo function.

A number of receptors facilitate Hh binding to Ptc (I, 2), including members of the cell adhesion molecule-related/down-regulated by oncogenes (Cdo) family. Cdo and its Drosophila homolog Interference hog (Ihog) associate with Hh through a fibronectin type III (FnIII) repeat (6, 7), a motif with potential for binding sulfate ions (8). Indeed, dimerization of lhog and its conversion from a weak to a high-affinity Hh-binding molecule can be induced by heparin, a protein with sulfated polysaccharide modifications. How Ihog and Cdo proteins promote Hh-mediated responses in coordination with Ptc and other Hh receptors, particularly the heparan sulfate-modified Dally-like protein (Dlp), which also contributes to the Hh response (1), remains to be addressed.

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**Fig. 1.** Hh signaling in animals. **(A)** Hh production is highly conserved between *Drosophila* and mammals (middle). Autocatalytic cleavage of the Hh precursor protein yields a cholesterol-modified signaling peptide (HhN), which is further palmitoylated by Skinny Hedgehog (Ski), then released from the cell by Disp (*Drosophila*) or Disp1 (mammals). In *Drosophila* (left), the released lipophilic HhN is incorporated into lipophorin complexes (not shown) and distributed to other cells with the help of heparan sulfate proteoglycans (Dally and Dlp; also not shown). The suppressive action of Ptc or Ptch1 on Smo is conserved in Hhresponsive cells from *Drosophila* (left) and mammals (right). Members of the CDO receptor family (not shown), including Ihog, facilitate Hh binding and

inhibition of Ptc or Ptch1, allowing activation of Smo. In *Drosophila*, Dlp also appears to facilitate Hh response. Smo-mediated regulation of Ci (*Drosophila*) or Gli (mammals) nuclear localization and proteolytic processing into a repressor (CiR or Gli3R) depends on Cos2, Fu, and Su(fu) in *Drosophila*, and proteins that function in the primary cilium in mammals. The mechanism by which Smo inhibits the pathway suppressor Su(fu) in mammals is unknown. (**B**) Tumors with aberrant Hh pathway activity in Gorlin's syndrome, as well as their sporadic counterparts, frequently harbor mutations in Ptch1. Other tumors for which molecular lesions have not been defined also exhibit aberrant Hh pathway response. References for most of the tumors described here can be found in (16).

Ultimately, the concerted action of these receptors activates Smo by promoting Hh interaction with Ptc. Smo activation is best understood in Drosophila (9). Initial phosphorylation of cytosolic tail sequences in Smo corresponds with protein accumulation at the plasma membrane, thus favoring interactions with a cytoplasmic regulatory complex scaffolded by the kinesin-like molecule Costal-2 (Cos2). This complex also contains the serine/threonine kinase Fused (Fu) and the transciptional effector Cubitus interruptus (Ci) (Fig. 1A). The mechanism by which Smo stimulates the transcriptional activity of Ci and inhibits proteolytic processing of Ci to a repressor (CiR) through Cos2, Fu, and another cytoplasmic regulator, Suppressor of Fu [Su(fu)], was previously reviewed (9).

The ultimate target of Smo action in mammals is the Gli zinc finger family of proteins composed of three mammalian homologs of Ci (Gli1 to Gli3), with proteolytically processed Gli3 (Gli3R) predominantly functioning as a transcriptional repressor (Fig. 1A). Many studies support the hypothesis that Smo in *Drosophila* and mammals uses different mechanisms of action to activate Ci or Gli proteins, respectively (10). The inability to identify mammalian Cos2 and Fu homologs also likely exemplifies differences in Hh signaling between flies and mammals (2, 10).

Insight into the mammalian Hh pathway has come from forward genetic screens in mice with chemically induced mutations that have revealed genes essential to neural tube formation, a Hhdependent process (11). Surprisingly, the majority of these genes are involved in the formation of the primary cilium, a microtubule-scaffolded organelle found in most cells (2). Subsequent studies revealed that components of the cilia, such as intraflagellar transport proteins, participate not only in the activation of Gli proteins but also in the processing of Gli3 (11). In this capacity, ciliary proteins appear to be the functional equivalent of Cos2 (Fig. 1A). Furthermore, almost all the known mammalian Hh components, including Ptch1, Smo, Su(fu), and Gli proteins, localize to primary cilia (11, 12). Ptch1 apparently inhibits the localization of Smo to cilia in a Hhdependent manner, suggesting that this compartment is essential to Smo activation (12). Though it is conceivable that the cilium may simply represent an assembly point for pathway components, its requirement for both Hh pathway activation and suppression implicates a more direct role.

More complete understanding of cilia and their role in the Hh response awaits the identification of the immediate downstream effector of Smo and its subcellular localization. In *Drosophila*, the kinase Fu appears to contribute to most downstream events controlled by Smo, including suppression of Cos2 and Su(fu) (13, 14). The central role of Fu to Hh response in insects implies that a functional equivalent of Fu remains to be found in mammals. Whether or not a mammalian Fu exists, the mechanism of Smo action will likely have to account for Hh-dependent regulation of Su(fu), which appears to function as a major pathway suppressor in both insects and mammals (Fig. 1A) (15).

The role of the Hh pathway in tumorigenesis likely exemplifies its nearly universal participation in cell fate decision-making (16). Hh-related tumors can be broadly categorized based on whether or not they present as part of Gorlin's (also called basal cell nevus) syndrome. Patients with this syndrome often harbor an inactivating mutation in Ptch1, which, independently of Hh ligand, promotes a broad range of tumors, most frequently basal cell carcinoma (Fig. 1B). Conversely, the oncogenic events that drive the Hh-dependent aberrant response often observed in non-Gorlin's tumors have not been defined, despite the greater number of cancers that fall into this category (Fig. 1B). These tumors likely are sustained by Hhdependent cell-autonomous signaling in populations of cancer stem cells (17), suggesting that therapeutics that attack the Hh pathway may offer specificity over strategies that generally block cell proliferation.

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The wealth of mechanistic insight into how the Hh pathway functions has revealed both the sophistication of this signal transduction network and the challenges that remain in the treatment of Hhrelated diseases. Of urgency is the development of rational therapeutic approaches using knowledge of the pathway to specifically target the events underlying aberrant pathway response. Success in this endeavor will require an understanding of how primary cilia, lipoproteins, and sterol biosynthesis contribute to Hh-related diseases.

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#### PERSPECTIVE

# Advances in Cytokinin Signaling

#### Bruno Müller and Jen Sheen\*

Cytokinins are essential plant hormones that control various processes in plants' development and response to external stimuli. The *Arabidopsis* cytokinin signal transduction pathway involves hybrid histidine protein kinase sensors, phosphotransfer proteins, and regulators as transcription activators and repressors in a phosphorelay system. Each step is executed by components encoded by multigene families. Recent findings have revealed new functions, new feedback loops, and connections to other signaling pathways.

the plant hormone cytokinin comprises a class of adenine-derived signaling molecules involved in diverse processes throughout a plant's life, such as stem-cell control in root and shoot; vascular differentiation; chloroplast biogenesis; root, shoot, and inflorescence growth and branching; nutrient balance; leaf senescence; stress tolerance; and seed development (1-6). More than 50 years ago, Skoog, Miller, and collaborators purified the first cytokinin crystal from autoclaved herring sperm DNA extracts and demonstrated its ability to strongly stimulate proliferation in tobacco tissue culture (7). It then took some 40 years to identify the first genes involved in cytokinin signaling. Kakimoto and colleagues pioneered in performing large screens based on the effects of cytokinin on cultured Arabidopsis tissues and uncovered a role for histidine kinases (HKs) in cytokinin signal transduction (8, 9). HKs are prevailing sensors in prokaryotes that initiate a signaling system in which phosphoryl groups are transferred between histidines and aspartates (phosphorelay signaling system) to activate or inhibit cognate downstream partners called response regulators (RRs). Completion of the Arabidopsis genome sequence facilitated the identification of all potential components of phosphorelay signaling: There are eight transmembrane HKs, six histidine phosphotransfer proteins (HPTs), and more than 20 RRs (1, 2, 10, 11). Isolated leaf cells were systematically transfected with putative tagged phosphorelay components to test how these components affected the responsiveness of a cytokinin reporter. This analysis resulted in a model (Fig. 1) that distinguishes four major steps of the cytokinin phosphorelay from the plasma membrane to the nucleus: (i) cytokinin sensing and initiation of signaling by receptor HKs; (ii) phosphoryl group transfer to HPTs and their nuclear translocation; (iii) phosphotransfer to nuclear B-type RRs, which activate transcription; and (iv) negative feedback through cytokinin-inducible A-type RRs, which are products of the early cytokinin target genes (11). Identification of the orthologs for cytokinin signaling components in other plant species suggests evolutionary conservation of this pathway.

Careful and extensive analyses of plants harboring loss-of-function mutations in signaling components have corroborated the core logic of the cell-based model. Mutant phenotypes became apparent only after multiple family members were knocked out, which suggests extensive functional redundancy at each signaling step (2). However, individual components seem to accomplish specific tasks as well, as illustrated by the following findings. Receptors exhibit differential affinities for different cytokinins (1, 5, 12). One out of three well-characterized cytokinin receptors specifically mediates a delay of senescence in Arabidopsis leaves (2, 13). Plants mutated in some RR gene pairs (out of the large RR family) display subtle differences in phenotypes (2). In addition, overexpressing different A- or B-type RR family members results in plants with different phenotypes (1, 2, 11). A comprehensive protein interaction map for all potential components involved in signaling shows distinct patterns of interaction between protein family members (10). The molecular basis and biological importance of these observations will need further studies.

To understand the pathway mechanism in more detail, several questions need to be addressed. Not all eight HKs found in the *Arabidopsis* genome encode cytokinin receptors. For example, two HKs encode ethylene receptors, one encodes a putative osmosensor, and another one shows constitutive HK activity when overexpressed (1-4, 8, 10). Their precise roles with respect to cytokinin signaling remain unclear. B-type RRs bind similar cis elements in vitro and induce transcription (14). How are they involved in generating tissue- and cell-specific signaling outputs? Do they interact with specific but unknown partners? And what is the molecular mechanism by which A-type RRs attenuate signaling?

Recent findings have added some twists to the pathway. Aside from its kinase function, a cytokinin receptor was found to exhibit phosphatase activity that removes phosphoryl groups from interacting Arabidopsis histidine phosphotransfer proteins (AHPs) when no cytokinin is bound. Many prokaryote HKs have such phosphatase activity, and they are associated with phosphorelay systems that need to be shut off quickly. In Arabidopsis, the phosphatase activity of this HK may help to ensure that, in the absence of cytokinin, the pathway is quickly and completely inactivated (15). One of the six Arabidopsis HPTs, AHP6, was identified as a "pseudo-HPT" because of a mutation in the conserved histidine residue required to accept the incoming phosphoryl group from the recep-

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**Fig. 1.** Model for the cytokinin multistep two-component circuitry through histidine (H), and aspartate (D) phosphorelay, involving histidine-kinase receptors (HK), phosphotransfer proteins (HPT), a "pseudo-HPT" with an asparagine (N) instead of the D, and A-type and B-type RRs. Each signaling step is executed by a family of genes that largely act redundantly, as illustrated. *Arabidopsis* genes implicated in signaling are listed as abbreviations (AHK, *Arabidopsis* histidine kinases; AHP, *Arabidopsis* histidine phosphotransfer proteins; ARR, *Arabidopsis* response regulator). Different effects of cytokinin signaling are indicated on the right. The chemical structure of three cytokinins is shown on top: trans-zeatin (tZ),  $N^6$ -( $\Delta^2$ -isopentenyl)adenine (iP), and 6-benzylamino purine (BA).

tors. AHP6 inhibits cytokinin signaling, probably by competing with other AHPs for interaction with activated receptors or RRs in *Arabidopsis*. Cytokinin signaling, in turn, represses transcription of *AHP6*. Lack of AHP6 function causes ectopic cytokinin signaling, leading to pattern defects in vascular tissue (16). Thus, the presence of AHP6 may limit the number of cells responding to cytokinin and, thereby, may help sharpen and define cell differentiation boundaries.

Plants not only use feedback loops to control cytokinin signaling but selectively allow other factors to influence pathway activity. WUSCHEL, a homeodomain transcription factor required for shoot-stem cell function, directly attenuates transcription of some A-type RR genes, which likely increases cytokinin signaling activity in the shootstem cell pool and extends its size (17). New transcriptional regulators involved in mediating cytokinin signaling other than the conserved B-type RRs have emerged. Studies of a subset of cytokinin responsive factors (CRFs) have revealed their cytokinin-dependent nuclear translocation. CRFs and B-type RRs share some cytokinin target genes but do not control the most prominent cytokinin-inducible A-type RR genes. The phenotypes of af mutants appear to be complex with both cytokinin-dependent and independent features (18).

Is there a common denominator in the seemingly diverse cytokinin responses? Ectopic cytokinin causes cell proliferation and shoot growth in tissue culture. The cell cycle regulator cyclin D3 seems to be an important mediator of this effect. Consistently, cytokinin receptor triple mutants (2) or B-type RR triple mutants (19) have retarded growth in roots and shoots. However, plants with partially reduced endogenous cytokinin signaling or concentrations display an increase in the size of the root system (2, 6, 20). A detailed analysis of cytokinin's function in the root supports a role of cytokinin to promote differentiation, which counteracts proliferation (6, 20). One explanation for the opposite effects depending on signaling activity might be that plants with a strong reduction in cytokinin reception and signaling have an impaired vascular system that might limit the potential to grow. It is also possible that different cytokinin threshold levels are required for cell proliferation, elongation, and differentiation (2). In fully differentiated leaves, cytokinin's role in inhibiting senescence is unlikely to depend on cell proliferation. Thus, the functions of cytokinin seem to be more diverse and context-dependent than previously anticipated. In accordance with this view, data sets from different microarray

experiments aimed at identifying transcriptional targets of cytokinin appear to vary considerably except for their inclusion of the common targets, A-type RR genes. The future challenge is to analyze cytokinin functions at cellular resolution to understand how signaling integrates with the context.

The genetic and molecular characterization of cytokinin signaling began about 10 years ago, and the core signaling circuitry has now been established and verified. However, most functional studies have been based on whole-plant responses to exogenously applied cytokinins. Given the multiple roles and redundancy of the pathway, tailored approaches will be required to study the individual functions of cytokinin in different tissues and cell types at various developmental stages. For example, sensitive cytokinin reporters will facilitate in vivo analyses of the cells that transduce cytokinin signaling. To circumvent redundancy and lethality of classical genetic approaches, inducible transgenes expressing RNA interference constructs, or constitutively active or dominant-negative signaling components, can be used to manipulate the pathway activity in a targeted manner. Understanding how cytokinin signaling integrates with other interacting signals and elucidating its complex biosynthesis pathways and littleknown transport systems will bring additional exciting discoveries (5). With the increased availability of plant genome sequences and functional analysis systems, it will be interesting to compare the role of phosphorelay signaling among different plant species exhibiting diverse architecture and life cycles and various growth patterns.

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# Odor-Mediated Push-Pull Pollination in Cycads

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male and female individuals, of Permian origin. Cycads share obligate mutualisms with specialist insect pollinators, almost universally beetles (1). The known exception involves thrips of the genus Cycadothrips (1, 2), which participate in obligate pollination mutualisms with endemic Australian Macrozamia cycads. Cycadothrips are in a basal thysanopteran family, proposed as arising by at least the Cretaceous (3). Thrips are primarily found on male cones, which provide food (pollen) for adults and larvae (2, 4). During pollination, male and female Macrozamia lucida cones self-heat daily, up to 12°C above ambient temperatures between the hours of ~1100 and 1500, when nearly a millionfold increase in male volatile emissions occurs [females reach ~one-fifth that amount (4)]. In

concert, adult *Cycadothrips chadwicki* leave male and female cones en masse (movie S1) (4), and larvae move to the exterior of their male cone habitat. Pollination is mediated by pollen-laden thrips that enter female cones.

We tested thrips' electrophysiological and behavioral responses to cone volatiles. A two-way choice between male sporophyll volatiles or air in a Y-tube olfactometer (5) demonstrated that thrips are attracted (or neutral) to sporophylls early in the day, repelled at midday, and attracted at later times (Fig. 1A). These phases parallel field results; cones retain (morning, low volatile emissions), repel (midday high emissions), and later attract (low emissions) thrips (4).

Electrophysiological tests (5) with volatiles from cones and their specific chemical components revealed that *Cycadothrips* respond to



**Fig. 1.** *Cycadothrips* responses to *M. lucida* volatiles. (**A**) The % of insects going to sporophylls of four mid-pollination-stage male cones (M1 to M4) versus controls (Y-tube tests). Lines are quadratic fits to data from separate cones; fit parameters are not statistically different (5). (**B** and **C**) Male thrips physiologically respond [gas chromatography electroantennographic (EAG) detection, GC-EAD] (B) to  $\beta$ -myrcene (peak 1) from male cone volatiles and (C) to (*E*)- $\beta$ -ocimene (peak 3) and allo-ocimene (peak 4) but not to (*Z*)- $\beta$ -ocimene (peak 2) from ocimene standard. (**D**) The % of insects attracted to different concentrations of  $\beta$ -myrcene standard versus controls (Y-tube tests).

three components (Fig. 1, B and C):  $\beta$ -myrcene (>90% of total emissions during thermogenesis) and (*E*)- $\beta$ -ocimene (2%), which change postthermogenesis (4, 5), and allo-ocimene (~2%) (5). Y-tube tests at ecological concentrations of these chemicals (5) showed that ocimenes attract thrips (fig. S1), whereas  $\beta$ -myrcene attracts thrips at low concentrations but repels them at higher levels (Fig. 1D). When control and high-concentration  $\beta$ -myrcene vessels (5) were switched after thrips had entered the control arm, 77% moved directly into the new control arm. Most that remained died within 10 min, suggesting that by leaving cones thrips avoid toxic  $\beta$ -myrcene levels.

These cone volatile changes sufficiently explain the diel thrips behavior observed in situ (4), although temperature and light may modulate their effects. We characterize this as a "push-pull" pollination strategy. Flowers are generally portrayed as only "pulling" pollinators via visual or odor cues. Some orchids, though, chemically repel pollinators after pollination (6). Driving thrips from male cones increases pollen-laden thrips attendance at female cones, which presumably attract by deceit because their volatile components match those of males (4). Parallel attraction to male cones allows thrips to accrue pollen for the next day's cycle. This obligate pollination mutualism stands out for its pushpull behavior and because it involves an ancient gymnosperm lineage and a basal thrips clade (2). Floral scent may have originally evolved to deter herbivores (7), and this system may represent a conserved early intermediary in the evolution of seed plant pollination.

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#### Supporting Online Material

www.science.org/cgi/content/full/318/5847/70/DC1

Materials and Methods

Fig. S1

Movie S1

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**RESEARCH** ARTICLE

# A Neuroligin-3 Mutation Implicated in Autism Increases Inhibitory Synaptic Transmission in Mice

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Autism spectrum disorders (ASDs) are characterized by impairments in social behaviors that are sometimes coupled to specialized cognitive abilities. A small percentage of ASD patients carry mutations in genes encoding neuroligins, which are postsynaptic cell-adhesion molecules. We introduced one of these mutations into mice: the Arg<sup>451</sup>→Cys<sup>451</sup> (R451C) substitution in neuroligin-3. R451C mutant mice showed impaired social interactions but enhanced spatial learning abilities. Unexpectedly, these behavioral changes were accompanied by an increase in inhibitory synaptic transmission with no apparent effect on excitatory synapses. Deletion of neuroligin-3, in contrast, did not cause such changes, indicating that the R451C substitution represents a gain-of-function mutation. These data suggest that increased inhibitory synaptic transmission may contribute to human ASDs and that the R451C knockin mice may be a useful model for studying autism-related behaviors.

utism is a widespread cognitive disorder characterized by impairments in social interactions, including verbal communication and social play, and can be accompanied by stereotyped patterns of behavior (1–3). Autism is a heterogeneous condition, prompting the designation of ASDs. Individuals with ASDs occasionally show enhanced cognitive abilities [autistic savant syndrome (4)]. At the other end

Fig. 1. Generation and characterization of neuroligin-3 R451C KI and neuroligin-3 KO mice. (A and B) Representative immunoblots and summary graphs of protein levels in the brains of neuroligin-3 R451C KI mice (A) and neuroligin-3 KO mice (B). Selected synaptic proteins (NL1, neuroligin-1; NL3, neuroligin-3; Synaptop., synaptophysin; Svt1, synaptotagmin-1; and Syb2, synaptobrevinwere analyzed by quantitative immunoblotting; two different neuroligin-3 antibodies were used (528B and 639B; arrows point to neuroligin-3 band; data shown are means ± SEMs; n = 4 littermate pairs; \*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.005 by Student's t test).

of the spectrum, ASDs are often associated with mental retardation, and the symptoms of ASDs are part of several neurological diseases, such as fragile X and Rett syndromes (5–7). Genetics strongly contributes to ASDs (1, 2), and a small number of cases with idiopathic ASD are associated with mutations in a single gene, including genes encoding neuroligins and their associated proteins ( $\delta$ ).

Neuroligins are a family of postsynaptic celladhesion molecules that are ligands (or receptors, depending on the perspective) for neurexins, another class of synaptic cell-adhesion molecules (9, 10). Humans express five neuroligins, including neuroligin-3, an X-chromosomal gene that undergoes regular X inactivation, and neuroligin-4 and -5, which are encoded by a pair of pseudoautosomal genes on the X and Y chromosomes (11). Mice express close homologs to human neuroligin-1, -2, and -3 (9) and a fourth isoform that appears to be more distantly related to other neuroligins (GenBank accession number EF692521) (11). Neuroligin-1 and -2 are differentially localized to excitatory or inhibitory synapses (12-14). Overexpression of neuroligins in transfected neurons increases synapse numbers and the frequency of spontaneous synaptic events (15-20). Consistent with their localizations, overexpression of neuroligin-1 enhances only excitatory synaptic transmission, whereas overexpression of neuroligin-2 enhances only inhibitory synaptic transmission

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(20). Deletion of neuroligin-1 or -2 in mice causes corresponding selective decreases in excitatory or inhibitory synaptic transmission, respectively, but no significant synapse loss, whereas neuroligin-3 has not been examined (11, 21).

Missense and nonsense mutations in neuroligin-3 and -4 have been identified in a subset of human patients with ASDs (22–24). One of these mutations, the Arg<sup>451</sup> $\rightarrow$ Cys<sup>451</sup> (R451C) substitution in neuroligin-3, alters a conserved residue in the extracellular esterase-homology domain of neuroligin-3 (22). In transfected neurons, the R451C substitution causes partial retention of neuroligin-3 in the endoplasmic reticulum but does not abolish its ability to promote synapse formation (20, 25, 26). In addition, an internal deletion in the gene encoding neurexin-1 that interacts with neuroligins was connected to ASDs (27), and three different nonsense mutations in Shank3, an intracellular binding partner for neuroligins, were also found in patients with ASDs (28). Thus, in rare instances mutations in three gene families that encode neuroligins or their interacting proteins are associated with familial idiopathic ASDs.

An increase in inhibitory synapse markers in R451C mutant mice. Autism is thought to arise from functional changes in neural circuitry and to be associated with an imbalance between excitatory and inhibitory synaptic transmission, but the mechanisms involved are unknown (29). To investigate possible mechanisms, we introduced the R451C substitution into the endogenous neuroligin-3 gene in mice by gene targeting, generating R451C knockin (KI) mice (fig. S1) (30). Moreover, to test whether the R451C substitution represents a gain- or a loss-of-function change, we also analyzed neuroligin-3 knockout (KO) mice (fig. S1). Because the neuroligin-3 gene is X-chromosomal, we performed analyses on male offspring derived from matings of a heterozygous female with a wild-type (WT) male mouse. Neuroligin-3 R451C KI and neuroligin-3 KO mice were viable and fertile and exhibited no obvious abnormalities or premature mortality (fig. S2) (11).

We first analyzed the amounts of neuroligin-3 and of other synaptic proteins in neuroligin-3 R451C KI and KO mice. The R451C substitution caused a decrease in neuroligin-3 of ~90% in forebrain as measured by quantitative immunoblotting with two different antibodies, whereas the KO caused a complete loss of neuroligin-3 (Fig. 1). In addition, we observed a small decrease in neuroligin-1 in both the KI and the KO mice and a significant increase in the levels of two markers for inhibitory synapses [the vesicular y-aminobutyric acid (GABA) transporter VGAT and the postsynaptic protein gephyrin] in the KI mice, whereas no increases in VGAT or gephyrin levels were detected in the KO mice (Fig. 1). No significant change in the levels of other proteins examined were observed, in par-



pairs of littermate WT and R451C KI mice [data shown in (B) to (E) and (G) to (J) are means ± SEMs; n = 3 littermate pairs; \*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.005 by Student's t test]. PSD, postsynaptic density.



Fig. 2. The R451C substitution in neuroligin-3 increases the synaptic signal of the vesicular GABA transporter VGAT but not the number of inhibitory synapses. (A) Representative confocal immunofluorescence images of sections of the CA1 region of the hippocampus from littermate WT and R451C KI mice double-labeled with antibodies to the vesicular glutamate transporter VGlut1 (red, top) and the vesicular GABA transporter VGAT (green, middle); the bottom row depicts the merged image (for representative images from other brain areas or sections stained with other antibodies, see figs. S6 to S8). Scale bars in bottom images apply to all images in a column. (B to E) Quantitation of the density of synaptic puncta that are above threshold by image analysis of confocal immunofluorescence sections from the CA1 and CA3 region of the hippocampus ((B) to (D)] and from the somatosensory cortex (E) from WT and R451C KI mice (top) or neuroligin-3 KO mice (bottom). Quantitations are from sections stained with antibodies to synaptophysin [(B), labels all synapses] or to VGAT and VGlut [(C) to (E); label only inhibitory or excitatory synapses, respectively]. (F) Representative electron micrographs from layer 2/3 of the somatosensory cortex of WT (left) and R451C KI (right) mice (black arrowheads, asymmetric synapses; white arrowheads, symmetric synapses). (G to J) Quantitation of the density of asymmetric (excitatory) and symmetric (inhibitory) synapses (G), of the ratio of asymmetric to symmetric (H) synapses, and of the width of a synapse [(I), as reflected in the PSD length] and its synaptic vesicle numbers (I) in electron micrographs from three
ticular no change in the levels of the vesicular glutamate transporter or other proteins characteristic of excitatory synapses (Fig. 1 and figs. S3 and S4) (30). These data suggest that the neuroligin-3 R451C KI and KO did not cause a global change in the molecular composition of the brain, except for a small increase in inhibitory markers in the KI but not the KO mice.

The decreased concentrations of R451C mutant neuroligin-3 could be due to a destabilization of the mutant protein. Alternatively, because the construction of the R451C KI mice involved the introduction of loxP and frt recombination sites into the neuroligin-3 gene intron, it is possible that the genetic manipulation may have impaired expression of neuroligin-3. To differentiate between these two possibilities, we measured the messenger RNA (mRNA) levels of neuroligin-3 in WT and R451C KI mice by using quantitative reverse transcription polymerase chain reaction (RT-PCR) but detected no decrease of the neuroligin-3 mRNA in the mutant mice (fig. S5). These data indicate that the R451C substitution destabilizes neuroligin-3, consistent with the retention of R451C mutant neuroligin-3 in the endoplasmic reticulum observed in transfection studies (20, 25).



**Fig. 3.** Neuroligin-3 R451C KI but not neuroligin-3 KO mice exhibit increased spontaneous inhibitory synaptic transmission. Recordings were performed in whole-cell patch-clamp mode in pyramidal neurons in layer 2/3 of the somatosensory cortex in acute slices. Representative traces (**A**, **C**, and **E**) and summary graphs of the amplitudes and frequency (**B**, **D**, and **F**) of spontaneous miniature excitatory postsynaptic currents [mEPSCs; (A) and (B)] and inhibitory postsynaptic currents [mIPSCs; (C) and (D)] from R451C KI [(A) to (D)] or KO mice [(E) and (F)]. (**G** and **H**) Representative traces (G) and summary graph for response to a locally applied GABA puff (50  $\mu$ M injected at 5 psi for 1 s) in layer 2/3 of the somatosensory cortex. In (G), responses are also shown in the presence of 50  $\mu$ M picrotoxin to document their inhibitory nature (data shown are means ± SEMs; n = 3 littermate pairs; total number of cells recorded are indicated within bars; \*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.005 by Student's t test; all electrophysiological parameters are listed in table S1).

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We next examined the R451C mutant brains morphologically but failed to detect a major change in brain architecture (fig. S6). We then measured the intensity of synapse staining by using antibodies to synaptic vesicle proteins. We stained cryostat sections from the somatosensory cortex and from the CA1 and CA3 regions of the hippocampus with antibodies to synaptophysin, a general marker of all synapses, and to the vesicular glutamate transporter VGlut1 and the vesicular GABA transporter VGAT, markers of excitatory and inhibitory synapses, respectively. The staining patterns observed were characteristic for the excitatory and inhibitory synapses in these brain regions, but the intensity for VGAT staining appeared to be brighter in R451C KI mice than in control or in KO mice (Fig. 2A and figs. S7 and S8). To test this, we used an image analysis that estimates the number and size of puncta labeled with the various antibodies above a defined threshold value applied to all images (Fig. 2, B to E, and figs. S7 and S8). We observed a dramatic increase in the number of VGAT-positive puncta in the R451C KI mice in all three brain regions analyzed (50 to 80% increase). In contrast, the number of VGlut1- or synaptophysinpositive puncta above threshold was unchanged, and the average size of the puncta was also not altered for any of the three antibodies (Fig. 2, B to E, and figs. S7 and S8) (30). Moreover, no increase in the density of VGAT-positive puncta was detected in the neuroligin-3 KO mice (Fig. 2, B to E).

The increased number of VGAT-positive puncta above threshold in the R451C KI mice could be due to an increase in inhibitory synapse numbers or a shift in the distribution of VGAT, such that more synapses contain a high concentration of the transporter. To differentiate between these two possibilities, we examined the number and structure of synapses in layer 2/3 of the somatosensory cortex by electron microscopy but detected no major change in synapse number of structure (Fig. 2, F to J). Thus, the R451C substitution does not increase synapse formation but appears to act at a step downstream of synapse formation to increase the average VGAT signal per synapse. This conclusion is also consistent with the fact that neuroligin deletions in general have not been found to alter synapse numbers but instead selectively impair synaptic strength (11, 21).

Inhibitory synaptic strength is increased in neuroligin-3 R451C KI but not KO mice. We measured synaptic function in the R451C KI mice with whole-cell recordings in layer 2/3 of the somatosensory (barrel) cortex in acute slices. Examination of spontaneous synaptic "mini" events (Fig. 3, A to D) uncovered no significant change in the frequency or size of excitatory events but detected a ~50% increase in the frequency of spontaneous inhibitory events. No change in the amplitude of spontaneous inhibitory events was detected. To determine whether the increased frequency of spontaneous

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inhibitory synaptic events is due to the loss of neuroligin-3 induced by the R451C substitution (Fig. 1) or reflects a specific action of the mutant protein, we measured the frequency and size of spontaneous inhibitory mini events in neuroligin-3 KO mice (Fig. 3E). Neuroligin-3 KO mice exhibited no increase in the frequency of spontaneous inhibitory mini events (Fig. 3F).

The selective increase of spontaneous inhibitory events in R451C KI mice agrees with the increase in the levels of inhibitory synaptic proteins (Fig. 1) and the number of inhibitory synapses with VGAT signals above threshold (Fig. 2), suggesting that inhibitory synaptic transmission may be enhanced by the R451C substitution. Consistent with this hypothesis, the amplitude of the response to exogenous GABA puffed onto neurons in layer 2/3 of the somatosensory cortex significantly increased (Fig. 3, G and H).

We next investigated synaptic strength by measuring input/output curves of evoked synaptic responses. We detected no difference in excitatory responses between WT and R451C

Fig. 4. Selective increase in inhibitory synaptic strength in neuroligin-3 R451C KI but not in neuroligin-3 KO mice. Representative traces (A and D) and summary graphs (B, C, E, and F) of synaptic responses induced with increasing stimulus intensities applied with a local microelectrode in acute slices of the somatosensory cortex from littermate pairs of R451C KI mice [(A), (B), (D), and (E)] or KO mice [(C) and (F)]. Recordings were obtained in the whole-cell mode in layer 2/3. EPSCs [(A) to (C)] and IPSCs [(D) to (F)] were analyzed separately after pharmacological isolation. In (A) and (D), arrows and vertical dashed lines indicate peaks measured for determining evoked response amplitude. Dotted horizontal lines represent baselines. All data were recorded in acute slices from littermate R451C mutant and WT mice [data shown are

KI mice but observed a significant increase in inhibitory responses (~50%) (Fig. 4, A, B, D, and E). Measurements in neuroligin-3 KO mice, by contrast, uncovered no change in inhibitory responses but detected a small, although insignificant, decrease in excitatory responses (Fig. 4, C and F, and fig. S9). This result confirms the finding made in the spontaneous synaptic measurements that the neuroligin-3 R451C KI but not the KO causes a selective increase in inhibitory synaptic transmission. Consistent with the postsynaptic localization of neuroligins (12), we found that the short-term synaptic plasticity properties of inhibitory synapses in neuroligin-3 R451C KI or KO brains did not exhibit a major change (figs. S10 to S12).

Neuroligin-3 R451C KI mice exhibit impaired social behaviors but enhanced spatial learning abilities. To determine whether the changes in synaptic transmission in R451C KI mice produce behavioral impairments, we first tested the mice for global behavioral changes and detected no changes in locomotor activity, motor coordination, and anxiety-related behaviors in R451C KI mice with a series of tests [dark/light box, open field, novel home cage activity, rotarod, open field arena, and elevated plus maze (fig. S13)].

We next investigated whether R451C KI mice display abnormal social behaviors. R451C KI mice showed no change in the time of interaction with a novel inanimate object. However, the KI mice exhibited a small but significant decrease in interaction with a novel caged adult target mouse compared with interactions of WT littermate controls, indicating a social interaction deficit (Fig. 5, A and B). Similarly, in a test for social versus inanimate preference, R451C KI mice spent significantly less time interacting with a social target than did the WT littermate controls (Fig. 5C). In agreement with a selective effect on social behavior, R451C KI mice spent the same amount of time interacting with an inanimate target as controls during this task. However, when placed into a neutral home cage with a freely moving conspecific juvenile target mouse



means  $\pm$  SEMs; n = 4 or 3 littermate pairs for EPSCs (KI or KO) and 5 or 3 littermate pairs for IPSCs (KI or KO); \*P < 0.05; \*\*P < 0.01 by t test]. For representative traces from the KO mice, see fig. S9; for short-term plasticity measurements in KI and KO mice, see figs. S10 to S13.

**Fig. 5.** Impaired social interaction behaviors in neuroligin-3 R451C KI mice. (**A**) Interacting time of individual WT and R451C KI mice exposed to a novel inanimate object in an unfamiliar cage (5 min). (**B**) Interacting times of mice that are exposed to an unfamiliar immobilized target mouse in a nowfamiliar cage [5 min; procedure immediately follows (A)]. (**C**) Interacting times of mice that are exposed simultaneously to a novel inanimate object and a novel, caged target mouse. (**D**) Social learning measured by monitoring the times of direct interactions of WT and R451C KI mice with the same freely moving juvenile target mouse on day 1 (first interaction) and day 4 (second interaction for social learning). All data shown are means  $\pm$  SEMs; n = 19 male littermate pairs; only statistically significant differences between WT and R451C KI mice are specifically identified in the figure (\*P < 0.05; \*\*P <



0.01; \*\*\*P < 0.001 by t test or two-way analysis of variance); a detailed statistical analysis for all parameters is provided in table S2 (30).

for 2 min, R451C mutant and WT littermate control mice interacted similarly with the target mouse, presumably because in this test the target mouse initiates the interaction as much as the test mouse, potentially masking social interaction deficits of the test mouse (Fig. 5D). When reexposed to the same juvenile 3 days later, both the control and the R451C KI mice exhibited a significant decrease in social interaction compared with the initial interaction, demonstrating that the mutant mice recognize the familiar juvenile mouse and are capable of social learning.

Individuals with ASDs exhibit impaired social abilities but can display normal or, rarely, even enhanced cognitive abilities (1-4). To examine whether the selective decrease in social interactions in R451C KI mice is associated with a gain or a loss of other cognitive abilities, we tested spatial learning and memory in R451C KI mice by using the Morris water maze. R451C KI mice learned to locate and mount a visible platform as well as WT littermate control mice did, indicating that basic neurological functions required for swimming, vision, etc. were intact. When the platform was hidden, the R451C KI mice exhibited a significantly enhanced ability to locate the platform (Fig. 6A) and required fewer days of training to learn the location of the platform (Fig. 6B). During the probe trial 24 hours after the seventh day of training, both WT and R451C KI mice displayed a significant preference for the target versus the opposite quadrant, but the R451C KI mice crossed the precise former location of the target platform almost twice as often as their WT littermate controls (Fig. 6B and fig. S14).

Fig. 6. Neuroligin-3 R451C KI mice exhibit enhanced spatial learning. (A) Morris water maze analysis of spatial learning in R451C KI and littermate WT control mice during the initial 7 days of training as measured by the distance traveled to reach a submerged platform [n. s., not significant; \*P < 0.05; \*\*P < 0.01; \*\*\*P < 0.001; in (A) and (D), Genotype indicates main effect of genotype; Day, main effect of day of training; and Interaction, interaction between genotype and day.] (B) Number of days of initial training required to reach the submerged platform in an average of 10 s or less. (C) Number of crossings over the previous location of the target platform and over corresponding locations in the other three quadrants measured on day 8 after removal of the platform (probe trial). (D) Reversal learning experiment, in which on day 9 after the probe trial the platform was moved to the opposite quadrant and the learning of the new location of the platform by the mice was monitored. Learning is measured as distance traveled before mounting the newly localized target platform as a function of days of training. (E) Number of days of reversal training required to reach the submerged platform in an average of 10 s or less. (F) Probe trial after reversal learning uncovers a large

To ensure that the increase in number of target location crossings in the R451C KI mice during the probe trial was due to enhanced spatial memory rather than perseveration, we reversed the location of the platform and retrained the same cohort of mice (so-called reversal training). Again, R451C KI mice exhibited a significantly enhanced learning curve during (Fig. 6D) and required fewer days of training to learn the location of the platform (Fig. 6E). Twenty-four hours after the final reversal training day, R451C KI mice displayed enhanced spatial memory during the probe trial. R451C KI mice showed a significant preference for the new target quadrant and spent significantly more time in the target quadrant than did WT littermate control mice (Fig. 6F). Similarly, R451C KI mice crossed the new target location more often than control mice did and exhibited a significant preference for the target location over all other locations, unlike WT mice (Fig. 6F and fig. S14), suggesting that they have an increased ability for spatial learning and memory.

**Summary.** The phenotype of neuroligin-3 R451C KI mice suggests that this mouse may facilitate for a mechanistic analysis of the pathogenesis of idiopathic ASDs and provide a possible model system to search for more effective treatments for ASDs. We found that the R451C substitution increases inhibitory synaptic transmission without affecting excitatory synaptic transmission and simultaneously impairs social behaviors while selectively enhancing spatial learning abilities. These findings are surprising because ASDs were thought to be associated with a loss of inhibitory drive (29, 31), although a Rett syndrome mouse model also exhibits an

increase in synaptic inhibitory drive (32). The R451C substitution increases inhibitory synapse markers, spontaneous inhibitory event frequency, and the size of inhibitory synaptic responses but does not change short-term synaptic plasticity of inhibitory synapses (Figs. 1 to 3 and figs. S7 and S8), suggesting that the mutation enhances inhibitory synaptic transmission without changing the release probability of these synapses. Thus, our results not only validate the hypothesis that neuroligins act at synapses to specify synaptic properties (20, 33) but also indicate that interfering with the function of a neuroligin alters the excitatory/inhibitory balance in vivo. Moreover, if the mouse model mimics the situation in humans with ASDs, it may be possible to ameliorate autism-related behavioral abnormalities by using attenuation of inhibitory synaptic transmission.

How does the R451C mutation increase inhibitory synaptic transmission? A facile explanation would have been that the destabilization of neuroligin-3 by the R451C substitution (25) and the resulting loss of neuroligin-3 protein produces a loss-of-function of neuroligin-3, which then causes the phenotype. However, our analysis of the neuroligin-3 KO mice rules out this explanation. Although only ~10% of the neuroligin-3 protein remains in the R451C KI mice, this remaining neuroligin-3 protein produces increased inhibitory synaptic transmission, whereas the complete neuroligin-3 KO exerts no such effect. Thus, the R451C substitution likely acts as a gain-offunction mutation, a hypothesis that also explains why no loss-of-function neuroligin-3 mutation was found in humans with ASDs, whereas several loss-



increase in spatial learning abilities of the R451C KI mice [dashed lines in (C) and (F) are the mean overall numbers of platform crossings for WT mice]. Only statistically significant differences between WT and R451C KI mice are identified. All data shown are means  $\pm$  SEMs; n = 19 male littermate pairs; see fig. S14 and table S2 for all statistical comparisons.

of-function mutations were found in neuroligin-4 in such individuals (22-24).

Our data strongly support the notion that a change in the inhibitory/excitatory balance contributes to the pathogenesis of ASDs. Such a change may alter oscillatory rhythms in brain (34, 35). Given the relatively focused nature of behavioral abnormalities in the R451C KI mice and in some humans with idiopathic ASDs, it is likely that this change is not global but selectively affects only a subset of the many classes of inhibitory interneurons in the forebrain [reviewed in (36, 37)], a question that can now be addressed with the R451C KI mice.

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### Supporting Online Material

www.sciencemag.org/cgi/content/full/1146221/DC1 Materials and Methods Figs. 51 to 514 Tables S1 and S2 References

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# Polymer Gate Dielectric Surface **Viscoelasticity Modulates Pentacene** Transistor Performance

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Nanoscopically confined polymer films are known to exhibit substantially depressed glass transition temperatures ( $T_{\alpha}$ 's) as compared to the corresponding bulk materials. We report here that pentacene thin films grown on polymer gate dielectrics at temperatures well below their bulk  $T_{a}$ 's exhibit distinctive and abrupt morphological and microstructural transitions and thin-film transistor (TFT) performance discontinuities at well-defined growth temperatures. The changes reflect the higher chain mobility of the dielectric in its rubbery state and are independent of dielectric film thickness. Optimization of organic TFT performance must recognize this fundamental buried interface viscoelasticity effect, which is detectable in the current-voltage response.

rganic thin-film transistors (OTFTs) have attracted considerable attention as the central components of "printed" electronics (1-3). Moreover, OTFT performance can be substantially enhanced by manipulating the semiconductor/dielectric interfacial properties via optimizing the gate dielectric (4-7). To this end, polymer dielectrics are ideal because of their diverse properties, favorable film-forming characteristics, and tunable surface chemistry for the control of device-critical interfacial trap state densities (8-10). However, whether polymer dielectric chain dynamics affect organic semiconductor growth and OTFT current-voltage (1-V) response has remained unclear.

The glass transition temperature  $(T_g)$  of amorphous polymers provides a qualitative measure of chain motion (11–13). At temperatures below  $T_{g}$ polymers are in a glassy state with little cooperative chain motion, whereas above  $T_g$ , polymers enter a rubbery state having substantial chain motion. Relative to bulk materials, Tg is depressed in ultrathin films (14) and in nanoscale pores (15). The extent of  $T_{g}$  depression depends on

the polymer film thickness and substrate/polymer interactions, as characterized by ellipsometry (16), dielectric relaxation spectroscopy (17), Brillouin scattering (18), and fluorescence spectroscopy (19). For extensively studied polystyrene (PS) films on Si or SiO2 substrates, the empirical Eq. 1 applies (14, 20)

$$T_{\rm g}({\rm h}) = T_{\rm g}({\rm b}) \left[1 - (A/{\rm h})^{\delta}\right]$$
 (1)

where  $T_g(h)$  is  $T_g$  for a film of thickness h,  $T_g(b)$ is the bulk polystyrene  $T_g$  (both in degrees kelvin), A is a characteristic length (3.2 nm), and  $\delta = 1.8$ . The experimental results from which empirical Eq. 1 was derived confer on  $T_g(h)$ the meaning of an "average" Tg across the nanoscopic film that is strongly thicknessdependent. For example, a 20-nm-thick PS film with  $T_g(b) \sim 100^{\circ}C$  exhibits a  $T_g$  depression  $[\Delta T_g(h,b) =$  $T_{g}(b) - T_{g}(h)$  of ~14°C, but this effect vanishes  $(\Delta T_{\rm e}({\rm h,b}) < 1^{\circ}{\rm C})$  when h > 100 nm. Thus, for PS films of thickness >100 nm, the polymer gate dielectric viscoelastic properties should play little role in OTFT interfacial effects when  $T < T_g(b)$ . Relations similar to Eq. 1 are applicable to other polymer classes, although parameters A and δ differ and may depend on the substrate [for example, for poly(methylmethacrylate) (PMMA), A =0.35 nm and  $\delta = 0.8$  (21); for poly(t-butylstyrene) (PTBS), A = 3.0 nm and  $\delta = 1.05$  (22)]. We show here that when glassy polymeric materials are used as OTFT gate dielectrics, polymer viscoelastic properties strongly influence organic semiconductor film growth, microstructure, and OTFT I-V

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performance. This effect is independent of polymer film thickness; the film need not be nanoscopically confined, so this effect can be used to probe the surface viscoelastic properties in buried interfaces via the OTFT response.

The top-contact, bottom-gate OTFT structure used in this study (Fig. 1) consisted of a thin pentacene semiconducting layer grown on top of the gate dielectric, together with an underlying p<sup>+</sup>-Si gate electrode and top Au charge-injecting and -extracting source and drain electrodes. Pentacene was selected as the model organic semiconductor because of the large pentacene TFT database and the importance of this semiconductor in organic electronics (23-26). The dielectric was either a 300-nm-thick SiO2 film [dielectric c-SiO<sub>2</sub>,  $T_e(b) = 1175^{\circ}C$ ] or a polymer (10 to 800 nm, top)/SiO2 (300 nm, bottom) bilayer, with the polymer layer selected to span commonly used OTFT gate dielectrics having a range of T<sub>a</sub>(b)'s and surface chemical characteristics, such as PS [dielectric PS1,  $T_{e}(b) = 103^{\circ}C$ ; dielectric PS2,  $T_{g}(b) = 94^{\circ}C$ ; dielectric PS3  $T_{g}(b) =$ 83°C], PTBS [dielectric PTBS,  $T_g(b) = 137$ °C], and PMMA [dielectric PMMA,  $T_g(b) = 86^{\circ}C$ ] (table S1). We used PS specimens of different molecular weights, and hence different  $T_{o}(b)$ 's, which should differentiate dielectric viscoelasticity effects from local buried interfacial chemical effects. The relatively low  $T_{g}(b)$  values of the polymers used in this study are due to relatively low molecular weights. The bilayer SiO2/polymer dielectric (Fig. 1) allowed us to vary the polymer surface in contact with the semiconductor and the polymer film thickness and still maintain gate leakage current densities (Jienk) below levels that affect OTFT response. For all bilayer dielectrics,  $J_{\text{teak}} < 10^{-8} \text{ A/cm}^2$  at a gate field of ~ 3 MV/cm, as established in metal/insulator/semiconductor capacitor structures, and is identical to that of the control c-SiO2 substrates (10); hence, the leakage current densities at the maximum OTFT gate

Fig. 1. Schematic representation of the topcontact, bottom-gate OTFT structure and the materials components used in this study. In a TFT device, the current between source and drain electrodes (ISD) is minimal when no voltage (V<sub>G</sub>) is applied between the source and gate electrodes (the off state). When a positive or negative V<sub>G</sub> is applied, electrons or holes, respectively, are induced in the semiconductor at fields used here (~3 MV/cm) were dominated by the bottom SiO<sub>2</sub> layer. Tapping-mode atomic force microscopy (AFM) images of the bilayers reveal that all of the dielectric films exhibit similar topologies, characterized by root mean square roughnesses of ~0.3 nm.

After establishing the dielectric properties, pentacene films were next vapor-deposited on the gate insulator substrates maintained at preset deposition temperatures (TD's) and were then characterized by tapping-mode AFM and wide-angle x-ray diffraction (WAXRD). OTFTs were then fabricated at room temperature on the bilayer dielectrics and on the c-SiO<sub>2</sub> control, and response parameters were extracted from I-V data using standard methodologies (27).

The saturation field-effect mobility (µ) and other relevant OTFT performance data for the present pentacene TFTs as a function of polymer dielectric and  $T_D$  are summarized in table S1. I-V transfer and output plots exhibit classical linear/saturation behavior in all cases (figs. S1 and S2). As also shown in Fig. 2A for PS2- and c-SiO2-based devices, pentacene carrier mobility depends on T<sub>D</sub> for the polymeric gate insulatorbased devices. As expected, absolute µ values vary somewhat for different pentacene/dielectric combinations because of differing interfacial chemical interactions and charge trap densities (10, 28, 29). Hence, pentacene TFT maximum carrier mobilities (µmax) vary from ~0.50 to 0.65 cm<sup>2</sup>/V·s on hydrophobic PS1 and PS2 and PTBS to ~0.20 to 0.30 cm<sup>2</sup>/V·s on polar/ hydrophilic PMMA and c-SiO<sub>2</sub>.

The dependence of OTFT response characteristics on pentacene growth temperature is even more marked when the normalized carrier mobility ( $N_{\mu} = \mu/\mu_{max}$ ) is plotted versus  $T_D$  (Fig. 2B). This plot also compares  $N_{\mu}$  to experimental  $T_{\mu}(b)$ values measured for the polymer insulators by temperature-modulated differential scanning calorimetry (DSC). Over a narrow and well-defined

T<sub>D</sub> range, pentacene carrier mobility drops precipitously (by more than 10 times), and this transition is characteristic of the specific polymeric gate dielectric. The N<sub>a</sub> transition temperatures are substantially below the corresponding polymer bulk transition temperatures and are substantially below the  $T_o(h)$ 's estimated for these polymers from Eq. 1. To quantify OTFT performance suppression caused by thermal and viscoelastic properties of the polymer dielectric, we define  $T_{\rm g}(s)$  as the temperature where  $N_{\rm u} = 0.5$ , or the surface T<sub>g</sub>. From these results, as well as additional experiments reported below, we infer that  $T_{o}(s)$  has broad importance and correlates with surface viscoelastic properties. This correlation is reasonable only if the measured  $T_g(s)$  is always lower than  $T_{g}(h)$  and is also independent of the polymer film thickness.

In the plots of Fig. 2B, the  $T_{u}(s)$ 's of the PS-based samples [59°C for PS1, 51°C for PS2, and <34°C for PS3] track the corresponding polymer T<sub>e</sub>(b)'s [103°C for PS1, 94°C for PS2, and 83°C for PS3). Thus,  $T_g(b) - T_g(s) [\Delta T_g(s,b)]$ remains in a narrow range (-43° to 49°C) for these PSs. That  $\Delta T_{g}(s,b)$  is only marginally sensitive to the absolute  $T_{g}(b)$  is expected considering that the chain microstructures of these PS samples differ only in molecular weight. In contrast,  $\Delta T_g(s,b)$  absolute values for PMMA [ $T_g(b) =$ 86°C] and PTBS  $[T_g(b) = 137^{\circ}C]$  are smaller (11°C) and larger (61°C), respectively, than that of PS1, PS2, and PS3. Previous studies have shown that nanoscopic PMMA and PTBS films exhibit smaller and greater Tg depressions, respectively, versus PS (19, 21). Also, the  $\Delta T_{g}(s,b)$  values are far larger than the corresponding  $\Delta T_{g}(b,h)$ 's derived from Eq. 1 for similar polymer thicknesses. The PS film thickness used here (~25 nm) should have  $\Delta T_{o}(b,h) \sim 9^{\circ}C$ , but the experimental OTFTderived  $\Delta T_{e}(b,s)$ 's are >40°C. That  $T_{e}(s)$  is a surface and not a film/bulk polymer property is demonstrated in Fig. 2C, where the pentacene



carrier mobility is plotted versus  $T_D$  for the PS1based OTFTs over a wide range of PS film thickness (20 to 800 nm). The mobility transition occurs at the same  $T_g(s)$  of 59°C independent of the dielectric polymer film thickness. Similar results are observed for the other polymer dielectrics used here, supporting the broad generality of this effect (Fig. 2C).

The origin of the precipitous mobility drop at  $T_{\rm D} = T_{\rm g}(s)$  could in principle result from the microstructural changes within the pentacene films. Thus, we examined the pentacene films by XRD and AFM. Figure 3 shows 0 to 20 XRD scans of 50-nm-thick pentacene films deposited at different T<sub>D</sub>s on the bilayer insulators and on c-SiO<sub>2</sub>. All of the pentacene films exhibit the exclusive presence of the thin-film phase, consistent with the small film thicknesses (30), and the intensity of the (001) Bragg reflection ( $2\theta = 5.74^\circ$ ) strongly depends on T<sub>D</sub>. Pentacene films (50 nm) deposited at temperatures below  $T_g(s)$  exhibit far more intense reflections (by a factor of 10 to 100) as compared to films grown at higher substrate temperatures. The XRD data for 50-nm pentacene films grown on c-SiO2 exhibit negligible TD dependence. These results indicate a direct correlation between deposition temperature-dependent discontinuities in pentacene film microstructure and those in field-effect mobility. In contrast to growth on inorganic c-SiO2, increasing polymer chain segmental mobility at the free dielectric surface with increasing  $T_{\rm D}$  strongly disrupts the growth of highly textured pentacene grains.

AFM images of pentacene films show similar transitions near  $T_{g}(s)$  in pentacene film grain size distributions versus T<sub>D</sub>, with the bilayer dielectric substrates behaving very differently from c-SiO<sub>2</sub>. Detailed AFM data analysis for ~100 devices indicates that pentacene carrier mobility is substantially less affected by grain size variations and gate dielectric chemical characteristics than previously thought; rather, there appear to be two size regimes for mobility. Figure 4A shows AFM images for 50-nm-thick pentacene films grown on PS1 and c-SiO2, as well as pentacene average grain size versus  $T_D$  data for representative dielectrics. Similar growth morphologies as a function of  $T_D$  are observed for pentacene monolayers (~5 nm thick) on the same insulators (fig. S3); hence, microstructural differences in the initial film growth stages are preserved in thicker films.

The plot in Fig. 4A shows that on the c-SiO<sub>2</sub> control substrate, pentacene film grain size increases monotonically from ~0.8 to ~2.5 µm when  $T_{\rm D}$  is increased from 25° to 80°C, which is typical growth behavior for pentacene films (*31*). In marked contrast, for the films grown on PS1, the grain size increases slightly from ~1.2 µm at  $T_{\rm D} = 25^{\circ}$ C to ~1.4 µm at  $T_{\rm D} = 60^{\circ}$ C, then abruptly decreases to <0.5 µm for  $T_{\rm D} \ge 70^{\circ}$ C. Similar behavior is observed for PS2 [~1.3 µm (25°C)  $\rightarrow$  ~0.3 µm (60°C) (fig. S4A)], PS3 [~0.7 µm (25°C)  $\rightarrow$  ~0.2 µm (50°C) (fig. S4B)], and polar PMMA [~1.8 µm (25°C)  $\rightarrow$  ~3.3 µm

 $(60^{\circ}\text{C}) \rightarrow -0.5 \,\mu\text{m} (80^{\circ}\text{C})$  (Fig. 4A)]. Pentacene films on very high  $T_{g}(b)$  PTBS behave somewhat differently. For  $T_{D} < T_{g}(s)$ , exceptionally large grains (>5.0  $\mu\text{m}$ ) are observed. However, for  $T_{D} > T_{g}(s)$ , the pentacene film growth mode deviates from typical layer-by-layer and island growth modes (32), with formation of large circular polycrystalline aggregates separated by large gaps (fig. S4C).

These morphology variations as a function of  $T_D$  correlate with the diffraction-derived film microstructural variations at  $T_g(s)$  for the bilayer insulators. For the c-SiO<sub>2</sub> substrates, increased  $T_D$ correlates with increased grain size caused by increased diffusion of the pentacene molecules on the surface (31, 33). However, the effect of temperature on diffusion for the bilayer dielectrics differs greatly, because diffusion of the pentacene molecules and grain size should both scale with temperature, which is indeed observed when  $T_{\rm D}$  increases, but only for  $T_{\rm D} < T_{\rm g}(s)$ . For  $T_{\rm D} >$  $T_{\rm g}(s)$ , the grain size abruptly falls, probably because molecular diffusion is disturbed because of enhanced polymer dielectric surface roughness and/or friction at higher temperatures (34, 35). Rough gate dielectric surfaces are known to impede lateral pentacene molecular diffusion, affording smaller grain sizes versus growth on very smooth substrates (36, 37), and in the present case drastically suppress pentacene ordered molecular nucleation. Clearly, insulating polymer viscoelastic dynamics at  $T_D > T_g(s)$  disrupt the growth of large textured grains. Furthermore, as illustrated in Fig. 4B, this study reveals two grain



**Fig. 2.** (**A**) Carrier field-effect mobility  $\mu$  for pentacene OTFTs fabricated at different pentacene film  $T_D$ 's on polymer bilayer dielectric PS2 (24 nm) and on c-SiO<sub>2</sub>. (**B**) (Left) Normalized charge carrier mobility  $N_{\mu} = \mu/\mu_{max} [\mu_{max} (cm^2/V \cdot s) = 0.68 (PS1); 0.54 (PS2); 0.34 (PS3); 0.18 (PMMA); and 0.63 (PTBS)] at different <math>T_D$ 's for pentacene OTFTs on various bilayer dielectrics. (Right) DSC scans for the polymers investigated in this study. The polymer  $T_g$ (s) is defined as the temperature where  $N_{\mu} = 0.5$ , and  $\Delta T_g$ (s,b) =  $T_g$ (b) –  $T_g$ (s) [ $T_g$ (s) = 59°C (PS1); 51°C (PS2); <34°C (PS3); 75°C (PMMA); and 76°C (PTBS)]. (**C**) Carrier field-effect mobility of pentacene TFTs as a function of  $T_D$  for different PS1, PS3, and PMMA gate dielectric thicknesses. Except for the DSC plots, all the remaining lines are drawn as guides for the eye.

size/mobility dependence regimes for pentacene. When the pentacene grain size is above a critical value, identified here as  $\sim 0.8 \mu m$ , the carrier mobility is large (>0.15 cm<sup>2</sup>/V·s) and independent of the crystallite size (for each dielectric type) and depends marginally on dielectric chemical structure. Below  $\sim 0.8 \mu m$ , pentacene carrier mobility becomes very sensitive to the grain



**Fig. 3.** XRD data (001 reflection of the thin-film phase) for 50-nm-thick pentacene films grown on PS1, PMMA, PTBS, and c-SiO<sub>2</sub> gate dielectrics at the indicated substrate temperatures ( $T_D$ ). The lines are drawn as guides for the eye. The scale bar indicates the  $\theta$  to 2 $\theta$  XRD scan angle ranges from 3.6° to 10.0°.

Fig. 4. (A) AFM images  $(5.0 \times 5.0 \ \mu m^2)$  of 50-nm-thick pentacene films grown on dielectrics PS1 (top) and c-SiO<sub>2</sub> (bottom) at different T<sub>D</sub>'s and the corresponding average grain size versus T<sub>D</sub> plots for PS1 (red), PMMA (blue), and c-SiO2 (black). (B) Mobility versus average grain size plot for pentacene TFTs on all dielectrics. The blue- and red-shaded areas represent the lowand high-carrier-mobility regions, respectively. (C) Mobility of pentacene TFTs before (left) and after (right) O2 plasma treatment of the polymer dielectric surface  $(T_{\rm D} = 70^{\circ}{\rm C}).$ 



size distribution, varying from 0.1 cm<sup>2</sup>/V·s to  $\sim 10^{-7}$  cm<sup>2</sup>/V·s.

Finally, to demonstrate that the present large pentacene microstructure-OTFT I-V correlations result from polymer chain surface segmental mobility, the  $T_{a}(s)$ 's of representative low- $T_{a}(b)$ polymers were increased via surface chemical modification. Treatment of PS films with an O2 plasma forms oxygenated species (mainly hydroxyl groups) penetrating only ~2 nm into the film (38, 39). This process should convert the surface properties of the PS-coated substrates to those approaching polyvinylphenol (PVP), a polymer with a far larger  $T_{e}(b)$  (~170°C) than PS. We fabricated TFTs based on PS1 and PS2 (as a control), on PS substrates treated with an O2 plasma (PS1/2-OXY), and on PVP-based bilayer insulators (PVP and PVP-OXY as a control), on which pentacene films were then deposited at  $T_{\rm D} = 70^{\circ}$ C (for details, see the supporting online material). The field-effect transistor mobilities of PS1/2-OXY-based devices for  $T_D > T_g(s)$ using PS1 and PS2 increase dramatically despite the low  $T_{g}(b)$ 's of these polymers and approach those of the PVP-based devices (Fig. 4C). This result strongly supports the surface polymer chain viscoelastic origin of the dramatic mobility and microstructure variations observed here. A practical implication is that the surfaces of low- $T_g(b)/T_g(s)$  polymer insulators (usually more processable) can be modified after deposition to tolerate higher-temperature fabrication processes, readily affording higher-performance OTFTs.

The present results illuminate new polymer surface thermal and viscoelasticity phenomena of general importance and demonstrate their crucial consequences for pentacene TFT response when polymers are used as gate dielectrics. First, there is an unprecedented and general effect of the dielectric  $T_g$  on the charge transport properties of overlying thin-film organic semiconductors. General and abrupt mobility transitions occur at well-defined pentacene film growth temperatures characteristic of each underlying polymer dielectric. This temperature, associated with a surface  $T_{g}$ , is substantially lower than the bulk  $T_g$  and is independent of film thickness. Second, the transition from high to low pentacene TFT field-effect mobility is closely correlated with large microstructural and morphological alterations of pentacene film growth occurring at the same surface  $T_g$ . Third, pentacene grain size-TFT carrier mobility analysis reveals two mobility states for pentacene TFTs, well separated by a critical grain size (~0.8 µm). Fourth, observing and quantifying surface T<sub>g</sub> via fieldeffect mobility measurements suggests a useful new probe of polymer interfacial viscoelastic properties versus those in thin films and the bulk. The observation of the effect of polymer surface chain dynamics of organic transistor response should also contribute to the more rational fabrication of advanced organic electronics.

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### Supporting Online Material

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Hybrid organic-inorganic nanocomposites of

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## Ultrastrong and Stiff Layered Polymer Nanocomposites

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Nanoscale building blocks are individually exceptionally strong because they are close to ideal, defect-free materials. It is, however, difficult to retain the ideal properties in macroscale composites. Bottom-up assembly of a clay/polymer nanocomposite allowed for the preparation of a homogeneous, optically transparent material with planar orientation of the alumosilicate nanosheets. The stiffness and tensile strength of these multilayer composites are one order of magnitude greater than those of analogous nanocomposites at a processing temperature that is much lower than those of ceramic or polymer materials with similar characteristics. A high level of ordering of the nanoscale building blocks, combined with dense covalent and hydrogen bonding and stiffening of the polymer chains, leads to highly effective load transfer between nanosheets and the polymer.

A critical challenge in nanocomposite fabrication is the ability to realize materials that allow the transfer of the exceptional mechanical properties (i.e., tensile strength,  $\sigma_{UTS}$ , and Young's modulus, E) of the nanoscale materials to the macroscale properties of the bulk

materials. Nanoparticle-filled polymer composites based on these structural elements have mechanical properties that fall far below the expected theoretical and experimentally determined values of the individual building blocks, except at low volume fractions of the reinforcement (1-9). The deficiency in the properties of the composite is largely related to the difficulty of obtaining welldispersed large volume fractions of the reinforcing nanomaterials and a lack of structural control. The difficulty is also associated with realizing an effective load transfer from the polymeric matrix to the nanoscale components and the insufficiently understood mechanical interactions of the two constituents at the nanoscale. We demonstrate that it is possible to produce composites with properties that approach the theoretical maxima using spatial and orientational control of clay platelets in a polymer matrix at the nanoscale and retaining this order at the macroscale.

polymer and clay nanoplatelets have received special attention because of the very low cost of the inorganic component, relatively simple preparation, and fairly predictable stiffening behavior when introduced into polymers (9, 10). Montmorillonite (MTM) clay (~1-nm-thick-by-100- to 1000-nmdiameter sheets) has been extensively used for this purpose because it is readily available and has exceptional mechanical properties. The in-plane modulus of elasticity has been estimated by Monte Carlo simulations to be ~270 GPa (6). Although composites incorporating 50 volume % of MTM should theoretically have stiffness values on the order of 100 GPa, values achieved to date with MTM platelets are at least one order of magnitude lower. This is because, in general, less than ~10 weight % (wt %) of the clay can be incorporated homogeneously as completely dispersed silicates rather than intercalated structures into the polymer because of the strong tendency of the clay to aggregate and phase separate. Further increases in the volume of the clay content have either marginally increased or even reduced both the strength and stiffness (9, 11). We approached preparation of the clay nano-

composite by using a bottom-up assembly process called layer-by-layer (LBL) assembly (12). The LBL process is based on sequential adsorption of nanometer-thick monolayers of oppositely charged compounds (such as polyelectrolytes, charged nanoparticles, and biological macromolecules) to form a multilayered structure with nanometer-level control over the architecture. In the past, we have used the LBL technique to prepare nanocomposites from carbon nanotubes (CNTs) that have  $\sigma_{\text{UTS}} \sim 220$  MPa (13, 14). We have also shown that the organization of LBL composites has many analogies with the structure

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Fig. 1. Preparation of PVA/MTM nanocomposites. (A) Schematic representation of the internal architecture of the PVA/MTM nanocomposite (picture shows 8 bilayers). (B) ATM phase image of a single PVA/MTM bilayer adsorbed on top of a silicon wafer. (Inset) Close up of the main image showing individual MTM platelets more clearly. Scale bar in inset, 400 nm. (C)

Fig. 2. Scanning electron microscopy characterization of a 300-bilayer, free-standing PVA/ MTM nanocomposite. (A) Cross section of the film. Arrows indicate the span of the cross section. (B) Close-up of the cross section showing the separation of layers. (C) Top-down view of a fracture edge of the composite after tensile testing. Dashed line indicates edge of the sample. (D) Top-down view of the composite's surface. The slight separation of the layers seen in (A) and (B) is due to a shearing force resulting from cutting the sample with a razor blade during scanning electron microscopy sample preparation.

of one of the toughest natural mineral-based materials, nacre (15). In this respect, LBL assembly of negatively charged nanosheets of hectorite or MTM clays with a poly(diallyldimethylammonium chloride) (PDDA) polycation led to the formation of a material with  $\sigma_{\text{UTS}} \sim 100$  MPa and a tangent stiffness after strain stiffening of ~11 GPa (15, 16). Although fairly high, these values are still below the theoretical limits for these materials, based on the mechanical properties of individual nanotubes and/or clay sheets.

A traditional LBL process of sequentially coating a surface with nanometer-thick layers of poly(vinyl alcohol) (PVA) and MTM by immersing a glass substrate in dilute solutions of the components was used in this study (17-19). Ellipsometry and ultraviolet/visible (UV/VIS) spectroscopy (Fig. 1 and fig. S1) revealed linear and uniform growth.

Characterization of the assembly with the use of atomic force microscopy (AFM) (Fig. 1) and scanning electron microscopy (Fig. 2) verified dense coverage of the nanoplatelets and their strictly planar orientation. The electron microscopy characterization provided thickness measurements of  $1.0 \pm 0.1 \ \mu m$  (SEM) and  $1.5 \pm 0.1 \ \mu m$ (SEM) for 200- and 300-bilayer films, respectively, indicating an average of ~5 nm of thickness per bilayer (Fig. 2A). Nearly identical thickness was obtained from ellipsometry for a 300-bilayer film grown on a silicon wafer: 1.480 ± 0.004 µm (SEM). The cross section also revealed a welldefined layered architecture.



We note that PVA is uncharged, unlike many other polymeric materials used in LBL. Nevertheless, it produces a stronger composite than do other polymers that undergo electrostatic attraction to the clay sheets (19-21). The PVA/ MTM pair has two unique properties. The first is the high efficiency of hydrogen bonding. Atomic modeling revealed that the geometry of SiO4 tetrahedrons on the surface of the alumosilicates is conducive to cooperative hydrogen bonding (the Velcro effect). The distances between the O atoms of clay and H atoms of PVA are 2.75 and 2.65 Å, respectively, which makes hydrogen bonding epitaxial (fig. S3). Second, a substantial part of the efficient load transfer between the polymer and the inorganic building block is attributed to the cyclic cross-linking to Al substitution present on the surface of MTM sheets and to Al atoms located along the edges of the MTM platelets (22). These Al atoms are easily accessible (Fig. 3A) to the macromolecules, unlike similar groups in the middle of the sheets. An atom of Al, two atoms of O, and three atoms of C from PVA participating in this bond form a six-membered ring structure, which is known to be particularly stable (Fig. 3A). Experimental data from Fourier transform infrared (FTIR) spectroscopy, nuclear magnetic resonance (NMR), and x-ray photoelectron scattering (XPS) spectroscopy, point to the formation of the Al-PVA covalent linkages. As such, we see a characteristic shift in the XPS spectra of Al from 74.4 to 74.9 eV (1 and 2 in Fig. 3B);

Compilation of UV/VIS absorbance spectra collected after multiples of 25 bilayers of PVA/MTM composite deposited on both sides of a microscope glass slide up to 200 bilayers. a.u., arbitrary units. (D) Free-standing, 300-bilayer PVA/MTM composite film showing high flexibility and transparency. The lower image was taken at an angle to show diffraction colors.

1000

200

600

800

concomitantly, a change in the ratio of carbon XPS peaks at 284.8 eV (-C-H2) and 286.2 eV (-C-O-H) was observed (Fig. 3C). The formation of Al-PVA bonds can be further confirmed by the appearance of the characteristic FTIR vibration of Al-O-C (Fig. 3D, inset) at 848 cm (22) and the strong suppression of the C-O-H band at 3290 cm<sup>-1</sup> (Fig. 3E), which correlate well with the condensation of hydroxyls at Al sites with those from PVA groups. The NMR spectra of 27Al (fig. S4) remain the same as expected because the coordination environment of Al (octahedral) did not change. The nanometerscale organization and the layered structure of the composite provide the necessary conditions for the formation of multiples of such cyclic linkages.

Films were treated with glutaraldehyde (GA) after LBL assembly to further the bonding and load transfer between the -OH groups and the clay surface. GA is a highly efficient cross-linking agent for PVA (23, 24) that forms covalent acetal bridges between -OH groups of the polymer chains (fig. S5), as well as the hydroxyl groups present on the MTM sheets and particularly on their edges. Solid-state NMR techniques revealed dramatic changes in the spectra before and after GA treatment (fig. S4). We can also see clear evidence of a reaction between GA and clay from NMR (fig. S5) and FTIR spectra (fig. S6), which indicates that this type of cross-linking further increases connectivity between PVA and clay sheets as well as the clay particles themselves.

Cross-linked free-standing films showed high uniformity, strength, flexibility, and remarkable transparency (Fig. 1D). UV/VIS spectra of the 300-bilayer free-standing films showed 80 to 90% transparency across the visible light spectrum, whereas pure PVA showed 90 to 95% transparency (fig. S7). Thermogravimetric analysis showed that the same films were composed in ~70 wt % (~50 volume %) of the MTM (fig. S8). This can be explained by the nanoscale dimensions of the inorganic phase and the nearly perfect orientation and fine dispersion of the nanoplatelets. UV/VIS spectroscopy also showed Fabry-Perot patterns (25, 26), which are a further indication of high uniformity in the film.

Evaluation of mechanical properties by microtensile tests yielded remarkable results even

Fig. 3. Characterization of PVA and MTM molecular interactions. (A) Energy-optimized geometry of bonding between PVA and MTM via Al substitution sites obtained by computer calculations with the AM1 semi-empirical algorithm. (Right) Enlarged portion of the six-membered cycle formed between PVA and MTM. Al, purple; O, red; H, light gray; Si, dark gray; C, green. (B) Al 2p orbital XPS spectra for (1) MTM, (2) PVA/MTM nanocomposite, and (3) PVA/MTM nanocomposite with GA crosslinking. A positive energy shift is indicative of the increased oxidation state of the Al. (C) C 1s orbital XPS spectra for (1) PVA, (2) PVA/MTM composite, and (3) PVA/MTM composite with GA cross-linking. XPS spectra were deconvoluted in component peaks corresponding to the different oxidation states of C. The major peaks at 284.8 and 286.2 eV correspond to -C-H<sub>2</sub> and -C-O-H carbons, respectively. (D) Comparison of FTIR spectra for (1) PVA/MTM composite and (2) MTM. (Inset) Close-up of the major peaks. Arrow points to the characteristic vibration peak at 848 cm<sup>-1</sup>. (E) Comparison of FTIR spectra for pure (1) PVA and (2) PVA/MTM composite. The spectrum of PVA/MTM shows suppression of the C-O-H vibrations because of covalent binding with the MTM surface.



without GA cross-linking (Fig. 4, Table 1, and fig. S2). The nanocomposite displayed ~four times higher strength and nearly one order of magnitude higher modulus when compared with pure PVA polymer. GA cross-linking increased the strength, stiffness, and brittleness of both pure PVA and the PVA/MTM composite. (Fig. 4, A and B) The ultimate tensile strength increased by nearly a factor of 3 over the uncross-linked PVA/MTM strength and 10 times in comparison with that of pure PVA, to values as high as 480 MPa. The modulus of the PVA/MTM with GA exceeded that of uncrosslinked PVA/MTM by one order of magnitude and that of pure PVA by two orders of magnitude, with the highest values reaching 125 GPa. The modulus of PVA/MTM with GA is comparable to that of various grades of Kevlar (27-29),  $E \sim 80$  to 220 GPa, and exceeds the stiffness of the strongest CNT-based fibers (30). Additionally, unlike PDDA-MTM composites, the PVA/MTM films with GA cross-linking showed exceptional stability under humid conditions (fig. S9), which is consistent with the covalent character of the bonds responsible for load transfer.

Theoretical estimates for nanocomposite properties with nanometer-scale spacing of constituents in a polymer and such a large volume fraction of the filler are not available, and the currently recognized theories from the filledrubber literature are not entirely applicable (31).

Fig. 4. Mechanical and thermal properties of PVA and PVA/MTM nanocomposites. (A) Stress-strain curves for 300-bilayer PVA/MTM composites (1) without and (2) with GA crosslinking. (B) Stress-strain curves for pure PVA polymer (1) without and (2) with GA cross-linking. The stress-strain curves were obtained from a home-built tensiometer (see supporting online material). (C to F) Differential scanning calorimetric analyses results for PVA polymer (C) without and (D) with GA cross-linking and for PVA/MTM (E) without and (F) with GA crosslinking. The DSC scans follow (1) heat, (2) cool, (3) heat cycles, as indicated by the numbering on the graphs.



Table 1. Summary of mechanical properties for PVA and its nanocomposites. The data are mean  $\pm$  SD. The tensile strengths reported were obtained using both a commercially available servohydraulic test system and a custom inhouse-built tensiometer (fig. S2). The moduli were obtained using the custombuilt tensiometer. N indicates the minimum number of experimental data points that we used in the statistical calculations.

Sample type (N)	Tensile strength σ <sub>υτs</sub> (MPa)	Modulus E' (GPa)	Ultimate strain ε (%)	
PVA (5)	40 ± 4	1.7 ± 0.2	35 ± 4	
PVA with GA (5)	$40 \pm 10$	$2.0 \pm 0.5$	$3.3 \pm 1.3$	
PDDA (5)	12 ± 4	$0.2 \pm 0.03$	48 ± 9	
PDDA-MTM (*)	$100 \pm 10$	11 ± 2	10 ± 2	
PVA/MTM (5)	$150 \pm 40$	13 ± 2	$0.7 \pm 0.2$	
PVA/MTM with GA (5)	$400 \pm 40$	106 ± 11	0.33 ± 0.04	

\*Data are the previously published results by Tang et al. (25) for 1.2-to-4.9-µm-thick (50 to 200 bilayers) samples tested at relative humidity of 32%.

We believe that the explanation of these results lies in the effective stiffening of the PVA matrix (due to constrained motion of the polymer chains) because of its close proximity to and many interactions with the MTM platelets. The evidence of this reinforcement mechanism comes from differential scanning calorimetry (DSC) analysis (Fig. 3, C to F), which shows suppression of the thermal motion of the PVA when it is constrained between dispersed nanoplatelets. This effect should result in a shift in glass transition temperature  $(T_{o})$ toward the higher values. However, the overall suppression of motion makes the actual  $T_{\alpha}$  of the polymer not very well defined for such systems, as can be seen in the width of the corresponding DSC peaks. A similar effect can be seen from a comparison of polymer melting temperatures  $(T_m)$ between pure PVA (Fig. 4C) and PVA/MTM (Fig. 4E). Whereas the Tm in PVA is sharp and very well defined, PVA/MTM shows strong suppression and broadening of the peak. An additional consequence of such stiffening is that traditional theories of composite mechanics using the bulk properties of pure polymers are difficult to apply to composites with high contents of a uniformly distributed inorganic phase. Mechanicalproperty enhancement in the GA cross-linked PVA/MTM is a result of an increase in the likelihood that a polymer chain in the PVA/MTM with GA system interacts strongly with two or more clay platelets, thereby improving the particleto-matrix-to-particle load-transfer process over that in the PVA/MTM system.

In conclusion, reinforcement in polymernanoplatelet systems such as PVA/MTM is the result of several mechanisms operating at the nanoscale. The degree of structural organization (afforded by the LBL process) of the clay platelets in the composite maximizes the number of polymer/MTM interactions and constrains the polymer-chain motion, which results in a highly efficient load transfer between the polymer phase and the stiff MTM platelets.

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### Supporting Online Material

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## Major Australian-Antarctic Plate Reorganization at Hawaiian-Emperor Bend Time

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A marked bend in the Hawaiian-Emperor seamount chain supposedly resulted from a recent major reorganization of the plate-mantle system there 50 million years ago. Although alternative mantledriven and plate-shifting hypotheses have been proposed, no contemporaneous circum-Pacific plate events have been identified. We report reconstructions for Australia and Antarctica that reveal a major plate reorganization between 50 and 53 million years ago. Revised Pacific Ocean sea-floor reconstructions suggest that subduction of the Pacific-Izanagi spreading ridge and subsequent Marianas/Tonga-Kermadec subduction initiation may have been the ultimate causes of these events. Thus, these plate reconstructions solve long-standing continental fit problems and improve constraints on the motion between East and West Antarctica and global plate circuit closure.

A long-standing controversy in global tectonics concerns the ultimate driving forces that episodically cause major plate tectonic reorganizations. Proponents of "top-down" mechanisms [e.g., (1, 2)] argue that plates themselves drive instabilities of the plate-mantle system, whereas others [e.g., (3)] have argued that major mantle overturns drive plate tectonic punctuations. The most prominent manifestation of this controversy is the Hawaiian-Emperor sea-

mount chain bend (HEB) (4). Whereas there is ample evidence from hotspot trails (5), paleomagnetism (4), geodynamic models (6), and intraplate volcanism (7) to support a mantle flow mechanism, there has been a lack of evidence for a plate reorganization at the original age of 43 million years ago (Ma) (8) proposed for the HEB (9). However, Wessel et al. (10) recognized that the recent redating of bend initiation to ~50 Ma (11) correlates the HEB with major tectonic events from around the Pacific, such as South Pacific triple-junction reorganization at magnetic anomaly chron 22-21 (49.7 to 47.9 Ma) (12), Farallon-Pacific fracture zone bends at magnetic anomaly chron 24-21 (53.3 to 47.9 Ma) (13), and the direction change and proposed halt of Pacific-Kula plate spreading at magnetic anomaly chron 24-20/19 (53.3 to 43.8/41.5 Ma) (14).

The southeast Indian Ocean is a region where a plate event contemporaneous with a major Pacific plate reorganization might be expected, but a historical paucity of magnetic anomaly data close to the Australian and Antarctic margins, combined with slow initial spreading rates, has resulted in poorly constrained plate fits before 50 Ma (15). Published reconstructions that assume a north-south spreading direction between Australia and Antarctica result in large overlaps between the South Tasman Rise and Cape Adare (15), and offsets in matching Australia-Antarctic geological terranes (16). Magnetic anomaly identifications, related to India-Antarctica spreading at 126 to 130 Ma (17), have been identified north of the Bruce Rise, Antarctica (Fig. 1), which results in the tectonically problematic juxtaposition of India-Antarctica-related magnetic anomalies between Australia and Antarctica when the Naturaliste Plateau is reconstructed to the north of the Bruce Rise (15). These problems indicate that Australia has been placed too far west with respect to a fixed Antarctica and that it is incorrect that the Perth and Vincennes fracture zones are conjugates (15). Two Australian-Antarctic fracture zone fabrics can be clearly identified from gravity anomaly data (Fig. 1): a northwestsoutheast fabric on ocean floor older than chron 34 (83 Ma) and a north-south fabric on ocean crust younger than chron 21 (47.9 Ma). The model by Tikku and Cande (15) implies that the change in spreading direction resulting in these different fabrics occurred before chron 34 (83 Ma). Instead, we test the hypothesis that the Perth Fracture Zone is conjugate to a fracture zone, here named the Perth South Fracture Zone (Fig. 1), resulting in reconstruction of the Bruce Rise to the west of the Naturaliste Plateau. For our revised model, we investigate whether gravity



**Fig. 1.** Low-pass filtered (downward continued to the sea floor) 2-min gravity anomaly grid (*18*, *28*) for (**A**) Southern Australia and (**B**) East Antarctica (inset shows locations). Overlaid are ship tracks (gray lines), magnetic anomaly wiggles (black lines filled with white), gravity anomaly picks [squares, QZB (Quiet Zone Boundary)], fracture zone picks (inverted triangles, FZ), and magnetic anomaly picks [stars, this study; circles, Tikku and Cande (*15*)] used in this paper. Red lines in (B) are Geoscience Australia and Russian shiptracks (see main text), and bold red is shiptrack GA-22825 (fig. S1). PFZ, Perth Fracture Zone; PSFZ, Perth South Fracture Zone; and VFZ, Vincennes Fracture Zone.

Fig. 2. (A) Poles about which Australia is restored to Antarctica based on calculated angles of rotation (finite poles of rotation) with 95% confidence interval ellipses. Red squares and red error ellipses represent our new rotation poles, inverted triangles are Tikku and Cande's (15) rotation poles, and green circles are Royer and Rollet's (29) rotation poles. (B) South Australian margin and (C) East Antarctic margin, downward continued gravity anomaly with fit of tectonic flowlines resulting from our new model. Tectonic flowlines are constructed for stage rotations between magnetic chrons shown in Fig. 1.



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anomalies (18) permit a change in spreading direction at a younger time, resulting in a longer period of oblique relative motion than previously assumed.

New magnetic anomaly identifications made with recently acquired high-quality magnetic data in the Bruce Rise area (90° to 115°E) and along the Terre Adelie and Wilkes Land margins (115° to 132°E) were integrated with earlier identifications (15) (Fig. 1 and table S2). The motion of a

Fig. 3. Australia-Antarctica reconstructions based on our rotations at three stage boundaries: (A) 47.9 Ma, chron 21; (B) 61 Ma, chron 27; and (C) 83 Ma, chron 34. All magnetic chron ages are based on the time scale of Cande and Kent (30). Underlying image shows downward continued gravity anomalies. At 83 Ma, the Naturaliste Plateau (NP) is located to the east of the Bruce Rise (BR), there is no overlap between southeast Australia and Antarctica, and the Australian/Antarctic geological provinces align. Dark gray arrows show direction of motion of Australia and Antarctica for stages chron 21 to chron 18, chron 27 to chron 21, and chron 34 to chron 27 in (A), (B), and (C), respectively. Magnetic anomaly identifications for Australia and Antarctica for each reconstruction time are shown as red circles and black squares, respectively. tectonic plate can be described by a rotation angle about a virtual axis that passes through the center of the Earth and intersects its surface (finite pole of rotation). We used the combined fracture zone and magnetic anomaly identifications (Fig. 1) to define a new set of plate boundaries and compute well-constrained finite rotations and 95% uncertainty intervals (Fig. 2 and tables S3 and S4) that describe our new plate motion history for Australia and East Antarctica.



Inverted triangles are fracture zone identifications for each chron older than the time of reconstruction. Geological provinces are as follows: pink, Neoarchean basement [~2.5 billion years ago (Ga)]; green, Paleoproterozoic basin (~1.69 Ga); light blue, metasediments (~2 Ga); and red, plutonic belt (~500 Ma) (16, 31, 32). WL, Wilkesland; TA, Terra Adelie; PFZ, Perth Fracture Zone; PSFZ, Perth South Fracture Zone; and VFZ, Vincennes Fracture Zone.

Fig. 4. Reconstructed oceanic crustal age grids at two time slices (A) 55 Ma and (B) 45 Ma. Black arrows (in mm/year) show western Pacific (30°N, 150°E) plate motion over the stages 60 to 50 Ma and 50 to 40 Ma, respectively. (Inset) Vectors (in mm/year) (same 10-Ma stages) show motion of the eastern Pacific (30°N, 240°E) (P) and Farallon (F) plates relative to fixed African hotspots (AH) over the period 60 to 50 Ma and 50 to 40 Ma. Eastern and western Pacific directions and changes in plate motion are substantially different. Geographical location of poles (latitude, longitude) about which rotations (angle) describing Pacific plate motion relative to an African hotspot reference frame are 55.0°N, -118.4°W, -7.90° and 59.4°N, -19.3°W, -5.52° at 60 to 50 Ma and 50 to 40 Ma, respectively. The resultant relative plate motions between the Pacific and Farallon plates show that our model explains the shape of the Pacific-Farallon fracture zone bend as well as the HEB. TK, Tonga-Kermadec subduction zone: IBM, Izu-Bonin-Marianas subduction zone. m.y., millions of years.

Our new plate reconstructions resolve longstanding continental and geological terrane fit problems with Australia, relative to Antarctica, positioned ~500 km east of previous reconstructions at 83 Ma (Fig. 3), and provide improved constraints on the motion between East and West Antarctica and global plate circuit closure.

When combined with geological evidence for relative plate motion changes around the Pacific, our reconstructions provide strong evidence for a major plate reorganization, which we argue was initiated by the subduction of the Izanagi-Pacific (I-P) ridge—a "top-down" mechanism. Circum-Pacific fracture zone bends document relative plate motion changes precipitated by the I-P ridge subduction, whereas the prominent HEB formed through a combination of altered Pacific plate motion and the well-documented deceleration of Pacific mantle (4, 6).

We present a new plate reconstruction for the western Pacific (Fig. 4) based on matching isochrons and a set of simple assumptions (table S5). In our plate model, mid-ocean ridge subduction beneath southern Japan occurs at 60 to 55 Ma, 20 million years later than proposed for Kula-Pacific (19) or Farallon-Izanagi (20) ridge subduction. The difference arises because I-P spreading ceases in previous models after 110 Ma, whereas our model incorporates continued spreading until the I-P ridge subducts beneath eastern Asia at 60 to 55 Ma. Cessation of spreading at the I-P ridge between 110 and 80 Ma is unlikely because the Izanagi plate was undergoing rapid motion, driven by net slab-pull force, from the north-northwest (21), immediately before the proposed spreading cessation.

Metamorphism of the Ryoke Belt in southern Japan has previously been attributed to Kula– Pacific ridge subduction at 85 Ma (19), but the high-temperature/low-pressure Ryoke Belt cannot be uniquely linked to a ridge subduction event (22). Unreasonably high spreading rates of 35 to 40 cm/year during the Cretaceous Normal Superchron between the Pacific and Izanagi plates would be necessary to subduct the I-P ridge at 85 Ma, which is more than double the fastest current spreading rate globally [~15 cm/



year between the Pacific and Nazca plates (23)]. We propose that subparallel subduction of the I-P mid-ocean ridge beneath Japan at 60 to 55 Ma resulted in nearly simultaneous slab break-off along the length of the Japanese trench (~2700 km). Geological observations from southern Japan support subduction of the I-P ridge and subsequent slab break-off at 60 to 55 Ma. Evidence includes cessation of a major accretion phase in the Late Cretaceous (24), emplacement of the Okitsu Melange due to subduction of hot, buoyant material at 55 Ma (24), and cross-cutting fault fabrics that indicate a counterclockwise rotation in relative plate motions between Eurasia and the I-P plate, which are also consistent with paleothermal and paleopressure data, between 55 and 34 Ma (25).

Rapid subduction of the I-P ridge, over a vast distance, triggered a chain reaction of tectonic plate reorganizations. With complete subduction of the I-P ridge at 55 Ma, forces acting on the Pacific changed from ridge-push to slab-pull, which changed Pacific absolute plate motions from northwest to west (Fig. 4). The change in Pacific plate motion caused cessation of Tasman Sea spreading at ~52 Ma (26). Increased slab pull north of Australia, due to a westerly progression of the subducting Wharton Basin mid-ocean ridge (Fig. 4), changed Australian absolute plate motion from northwest to north. A combination of Australian and Pacific plate motion changes between 53 and 50 Ma initiated both the Tonga-Kermadec (2) subduction system and the Izu-Bonin-Marianas subduction systems, which initiated likely before 50 Ma, due to convergence across a fracture zone caused by the Pacific plate motion change (27). We suggest that the observed slowdown of sub-Pacific mantle flow at 47 Ma (4) was due to progressive impediment of lateral sub-Pacific mantle flow by the descending slabs of the Izu-Bonin-Marianas and Tonga-Kermadec subduction zones.

The observed opposite bend geometries of the Emperor-Hawaii seamount chain and the Pacific-Farallon fracture zones can be explained with combined absolute (relative to a fixed reference frame) and relative plate motions. Clockwise rotation of eastern Pacific absolute plate motion, combined with stable Farallon plate motion (Fig. 4), results in a clockwise bend in Pacific-Farallon fracture zones at 53 to 49 Ma (16). In the western Pacific, a counterclockwise change in absolute plate motion, from northwest to west due to Izanagi Ridge subduction (Fig. 4). combined with a sub-Pacific mantle flow slowdown, results in the HEB. This conceptual model is testable via three-dimensional fully dynamic mantle flow simulations.

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#### Supporting Online Material

www.sciencemag.org/cgi/content/full/318/5847/83/DC1 Fig. S1

Tables S1 to S5 References

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## Absence of Cooling in New Zealand and the Adjacent Ocean During the Younger Dryas Chronozone

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As the climate warmed at the end of the last glacial period, a rapid reversal in temperature, the Younger Dryas (YD) event, briefly returned much of the North Atlantic region to near full-glacial conditions. The event was associated with climate reversals in many other areas of the Northern Hemisphere and also with warming over and near Antarctica. However, the expression of the YD in the mid- to low latitudes of the Southern Hemisphere (and the southwest Pacific region in particular) is much more controversial. Here we show that the Waiho Loop advance of the Franz Josef Glacier in New Zealand was not a YD event, as previously thought, and that the adjacent ocean warmed throughout the YD.

The Younger Dryas (YD) event was originally recognized in Northern Europe and the adjacent North Atlantic Ocean as an abrupt return to near full-glacial conditions between 11,000 and 10,000 radiocarbon ( $^{14}$ C) years ago, an interval defined as the YD chronozone (*1*). Layer counting of Greenland ice cores (2), tree ring counts (3), and calibration of the  $^{14}$ C

time scale (4) now place the event between  $\sim$ 12,900 and  $\sim$ 11,600 calendar years before the present (cal yr B.P.). Evidence for teleconnected reversals of climate at this time occurs widely in the Northern Hemisphere (5), whereas the transfer of the Greenland climate stratigraphy to Antarctic ice cores via measurements of globally well-mixed atmospheric CH<sub>4</sub> trapped within the ice show clearly that a deglacial reversal of climate warming in Antarctica [the Antarctic Cold Reversal (ACR)] ended just as the Northern Hemisphere YD began (6). The latter is consistent with an antiphased relation of climate between Greenland and Antarctica seen earlier

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in the glacial period (6, 7), most likely due to altered interhemispheric heat transport by the ocean and/or alternation of deep-water formation between hemispheres (8). What remains both uncertain and very controversial is the nature of the YD climate signal, if any, in the mid- to low latitudes of the Southern Hemisphere (9–12).

The strongest case for cooling in the midlatitude Southern Hemisphere during the YD is made on the basis of the Waiho Loop advance of the Franz Josef Glacier on the west coast of South Island, New Zealand (9). Twenty-five pieces of wood found buried beneath till at Canavans Knob, 1.6 km behind the moraine and ranging in age from ~12,800 to ~13,400 cal yr B.P. (10,750 to 11,520 14C yr B.P.) (9) suggest that the Waiho Loop formed immediately after this period during the YD chronozone. Higher in the Southern Alps, a mean 10Be exposure age of  $11,720 \pm 320$  years on boulders from the Lake Misery moraines in Arthur's Pass (13) also appears to support the claim for a glacier advance during the YD. These ages have prompted widespread debate, both because other climate proxies such as pollen do not indicate substantial YD cooling (10) and because marine records in the southwest Pacific Ocean display very different deglacial climate signals (12, 14, 15).

We chose a twofold approach to reassess the status of the YD interval in the region. First, we exposure dated the Waiho Loop moraine using the cosmogenic isotopes 10Be and 36Cl. This method has an advantage over 14C dating in that it directly dates the moraine itself. Two nuclides provide internal-age control because each nuclide is produced from different targets and is analyzed and standardized independently. We collected samples from 10 boulders spatially distributed along the moraine crest. In two cases [WH-06 and WH-07 (Table 1)], we collected samples from both ends of large boulders, and in six cases it was possible to sample for both <sup>10</sup>Be and <sup>36</sup>Cl from the same boulder. Samples were prepared with the use of established methods (16). In total, we determined 24 ages, including 6 independently prepared duplicates for <sup>36</sup>Cl.

The choice of production rates for calculating exposure age is critical because systematic calibration errors directly propagate into the exposure-age uncertainty. We chose production rates calibrated with the retreat of ice at the conclusion of the YD in Scotland (17). This ties the exposure age of the Waiho Loop with a location of known YD age, making a direct age comparison possible. Uncertainties in production-rate scaling factors due to the geomagnetic field and atmospheric thickness are small because our site is similar to the YD calibration sites in terms of age, latitude, and altitude.

Exposure-age results are presented in Table 1 and tables S1 to S4. There is a high degree of coherence between the ages, and only one boulder age falls within the YD chronozone. Statistical dispersion between the ages of duplicates, ages derived from different isotopes analyzed from the same sample, and ages of different samples from the same boulder is similar to the dispersion between the ages of the boulders themselves. One exception is boulder WH-09, where all three ages are significantly younger than the main grouping. This was the only boulder sampled with smaller blocks resting on top of it, perhaps indicating a former till cover. The ages from the other nine boulders form a population  $(\chi^2/v = 2.55)$ , where v is the number of degrees of freedom) with a weighted mean age of 10,480 ± 240 years (18). Therefore, ice retreated from the Waiho Loop moraine ~1100 years after the end of the YD, meaning that it cannot be a YD-associated event. The moraine is ~2300 years younger than the youngest wood recovered beneath till correlated with the moraine (9). Those dates may record the initial advance of the Franz Josef Glacier just before the YD and during the ACR (~14,450 to 12,900 cal yr B.P.), a possibility raised earlier by Broecker (5) on the basis of the older 14C dates at Canavans Knob. If these dates record a full advance of ice to the

**Table 1.** Exposure ages for boulders on the Waiho Loop. Boulder ages are error-weighted means with standard deviation of the ages. The second "<sup>36</sup>Cl age" column represents a duplicate analysis. Ages are in thousands of years. ND, not done.

Sample	<sup>36</sup> Cl age	<sup>36</sup> Cl age	<sup>10</sup> Be age	Boulder age
WH-01	11.43 ± 0.60	10.70 ± 0.91	11.29 ± 0.90	11.23 ± 0.39
WH-02	$11.31 \pm 0.59$	ND	$10.39 \pm 1.01$	$11.08 \pm 0.65$
WH-03	ND	ND	$10.75 \pm 0.85$	10.75 ± 0.85
WH-04	9.57 ± 0.52	8.52 ± 1.11	9.69 ± 0.83	$9.46 \pm 0.64$
WH-05	ND	ND	$9.12 \pm 0.76$	9.12 ± 0.76
WH-06*	$11.11 \pm 0.55$	ND	ND	9.24 ± 2.14†
WH-06*	$8.09 \pm 0.44$	ND	ND	See abovet
WH-07*	8.58 ± 0.47	$11.62 \pm 1.33$	ND	9.40 ± 1.52±
WH-07*	$10.23 \pm 0.58$	ND	ND	See abovet
WH-08	$8.28 \pm 0.47$	8.90 ± 0.83	$6.87 \pm 1.03$	8.22 ± 1.04
WH-09	$5.16 \pm 0.30$	5.03 ± 0.55	5.32 ± 0.50	5.17 ± 0.15
WH-10	$12.79 \pm 0.67$	$10.37 \pm 0.81$	$11.37 \pm 1.76$	11.77 ± 1.22

\*Samples from either end of large boulders. †The above value is an average age for both WH-06 samples. \$\phi here above value is an average age for both WH-07 samples. Waiho Loop, then the moraine must have formed over a period of ~2300 years, explaining its large size.

To compare our exposure ages directly with those from the putative YD moraines at Arthur's Pass (13), we recalculated the latter using the YD 10Be production rate (19). Four out of the five samples form a population ( $\chi^2/v = 0.28$ ) with a weighted mean age of 13,420 ± 630 years (20). Therefore, ice retreated from the Lake Misery moraines at the end of the ACR, ~1800 years before the conclusion of the YD and ~3000 years before the Waiho Loop moraine was abandoned by ice. To validate our production-rate methodology, we recalculated the age of the Egesen moraines in Julier Pass, Switzerland, that are believed to have formed during the YD (13). The new weighted mean ages for the outer and inner Egesen moraines are 13,020 ± 670 and 11,410 ± 440 years (versus the originally calculated ages of 11,750 ± 240 and 10,470 ± 260 years), indicating that the glacier occupied Julier Pass during the YD. Consequently, our method confirms the age of known YD moraines in the Northern Hemisphere. Even if we applied other commonly used <sup>10</sup>Be production rates (21, 22), these rates would decrease the age of the Waiho Loop boulders and increase the mismatch with the YD.

The second approach we used to detect possible cooling during the YD was to construct a new high-resolution deep-sea record of sea surface temperature (SST) 180 km west of the Waiho Loop in the Tasman Sea. Core SO136-GC11 was collected during a Sonne cruise on the Challenger Plateau (43° 26.40'S, 167° 51.04'E, water depth of 1556 m), north of the present-day Subtropical Front and in the same meteorological area as the glacier (23). We estimated SST using the alkenone-unsaturation paleotemperature technique [see supporting online material (SOM)] and the empirical temperature calibration from sediments of Müller et al. (24), which is statistically identical to the laboratory-based calibration of Prahl et al. (25). Although the absolute temperature calculated from alkenone measurements depends on the choice of calibration, the relative precision of individual measurements is high (typically 0.5°C) and sufficient to detect small relative changes in SST. The age model was constructed with the use of 13 accelerator mass spectrometry (AMS) 14C dates on the planktonic foraminifera Globorotalia inflata back to 23,830 cal yr B.P. (Fig. 1, table S5, and SOM). Because of the proximity to outwash from coastal glaciers, sedimentation rates peaked at ~18,400 and ~27,000 to 28,000 cal yr B.P., during maximum glaciation (26). The alkenonesample interval during the YD chronozone is 200 to 300 years and as little as ~20 years during the last glacial maximum (LGM).

The SST reconstruction is shown in Fig. 1, along with  $\delta^{18}$ O of the planktonic foraminifera *Globigerina bulloides* from SO136-GC11, Greenland and Antarctic oxygen isotope records

(7, 27), and a nearby pollen record (28). The timing of full-glacial cooling and subsequent deglacial warming is very similar to that seen in the South Island pollen record, consistent with a strong influence of the adjacent ocean on the local climate (29). Although not yet directly dated, a rapidly fluctuating SST decline and planktonic  $\delta^{18}$ O increase (cooling) began ~29,000 cal yr B.P. and reached near full-glacial temperatures ~27,000 cal yr B.P., consistent with an early glacial cooling seen in the pollen series (28) and the longer record of New Zealand glaciation (30). Similar high-amplitude SST fluctuations are seen to the east of New Zealand and in the Southerm Ocean (29).

Despite the documented presence of highamplitude SST variability in the early (pre-LGM) part of the record, the warming after the abrupt

increase in SST at ~19,000 cal yr B.P. is monotonic. There is no discernible decrease in SST during the deglacial period, only gradual warming that peaks at ~11,400 cal yr B.P. at the conclusion of the YD. There are no short-term fluctuations late in the Pleistocene that could potentially be a YD cooling event, even allowing for generous errors in the age model. Consistent with warming in the SST series, the YD interval appears to be associated locally with a period of maximum forest growth (increasing abundance of podocarps and hardwoods, Fig. 1), not cooling. The Holocene is characterized by a continuous SST decline to the present, seen also in the tropical western Pacific Ocean (31). This is consistent with late Holocene neoglaciation in New Zealand, including in the valley behind the Waiho Loop moraine (32).



**Fig. 1.** (**A**) Greenland Ice Sheet Project 2 (GISP2) ice core  $\delta^{18}$ O record (27). GIS, Greenland Interstadial. (**B**) Antarctic European Project for Ice Coring in Antarctica (EPICA) Dronning Maud Land (EDML) ice core  $\delta^{18}$ O record (7) (solid line is a spline fit). AIM, Antarctic isotope maximum. (**C**) Alkenone-derived SST record of SO136-GC11. (**D**) Planktonic oxygen isotope record of SO136-GC11 (solid line is a three-point running mean). Triangles show the position of <sup>14</sup>C dates. (**E**) Pollen abundance of podocarps and hardwoods together with that of total trees and shrubs from Okarito Pakihi (28), located about 12 km from the Waiho Loop. YD, Younger Dryas chronozone; WL, age of the Waiho Loop.

The new and revised exposure ages presented here overturn evidence for glacier advance in New Zealand during the YD chronozone, previously considered to be the strongest basis for cooling in the Southern Hemisphere at this time (5). The possibility of glacier advance of the Franz Josef Glacier during the ACR cannot be ruled out, but we show that retreat from the Waiho Loop occurred several millennia later. Glacier retreat from the moraines at Arthur's Pass occurred much earlier. The upwind SST record indicating progressive warming during both the ACR and the YD is not readily consistent with regional forcing of coordinated glacier advance at either time and probably points to more local controls on glacier mass balance, especially for the Franz Josef Glacier (33). The absence of YD cooling in New Zealand increasingly calls into question its presence within the Southern Hemisphere, especially in mid-latitudes where there is little or no physical basis for climate teleconnection with the North Atlantic region.

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### Supporting Online Material

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## Toward Direct Measurement of Atmospheric Nucleation

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Atmospheric aerosol formation is known to occur almost all over the world, and the importance of these particles to climate and air quality has been recognized. Although almost all of the processes driving aerosol formation take place below a particle diameter of 3 nanometers, observations cover only larger particles. We introduce an instrumental setup to measure atmospheric concentrations of both neutral and charged nanometer-sized clusters. By applying the instruments in the field, we come to three important conclusions: (i) A pool of numerous neutral clusters in the sub–3 nanometer size range is continuously present; (ii) the processes initiating atmospheric aerosol formation start from particle sizes of ~1.5 nanometers; and (iii) neutral nucleation dominates over the ion-induced mechanism, at least in boreal forest conditions.

Ficles (diameter of 3 to 10 nm) by nucleation and subsequent growth has been observed in a wide variety of low- and highaltitude locations (1). Once the formed particles grow further in size, they may participate in cloud formation and influence the regional or even global radiation balance and ultimately climate. On more local scales, these particles may be deleterious to human health and impair visibility.

Despite the growing list of locations where frequent aerosol formation has been observed, the overall magnitude of this source is still very poorly understood compared with that of any other major source generating particles into the atmosphere. There are at least two reasons for this. First, atmospheric aerosol formation is driven by processes taking place below a 3-nm particle diameter, which is outside the range of most measuring devices in use. Second, the nu-

\*To whom correspondence should be addressed. E-mail: kulmala@pcu.helsinki.fi cleation mechanism initiating aerosol formation is likely to vary with location and atmospheric conditions. Proposed atmospheric nucleation mechanisms include kinetic (or barrierless), binary, ternary, and ion-induced (or ion-mediated) nucleation (1-3), some of which might further be affected by meteorological processes such as turbulent fluctuations, atmospheric waves, and mixing (4, 5). Most nucleation mechanisms have been thought to involve gaseous sulfuric acid, even though nucleation taking place in association with clouds and in coastal areas could be induced by water-insoluble (6) and iodine compounds (7), respectively.

Recently it was suggested that the formation of new atmospheric aerosol particles is connected with the existence of thermodynamically stable 1- to 2-nm clusters (8), formed in the atmosphere by some nucleation mechanism. From a physical standpoint, two very different cluster types in the sub-3 nm size range can be distinguished: charged (air ions or ion clusters) and neutral species. The existence of atmospheric ion clusters as small as 0.5 to 1 nm in diameter has been known for decades, and measurements with ion spectrometers, such as the Air Ion Spectrometer (AIS) and Balanced Scanning Mobility Analyzer (BSMA), have demonstrated that such clusters are present almost all the time (9). The production rates of ion clusters are, however, generally too low to explain the observed aerosol-formation rates (10).

In view of the insufficient numbers of ion clusters, the key to understanding atmospheric aerosol formation is clearly the presence of neutral clusters. Theoretical arguments predict the existence of such clusters (8, 11) and suggest that



Fig. 1. Evolution of particle number size distribution measured with the NAIS on a particle formation event day (23 April 2006) in Hyytiälä, Finland.

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they should play an important role in aerosol formation processes via their activation (12). Proposed candidates for neutral clusters include ammonium bisulfate clusters (12, 13) and clusters formed by ion-ion recombination (14). The presence of neutral atmospheric clusters has not been experimentally verified so far, because the commercially available instruments cannot reliably detect neutral aerosol particles smaller than about 3 nm in diameter.

Here we provide experimental evidence for the existence of neutral clusters in the atmosphere and demonstrate that the processes initiating atmospheric aerosol formation include clusters with sizes close to 2 nm in diameter. The investigation is based on three very recently developed instruments: the Neutral Cluster-Air Ion Spectrometer (NAIS), the UF-02proto Condensation Particle Counter (UF-02proto CPC), and a Grimm nanoDMA and Faraday Cup Electrometer preceded by a unipolar charger (15, 16). Measured total (air ion plus neutral) cluster concentrations are compared with corresponding air ion concentrations obtained from BSMA and AIS measurements, as well as with cluster concentrations calculated theoretically (16, 17).

The data collected in this work were measured in Hyytiälä, southern Finland, during 10 weeks and during 3 weeks in Birmingham, UK, in spring 2006 (16). The most compelling evidence for the existence of neutral clusters is given by the NAIS measurements. Figure 1 shows the evolution of the cluster size distribution, both neutral and charged clusters, on one particleformation event day in Hyytiälä. Similarly to ion measurements (see also figs. S7 to S9), there seems to be a cluster mode present all the time, with a median size of ~1.5 to 1.8 nm and extending to slightly below 1 nm at the lower end and to ~2.5 nm at the upper end. The total number concentration of this cluster mode is on the order of 1000 cm-3. Owing to continuous scavenging of the clusters by coagulation, the presence of a continuous cluster mode suggests also continuous nucleation. If the particles do not grow above 3 nm before they are scavenged, they cannot be detected with traditional aerosol sizing instruments (such as Differential Mobility Particle Sizer, DMPS; fig. S6). This is the case for our example day before ~9 a.m.

At ~10 a.m. a fraction of the clusters activate, i.e., start growing to larger sizes. This can be caused by a sudden lowering of the coagulation sink and/or an increase of condensable vapor concentrations. The particle formation is also observable with DMPS (fig. S6).

The air ion and total cluster concentrations in Hyytiälä, in the size range of 1.8 to 3.0 nm, are shown in Fig. 2 for a period of 70 days. Typical concentrations of air ions were between about 10 and 100 cm<sup>-3</sup> in the daytime and <10 cm<sup>-3</sup> during the night. The total cluster concentrations were much higher, on the order of 1000 cm-3. Therefore, there must have been a large number (~1000 to 10000 cm<sup>-3</sup>) of neutral clusters present almost all the time. The observed order of magnitude can

be predicted by using simple balance equations for neutral and charged particle concentrations (16), but only if a substantial neutral nucleation rate is assumed. Consequently, ion-induced nucleation, activation of ion clusters, or ion-ion recombination cannot produce sufficient number of neutral clusters, and thus the majority of nucleation (at least in Hyytiälä) has to be neutral.

For a more detailed analysis, we selected two aerosol formation event days in Hyytiälä, during which simultaneous data from the NAIS, UF-02proto CPCs, AIS, BSMA, and DMPS were available. On the first day (23 April), we observed an aerosol formation event between about 09:00 and 15:00 (16). The time evolution of the total cluster concentration in the size range of 1.8 to 3.0 nm, as measured by the UF-02proto CPC

Fig. 2. Time series of cluster concentrations. Number concentrations of 1.8- to 3-nm aerosol particles and negative air ions measured with the NAIS (blue) and AIS (black) between 13 March and 16 May 2006.

50.00

45:00

40.00

350

30.00

25.0

B 4000

350

30.00

25.0

5

pair, followed closely that measured by the NAIS (Fig. 3A). On the next day (24 April) there was less agreement between these two measurement systems, yet both showed similar overall cluster concentrations (Fig. 3B). Compared with the total cluster numbers, which reached concentrations of up to 1500 to 3000 cm-3, the concentrations of air ions in the same range were much lower, on the order of 10 to 100 cm<sup>-3</sup> (Fig. 3, C and D).

Figure 4 compares theoretically calculated cluster concentrations with those measured by the NAIS on 23 April during the active period of 3-nm particle formation. Outside the actual particle formation and growth time frame, our calculation procedure is not valid (16). Excluding the few short periods when momentary decreases in particle formation rate drive down calculated



Fig. 3. Cluster concentrations on 2 days with new particle formation. (A and B) Total cluster-number concentrations between 1.8 and 3.0 nm observed by the NAIS (blue and red) and UF-02proto CPC pair (black) during 23 and 24 April 2006. (C and D) Negative (blue) and positive (red) air ion number concentrations measured with the AIS and BSMA during 23 and 24 April 2006.

cluster concentrations, a close agreement between calculated and measured cluster concentrations is seen. The data measured in Birmingham (16) show also a strong diumal cycle in particles of 1.8- to 3-nm diameter (fig. S10)

Thus, the three different approaches applied here give total cluster concentrations that are usually within a factor of 2 of each other and roughly two orders of magnitude larger than air ion concentrations of similar size. These findings demonstrate unambiguously that sub–3 nm neutral clusters exist in the atmosphere and that they dominate over corresponding charged clusters at least down to 1.8 nm in size.

Hints about the existence of neutral clusters can be found in the scientific literature. In their laboratory measurements of prenucleation molecular clusters in a ternary NH<sub>3</sub>/H<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>O system, Hanson and Eisele (18) observed large amounts of neutral clusters, using a transverse chemical ionization apparatus. The composition of their clusters might be comparable to that of atmospheric clusters observed in the present study. In another laboratory study, Kim *et al.* (19) analyzed homogeneous and ion-induced nucleation in the ternary NH<sub>3</sub>/SO<sub>2</sub>/H<sub>2</sub>O/air mixture. They proposed homogeneous nucleation of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> molecules produced by the H<sub>2</sub>SO<sub>4</sub>-NH<sub>3</sub> reaction as the main nucleation mechanism.



0.98 0.96 0.96 0.94 0.92

9

10

11

12

13

Time (h)

14

15

16 17

Regardless of the details of the nucleation mechanism, e.g., ammonium bisulfate clusters can be considered proper candidates for neutral clusters in the atmosphere. Our CPC is probably not the only such instrument capable of observing the neutral clusters: Gamero-Castaño and de la Mora (20) proposed clusters as "impurities in the gas phase." However, their study focused on the activation of ions and charged nanoclusters under laboratory conditions.

Our observations can be used to test different hypotheses related to atmospheric nucleation and initial growth of nucleated clusters. For example, we have calculated the formation rate of 1.8-nm clusters in Hyytiälä, denoted here as  $J_2$  (16). On 23 April, the value of  $J_2$  for all clusters together was in the range of 1.5 to 1.6 cm<sup>-3</sup> s<sup>-1</sup>, as calculated from the NAIS data, and  $1.1 \text{ cm}^{-3} \text{ s}^{-1}$ , as calculated from the UF-02proto CPC pair data. For negative and positive ions, values of  $J_2$ calculated from the AIS data were 0.02 and 0.04 cm<sup>-3</sup> s<sup>-1</sup>, respectively. On the basis of our ion-DMPS method (21), no appreciable ion-nucleation was observed on this day. On 24 April, the values of  $J_2$  for total clusters and positive ions were similar to those observed on the previous day, whereas the average  $J_2$  for negative ions was roughly three times as high. These features are reflected in the ratio between total cluster and air

**Fig. 4.** Comparison with theory. Theoretically calculated total cluster concentrations (black) during the aerosol formation event on 23 April, along with the corresponding concentrations measured by the NAIS (blue and red). The shaded area gives an uncertainty range for theoretically calculated values (*16*, *17*), obtained by assuming that the real growth rate of 1.8- to 3.0-nm clusters might be a factor of 2 lower or higher than the growth rate estimated from the BSMA data (2.1 nm/hour).

Fig. 5. Neutral cluster fraction. The neutral fraction of the total cluster concentration (1.8 to 3.0 nm) during 23 April 2006 (blue curve) and 24 April 2006 (red curve). During the latter day, the formed particles are negatively overcharged compared to the steady-state charge distribution based on observations obtained with the ion-DMPS (21). ion concentrations in the 1.8- to 3-nm size range (Fig. 5). On both days, the relative fractions of neutral clusters tracked each other, except during the new particle formation from 12:00 to 15:00 on 24 April. In this time period, the fraction of neutral clusters decreased from 0.97 to 0.93, indicating a larger (but less than 10%) contribution of ion-induced nucleation to  $J_2$ . The dominance of neutral over ion-induced nucleation is consistent with the findings of Eisele *et al.* (22) at another continental location.

Cluster size distributions (16) measured by the NAIS revealed that, similar to cluster ions, there appears to be a large pool of neutral clusters in the atmosphere all the time. These clusters have a larger mean size than ion clusters, and their distribution has a tail extending slightly above 2 nm. The presence of such a tail even in the absence of 3-nm aerosol formation, and the ~2-nm upper size of the continuous ion cluster and neutral cluster band, indicate strongly that dynamic processes initiating atmospheric aerosol formation take place at a particle diameter of ~2 nm, not 1 nm as previously thought. If true, this finding is important for at least two reasons. First, it suggests that with the latest instrumental developments, we can probe the size range in which atmospheric aerosol formation begins. Second, it makes atmospheric aerosol formation much less sensitive to nucleating vapor concentrations than if the aerosol formation were driven by traditional thermodynamic nucleation. The second point is consistent with the reported interdependencies of the atmospheric aerosol formation rate and gaseous sulfuric acid concentration (23, 24). The obtained values of J<sub>2</sub> are in agreement with the nucleation rates predicted by the recent cluster activation theory (12), which further supports our experimental findings.

The observed nearly global occurrence of atmospheric aerosol formation provides compelling justification to include this phenomenon in large-scale atmospheric models, such as regional air-quality models and global climate models. Attempts to realize this objective have already been made (25-29). These pilot studies have demonstrated the need for more reliable nucleation parameterizations than are currently available. The instrumental developments described here, by observing neutral clusters about a nanometer smaller than previously measured, offer the opportunity to test existing nucleation theories against real atmospheric data. By conducting measurements similar to those reported here in a few carefully selected locations, it should be possible to develop simple yet sufficiently accurate nucleation parameterizations for large-scale modeling.

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#### Supporting Online Material

www.sciencemag.org/cgi/content/full/1144124/DC1 Materials and Methods Figs. S1 to S10 Tables S1 to S3 References

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was highly conserved throughout their evolution (11).

Here we show that a fossil scleractinian coral formed a calcitic skeleton. We studied a suite of fossil corals attributed to the caryophylliid genus Coelosmilia. Our specimens are from the Upper Cretaceous (Maastrichtian) deposits of Poland (fig. S1) and are similar, but not identical, to the fossils studied in (12) in which the calcite in the corals was inferred to have formed diagenetically. We have now used a variety of microanalytical methods to show that the calcite is instead primary. The overall skeletal architecture of Coelosmilia is similar to that of modern deepsea corals, such as Desmophyllum (Fig. 1) and Javania (fig. S2). Coelosmilia sp. has a conical calice with septa arranged into five full cycles forming a hexameral pattern. Our specimens are complete skeletons and well preserved. External



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It has been generally thought that scleractinian corals form purely aragonitic skeletons. We show that a well-preserved fossil coral, *Coelosmilia* sp. from the Upper Cretaceous (about 70 million years ago), has preserved skeletal structural features identical to those observed in present-day scleractinians. However, the skeleton of *Coelosmilia* sp. is entirely calcitic. Its fine-scale structure and chemistry indicate that the calcite is primary and did not form from the diagenetic alteration of aragonite. This result implies that corals, like other groups of marine, calcium carbonate producing organisms, can form skeletons of different carbonate polymorphs.

cleractinian corals belong to the taxonomic class of anthozoans and are among the most prolific biomineralizing organisms in nature (1). Their calcium carbonate skeletons form shallow- and deep-water reefs and are prominent in the fossil record as far back as 240 million years ago (Ma) (2). Living scleractinians produce entirely aragonitic skeletons (3, 4). An identification of calcite in calcification centers of the shallow-water scleractinian Mussa sp. (5) was not confirmed by subsequent analysis (6). Aragonite is metastable at ambient temperatures and pressures and is susceptible to diagenetic transformation to calcite, the stable form of calcium carbonate under ambient conditions. Most fossil scleractinians have therefore been dissolved or transformed to calcite, preserving only their macroscopic morphology. In these cases, the original mineralogy can be inferred on the basis of their Sr content and by analogy with living scleractinians (7). Although some studies have left open the possibility that the original mineralogy of some fossil Scleractinia was calcitic (8-10), it has been generally accepted that the aragonitic skeletal mineralogy of scleractinians



**Fig. 1.** Morphology of the modern aragonite *Desmophyllum* sp. and the Late Cretaceous calcitic *Coelosmilia* sp. (**A** and **B**) *Desmophyllum* sp. Relatively smooth septa, a thick septothecal wall, and a lack of pali are typical features of this solitary, azooxanthellate scleractinian coral. (**C** to **H**) *Coelosmilia* sp. resembles *Desmophyllum* sp. in all morphological aspects. Distal [(A), (C), (E), and (G)] and lateral [(B), (D), (F), and (H)] views are shown. Scale bar, 10 mm.

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microarchitectural details, such as fine granulations on septal surfaces and, in some specimens, desmocyte attachment scars are still visible (fig. S2). In contrast, shells of gastropods, phragmocones of cephalopods, and other scleractinian coral species (13), which occur with *Coelosmilia* sp. in the same deposits, are dissolved and preserved only as molds and casts.

The skeleton of the specimen *Coelosmilia* sp., which we present in detail here, preserves all the structural details intact throughout its ontogeny (Fig. 2, A to C). The septal ultrastructure is characterized by a central line of well-organized calcification centers and radiating fiber bundles, constructed by the sequential addition of micrometer-sized growth layers (14, 15). Longitudinal sections through the mid-septal zone reveal discrete vertical rods, similar to trabeculae in

Fig. 2. Structural characteristics of the Coelosmilia sp. skeleton (left column) in direct comparison with the skeleton of Desmophyllum sp. (right column). (A and H) Optical microscopy transmittedlight images of a thin section through a septum perpendicular to the growth direction. Black arrows indicate successive growth steps in the zone of centers of calcification (COC), which appear darker than the surrounding fibrous skeleton. (B and I) Optical microscopy polarized transmittedlight images of a thin section through a septum perpendicular to the growth direction. The individual growth layers of the fibrous skeleton are clearly visible in both corals as optical layering (indicated by black arrows). (C and J) Scanning electron microscopy (SEM) images of the polished and etched surface of a septum perpendicular to the growth direction. Fiber bundles with individual growth layers are visible. (D and K) Optical microscopy transmitted-light images of a thin section

modern Scleractinia (Fig. 2D). Individual halfmoon-shaped growth segments in the thecal region correspond to the position of former growth fronts in the wall (Fig. 2E). In polarized light, bundles of fibers show simultaneous and complete light extinction, indicating a parallel arrangement of crystallographic axes. All of these features are identical to those of living scleractinians (14, 15). The structural similarity between *Coelosmilia* sp. and modern Scleractinia is strong, especially with the azooxanthellate members of the traditional suborder Caryophyllina, here represented by *Desmophyllum* sp. (Fig. 2, H to L). Synchrotron radiation diffraction studies

demonstrate that the *Coelosmilia* sp. skeleton is composed of calcite, without any trace of aragonite (Fig. 3A and fig. S6). In comparison to synthetic and geological calcite reference mate-



through the midplane of a septum parallel to the growth direction and through the central axis of the COC. Successive COCs are organized as rods, or trabeculae, in the direction of growth, as indicated by white arrows. A slight undulating of the mid-septal zone trabecular centers make them appear and disappear from the plane of the cut section. (**E** and **L**) SEM images of the polished and etched surface of a cut through the thecal region parallel to the growth direction. Half-moon—shaped segments correspond to the position of organic enriched growth fronts in the wall. (**F**, **G**, **M**, and **N**) Atomic force microscopy images of skeletal fibers in height mode [(F) and (M)] and deflection mode [(G) and (N)], showing nanogranular structure. Scale bars in (A) to (E) and (H) to (L), 100  $\mu$ m; in (F), (G), (M), and (N), 200 nm.

rials, the *Coelosmilia* sp. skeleton has broader Bragg peaks, indicating smaller crystallite sizes and/or larger microstrain fluctuations in the *Coelosmilia* sp. calcite. The inferred crystallite grain size is consistent with the size of individual calcite domains observed by atomic force microscopy (Fig. 2, F and G) and shows that, in analogy with modern corals, the *Coelosmilia* sp. skeleton is composed of crystallites typically 30 to 100 nm in linear dimension (*15*, *16*). Slight shifts in the *Coelosmilia* sp. Bragg peaks relative to the reference calcite spectrum are consistent with the lattice parameter differences between biogenic and synthetic Mg-containing calcite (*17*, *18*) (fig. S7).

The trace element composition of the *Coelosmilia* sp. skeleton is also consistent with a calcitic mineralogy. The fibrous (bulk) part of the skeleton has Mg/Ca and Sr/Ca ratios averaging about 15 and 0.7 mmol/mol, respectively (Fig. 3B). Typical Mg/Ca and Sr/Ca concentrations of modern aragonitic scleractinian corals are 1 to 5 and 7 to 10 mmol/mol, respectively. High-spatial-resolution (~200 nm) secondary ion mass spectrometry analyses (*19*) show that the Mg/Ca and Sr/Ca ratios oscillate in the *Coelosmilia* sp. skeleton across scales of a few micrometers, in a pattern similar to those observed in modern scleractinians (*20*).

We rule out the possibility that the calcite formed from the transformation of an originally aragonitic skeleton. The diagenetic transformation of aragonitic skeletons to calcite in fossil corals invariably involves complete reorganization of the skeletal ultrastructure. During diagenetic recrystallization, growing calcite cuts across septa and walls, leaving behind an irregular mosaic of calcite crystals, which eventually completely replaces the original ultrastructural organization of the skeleton (7). In contrast, in the *Coelosmilia* sp. skeleton, all ultrastructural elements are preserved and the crystals in the fiber bundles are well aligned, as demonstrated by the simultaneous and complete extinction of polarized light.

Cathodoluminescence observations of the fibrous part of the skeleton show no evidence of the cloudiness that is typically associated with recrystallization (fig. S3). The trace element chemistry also rules out a hypothetical aragoniteto-calcite transformation in which the crystal structure simply "flips" from aragonite to calcite, leaving all micrometer-scale features intact. During such a hypothetical transformation, the bulk chemistry of the skeleton would not change, because mass transfer (the dissolution and reprecipitation of carbonate) would not take place. However, the Mg/Ca and Sr/Ca ratios of the skeleton are different from typical aragonitic ratios, both by a factor of approximately 10, and the oscillatory pattern is inconsistent with simple equilibrium precipitation of carbonate from a supersaturated solution. In modern scleractinians, such trace element variations are driven by biological mechanisms involved in the biomineralization process (19, 20). We therefore conclude that Coelosmilia sp. is a scleractinian coral with a primary calcitic skeleton.



Fig. 3. Crystal structure and chemical composition of the *Coelosmilia* sp. calcitic skeleton. (A) High-resolution synchrotron radiation powder diffraction patterns of *Coelosmilia* sp. skeleton (lower trace) and synthetic calcite (upper trace). The most intense (104) Bragg peak is shown in the inset. The Bragg peaks

of *Coelosmilia* sp. are broader than those of synthetic calcite. (**B**) Typical NanoSIMS ion microprobe transect across the layered fibrous part of the *Coelosmilia* sp. skeleton. The observed variations in Mg/Ca and Sr/Ca ratios are similar in wavelength and amplitude to those observed in modern scleractinians.

It has been suggested that hypercalcifying organisms, including corals, are sensitive to the Mg/Ca ratio of seawater, which has changed through geologic history in response to variations in the plate tectonic cycle (21). According to this model, hypercalcifying aragonite-producing organisms (including corals) flourish during periods in which seawater has a Mg/Ca ratio greater than 2, whereas hypercalcifying calcite-producing organisms flourish when the Mg/Ca ratio is less than 2 (in the modern ocean Mg/Ca = 5.2). The Coelosmilia sp. lived in the Late Cretaceous when the inferred Mg/Ca ratio of seawater was below 2. Our findings may thus appear to support the recently proposed idea that seawater composition can even change the skeletal mineralogy of scleractinians (22). However, other aragonitic scleractinians lived at about the same time as the Coelosmilia sp. specimens studied here (16, 23), and other studies have shown that the chemical and isotopic composition of scleractinian skeletons is under strong biological control (15, 19, 20, 24-26). Therefore, it seems more likely that the capability of scleractinians to produce either aragonitic or calcitic skeletons is genetically determined. In any case, skeletal mineralogy can no longer be considered a conservative feature among scleractinians throughout their evolution.

Scleractinians first appear in the fossil record in the Middle Triassic (~240 Ma), 12 to 14 million years after the Permian mass extinction, which exterminated an already weakened population of Paleozoic rugosan (calcitic) corals. The Permian mass extinction possibly resulted from the synergistic effects of environmentally linked stresses on marine organisms, perhaps including hypercapnia: the direct physiological effects of an increased partial pressure of CO<sub>2</sub> (27). The

scleractinians represent the first corals in the fossil record after the extinction (2). Increased atmospheric CO2 levels may increase the acidity of seawater, leading to the decalcification of carbonate skeletons and the formation of naked corals that can survive and resume calcification when atmospheric CO2 levels decrease again (28). Our result, although from a much younger fossil, is consistent with the idea that scleractinians derive from naked corals or anemonelike ancestors, which survived the Permian mass extinction (29). When the scleractinians appeared in the Triassic, they abruptly formed a taxonomically robust and diverse group (30), consistent with having a substantial evolution and origin in the Paleozoic before the Permian mass extinction (31). Previously, the notion of a deeper evolutionary origin and a potential link between rugosans and some Mesozoic "scleractiniamorphs" ["aberrant scleractinians" (11)] was weakened by the presumed difference in skeletal mineralogy, but as we have shown here, this is no longer the case.

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### Supporting Online Material

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## Sex Chromosome–Linked Species Recognition and Evolution of Reproductive Isolation in Flycatchers

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Interbreeding between species (hybridization) typically produces unfit offspring. Reduced hybridization should therefore be favored by natural selection. However, this is difficult to accomplish because hybridization also sets the stage for genetic recombination to dissociate species-specific traits from the preferences for them. Here we show that this association is maintained by physical linkage (on the same chromosome) in two hybridizing *Ficedula* flycatchers. By analyzing the mating patterns of female hybrids and cross-fostered offspring, we demonstrate that species recognition is inherited on the Z chromosome, which is also the known location of species-specific male plumage traits and genes causing low hybrid fitness. Limited recombination on the Z chromosome maintains associations of Z-linked genes despite hybridization, suggesting that the sex chromosomes may be a hotspot for adaptive speciation.

eproductive isolation is traditionally viewed as an incidental by-product of genetic divergence during geographic isolation. However, many diverged populations come into contact before complete reproductive isolation has evolved. In such cases, natural selection against maladaptive interbreeding (hybridization) may complete speciation by reinforcing a tendency to mate with one's own kind (assortative mating) (1-3). This process, termed reinforcement, is of potentially great evolutionary significance because it suggests that reproductive isolation itself can be an adaptive response to natural selection. However, the empirical support for reinforcement is limited, and the conditions under which it can theoretically occur are sometimes strict (2, 4).

Selection for assortative mating favors the buildup of genetic associations between components of assortative mating (species-specific traits and the preferences for these traits) and between such pre-zygotic barriers and low hybrid fitness (post-zygotic barriers). However, DNA recombination during hybridization breaks these associ-

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\*To whom correspondence should be addressed. E-mail: s.a.sather@bio.uio.no ations necessary for speciation (5). Using a combination of field experiments, molecular techniques, and long-term breeding data from hybrid zones of wild birds in the Czech Republic and Sweden, we tested this central problem of reinforcement: How can the traits involved in reproductive isolation remain associated in the face of gene flow?

Two solutions to this problem have been suggested. First, species recognition can occur through a "one-allele mechanism": a single allele, established in both incipient species, can cause assortative mating (2, 5, 6). For example, sexual imprinting is a widespread phenomenon in birds (7), whereby females learn the traits of their fathers and later prefer similar males as mates. An allele causing sexual imprinting would make recombination irrelevant, because it would result in opposite mate preferences in the two species (7-9). Second, recombination can be suppressed through, for example, physical linkage of genes (2, 5, 10-13). Recent theoretical studies have highlighted the idea that sex linkage (placement on a sex chromosome) of species-recognition genes may enhance reinforcement when, as is often the case, genes causing low hybrid fitness



Where the breeding distributions of pied flycatchers [*Ficedula hypoleuca* (pied)] and collared flycatchers [*F. albicollis* (collared)] overlap (sympatry), the populations mate assortatively with respect to species (17). However, some interbreeding occurs [2 to 5% of pairs (17)], and the resulting hybrids have reduced fitness, female hybrids being sterile (17). Despite gene flow through male hybrids (18), both male plumage and female mate preferences have diverged furthest in sympatric areas, presumably to facilitate avoiding hybridization (16).

Genes causing hybrid incompatibility and genes influencing the expression of diverged male plumage traits are both located on the Z sex chromosome in flycatchers (18). Genes on the Z chromosome are in general likely to recombine less than if they were on autosomes; for instance, because sex chromosome recombination can occur only in the homogametic sex (supporting online text). In fact, previous studies have failed to detect any interspecific recombination between the Z chromosomes of hybridizing flycatchers, whereas autosomes recombine between the species (18). If genes on the Z also determine species recognition by females, recombination between loci that are important for reinforcement would be greatly reduced.

In birds, females inherit half of their autosomal genes from either parent, but their single Z chromosome solely from their father. We used this fact to distinguish between whether species recognition is inherited on autosomes or is paternally determined (by Z linkage or learned by sexual imprinting on fathers). If mate preferences are paternally determined, the mate choice of female hybrids should vary according to the species of their father, corresponding to that of pure females of the paternal species. To determine the paternal and maternal species of female hybrids, as well as their status as F1 hybrids, we used species-specific genetic markers at the Z chromosome (paternally inherited) and in the mitochondrial genome (maternally inherited) (19).



Fig. 1. Mating patterns of hybrid flycatchers. Female hybrids (A) predominately mated with males belonging to the same species as their father regardless of whether the father was a collared flycatcher or a pied flycatcher (black, collared partner; white, pied partner; gray, hybrid partner). In contrast, in male hybrids (B) no relationship was present between paternal species and the species of their mate.

We found that female hybrids having a pied father predominately mated with a pied male, whereas female hybrids having a collared father predominately mated with a collared male (Fig. 1A). Only 4 out of 31 female hybrids mated with a male of the maternal species. Thus, there was a nonrandom association between the species of a female hybrid's father and the species of her mate  $[x_2^2 = 12.37, \text{ exact } P = 0.001, N = 31 \text{ hybrids};$ excluding matings with male hybrids, Fisher's exact test, P = 0.001, N = 29]. This pattern was present in both Czech (P = 0.048, N = 9) and Swedish (P = 0.062, N = 22) sympatric areas.

Male hybrids, on the other hand, inherit a Z chromosome from both parental species and are unaffected by sexual imprinting because male flycatchers do not discriminate against heterospecific partners (20). As expected, there was no association between the paternal species of male hybrids and the species of their mate (Fisher's exact test, N = 42, P = 1, Fig. 1B). This implies that the pattern observed in female hybrids is not simply some artefact of hybrids but is consistent only with paternal inheritance (through Z link-

Fig. 2. Mating patterns of female flycatchers reared by heterospecific foster fathers compared to expectations based on sexual imprinting or genetic inheritance of species recognition. In each panel, the first bar shows the mating pattern of females reared by heterospecific foster fathers (focal pattern; black, collared flycatcher partner; white, pied flycatcher partner; gray, hybrid partner). The upper panels (A and B) show mating patterns of females naturally raised in mixed-species nests by cuckolded heterospecific stepfathers (natural cross-fostered), and the lower panels (C and D) show mating pattems of females experimentally transferred to heterospecific nests (experimental cross-fostered). The left panels ((A) and (C)] refer to collared flycatchers, whereas the right panels [(B) and (D)] present pied flycatchers. The expected mating patterns (specific to the age or sexual imprinting) of species recognition; this therefore eliminates autosomal inheritance as the mechanism behind species-assortative mating.

Flycatchers provide an ideal system in which to disentangle sexual imprinting from Z linkage, because hybridizing females often engage in extrapair copulations with conspecific males (17), resulting in purebred offspring being reared by cuckolded heterospecific males. We used multigenerational breeding data to analyze the mate choices of such pure female flycatchers that had been reared by a male of the other species. If assortative mating is due to sexual imprinting, these females should prefer heterospecific males as their mates. We also experimentally cross-fostered offspring between nests of the two species and recorded the mate choice of cross-fostered females that returned to breed. Under genetic inheritance of species recognition, cross-fostered females should mate as other females of their own species, whereas under sexual imprinting, they should mate as females of the foster father's species (19).

In contrast to prevailing views on the development of sexual preferences in birds (7), Fig. 2 shows that females did not become sexually imprinted on their social father. Instead, females with a heterospecific foster father mated with conspecific males to the same extent as other females of their own species did. This conclusion applies to females of both species, using both naturally (Fig. 2, A and B) and experimentally (Fig. 2, C and D) cross-fostered offspring and regardless of whether expected mating patterns were calculated from all breeding pairs or from recruits of known parents only. Although some of the sample sizes are small because of the rarity of these events, the evidence is overwhelming that species recognition does not develop by sexual imprinting in these birds. Instead, our results imply that species-assortative mating has a genetic basis.

Assuming Mendelian inheritance, the different mate preference of the two kinds of female hybrids is solely consistent with Z linkage. A non-Mendelian epigenetic possibility is that the preference genes are autosomally inherited, but only the paternal allele is expressed (maternal genomic imprinting). However, such parent-oforigin effects have not been found in birds (21).



population in which the focal patterns were obtained) were constructed in two ways, using either the mating pattern in the population (all pairs) or using only the mating pattern of females born in nests of known pure pairs (recruits only). *P* values indicate the exact binomial two-tailed probability of obtaining the observed focal mating pattern of females reared by heterospecific fathers (number of such females mating with conspecific males versus other males) under the different expected proportions. *N* indicates number of breeding pairs. Moreover, they are not expected to occur in birds according to one predominant theory of the evolution of genomic imprinting, and genes that are imprinted in mammals show ordinary bi-allelic expression in birds (21). We therefore conclude that species-assortative mating preferences in flycatcher hybrid zones are mainly due to Zlinked genes.

All three major components of reproductive isolation (species recognition, species-specific male traits, and hybrid incompatibilities) being Z linked in flycatchers should facilitate an evolutionary response to natural selection against hybridization. This is because genetic associations between the male and the female components of pre-zygotic barriers to gene flow, as well as between pre-zygotic and post-zygotic barriers, can easily be maintained (see supporting online text for further discussion of the flycatcher system). Our results suggest that some organisms may be prone to speciation through reinforcement because of the mediating role of the sex chromosomes. Compared to autosomally inherited species recognition, both sex linkage and sexual imprinting may allow incipient species to avoid a collapse in assortative mating during secondary contact and be less likely to succumb to gene flow and fusion (9). However, paternal sexual imprinting requires that females be socially exposed to their father, which is not always true even in birds. Conversely, because reduced hybrid fitness is commonly caused by sex-linked incompatibilities (3), sex linkage of species recognition might provide a general connection between key components of reproductive isolation, which facilitates adaptive speciation in the face of gene flow.

Sex-chromosome linkage of species-assortative female mate preferences may be widespread, but

few previous studies have explicitly investigated the mechanism of species recognition in hybrid zones. Even fewer studies have provided additional information on the genetics of hybrid fitness and the preferred traits, or evidence for reinforcement (22-25). Nevertheless, disproportionately many genes involved in reproductive isolation seem to be located on the sex chromosomes (15, 26, 27). In Lepidoptera, which also have heterogametic females, sex-linked traits seem to be more associated with reproductive isolation than in other insects (28), and it has been suggested that ornaments and preferences for these ornaments evolve more readily in organisms with ZW than with XY sex chromosomes (26, 29). Although speciation would benefit from any kind of linkage (or other recombination-suppressing mechanism) that can maintain these genetic associations, traits involved in pre-zygotic isolation may simply be more likely to occur on sex chromosomes than on autosomes and possibly more likely on Z than on X chromosomes (27). Sex chromosomes in general, and the Z in particular, may therefore be hotspots for speciation genes.

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## Microbial Population Structures in the Deep Marine Biosphere

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The analytical power of environmental DNA sequences for modeling microbial ecosystems depends on accurate assessments of population structure, including diversity (richness) and relative abundance (evenness). We investigated both aspects of population structure for microbial communities at two neighboring hydrothermal vents by examining the sequences of more than 900,000 microbial small-subunit ribosomal RNA amplicons. The two vent communities have different population structures that reflect local geochemical regimes. Descriptions of archaeal diversity were nearly exhaustive, but despite collecting an unparalleled number of sequences, statistical analyses indicated additional bacterial diversity at every taxonomic level. We predict that hundreds of thousands of sequences will be necessary to capture the vast diversity of microbial communities, and that different patterns of evenness for both high- and low-abundance taxa may be important in defining microbial ecosystem dynamics.

tal samples has revealed new dimensions in microbial diversity and communitywide metabolic potential. The first analysis of a dozen polymerase chain reaction (PCR) amplicons of ribosomal RNA (rRNA) sequence from a mixed bacterioplankton population revealed the ubiquitous SAR11 cluster (I), and a recent environmental shotgun sequence survey of microbial communities in the surface ocean has identified 6.1 million predicted proteins (2, 3). To realize the full potential of metagenomics for modeling energy and carbon flow, microbial biogeography, and the relationship between microbial diversity and ecosystem function, it is necessary to estimate both the richness and evenness of microbial population structures.

We used a tag sequencing strategy that combines the use of amplicons of the V6 hypervariable region of small-subunit (SSU) rRNA as proxies for the presence of individual phylotypes [operational taxonomic units (OTUs)] with massively parallel sequencing. Our goal was to provide assessments of microbial diversity, evenness, and community structure at a resolution two to three orders of magnitude greater than that afforded by cloning and capillary sequencing of longer SSU rRNA amplicons (4). We used this strategy to attempt an exhaustive characterization of the bacterial and archaeal diversity at two

low-temperature diffuse flow vents, Marker 52 and Bag City, from Axial Seamount, an active volcano at 1520 m depth in the northeast Pacific Ocean (5, 6). These vents host archaeal and bacterial communities originating from the subseafloor, local microbial mats, symbionts of vent macrofauna, and microorganisms from the surrounding seawater (7–9). Although new production from hydrothermal vents may correspond to as much as 25% of the total imported carbon flow in the deep sea (10), these globally distributed habitats remain relatively unexplored, and there are few descriptions of diversity, evenness, and dispersal of their endemic microbial populations.

Marker 52 and Bag City are less than 3 km apart, but differ markedly in chemical composition and appearance. Marker 52 was sampled on bare rock; Bag City vent fluids were sampled within a clump of tube worms. Both sites had microbial mats growing on rock and tube worm surfaces (fig. S1). Relative to Bag City and most other diffuse vents at Axial, Marker 52 has a higher H<sub>2</sub>S/ $\Delta T$  ratio, lower pH, and elevated alkalinity and iron levels (Table 1), all of which indicate a higher carbon dioxide content; Marker 52 fluids were effervescent at 1 atm (9).

We sequenced more than 900,000 archaeal and bacterial V6 amplicons from these two sites. Tags that differed by no more than 3% [generally considered to define microbial species (11)] were clustered (12) into OTUs to calculate rarefaction and nonparametric estimators (13). Taxonomic and statistical analyses revealed differences in community membership with very little overlap between the two sites (Table 2), which is particularly evident when comparing the fine structure of the communities (Fig. 1). For example, although e-proteobacteria often dominate 10° to 80°C vent habitats, where they orchestrate the cycling of carbon, nitrogen, and sulfur (14), the richness and evenness of e-proteobacterial families and genera are different at each site (Fig. 1). Nearly 6600 distinct e-proteobacterial tag sequences accounted for 39% of bacterial amplicons, raising the estimate for total ε-proteobacterial diversity by at least one order of magnitude. Sequences identified as Arcobacter spp., a group of microaerophilic sulfur and hydrogen sulfide-oxidizing bacteria, dominated the e-proteobacterial phylotypes at Bag City (FS312, Fig. 1), whereas sequences identified as Sulfarovum spp., a group of mesophilic microaerobes that use sulfur species as electron donors with nitrate or oxygen as electron acceptors, dominated Marker 52 (FS396, Fig. 1).

We hypothesize that the geochemical regimes shape the  $\varepsilon$ -proteobacterial community structure (Table 1) (11). A few highly abundant, specific

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\*To whom correspondence should be addressed. E-mail: jhuber@mbl.edu tag sequences dominated each genus at each site, but extensive sampling revealed the presence of many less common and rare variants (Fig. 1). Microdiversity within groups of bacteria and archaea has been noted previously in the marine environment (15, 16). It is clear that in some cases, these closely related organisms are ecologically distinct (15, 17).

Nearly 6000 unique sequences from a data set of more than 215,000 V6 amplicon tags identified as archaeal defined more than 1900 phylotypes. The slope of the rarefaction curve (18) for the archaea became nearly asymptotic, and nonparametric statistical analyses estimated an ultimate richness of ~2700 archaeal phylotypes (Fig. 2 and Table 2). In contrast, despite examining nearly 690,000 tags identified as bacterial, rarefaction curves (Fig. 2) indicated that our sampling of bacterial richness was far from complete. We observed more than 30,000 unique bacterial sequences forming ~18,500 phylotypes, and nonparametric estimates predicted the presence of ~37,000 phylotypes (13) (Table 2), with steeply sloping rarefaction curves for many diverse classes, orders, and families (fig. S2). Even the dominant genera Arcobacter and Sulfurovum were incompletely sampled (fig. S2). The lower diversity of archaeal phylotypes agreed with other molecular surveys indicating that marine archaeal diversity is relatively limited (19); hence, our approach does not result in inflated richness estimates due to spurious data. Furthermore, extensive quality control of tag sequences ensured that the total error from PCR and pyrosequencing was less than 0.0025 per base and that sequencing error misassigned fewer than 1% of tags to phylotypes (20).

Table 1. Chemical and SSU rRNA tag characteristics of the two sites.

	FS312	F5396
Vent name	Bag City	Marker 52
Sample year	2003	2004
Volume filtered (ml)	1003	2000
Cells ml <sup>-1</sup> (range)	$1.21 \times 10^{5}$	$1.57 \times 10^{5}$
	$(9.77 \times 10^4 \text{ to } 1.26 \times 10^5)$	$(1.02 \times 10^5 \text{ to } 2.12 \times 10^5)$
Culturable* hyper/thermophilic heterotrophs per liter	140 to 4200	20 to 720
DNA recovered (µg)	0.9	2.4
Total number of archaeal V6 tag sequencest	200,199	16,428
Total number of bacterial V6 tag sequences†	442,058	247,662
Total number of ε-proteobacterial V6 tag sequences†	122,823	147,515
Depth (m)	1,537	1,529
Latitude and longitude	45.92°N, 129.99°W	45.94°N, 129.99°W
Average temperature (°C)	31.2	24.4
Maximum temperature (°C)	31.4	24.9
$H_2S/\Delta T$ (µmol kg <sup>-1</sup> °C <sup>-1</sup> )	7.2	18.9
pH	6.26	5.08
Mg (mmol/kg)	48.3	50.8
Alkalinity (meg/liter)	2.4	3.7
Mn (µmol/kg)	19.8	4.8
Fe (µmol/kg)	0.8	7.9
Silica (mmol/kg)	1.46	1.07

\*Cultured at 70° or 90°C in 0.3% yeast extract and peptone with elemental sulfur; Ar headspace. †Trimmed reads that passed quality control [as described in (11)].

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Table 2. Sequencing information and diversity estimates for all bacteria and archaea.

	Bacteria	Archaea
Total number of V6 tag sequences*	689,720	216,627
Total unique V6 tag sequences	30,108	5,979
Total OTUs at 3% difference (phylotypes)	18,537	1,931
Chao1 estimator of richness at 3% difference (95% CI)	36,869	2,754
	(36,108 to 37,663)	(2,594 to 2,952)
ACE estimator of richness at 3% difference (95% CI)	37,038	2,678
	(36,613 to 37,473)	(2,616 to 2,745)
Bray-Curtis similarity index at 3% difference <sup>+</sup>	0.08	0.01
Jaccard similarity index at 3% difference <sup>†</sup>	0.12	0.08

\*Trimmed reads that passed quality control [as described in (11)]. †Similarity between communities at sites FS312 and FS396 on a scale of 0 to 1 (where 1 represents identical communities). Comparing each unique sequence to our V6 reference database revealed well-characterized taxa, as well as many unknown microbial phylotypes. The 10 most abundant sequences occurred more than 10,000 times and were exact matches to sequences in our database, indicating that our sampling was representative. Of the 36,725 unique sequences found at the two sites, 36,180 were represented by fewer than 100 tags; of these, 13,385 were >10% different and ~4000 were >20% different from known SSU rRNA genes. Many rare, divergent taxa account for most of the observed novel microbial diversity (4, 21).

Although this study only examined samples at two sites in the deep ocean, it has important im-





**Fig. 1.** Taxonomic breakdown of bacterial V6 tags from each vent. Pie charts show the Phylum\_Class\_Order distribution for taxonomically assigned tags that occurred more than 1000 times; the remaining tag sequences are grouped into "Other." The taxonomic distribution of  $\varepsilon$ -proteobacterial genera is shown in normalized histograms for each site, with further breakdown of the dominant  $\varepsilon$ -proteobacteria in additional histograms, with each color in the histograms representing a unique tag sequence. For FS312, the *Arcobacter* are expanded to the left with a histogram showing those tag sequences that occurred  $\geq 10$  times, followed by a histogram showing the diversity of tags that occurred 10 to 1800 times. For FS396, the *Sulfurovum* are expanded to the right, with a histogram showing those tag sequences that occurred  $\geq 10$  times, followed by a histogram showing the diversity of tags that occurred 10 to 8400 times. Nonparametric estimates suggested more than 900 phylotypes each of *Arcobacter* at FS312 and *Sulfurovum* at FS396.



Fig. 2. Rarefaction curves for total bacterial and archaeal communities at the two sampling sites FS312 and FS396 at 3% and 6% difference levels.

plications for our ability to sample and identify all the ecologically relevant members of microbial communities in other high-diversity habitats, such as soils (22), microbial mats (23), and communities where low-abundance taxa may play crucial roles, such as the human microbiome. It provides a comparative population structure analysis with statistically significant descriptions of diversity and relative abundance of microbial populations. These large estimates of phylogenetic diversity at every taxonomic level present a challenge to large-scale microbial community genomic surveys. Metagenomic studies seek to inventory the full range of metabolic capabilities that define ecosystem function or to determine their context within assembled genomic scaffolds. Our results suggest that even the largest of published metagenomic investigations inadequately represent the full extent of microbial diversity, as they survey only the most highly abundant taxa (11).

In addition, the importance of microdiversity cannot be overlooked, and metagenomic community reconstructions from the two vents studied here would likely be largely chimeric assemblies of sequences from closely related phylotypes, which may mask important biological differences. Methods such as the massively parallel tag sequencing approach used here, combined with the multitude of other quantitative and descriptive tools now available to microbial ecologists, can serve as necessary accompaniments to metagenomic gene surveys as we strive to understand the impact of diversity on ecosystem function and long-term stability (24).

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#### Supporting Online Material

www.sciencemag.org/cgi/content/full/318/5847/97/DC1 Materials and Methods SOM Text Figs. S1 and S2 References 19 June 2007; accepted 28 August 2007 10.1126/science.1146689

## Genetic Effects of Captive Breeding Cause a Rapid, Cumulative Fitness Decline in the Wild

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Captive breeding is used to supplement populations of many species that are declining in the wild. The suitability of and long-term species survival from such programs remain largely untested, however. We measured lifetime reproductive success of the first two generations of steelhead trout that were reared in captivity and bred in the wild after they were released. By reconstructing a three-generation pedigree with microsatellite markers, we show that genetic effects of domestication reduce subsequent reproductive capabilities by ~40% per captive-reared generations when fish are moved to natural environments. These results suggest that even a few generations of domestication may have negative effects on natural reproduction in the wild and that the repeated use of captive-reared parents to supplement wild populations should be carefully reconsidered.

aptive breeding was originally used as a form of conservation for the most critically endangered species, but is now widely used for the restoration of declining natural populations (I-3). In theory, captive-reared organisms may accumulate deleterious alleles that could hinder the recovery of natural popula-

tions (3-6). However, the extent to which captivereared individuals contribute genetically to the restoration of natural populations is not known.

Hatchery programs for enhancing threatened populations of Pacific salmon and steelhead trout (*Oncorhynchus* spp.) release more than five billion juvenile hatchery fish into the North

Pacific every year (7, 8). Although most of these hatchery programs are meant to produce fish for harvest, an increasing number of captive breeding programs are releasing fish to restore declining natural populations (8, 9). Hatchery fish breed in the wild, and many natural populations are affected by hatchery fish. The use of hatcheryreared fish as broodstock (parents of hatchery fish) for many generations has resulted in individuals that contribute less to the gene pool (are less fit), in comparison with wild fish, in natural environments (10-12). On the other hand, captive breeding programs that use local wild fish as broodstock are expected to produce hatchery fish having minimal differences in fitness from wild fish. Nevertheless, such captive-reared fish can be genetically distinct from wild fish for a variety of traits (13-16). Thus, it is a real concern that these fish will also have low fitness (reproductive success) in natural environments.

A two-generation pedigree of DNA-based parentage analyses of steelhead (Oncorhynchus

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*mykiss*) in the Hood River in Oregon (U.S.A.) showed that the first generation of captive-reared fish had natural reproductive success indistinguishable from that of wild fish in two out of three run-years (17). (Each run-year begins when parents arrive at the river to spawn.) This comparison, however, neglected the fact that captive-reared and wild individuals experience different environments as juveniles, which might affect mating behaviors, fecundity, and/or fertility (18). Therefore, it is difficult to disentangle environmental effects from genetic effects of a difference or lack of difference in reproductive success (17).

In this study, we investigated the strength of genetic effects of domestication on the reproductive success of captive-reared individuals in the wild. Confounding environmental effects were avoided by comparing captive-reared individuals with different histories of captive breeding in the previous generation (Fig. 1). We reconstructed a three-generation pedigree of the winter-run steelhead in the Hood River (19) and compared adult-to-adult reproductive success (number of wild-born, adult offspring per parent) of two types of captive-reared fish (designated C): captive-reared fish from two wild-born parents (C[WxW]), and captive-reared fish from a wildborn parent and a first-generation captive-reared parent (C[CxW]). C[CxW] and C[WxW] were born in the same year, reared in the same hatchery without distinction, and released at the same time. Both fish originated from the same local population, so we can also exclude the in-

Fig. 1. Distribution of runvears in which captive-reared fish and their wild-born offspring returned. Numbers in a circle represent a run-year of parents (top) and a broodyear of their offspring (bottom). The percentage on each arrow represents the proportion of adults that return in each subsequent year, which differs between captive-reared fish (dotted line) and wild fish (solid line). C[CxW] were iteratively created from wild individuals and the first generation of captive-reared individuals that returned in run-year 1995: subsequent C[CxW] individuals were created from those individuals returning in 1996 and so forth. These first-year C[CxW] fish returned to spawn mostly in run-year 1998, and we estimated their reproductive success by matching them to the wild-born offspring that returned in runyear 2001-2004.

fluence of local origin. The only difference between them is half of the genome. The half genome in C[CxW] was inherited from the captive-reared parent and experienced captivity for two consecutive generations (during the egg-to-juvenile development). The other half in C[CxW] was from the wild parent and experienced captivity for one generation (C[CxW] itself). In contrast, the entire genome of the C[WxW] experienced captivity for one generation. Thus, by comparing C[CxW] with C[WxW], we were able to evaluate the effect of a single extra generation of captive rearing on subsequent reproductive success in the wild, while controlling for the effect of rearing environment (Fig. 1).

We estimated the reproductive success of 547 C[CxW] and 193 C[WxW] over three runyears (1998-2000) (19). On the basis of the parentage analysis, we assigned 355 wild-born, returning adult offspring to at least one of their C[CxW] or C[WxW] parents (Table 1). Our estimate of relative reproductive success (RRS) with an unbiased method (20) revealed that the overall reproductive success of C[CxW] is only 55% that of C[WxW] (P = 0.009 by one-tailed permutation tests). We also compared the reproductive success of C[CxW] and C[WxW] from single cohorts (i.e., using only 3-year-olds at the time of spawning) (Table 1). In this comparison, environmental differences were eliminated because both types of hatchery fish were born, returned, and spawned in the same environments in the same year. The smaller sample size resulted in lower power, but the overall estimate was very similar to the above result (singlecohort RRS of C[CxW] to C[WxW] = 0.609, P = 0.042).

In addition to comparing reproductive success between C[WxW] and C[CxW], we also compared the reproductive success of these captive-reared fish to that of wild-born fish (W) returning in the same run-years (1998-2000). Overall RRS of C[WxW] to W was 0.595 and that of C[CxW] to W was 0.310 [both P < 0.001, (table S1)]. Our estimates of RRS for C[WxW] can be compared with those from our previous study of run years 1995-1997 (17) (table S1). Interestingly, the estimate from run years 1998-2000 was significantly lower than the average RRS ~ 1 estimated from run-years 1995-1997 (17) (Fig. 2A). One possible explanation for this difference is presence of C[CxW] on the spawning grounds in 1998-2000. For example, reproductive interaction between C[CxW] and C[WxW] might reduce the average reproductive success of C[WxW] if C[WxW] tend to mate more with C[CxW] than with W. Another possibility is nonadditive fitness effects such that mating between hatchery fish results in lower fitness than expected. In our data, nonrandom mating was supported by a test of independence [P < 0.001] for all three run-years (table S2)]. However, an excess of observed mating was found between wild parents, not between captive-reared parents. This might indicate both nonrandom mating (WxW and CxC mating preferences) and nonadditive fitness effects (i.e.,



low fitness of CxC), although analyses of reproductive success between crosses did not show the presence of nonadditive genetic effects {RRS of  $[C[CxW] \times C[WxW]]$  to  $[W \times C[WxW]] = 1.1$  in run-year 2000, P = 0.878 (table S3)}. Over six run-years of data (1995–2000), four of six years

**Table 1.** RRS (relative number of adult offspring per parent) of two types of captive-reared fish,  $C_{[CxW]}$  versus  $C_{[WxW]}$ . RRS is given as an unbiased estimate (19, 20). P values were calculated by a one-tailed permutation test. Statistical power represents the minimum effect size (displayed as RRS) detectable with 80% and 95% power. [See (19) and footnote of table S1 for details.] When all parents were compared, overall RRS was estimated using weighted geometric means. The P values were calculated on the basis of Fisher's combined probability (19). For single cohorts, only 3-year-old C[CxW] and C[WxW] were compared. \*P < 0.05, \*\*P < 0.01

Run-year	N [offspring assigned]	RRS	P value	Statistical power (80%/95%)
	Fro	om all parents		
[Male]				
1998	79	0.341	0.035*	0.626/0.341
1999	26	0.577	0.239	0.462/0.296
2000	79	0.856	0.381	0.701/0.540
Overall male		0.545	0.074	
[Female]				
1998	74	0.504	0.238	0.384/0.156
1999	25	0.212	0.007**	0.468/0.321
2000	72	72 0.828	0.319	0.706/0.567
Overall female		0.547	0.020*	
Overall both sexes		0.546	0.009**	
	Fron	n single cohorts		
[Male]				
1998	48	0.361	0.044*	0.582/0.361
1999	25	0.502	0.171	0.502/0.324
2000	77	0.862	0.390	0.705/0.543
Overall male		0.596	0.070	
[Female]				
1998	22	0.985	0.591	0.257/0.075
1999	15	0.137	0.036*	0.351/0.137
2000	56	0.798	0.319	0.641/0.480
Overall female		0.631	0.125	
Overall both sexes		0.609	0.042*	



**Fig. 2.** (A) Estimated RRS of captive-reared fish relative to wild fish, plotted against generation time in captivity. Each point represents an estimate from a run-year and sex. The point at generation 0 represents wild fish as a control (marked as a cross). Estimates of the RRS of C[WxW] are plotted at generation 1 and C[CxW] at generation 2. Three years of data at generation 1 (open plots) are from (17). (B) Meta-analysis of the RRS of captive-reared versus wild fish plotted against generation time in captivity of other salmonid species. Solid circles are the estimates from our data (weighted geometric means from Fig. 2A). The bar represents 1 SD. The other four points are from two studies on steelhead, one on brown trout, and one on Atlantic salmon (table S4) from (25). The exponential regressions were obtained as  $y = e^{-0.375x}$  (correlation coefficient = 0.962), which suggest that fitness in the wild is reduced 37.5% per generation of captive breeding.

showed lower fitness of C[WxW] (overall RRS of C[WxW] to W = 0.848, P < 0.001).

One factor we cannot completely exclude in these comparisons is nongenetic grandparental effects, which have been demonstrated in various organisms, including fish (21-24). However, known grandparental effects are mostly femalespecific (i.e., grandmaternal egg effects). The reproductive success of C[CxW] did not depend on the sex of the captive-reared parent (overall RRS of C[CxW] with a captive-reared mother to C[CxW] with a captive-reared father = 1.009, P = 0.81). Similarly, there were no noticeable maternal effects on the reproductive success when hatchery and wild fish mated in the wild, either in this study or in our previous study [i.e., number of resulting offspring did not depend on which type of fish was the mother (table S1) (17)]. Thus, the grandparental effect is less likely in this case, and the most likely explanation for the fitness decline is a genetic disadvantage of C[CxW] resulting from the half genome exposed to artificial environments for an additional generation.

Our data suggest a sharp decline in reproductive success follows a very short time in captivity (Fig. 2A). We also conducted a metaanalysis to compare our data with those available for four hatchery stocks for which we know the number of generations in hatcheries (19, 25). These data fit very well on an exponentially declining curve (Fig. 2B), despite the fact that the previous data include RRS estimates using different species and methods and that they are subject to confounding environmental effects (19, 25). It shows 37.5% fitness decline per captive-reared generation, suggesting that the fitness decline of captive-reared fish can be remarkably fast. Because any purely environmental effects should not accumulate over time, the continued decline with generations in captivity (Fig. 2) further supports genetic effects as the cause.

The evolutionary mechanism causing the fitness decline remains unknown. We suspect that unintentional domestication selection and relaxation of natural selection, due to artificially modified and well-protected rearing environments for hatchery fish, are probably occurring (SOM text). Considering the mating scheme for C[CxW] and the generation time for the fitness decline, however, inbreeding depression and accumulation of new mutations should not affect these results. Regardless, our data demonstrate how strong the effects can be and how quickly they accumulate. To supplement declining wild populations, therefore, repeat use of captive-reared organisms for reproduction of captive-reared progenies should be carefully reconsidered.

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#### Supporting Online Material

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Appendix References

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## Glia Promote Local Synaptogenesis Through UNC-6 (Netrin) Signaling in *C. elegans*

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Neural circuits are assembled through the coordinated innervation of pre- and postsynaptic partners. We show that connectivity between two interneurons, AIY and RIA, in *Caenorhabditis elegans* is orchestrated by a pair of glial cells that express UNC-6 (netrin). In the postsynaptic neuron RIA, the netrin receptor UNC-40 (DCC, deleted in colorectal cancer) plays a conventional guidance role, directing outgrowth of the RIA process ventrally toward the glia. In the presynaptic neuron AIY, UNC-40 (DCC) plays an unexpected and previously uncharacterized role: It cell-autonomously promotes assembly of presynaptic terminals in the immediate vicinity of the glial cell endfeet. These results indicate that netrin can be used both for guidance and local synaptogenesis and suggest that glial cells can function as guideposts during the assembly of neural circuits in vivo.

N eural circuit formation requires an intricate orchestration of multiple developmental events, including cell migration, axon guidance, dendritic growth, synaptic target selection, and synaptogenesis (1-3). These developmental events are coordinated in pre- and postsynaptic neuronal partners to form the functional neural circuits that underlie behaviors. Although the organization and specificity of these neural circuits is well documented, the cellular and molecular mechanisms that underlie their precise development are not well understood.

To explore how precise neural connectivity is achieved, we studied the synaptic connections between two interneurons in the *C. elegans* brain: presynaptic AIY and postsynaptic RIA. These two interneurons navigate complex cellular envi-

ronments, discriminating among multiple potential targets before finding and innervating each other at a discrete region of their respective processes (4). We generated single-cell fluorescent markers to visualize AIY-RIA connectivity in vivo and observed a discrete clustering of presynaptic AIY markers in a segment of the process we termed zone 2. This zone appears to be the specialized presynaptic region where AIY forms synapses onto RIA, as well as RIB and AIZ neurons. First, the fluorescently labeled presynaptic proteins RAB-3, ELKS-1, and SYD-2 are all more concentrated in zone 2 than in other regions of the axon (Figs. 1A and 2B and fig. S4A). Second, these markers cluster at the exact location at which AIY to RIA synapses are seen in electron micrographs of wild-type animals (fig. S1M) (5). Third, this region has a wider diameter than other regions of the axon, a property that we found to be uniquely associated with the presynaptic region of AIY in electron micrographs (fig. S1, A and M to Q). These combined properties were taken as evidence of presynaptic differentiation and were very reproducible across animals (Fig. 1 and fig. S1).

Reconstructions of electron microscropy (EM) micrographs (5) revealed that AIY has three distinct anatomical regions throughout its process: a segment proximal to the AIY cell body that is devoid of synapses (zone 1); the synapserich region where AIY forms synapses onto RIA, AIZ, and RIB just as the AIY process turns dorsally (zone 2); and a distal axon segment within the nerve ring that has four to eight small presynaptic specializations (zone 3).

To identify the molecular signals that direct this precise innervation, we performed a visual genetic screen for mutants with an abnormal synapse distribution in AIY. From this screen, we isolated the wy81 mutation, an allele of unc-40 (fig. S2). UNC-40 (DCC, deleted in colorectal cancer) is a transmembrane immunoglobulin superfamily protein that is a receptor for the axon guidance molecule UNC-6 (netrin) (6, 7). unc-40 animals had no detectable axon guidance defects in AIY except for an axon truncation defect observed in 7.8% of the animals (n = 153animals; fig. S3). However, they showed a highly penetrant defect in the presynaptic specialization of AIY at zone 2: 95.3% of unc-40(wy81) animals displayed a severe reduction of active zone markers ELKS-1::YFP (yellow fluorescent protein) and SYD-2::GFP (green fluorescent protein) and a synaptic vesicle marker, mCherry::RAB-3, in zone 2 (n = 128 animals; Fig. 2, A to K, and fig. S4). In addition, the AIY axon diameter in zone 2 failed to widen into the characteristic presynaptic varicosity seen in wildtype animals (fig. S1). By contrast, in the moredorsal zone 3 synaptic regions, unc-40 animals had normal or increased levels of synaptic vesicle proteins and a normal or increased diameter (Fig. 2, F to I, and fig. S1). These defects suggest a specific defect in the presynaptic differentiation of AIY in zone 2, although a detailed analysis of AIY synaptic ultrastructure and function could

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reveal abnormalities in other AIY synapses. Although *unc-40* animals do not have substantial AIY axon guidance defects, RIA axon guidance is severely affected in *unc-40* mutants: RIA processes fail to extend ventrally to create the loop that is innervated by AIY in zone 2 (95.8% penetrant, n = 191 animals; fig. S3).

To identify the cell(s) in which *unc-40* functions to direct AIY-RIA innervation, we analyzed *unc-40* mosaic animals that retain an unstable rescuing array in subsets of cells (8). Interestingly, only when the array was retained in the AIY interneuron did we observe significant rescue of the AIY presynaptic patterning defects (P <0.001; Fig. 2, L to M, and figs. S5 and S6). Retention of the array in the closely related interneuron RIB or RIM (RIB/RIM) (9) did not result in rescue. Retention in the RIA interneuron resulted in rescue of the AIY presynaptic phenotype (fig. S7).

Together, these data indicate that axon guidance of RIA and patterning of presynaptic specializations in AIY are independent, cellautonomous events. These mosaic analyses are also consistent with the observation that UNC-40 (DCC) is endogenously expressed in AIY interneurons (fig. S6) and indicate a previously uncharacterized role for UNC-40 (DCC) in specifying AIY presynaptic terminals in a cellautonomous manner.

To determine how UNC-40 (DCC) directs presynaptic assembly in AIY, we examined its subcellular localization. Consistent with UNC-40 (DCC) playing a role in the presynaptic patterming of AIY, we observed that UNC-40 (DCC) is enriched in zones 2 and 3, the regions where

А

presynaptic sites are assembled (Fig. 3, A and C; 71.5% animals with enriched localization, n =214 animals). This enrichment is dependent on UNC-6 (netrin), because UNC-40 (DCC) failed to concentrate at the presynaptic sites of AIY in *unc*-6 animals (Fig. 3, B and C; 87.6% with diffuse localization, n = 201 animals). Consistent with this observation, in *unc*-6 animals circuit assembly between AIY and RIA is abnormal, phenocopying the *unc*-40 mutant defect (fig. S8).

During neurulation, when AIY-RIA innervation takes place, UNC-6 (netrin) is exclusively expressed by ventral cephalic sheath cells (VCSCs) at the nerve ring (10). VCSCs are nonneuronal cells that are morphologically similar to vertebrate astrocytes (11). Mosaic analysis using an *unc-6* rescuing construct showed that expression of UNC-6 (netrin) by VCSCs regulates the pattern of AIY presynapses (fig. S8B, P < 0.001).

VCSCs have slender processes that enter the nerve ring and terminate at specific synaptic sites, one of them being zone 2, the region where AIY innervates RIA (4). We investigated the physical relations between the VCSCs, AIY, and RIA and observed that the VCSCs project endfeet to the anterior end of the ventral nerve cord and form deeply invaginated membrane lamellae that ensheath the zone 2 region where AIY innervates RIA. The relative positioning of the VCSC endfeet with respect to AIY and RIA is extremely stereotyped across animals, revealing a tight and reproducible anatomical relation between the UNC-6–secreting VCSCs and the AIY-RIA synapses (Fig. 3, D to J, and fig. S1).

To test further whether the precise anatomical relation between the sheath cells and AIY-RIA synapses is instructive in mediating AIY:RIA in-

Fig. 1. AlY and RIA innervate at a specific spatial coordinate in the nerve ring. (A) Schematic diagram of the morphology of the presynaptic AIY and postsynaptic RIA neurons in the nerve ring (brackets). Modified image adapted from www.wormatlas.org with permission. (B) Representative adult animal expressing cytoplasmic fluorophores specifically in AIY (red) and RIA (green). In all images, the dashed box (zone 2 in text) delineates a spatial coordinate in the wild-type nerve ring where the RIA and the AIY interneurons converge and synapse onto each other. (C to H) Confocal micro-



graphs and corresponding diagrams demonstrating the colocalization of AIY presynaptic vesicles [CFP::RAB-3 and pseudocolored red in (C)] with postsynaptic RIA glutamate receptors [GLR-1::YFP and pseudocolored green in (E)] in zone 2 (dashed box). Double labels are shown in (G). Schematic diagrams [(D), (F), and (H)] show the relation between AIY presynaptic terminals and the RIA postsynaptic region, which was highly reproducible across animals (n > 500). Scale bars, 5 µm. nervation, we identified mutants that disrupt sheath cell morphology. We found that mutations in unc-34/enabled, a regulator of the actin cytoskeleton, altered the morphology of cephalic sheath cells: 47.8% of the unc-34 animals have distended endfeet, which project further posteriorly (n = 94 animals; Fig. 4, A and D, and fig. S9A). In these unc-34 animals, the distended VCSCs ectopically ensheath zone 1 of AIY in addition to zones 2 and 3 (Fig. 4, A and D). Also in these animals, we observed a change in the distribution of UNC-40 (DCC), which ectopically localized to zone 1 (Fig. 4, E to G). Furthermore, there was a concomitant change in the distribution of AIY presynapses: ectopic AIY presynaptic specializations now formed in zone 1, the region of overlap with distended sheath cell endfeet where ectopic UNC-40 localized (Fig. 4, B and D). Moreover, RIA axon guidance changed accordingly, with the RIA postsynaptic loop extending further posteriorly to the region covered by the sheath cell endfeet (P < 0.001; Fig. 4, C and D, and fig. S9A).

An epistasis analysis confirmed that the displacement of presynaptic sites observed in *unc-34* animals is an UNC-40 (DCC)-dependent event (fig. S9, B to D). These observations are consistent with a model whereby UNC-34 (enabled) affects presynaptic patterning in AIY by altering sheath cell morphology, which then affects localized UNC-6 (netrin) secretion, UNC-40 (DCC) localization, and presynaptic assembly.

Together our data strongly suggest that glia act as guideposts in directing circuit assembly between AIY and RIA. The glial cells mark a neurospatial coordinate in the *C. elegans* nerve ring through the secretion of UNC-6 (netrin). This in turn signals to AIY and RIA neurons through UNC-40 (DCC). UNC-40 (DCC) simultaneously activates two different and independent pathways in each neuron, orchestrating presynaptic assembly in AIY and axon guidance of postsynaptic RIA to the glial-specified location.

How can the same receptor and ligand elicit diverse cellular responses in distinct neurons? UNC-40 (DCC) has been reported to regulate diverse developmental processes like cell migration, neuronal polarization, and axon guidance, all of which involve an initial polarization event that may be mediated by netrin signaling (6, 7, 12-15). The patterning of AIY presynaptic regions can also be considered a local polarization event, through which AIY transforms a region of its plasma membrane into a specialized presynaptic area. Other guidance molecules, such as the Eph family of receptors and their ephrin ligands, have been shown to play roles in growth cone guidance as well as the development of mature excitatory synapses (16). In ephrin-mediated signaling, distinct cellular responses are likely generated by the developmental context and by diverse downstream targets (17). Similar mechanisms could explain the distinct cellular responses to UNC-40 (DCC) signaling.

Fig. 2. UNC-40 (DCC) is required for correct presynaptic patterning in AIY. (A to J) Distribution of presynaptic sites of AIY in wild-type [(A) to (E)] or unc-40 [(F) to (J)] animals. Confocal micrographs demonstrate the colocalization and patterning of AIY presynaptic vesicles [mCherry::RAB-3 pseudocolored red in (A) and (F)] and active zones [ELKS-1/ERC::YFP pseudocolored green in (B) and (G)] in a representative wild-type [(A) to (C)] or unc-40 [(F) to (H)] animal, evident in the double-label merge images [(C) and (H)] and represented in the diagram of presynaptic site distribution [(D) and (I)]. Three-dimension line scan profiles of the fluorescence intensity (arbitrary units, AU) distribution in (A) and (F). (K) Quantification comparing the relative distribution of synaptic vesicle fluorescence in wild-type (blue: n = 20 neurons) versus unc-40 animals (red: n = 31neurons). Error bars represent standard error, and the asterisk represents statistical significance (P < 0.001). (L and M) unc-40 (e271) animals expressing an unstable transgene containing an unc-40 rescuing construct, and cytoplasmic cell-specific markers in AIY and RIA (L) or RIB/RIM (M) were scored for retention of the transgene and rescue of the AIY presynaptic phenotype. \*\*\*P < 0.001, \*\*P < 0.01, and \*P < 0.05 between indicated groups. Scale bars, 5 µm.



Fig. 3. Ventral cephalic sheath cells control UNC-40 (DCC) enrichment in presynaptic regions by secreting UNC-6 (netrin). (A and B) Localization of UNC-40::GFP in AIY of a representative wild-type (A) or unc-6 (B) animal. Note UNC-40:GFP enrichment in zones 2 and 3 in wild-type animals [(A) dashed box], as compared to diffuse localization in unc-6 animals (B). (C) Quantification of the enrichment of UNC-40::GFP in the presynaptic regions of wild-type versus unc-6 animals. Two different transgenic lines (lines 1 or 2), both expressing UNC-40::GFP, were scored. (D) Projection of confocal micrographs obtained from a representative animal simultaneously expressing hlh-17::mCherry (to label ventral cephalic sheath cells and pseudocolored blue), ttx-3::cfp::rab-3 (to label presynapses in AIY and pseudocolored red), and glr-3::glr-1::vfp (to label the glutamate receptors in RIA and pseudocolored green). (E) Volume rendering of (D) showing the relative positioning of the glial-like ventral cephalic sheath cells with respect to the region of innervation between AIY and RIA (29). (F) Diagram of (D) and (E). The asterisk marks the posterior extension of the sheath



cell. (G) Volume rendering of the ventral sheath cell in (D). Note the groove in the boxed region where AIY and RIA are ensheathed by the ventral cephalic sheath cells and innervate each other. (H and I) Single confocal plane of (D), with presynaptic vesicles in AIY [labeled with cyan fluorescent protein (CFP)::RAB-3 and pseudocolored red in (H)], glutamate receptors in RIA [labeled with GLR-1:YFP and pseudocolored green in (I)] and a triple label including expression of the mCherry cytoplasmic fluorophore in the sheath cells [pseudocolored blue in (J)]. This anatomical relation was extremely stereotyped across animals (n > 500). Scale bars, 5  $\mu$ m.

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Fig. 4. Repositioning of the sheath cells affects RIA axon guidance and AIY presynapses. (A) Diagram showing the distended positioning of the ventral cephalic sheath cell in unc-34 animals. The asterisk marks the normal posterior boundary of a wild-type sheath cell (see Fig. 3F for comparison). In unc-34, animals sheath cells abnormally distend posteriorly in 47.9% of animals (n = 94 animals). (**B** to **D**) Confocal micrographs obtained from a representative unc-34 animal simultaneously expressing ttx-3::cfp::rab-3 [to label presynapses in AIY and pseudocolored red in (B) and (D)], glr-3::glr-1::yfp [to label the glutamate receptors in RIA and pseudocolored green in (C) and (D)], and hlh-17::mCherry [to label the ventral cephalic sheath cells and pseudocolored blue in (D)]. Note abnormal posterior extension of the sheath cell endfeet, corresponding ectopic AIY presynapses in zone 1, and distended RIA axon in the area now covered by the distended sheath cell (compare with Fig. 3D). (E to G) Confocal micrographs obtained from a representative unc-34 animal simultaneously expressing ttx-3:: mCherry::rab-3 [to label presynapses in AIY and pseudocolored red in (E) and (G)] and ttx-3::unc-40::gfp [to label the UNC-40] (DCC) receptors in AIY and pseudocolored green in (F) and (G)]. Scale bars, 5 µm.

Although cell-specific events in AIY and RIA happen independently of each other, their simultaneous regulation by a single molecule allows coordinated circuit assembly. UNC-6 (netrin) is a secreted chemotropic factor that can act as a longrange chemical cue or a short-range signaling molecule, depending on the developmental context (18-20). Given the close anatomical relation between the source of UNC-6 (netrin) (VCSCs) and the AIY:RIA synapses, it is likely that UNC-6 (netrin) acts as a short-range signaling molecule in this pathway, specifying microenvironments that promote UNC-40 (DCC) enrichment and synaptogenesis. Indeed, vertebrate astrocytes have been shown to be functionally compartmentalized into subcellular microdomains, which may be important in regulating localized secretion of signaling molecules regulating synaptic assembly and function (21). Furthermore, UNC-6 (netrin) has been reported to mediate adhesive interactions and function as a short-range target recognition molecule in other developmental events (18, 19, 22).

Our study is consistent with observations made in vertebrates and highlights the importance of glial cells in specifying precise neural connectivity. The fact that dissociated cultured neurons can form functional synapses in the absence of glial cells suggests that pre- and postsynaptic neurons are sufficient to assemble chemical synapses. However, growing evidence suggests that glia are essential regulators of synaptic assembly and function in vivo (11, 23-26). For instance, astrocyte-secreted thrombospondin increases the density of synapses in the mammalian central nervous system (23, 27).

Our data show that glia can also specify neural connectivity in vivo by marking a neuroA



spatial coordinate, which achieves precise circuit assembly by controlling both synaptic partner choices and the location of innervation. Such stereotyped synaptic assembly gives rise to highly organized neuropil structures such as the nematode nerve ring. Similarly organized neuropil structures are also evident throughout the vertebrate central nervous system, exemplified by the stratified organization of the inner plexiform layer of the vertebrate retina and the glomeruli in the olfactory bulb (2, 28). The roles observed here for glia in C. elegans may be evolutionarily conserved, such that proteins with multifunctional roles in spatial patterning, like UNC-40 (DCC), would detect the localized expression of glial signals and thus orchestrate the formation of neural circuits.

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#### Supporting Online Material

www.sciencemag.org/cgi/content/full/318/5847/103/DC1 Materials and Methods Figs. S1 to S9

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## Chimpanzees Are Rational Maximizers in an Ultimatum Game

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Traditional models of economic decision-making assume that people are self-interested rational maximizers. Empirical research has demonstrated, however, that people will take into account the interests of others and are sensitive to norms of cooperation and fairness. In one of the most robust tests of this finding, the ultimatum game, individuals will reject a proposed division of a monetary windfall, at a cost to themselves, if they perceive it as unfair. Here we show that in an ultimatum game, humans' closest living relatives, chimpanzees (*Pan troglodytes*), are rational maximizers and are not sensitive to fairness. These results support the hypothesis that other-regarding preferences and aversion to inequitable outcomes, which play key roles in human social organization, distinguish us from our closest living relatives.

umans are able to live in very large groups and to cooperate with unrelated individuals whom they expect never to encounter again, conditions that make the standard mechanisms for cooperation unlikely (1), namely kin selection (2) and reciprocal altruism (3). Nevertheless, people help others, sometimes at great personal cost. But people are not obligate altruists; they do not tolerate abuse of their generosity. Not only will they punish or shun individuals who free-ride or exploit them, they will do so even if they themselves do not benefit from correcting the behavior of norm violators (4). The willingness both to cooperate and to punish noncooperators has been termed strong reciprocity (5) and has been claimed to be uniquely human (6). To cooperate in these ways, humans must be more than self-regarding rational decision-makers; they must also, at least to

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some degree, have concern for outcomes and behaviors affecting others (other-regarding preferences) (4) as well as a general concern for norms of fairness (7, 8).

The benchmark test for examining sensitivity to fairness and other-regarding preferences is the ultimatum game (9). In the standard version of the game, two anonymous individuals are assigned the roles of proposer and responder. The proposer is offered a sum of money and can decide whether to divide this windfall with the responder. The crucial feature of the ultimatum game is that the responder can accept or reject the proposer's offer. If the responder accepts it, both players receive the proposed division; if the responder rejects it, both get nothing. The canonical economic model of pure self-interest predicts that the proposer will offer the smallest share possible and that the responder will accept any nonzero offer. This is not what happens. Although the specifics vary across culture and setting, the basic finding is that proposers typically make offers of 40 to 50% and responders routinely reject offers under 20% (10). These findings suggest that responders are

sensitive to unfairness and punish proposers who make inequitable offers by rejecting those offers at a cost to themselves, and knowing this, proposers make strategic offers that are less likely to be refused.

The ultimatum game has been used in dozens, possibly hundreds of studies, including various human cultures (11) and children (12). Testing the ultimatum game on other species would be an important contribution to the debate on the evolution and possible uniqueness of human cooperation (6). Chimpanzees are our closest extant relatives and engage in cooperative behavior such as group hunting, coalitionary aggression, and territorial patrols (13). Furthermore, in experiments they have been shown to coordinate their behavior (14) and to provide help (15, 16). However, there is ongoing debate about whether chimpanzees are sensitive to, and tolerant of, unfairness (17) or whether they simply attend to their own expectations with no regard for what others receive (18). Additionally, experiments have failed to reveal other-regarding preferences when food was involved (19, 20) other than to punish direct theft (21). Having chimpanzees play the ultimatum game would address these conflicting findings on fairness and negative reciprocity and allow direct comparisons to humans.

In the current study, we tested chimpanzees in a mini-ultimatum game. The mini-ultimatum game is a reduced form of the ultimatum game in which proposers are given a choice between making one of two pre-set offers which the responder can then accept or reject (22). In one such study (23), there were four different games. In all games, the proposer had as one option an amount that would typically be rejected by a human responder as unfair, namely 80% for the proposer and 20% for the responder (8/2 offer; the proposer received the amount to the left of the slash and the responder received the amount to the right). In the 5/5 (fair) game, the proposer



Responder

**Fig. 1.** Illustration of the testing environment. The proposer, who makes the first choice, sits to the responder's left. The apparatus, which has two sliding trays connected by a single rope, is outside of the cages. (A) By first sliding a Plexiglas panel (not shown) to access one rope end and by then pulling it,

the proposer draws one of the baited trays halfway toward the two subjects. **(B)** The responder can then pull the attached rod, now within reach, to bring the proposed food tray to the cage mesh so that **(C)** both subjects can eat from their respective food dishes (clearly separated by a translucent divider).

was faced with the choice of 8/2 versus 5/5. The other games were 8/2 versus 2/8 (unfair versus hyperfair), 8/2 versus 8/2 (no choice), and 8/2 versus 10/0 (unfair versus hyperunfair). Human responders rejected the 8/2 offer most when the alternative was fair (5/5 game), less when the alternative was hyperfair (2/8 game), even less when there was no alternative (8/2 game), and hardly at all when the alternative was for the proposer to be even more selfish (10/0 game) (23). The differential rejection of unfair outcomes across the games suggests that people are not sensitive solely to unfair distributions (7) nor solely to unfair intent (24) but to a combination of both (8). If chimpanzees are sensitive to unfairness and are negatively reciprocal, they would behave like Homo reciprocans (25), whereas if they accept any nonzero offer regardless of alternatives for the proposer, they will be more like the hypothetical Homo economicus (26).

Subjects were 11 chimpanzees from a grouphoused colony at the Wolfgang Köhler Primate Research Center (27). The proposer sat to the left of the responder, who was in an adjacent cage in an L-shaped arrangement. The test apparatus, which was outside of the cages, had two sliding trays. On each tray were two dishes with raisins, separated by translucent dividers: one for the proposer and the other for the responder (Fig. 1). Proposers would first choose one of the two trays by pulling it halfway to the cages (as far as it would go); responders could accept the offer by pulling the proposed tray the remaining distance (via the rod which came into reach only as a result of the proposer's pull) or could reject it by not pulling at all within 1 min. The responder's acceptance led to both subjects being able to reach the food in their respective dishes. Rejection led to both getting nothing, because the experimenter would remove all food dishes after the trial ended. There were four games (as in the study described above), all played within a single session: 2/8, 5/5, 8/2, and 10/0-each versus 8/2. The order of games was counterbalanced across subjects.

The most important finding is that responders tended to accept any offer. As can be seen in Fig. 2, responders rejected 8/2 offers at overall

Fig. 2. Offers made by	0	Proposer		offs	Responder
proposers and rejections	Game	Offers	Proposer	Responder	Rejections
by responders in the four games. In each game, the proposer could choose		39 (75%)	8		2 (5%)
between two payoff op- tions: 8/2 (8 raisins for the proposer and 2 for	5/5	13 (25%)	5	5	0 (0%)
the responder) and an alternate [2/8 (2 for the proposer and 8 for the	2/8	45 (87%)	L		3 (7%)
responder), 5/5 (5 for the proposer and 5 for the responder), 8/2 (8 for the proposer and 2 for the responder), and 10/0 (10 for the proposer and 0 for the respond- er)]. Results on the left show the total number	20	7 (13%)	2	8	0 (0%)
	8/2	53 (100%)	L <sup>8</sup>		6 (11%)
and corresponding per- centage of offers for each option made by propos-	10/0	29 (54%)	8		4 (14%)
ers in each game. (Trials in which the proposer did	10/0	25 (46%)	10		11 (44%)

not participate are not included, therefore the total number of offers varies across the games; percentages are therefore based on the total number of offers for each option out of the total number of trials played for each game.) Results on the right indicate the total number of each offer rejected and the corresponding percentage of rejections out of the total number of offers for each game.

Fig. 3. Rejection rates (% of trials) of 8/2 offers in the four games for chimpanzees in this study (black bars) and for human participants (white bars) [data are from (23)].



low rates (from 5 to 14% of the time). There was a trend toward different rejection rates of 8/2 offers across the four games (Friedman's  $\chi^2_3$ test = 6.643, P = 0.069). However, all paired comparisons were nonsignificant, indicating that, crucially, chimpanzees rejected 8/2 offers equally often regardless of the alternatives available to the proposers (27). Moreover, this trend toward rejections was in the opposite direction of the finding for humans (23). When proposers offered non-8/2 alternatives (available in all but the 8/2 game), responders accepted them differentially across the games (Friedman's  $\chi^2_2$  test = 10.00, P = 0.012). In line with the principle of selfinterest to accept any nonzero offer, responders rejected 10/0 offers (in which the responder receives nothing) more often than 5/5 offers [Wilcoxon  $T^{*}$  test = 28.00, n = 8 (1 tie), P =0.016] and marginally more often than 2/8 offers [Wilcoxon  $T^+$  test = 15.00, n = 7 (2 ties), P =0.063]. Indeed, the only offers rejected by responders more than 0% of the time were 10/0 offers (one-sample t test  $t_0 = 4.735$ , P = 0.001). In short, responders did not reject unfair offers when the proposer had the option of making a fair offer; they accepted almost all nonzero offers; and they reliably rejected only offers of zero. As can be seen in Fig. 3, these results contrast strongly with those of adult humans, who reject 8/2 offers most often when a fair (5/5) option is available for the proposer and least often when the alternative for the responder is even more selfish than the 8/2 option (10/0) (23). Furthermore, unlike human responders, who report being angry when confronted with unfair offers (28), chimpanzee responders showed signs of arousal [displays and tantrums (13, 29)] in less than 2% of the test trials (all occurrences were by one individual in all trials of a single session), whereas in a previous study in which the subjects had food taken away from them, these same individuals exhibited tantrums or displays 40% of the time (21).

Consistent with previous studies on chimpanzees (19, 20), proposers did not appear to take outcomes affecting the responder into account. When given the opportunity, proposers did not make fair offers (Fig. 2) [see also (27), and fig. S1]. Given the propensity of responders to accept any nonzero offer, it is not surprising that chimpanzee proposers acted according to traditional economic models of self-interest. However, it is perhaps surprising that proposers made zero offers to the responders, given that these offers were rejected at the highest rate (Fig. 2); chimpanzees are certainly capable of distinguishing two pieces of food from zero when choosing for themselves (30).

To rule out more trivial interpretations of our results, it was necessary to demonstrate that responders and proposers understood the critical features of the task. To this end, we conducted familiarization and probe trials as well as a follow-up study. First, sensitivity to fairness in the ultimatum game requires that responders and proposers each know what the other gains. We

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therefore ran follow-up probe trials to determine whether the chimpanzees were capable of attending to the amount of food available to the partner. Subjects were tested alone, and they had to look into the distal food dishes to correctly choose the tray that would yield the largest payoff from the partner's position before going through the open door to the adjacent cage to get it. They chose correctly at greater than chance levels, demonstrating that they would have been capable of seeing payoffs to the partner (27). Second, in inhibition probe trials, we found that subjects could inhibit pulling the rod when it led to no food gain about 64% of the time, about the same rate of pulling as in the 10/0 condition, suggesting that some of the failure to reject zero offers was due, at least some of the time, to an inability to inhibit a natural tendency to pull. Third, in discrimination probe trials, responders could distinguish between all offers available to them (fig. S2), and proposers could do so for all but 10/0 versus 8/2 (fig. S1) (31), demonstrating that subjects were able to make maximizing choices.

Our subjects were from a single social group, they did not interact anonymously, and they played both roles in the game. However, anonymous one-shot games are used in experiments with humans to decrease the likelihood of making fair offers or accepting unfair offers (32, 33), and so if anything, our experimental design should have been skewed in favor of finding fairness sensitivity. The fact that chimpanzees in this study did not punish other individuals for making unfair offers may be in part a reflection of the fact that active food sharing is rare in this species (34) and may also be because they were unwilling to pay a cost to punish. We gave chimpanzees the most widely recognized test for a sensitivity to fairness, the ultimatum game, and found that they did not systematically make fair offers to conspecifics, nor did they systematically refuse to accept unfair offers from conspecifics even though they could discriminate between the quantities available to themselves and their partners. It thus would seem that in this context, one of humans' closest living relatives behaves according to traditional economic models of self-interest, unlike humans, and that this species does not share the human sensitivity to fairness.

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#### Supporting Online Material

www.sciencemag.org/cgi/content/full/318/5847/107/DC1 Materials and Methods SOM Text

Figs. S1 and S2 References

Movies S1 and S2

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# Widespread Role for the Flowering-Time Regulators FCA and FPA in RNA-Mediated Chromatin Silencing

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The RRM-domain proteins FCA and FPA have previously been characterized as flowering-time regulators in *Arabidopsis*. We show that they are required for RNA-mediated chromatin silencing of a range of loci in the genome. At some target loci, FCA and FPA promote asymmetric DNA methylation, whereas at others they function in parallel to DNA methylation. Female gametophytic development and early embryonic development are particularly susceptible to malfunctions in FCA and FPA. We propose that *FCA* and *FPA* regulate chromatin silencing of single and low-copy genes and interact in a locus-dependent manner with the canonical small interfering RNA-directed DNA methylation pathway to regulate common targets.

Heterochromatin in many organisms is characterized by extensive DNA methylation and histone modifications (I). Plants display cytosine methylation in CG, CNG (N = any nucleotide), and CHH (H = A, C, or T) sequence contexts. In Arabidopsis, small interfering RNAs (siRNAs) are involved in localizing and maintaining these chromatin modifications in processes requiring RNA-DEPENDENT RNA POLYMERASE2 (RDR2), DICER-LIKE3 (DCL3), ARGONAUTE4 (AGO4), and the two RNA polymerase IV isoforms, Pol IVa and b (2–9).

To identify further components required for siRNA-mediated chromatin silencing, we used a reporter system in which the Arabidopsis phytoene desaturase (PDS) gene is silenced in response to a homologous inverted repeat (SUC-PDS) (10). Two mutants that partially suppressed the silencing of PDS (Fig. 1, A, B, C, and E) showed late flowering that was reversible by vernalization. The silencing and flowering phenotypes cosegregated, and the mutations mapped to chromosomes 2 and 4. The flowering phenotype suggested involvement of FPA and FCA, two members of the autonomous pathway (11), mapping to those genomic regions. Sequencing revealed a premature termination codon in FPA (Trp98\*, G to A, fpa-8) and FCA (Gln537\*, C to T, fca-11). The flowering defect was confirmed by complementation analysis with previously known flowering mutants (fca-9, fpa-7, and fve-3; Fig. 1F), which also showed PDS silencing (fig. S1). Thus, FCA and FPA are required

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for efficient PDS silencing in the presence of SUC-PDS.

FCA and FPA contain multiple RNA recognition motif (RRM) RNA binding domains (12, 13), which are known to bind single-stranded RNA, but share no other sequence homology. FCA negatively regulates its own expression through alternative polyadenylation site usage (14). Late flowering in *fca* and *fpa* is due to overexpression of the major repressor of flowering in *Arabidopsis*, *FLOWERING LOCUS C* (*FLC*) (11). *FCA* and *FPA* do not appear to regulate *PDS* in the absence of the silencing trigger, which suggests that the presence of the transgene makes the endogenous *PDS* a target of *FCA* and *FPA*.

Because FCA and FPA both contain RRM domains, we hypothesized that they act partially redundantly; consistent with this, an *fca-11 fpa-8* double mutant showed no *PDS* silencing (Fig. 1D). Components of the siRNA chromatinsilencing pathway (the Pol IVa largest subunit NRPD1a and RDR2) also suppress *PDS* silencing completely (10). Both *nrpd1a-5* and *fca-11 fpa-8* double mutants showed reduced SUC-PDS and higher PDS mRNA levels (Fig. 1G and fig. S2) (10). PDS siRNA levels were reduced in *rdr2-5*, *nrpd1a-5*, and *fca-11 fpa-8* mutants, but not in *fca-11* or *fpa-8* single mutants (Fig. 1H).

Bisulfite sequencing was used to investigate whether PDS silencing corresponded to DNA methylation at the endogenous PDS locus. We found non-CG methylation (CNG and CHH) at the endogenous PDS locus in a region complementary to the hairpin in SUC-PDS leaves, but not in wild-type leaves (Fig. 11 and table S1). CG sites were highly methylated in both the wild type and mutants. In nrpd1a-5 and fca-11 fpa-8 mutants, loss of siRNA coincided with loss of asymmetric DNA methylation (Fig. 11 and table S1). CHH methylation was also compromised in fca-11 and fpa-8 single mutants, although PDS siRNA levels were unaffected (Fig. 1, H and I). These results suggest a dual role for FCA and FPA: (i) They act together with NRPD1a and RDR2 and redundantly with each other to amplify siRNAs derived from the transgene locus; (ii) they act in the perception and interpretation of the silencing signal at the target locus. Mutants in two other members of the autonomous pathway-the MSI1 homolog FVE and the putative histone demethylase FLD (15, 16)-also suppressed PDS silencing (fig. S1), which indicates that multiple components of the autonomous pathway are involved in this process.

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Transposons, retroelements, and intergenic transcripts are endogenous targets of chromatinsilencing pathways (5-8, 17). Expression of the AtSN1 retroelement and the AtMu1 DNA transposon were also controlled by FCA and FPA (Fig. 2A). AtSN1 was reactivated very strongly in fpa-8, fca-11 fpa-8, and nrpd1a-5 mutant seedlings, but not in fca-11. In contrast, AtMu1 was slightly derepressed in fca-11 and fpa-8 single mutants and more strongly in fca-11 fpa-8. AtMu1 reactivation in fca-11 fpa-8 was similar to that in nrpd1a-5. An intergenic transcript flanked by a solo long terminal repeat (LTR), IG/LINE, was also up-regulated in fca-11 fpa-8, albeit to a lesser extent than in nrpd1a-5 (Fig. 2A). Together, these findings indicate that FCA and FPA have a widespread role in the regulation of endogenous loci known to be silenced at the level of transcription and dependent on siRNA.

We next investigated whether this transcriptional reactivation correlated with loss of corresponding siRNA. *AtSN1* and *AtMu1* siRNAs were detected at wild-type levels in *fca-9*, *fpa-7*, and *fca-9 fpa-7*, but were absent from *nrpd1a-3* mutant seedlings (Fig. 2B). Corresponding results were obtained for other siRNAs. Thus, despite their role in the amplification of *PDS*  siRNA, FCA and FPA do not generally act in NRPD1a-dependent siRNA production. There was no change in DNA methylation at the AtSN1 locus in fca fpa (Fig. 2C, fig. S3, A and B, and table S2). However, bisulfite sequencing indicated a reduction of ~50% in asymmetric (CHH) DNA methylation at AtMul in fca fpa, whereas CG and CNG methylation were not affected (Fig. 2C, fig. S3B, and table S2). Likewise, asymmetric DNA methylation at the solo LTR was reduced (fig. S3C). Maintenance of asymmetric DNA methylation requires the continued presence of the trigger, whereas symmetric DNA methylation can be maintained through cell divisions in the absence of the trigger. Silencing at these loci is also associated with changed histone tail modifications such as increased H3 K9 dimethylation and reduced H3 K4 dimethylation (5, 8, 17). Using chromatin immunoprecipitation, we did not find any pronounced alteration in these marks in fca-9 fpa-7.

Heterochromatic loci are targeted by multiple silencing pathways, and their contribution at individual loci differs considerably (18–20). This is corroborated by our finding that silencing of AtSN1, AtMu1, IG/LINE, and PDS in the presence of SUC-PDS differentially requires



**Fig. 1.** FCA and FPA suppress SUC-PDS—induced silencing of PDS. (A to E) Leaf phenotypes in SUC-PDS background grown in long days. (A) Col, (B) fpa-8, (C) fca-11, (D) fca-11 fpa-8, (E) no transgene. Scale bar, 5 mm. (F) Complementation analysis: average flowering time ( $\pm$ SEM) of F<sub>1</sub> progeny of crosses between the indicated mutations (white, selfed) and fpa-8 (black) or fca-11 (gray). (G) RNA gel blot analysis of PDS mRNA detecting endogenous PDS (PDS) and SUC-PDS mRNA (\*). Numbers indicate relative expression of PDS averaged over two experiments. (H) RNA gel blot analysis of SUC-PDS siRNA. (I) Cytosine methylation at the endogenous PDS locus assayed by bisulfite sequencing.

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FCA and FPA. PDS silencing is associated with target DNA methylation and siRNA production through mechanisms that are dependent on both the siRNA chromatin-silencing pathway and fca fpa. Derepression of AtMul and IG/LINE in fca fpa mutants coincides with loss of DNA methylation but not siRNAs, whereas both are lost in mutants of the siRNA chromatin-silencing pathway. Despite much stronger reactivation of AtSN1 in fca fpa, neither DNA methylation nor siRNA accumulation was affected. Our findings are consistent with the idea that transcription can be reactivated in the presence of DNA methylation, as was established for the morpheus' molecule 1 (mom1) mutation (19, 21). Despite this similarity, it seems unlikely that FCA and FPA generally act together with MOM1, because AtSN1 and AtMul are not misregulated in mom1 (22).

To investigate how FCA and FPA relate to the chromatin siRNA amplification pathway including Pol IVa, RDR2, and DCL3, we analyzed the release of silencing in double mutants (Fig.

Fig. 2. Reactivation of AtSN1, AtMu1, and IG/LINE in seedlings. (A) Quantitative reverse transcription polymerase chain reaction (RT-PCR) on Col, fpa-8, fca-11, fca-11 fpa-8, and nrpd1a-5. (B) RNA gel blot analysis of transacting siRNAs (siR255, siR1511), microRNAs (miR159, miR171), or siRNAs (all other) on Col, fca-9, fpa-7, fca-9 fpa-7, and nrpd1a-3. (C) Cytosine methylation for Col (black), nrpd1a-3 (light gray), and fca-9 fpa-7 (dark gray). (D) Quantitative RT-PCR (left: Col, nrpd1a-3, fpa-8, nrpd1a-3 fpa-8, fca-11, and nrpd1a-3 fca-11; right: Col, fca-9, rdr2-1, fca-9 rdr2-1, dcl3-1, and fca-9 dcl3-1). nmd1a-3 is a weaker allele than nrpd1a-5 with respect to AtMu1 reactivation; error bars indicate SD.

2D). All double mutants showed much higher reactivation of *AtSN1* and *AtMu1* than any of the single mutants, which suggests that *FCA* and *FPA* do not act downstream of the siRNA amplification pathway, but rather in parallel. Similarly, transposon reactivation was greatly enhanced in *fve nrpd1a* double mutants relative to either of the single mutants (fig. S3D). Strikingly, although *FCA* is dispensable for *AtSN1* silencing in the wild type, the loss of *FCA* in *nrpd1a*, *rdr2*, or *dcl3* mutant backgrounds greatly enhanced the release of *AtSN1* silencing.

Our findings predict that perturbation of DNA methylation in *fca fpa* mutants will affect reactivation of target loci differently. At *AtSN1*, where the effect of *FCA* and *FPA* is uncoupled from DNA methylation, enhanced loss of silencing in the presence of the DNA methylation inhibitor 5-aza-deoxycytidine (aza-dC) would be expected. Conversely, at *AtMu1*, where *fca fpa* mutants show reduced DNA methylation, the additional effect of the inhibitor would be small.



Our results (Fig. 3A and table S3) are consistent with this prediction, because *fca-9 fpa-7* mutants were more sensitive than the wild type to azadC with respect to *AtSN1* reactivation, but less sensitive than the wild type with respect to *AtMu1* reactivation. Also, development of *fca-9 fpa-7* seedlings was strongly perturbed when exposed to aza-dC at concentrations where development of wild-type or *fca-9* seedlings was not abnormal and development of *fpa-7* seedlings was only very slightly abnormal (Fig. 3B and table S4) (23).

fca fpa double mutant plants are late flowering but otherwise largely normal. However, closer examination of fca-11 fpa-8 siliques revealed that ~20% of developing seeds aborted and ~70% of ovules did not initiate development (fig. S4A and Table 1). When pollinating double mutants with wild-type pollen, no seeds aborted, but the high proportion of undeveloped seeds persisted; this finding suggested that the embryonic lethality was zygotic, whereas the undeveloped seed phenotype was caused by the genotype of the mother plant. When fca/fca FPA/fpa ovules were pollinated with wild-type pollen, 34% of seeds appeared undeveloped (Table 1). Microscopic examination of mature ovules did not reveal any abnormalities (fig. S4, B and C), which suggests that the genotype of the female gametophyte determined the undeveloped seed phenotype. Thus, (female) gametophytic and early embryonic development is extremely sensitive to loss of FCA and FPA. Once these stages are passed successfully, development can proceed largely independently of FCA and FPA. Whether misregulation of a few key genes or more global genome misorganization causes these defects remains to be investigated.

We propose that the increased transcript levels measured for the targets in fca fpa reflect transcriptional reactivation rather than increased cytoplasmic RNA stability. This is supported by the subcellular localization of FPA and FCA: A fully complementing FPA-yellow fluorescent protein (YFP) fusion protein localized to the nucleus (Fig. 3C and fig. S5); FCA is a nuclear protein that interacts with the SWI/SNF chromatin remodeler SWI3B (14, 24). Both proteins associate with the chromatin of their target genes: The FPA-YFP fusion protein localized to the chromatin of AtMu1 and FLC (Fig. 3D); FCA localized to FLC chromatin (25). Lastly, using an established assay for transcriptional activity (26), FLC and AtMul unspliced (nascent) transcripts were up-regulated in all backgrounds that caused up-regulation of the spliced transcript, and both unspliced and spliced transcripts were increased similarly (Fig. 3, E and F). Together, these data all indicate that silencing does not occur posttranscriptionally but rather cotranscriptionally before any processing occurs.

Taken together, our results show that the nuclear proteins FCA and FPA have a much more widespread role in development and gene silencing than previously anticipated. We propose a model in which FCA and FPA cotran-

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scriptionally recognize aberrant RNA and mark it for silencing (fig. S6). A nascent RNA may be made aberrant by the presence of low levels of complementary siRNAs or misconducted processing events. FCA and FPA would then facilitate silencing by recruiting or stabilizing effector complexes. Although the common result of FCA and FPA action is silencing of a target locus, the identity of these effector complexes presumably varies with the contribution of different pathways at individual loci, thus leading to somewhat different silencing signatures. Whereas the majority of functionally characterized RRM-domain proteins act in posttranscriptional RNA processing (27), FCA and FPA appear to integrate the state of the nascent RNA with transcription. That this might be a novel function of some RRM-domain proteins is supported by two other reports. The yeast Set1 histone methyltransferase has an RRM domain thought to bind nascent RNA and thereby regulate the methyltransferase activity (28). Furthermore, three RRM-domain proteins are required for transcriptional silencing in Caenorhabditis elegans cosuppression (26).

Although the canonical siRNA-directed chromatin-silencing pathway has been described for repetitive loci, FCA and FPA silence mainly single-copy loci and do not affect silencing of the highly repetitive 5S loci (fig. S7). At a subset of targets, however, these pathways clearly interact. The canonical chromatin-silencing/siRNA amplification pathway involves amplification of siRNAs and shuttling of silencing information between the locus and a nucleolar RNA processing center (29, 30), thereby silencing any sufficiently homologous locus in the genome.



Fig. 3. (A and B) Aza-dC treatment. (A) Quantitative RT-PCR on Col and fca-9 fpa-7 seedlings grown on aza-dC (white, mock; gray, 2 µM; black, 4 µM) normalized to the expression level after mock treatment (±SD). (B) Seedlings (Col, fpa-7, fca-9, and fca-9 fpa-7) grown for 14 days on aza-dC. (C) An FPA-YFP fusion protein localizes to the nucleus of transgenic Arabidopsis seedling roots. Scale bar, 50 µm. (D) Chromatin immunoprecipitation from two independent FPA-YFP lines. Lane 1, Col; lane 2, FPA-YFP line 2; lane 3, FPA-YFP line 5. (E and F) RT-PCR assaying spliced and unspliced transcripts of FLC and AtMu1.

Table 1. Percentage of aborted and undeveloped seed in *fca-11 fpa-8* mutant lines.

Parental genotype (female × male)	Healthy (%)	Aborted (%)	Undeveloped (%)	n
Col (SUC-PDS) selfed	100.0	0.0	0.0	206
fca-11 fpa-8 selfed	21.3	4.7	74.0	572
Col (SUC-PDS) × fca-11 fpa-8	82.7	0.0	17.3	572
fca-11 fpa-8 × Col (SUC-PDS)	30.9	0.0	69.1	375
fca-11 selfed	99.1	0.0	0.9	559
fpa-8 selfed	75.0	0.0	25.0	464
fca-11/fca-11 FPA/fpa-8 × Col	63.9	1.6	34.4	244

In contrast, FCA and FPA may bypass the siRNA amplification step, thereby restricting it to acting in cis. Unraveling the interactions between the different pathways will ultimately enable us to understand what properties in a target commit it to being silenced in a particular way.

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#### Supporting Online Material

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# Methyl Salicylate Is a Critical Mobile Signal for Plant Systemic

Acquired Resistance

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In plants, the mobile signal for systemic acquired resistance (SAR), an organism-wide state of enhanced defense to subsequent infections, has been elusive. By stimulating immune responses in mosaic tobacco plants created by grafting different genetic backgrounds, we showed that the methyl salicylate (MeSA) esterase activity of salicylic acid—binding protein 2 (SABP2), which converts MeSA into salicylic acid (SA), is required for SAR signal perception in systemic tissue, the tissue that does not receive the primary (initial) infection. Moreover, in plants expressing mutant SABP2 with unregulated MeSA esterase activity in SAR signal—generating, primary infected leaves, SAR was compromised and the associated increase in MeSA levels was suppressed in primary infected leaves, their phloem exudates, and systemic leaves. SAR was also blocked when SA methyl transferase (which converts SA to MeSA) was silenced in primary infected leaves, and MeSA treatment of lower leaves induced SAR in upper untreated leaves. Therefore, we conclude that MeSA is a SAR signal in tobacco.

**P**lants respond to pathogen attack by activating both local and systemic defenses that restrict pathogen growth and spread. In the infected leaf, these defenses often involve a hypersensitive response in which necrotic lesions form at the infection site(s) (1); the uninoculated tissues subsequently develop systemic acquired resistance (SAR), a state of heightened defense throughout the plant. SAR is similar to acquired immunity in animals in that it is systemic and long-lasting; it also resembles innate immunity, as it provides broad-spectrum resistance to secondary infection (1, 2).

SAR requires movement through the phloem of a signal from the infected tissue to the systemic tissue (leaves above the primary infected leaves) (3). Initially, salicylic acid (SA) was postulated to be this mobile signal because it induces defense responses when applied to plants, moves systemically, is found in phloem exudates of infected leaves, and is required in systemic tissue for SAR (1, 2). However, grafting studies showed that infected, SA-deficient rootstocks could trigger SAR in wild-type scions; such results imply that SA is not a mobile SAR signal (4, 5).

To clarify the role of SA in defense signaling, we identified putative SA-effector proteins in tobacco (6–9). One of these, SA-binding protein 2 (SABP2), is integral to plant innate immunity, as silencing of *SABP2* suppresses both local resistance to tobacco mosaic virus (TMV) and SAR development (9). SABP2 is an esterase in the  $\alpha/\beta$ -fold hydrolase superfamily, with strong preference for methyl salicylate (MeSA). It binds SA with high affinity (dissociation constant  $K_d =$  90 nM), resulting in inhibition of MeSA esterase activity (10). This suggests that SABP2 functions by converting biologically inactive MeSA (11) [which is synthesized from SA by an SA methyl transferase (SAMT) (12)] to active SA; feedback inhibition by SA may modulate this process.

To determine whether SABP2 is involved in generating and transmitting the SAR signal in leaves receiving the primary infection and/or perceiving and responding to it in systemic tissue, we performed grafting studies with wildtype (empty vector control) or SABP2-silenced rootstocks and scions (13) (Fig. 1A). These experiments are informative only if the signal for gene silencing in SABP2-silenced tissue is not graft-transmissible to wild-type tissue; this is demonstrated in Fig. 1B. After TMV inoculation, SAR was observed in wild-type scions grafted onto SABP2-silenced or wild-type rootstocks. SAR is manifested as a reduction in the size of lesions formed after secondary TMV infection of systemic leaves (scion leaves of grafted plants) on plants that have received a primary infection (on rootstock leaves of grafted plants), relative to the size of lesions developed by plants that previously received a mock inoculation as the primary infection (Fig. 1, C and D). The reduction in lesion size occurs because SAR elicited by the primary infection enables the plant to restrict viral replication and spread more efficiently the second time it encounters the virus. Conversely, plants containing SABP2-silenced scions grafted onto wild-type or SABP2-silenced rootstocks failed to develop SAR after TMV infection of the rootstock, as evidenced by large secondary lesions formed regardless of whether the rootstock received a primary TMV infection (Fig. 1, C and D). Suppression of SAR in SABP2silenced scions was accompanied by increased viral replication in systemic tissue (Fig. 1D, lower panel) and reduced expression of the pathogenesis-related 1 gene, which is associated with SAR development (Fig. 1E). These results indicate that SABP2 is required for SAR in



**Fig. 1.** SABP2 is required for SAR in systemic but not primary infected tobacco leaves. (**A**) Schematic of grafting experiments using wild-type [W; control line C3<sup>#</sup> expressing an empty vector in *Nicotiana tabacum* cv. Xanthi nc (NN) (9)] and SABP2-silenced [S; line 1-2<sup>#</sup> (9)] rootstocks (RS) and scions (Sc). (**B**) Immunoblot analysis of SABP2 accumulation in rootstocks and scions of grafted plants (13). Nine-week-old plants received a secondary inoculation with TMV at 7 days post primary infection (dppi); SABP2 accumulation with TMV at 7 days post primary infection (dppi); SABP2 accumulation was determined at 6 days post secondary infection (dpsi). Note that SABP2 levels are weaker in secondary infected systemic scions at 6 dpsi than in primary infected rootstocks at 13 dppi in controls as well as chimeric grafts. (**C**) Determination of lesion size on scions whose rootstocks received either a mock (uninduced) or TMV (induced) inoculation 7 days before a secondary infection with TMV; lesion sizes were determined at 6 dpsi. (**D**) Upper panel: TMV-induced lesions on scion leaves of the above-described plants at 6 dpsi. Lower panel: RNA blot analysis of TMV *coat protein* (*CP*) transcripts in scion leaves at various times after secondary infection. (**E**) RNA blot of *pathogenesis-related-1* (*PR-1*) expression at 7 dppi (before secondary infection) in grafted scion leaves. Ribosomal RNA (rRNA) is shown as a loading control in (D) and (E).

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systemic tissue, but not for production of a SAR signal in primary infected tissue.

Mutant SABP2 proteins were constructed on the basis of SABP2's three-dimensional structure and produced in Escherichia coli; the recombinant proteins were assayed for MeSA esterase activity, SA-binding activity, and SA-feedback inhibition in vitro (table S1). Three mutants were then tested for complementation of SAR in SABP2-silenced tobacco: Ala<sup>13</sup>  $\rightarrow$  Leu (A13L), which lacks SA-binding activity and SA-feedback inhibition; Ser<sup>81</sup> → Ala (S81A), which lacks MeSA esterase activity; and His238 → Ala (H238A), which lacks SA-binding and MeSA esterase activities (Fig. 2A). To prevent RNA interferencemediated silencing of the wild-type or mutant SABP2 transgenes, we introduced the above mutations into synthetic versions of SABP2, syn1 [formerly syn (14)] and syn2, which share 77% and 60% identity with the native SABP2 gene, respectively, and are controlled by the estradiolinducible XVE system (15). syn1 is effectively expressed in SABP2-silenced tobacco and restores SAR (14). Estradiol treatment of systemic leaves of SABP2-silenced plants stably transformed with a syn transgene resulted in local synthesis of wild-type or mutant syn SABP2; expression of wild-type syn1 or syn2 led to a ~55 to 65% reduction in secondary lesion size, demonstrating that SAR was restored (Fig. 2, B to D). Induction of the A13L mutant in systemic leaves also restored SAR, whereas induction of S81A or H238A did not (these plants had similarly sized primary and secondary lesions and high levels of TMV *coat protein* transcripts in secondary inoculated systemic leaves; Fig. 2, C and D). Thus, SAR requires SABP2's esterase activity, but not its SA-binding activity and SAfeedback inhibition, in systemic leaves.

Although SABP2 is not required in primary infected tissue to generate a mobile SAR signal (Fig. 1 and Fig. 3, A to C), grafted plants containing a wild-type scion and an *SABP2*-silenced rootstock expressing the A13L mutant were SARdeficient (Fig. 3, A to C). Because the MeSA esterase activity of A13L is not inhibited by SA, whereas that of wild-type SABP2 is, this finding suggests that in wild-type plants, SA-mediated inhibition of SABP2 in the primary infected leaves is critical to allow sufficient MeSA accumulation for SAR induction (Fig. 3D).

Our data argue that MeSA is a mobile SAR signal because SAR requires (i) SABP2's MeSA esterase activity in systemic tissue and (ii) SAmediated inhibition of this activity in primary infected leaves. This conclusion is supported by gas chromatography-mass spectrometry analyses, which revealed increased MeSA levels in



**Fig. 2.** SABP2's MeSA esterase activity, but not its SA-binding and feedback inhibition activities, is required for SAR in systemic leaves. (**A**) Biochemical characteristics of mutant SABP2 proteins. N/A, not applicable. (**B**) Immunoblot analysis of wild-type or mutant syn SABP2 protein accumulation in 8-week-old stably transformed *SABP2*-silenced tobacco at 0 (–) and 48 hours (+) after treatment with 30  $\mu$ M estradiol. Expression of endogenous SABP2 was used as a control (con.). The large subunit (LSU) of ribulose bisphosphate carboxylase/oxygenase is shown as a loading control. (**C**) Average lesion size was determined at 5 days post infection (dpi). (**D**) Upper panel: TMV-induced lesions on systemic leaves of plants described in (C) at 5 dpsi. Lower panel: RNA blot analysis of TMV *CP* transcripts in systemic leaves of these plants at 0 and 4 dpsi. For (C) and (D), the transgenes were induced only in systemic leaves (13).

primary infected and, subsequently, systemic leaves of wild-type plants [peaking at 48 and 72 hours post primary infection (hppi), respectively] but not in SABP2-silenced plants expressing the A13L mutant in primary infected leaves (Fig. 3D). MeSA levels also increased in phloem (petiole) exudates of primary infected leaves from wild-type plants, peaking at 48 hppi, whereas little increase occurred in plants expressing the A13L mutant (Fig. 3E). In addition, biologically active SA, with or without its biologically inactive conjugated glucoside (SAG), rose in systemic leaves of wild-type plants, whereas little to no rise occurred in SAR-deficient SABP2silenced plants expressing the A13L mutant in primary infected leaves or in SABP2-silenced plants (Fig. 3, F and G). Note that the similarity of SA (or SA plus SAG) levels in primary infected leaves of wild-type and SABP2-silenced plants expressing A13L (Fig. 3, F and G) was expected because the MeSA level is only 10 to 20% of the SA plus SAG level (Fig. 3, D, F, and G) (11); thus, A13L-mediated conversion of most or all of the MeSA to SA would increase SA levels only slightly. Accumulation of SA (or SA plus SAG) in primary leaves of SABP2-silenced plants was reduced relative to that in wild-type plants at early times but eventually reached wildtype levels.

In a complementary approach, MeSA levels were reduced by suppressing MeSA biosynthesis via silencing of *NtSAMT1* (fig. S1). Grafting experiments indicate that NtSAMT1 is required in the SAR-signal generating, TMV-infected rootstock, but not in the systemic tissue (i.e., scion) (Fig. 4, A and B). Moreover, treating the lower leaves of wild-type tobacco plants with MeSA induced SAR in the upper, untreated leaves. This required SABP2 in the untreated, but not treated, leaves (Fig. 4, C to E).

SA-deficient rootstocks expressing salicylate hydroxylase (SH) can generate a SAR signal (4); therefore, MeSA can act as a mobile signal only if it is not metabolized by SH. Analysis of recombinant SH revealed no activity against MeSA (fig. S2). Moreover, plants expressing SH accumulated MeSA to nearly wild-type levels in primary infected leaves and in systemic leaves, although peak accumulation was delayed ~24 hours (Fig. 3, D and E). MeSA levels in phloem exudates of SH-expressing plants were intermediate between those in wild-type and A13L plants. Because MeSA is synthesized from SA, SA-deficient plants expressing SH might be expected to have very depressed MeSA levels. The similar level of MeSA in SH transgenic and wild-type plants suggests that the kinetic properties of NtSAMT1 and SH are very different, with NtSAMT1 having higher affinity for SA (lower Michaelis-Menten constant Km) and/or faster kinetics (higher catalytic rate constant k<sub>cat</sub>) than SH. Alternatively, NtSAMT1 and SH may be in different subcellular locations, with NtSAMT1 having greater (or earlier) access than SH to newly synthesized SA.

The following findings argue that MeSA is a phloem-mobile SAR signal and that SAPB2 is its receptor in systemic tissue: (i) SAR requires SABP2's MeSA esterase activity in the systemic tissue to convert biologically inactive MeSA to active SA (Figs. 1 and 2). (ii) After infection, SA levels rise in the systemic leaves of wild-type plants, whereas little or no rise occurs in SAR-





defective, SABP2-silenced plants (Fig. 3, F and G). (iii) SAR requires SA-mediated inhibition of this esterase activity in the primary infected leaves (Fig. 3, A and C). (iv) MeSA levels increase after infection in primary infected leaves, and subsequently in phloem exudates of primary infected leaves and in systemic leaves of wild-type plants (Fig. 3, D and E). (v) Reducing MeSA levels in primary infected leaves by expressing the A13L mutant SABP2 with uncontrolled MeSA esterase activity leads to little or no MeSA increase in phloem exudates (Fig. 3E), little or no increase in MeSA and SA in systemic leaves (Fig. 3, D, F, and G), and loss of SAR development (Fig. 3, A to C). (vi) Reducing MeSA levels in primary infected leaves by silencing NtSAMT1 also results in SAR deficiency (Fig. 4, A and B). (vii) Treating the lower leaves of wild-type tobacco plants with MeSA induces SAR in the upper, untreated leaves. This requires SABP2 in the untreated, but not treated, leaves (Fig. 4, C to E).

MeSA also may be an airborne signal that induces resistance in neighboring plants (16). Analyses of the Arabidopsis dir1-1 and sfd1 mutants have suggested that the mobile SAR signal is a lipid or lipid derivative (17, 18). Consistent with this possibility, recent studies by Truman et al. (19) suggest that this lipid-derived signal may be jasmonic acid. It is interesting to note that functional SA analogs induce SAR in both dir1-1 and sfd1 mutants (17, 18). Together these studies suggest that a lipid-derived SAR signal works with (or upstream of) MeSA to activate SAR. Thus, there may be two translocated signals that induce SAR: a lipid-derived molecule (perhaps jasmonic acid) and MeSA.

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Fig. 4. NtSAMT1-silenced tobacco plants are SARdeficient, and MeSA induces SAR in untreated tissue. (A) Determination of lesion size on scions whose rootstocks received either a mock (uninduced) or TMV (induced) inoculation 6 days before a secondary infection with TMV (W, empty vector control; S, NtSAMT1-silenced line). (B) Upper panel: TMVinduced lesions on scion leaves of the abovedescribed plants at 5 dpsi. Lower panel: RNA blot analysis of TMV CP transcripts in scion leaves of these plants at 0, 2, and 4 dpsi. See Fig. 1 legend for details. (C) Schematic for MeSA treatment of wild-type (W, empty vector control) and SABP2silenced (S) tobacco. The lower parts of plants (8 weeks old) were treated for 5 days in gastight sealed plastic film chambers containing air with or without supplementation with MeSA. After this incubation, the upper, untreated leaves were inoculated with TMV. (D) Lesion size on upper, untreated leaves of plants described in (C) at 5 dpi; untreated plants were exposed only to air, whereas treated plants received air supplemented once at the start of the experiment with MeSA (1.0 mg/liter) on the lower leaves. (E) Upper panel: TMV-induced lesions on the untreated leaves



of plants described in (C) at 5 dpi. Lower panel: RNA blot analysis of TMV CP transcripts in untreated leaves at 0, 2, and 4 dpi.

Supporting Online Material

Materials and Methods

 We thank L. Tong for advice regarding SABP2 mutational analysis, A. Kessler for advice on GC/MS analyses, N.-H. Chua for estradiol-inducible pER8 vector, DNA2.0 Inc. for synthesizing syn2 SABP2, J. Ryals for the transgenic NahG-10 tobacco line encoding a salicylate hydroxylase (4), and D. Dempsey for critical comment on the manuscript. Supported by NSF grants IOB-0525360 and DBI-0500550 (D.F.K.).

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Figs. S1 and S2 Table S1 References

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# In Situ Imaging of the Endogenous CD8 T Cell Response to Infection

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Mounting a protective immune response is critically dependent on the orchestrated movement of cells within lymphoid organs. We report here the visualization, using major histocompatability complex class I tetramers, of the CD8-positive (CD8) T cell response in the spleens of mice to *Listeria monocytogenes* infection. A multistage pathway was revealed that included initial activation at the borders of the B and T cell zones followed by cluster formation with antigen-presenting cells leading to CD8 T cell exit to the red pulp via bridging channels. Strikingly, many memory CD8 T cells localized to the B cell zones and, when challenged, underwent rapid migration to the T cell zones where proliferation occurred, followed by egress via bridging channels in parallel with the primary response. Thus, the ability to track endogenous immune responses has uncovered both distinct and overlapping mechanisms and anatomical locations driving primary and secondary immune responses.

n effective immune response depends on the large-scale, but carefully regulated, movement of cells within and between lymphoid and peripheral tissues. In recent years, our understanding of events in secondary lymph-

oid tissues has been advanced by the use of multiphoton microscopy to visualize lymphocyte movement (1-4). Nevertheless, much remains to be elucidated about the microanatomy of antigen-specific primary and memory CD8 T cell responses, with relatively limited data currently available from in situ visualization of endogenous CD8 T cell responses (5-7). Indeed, because of technical difficulties with intravital imaging of the spleen, intravital microscopic

analysis of immune responses has been limited to the lymph node and has only elucidated the properties of clonal, single-avidity T cell receptor (TCR) transgenic T cells after transfer of large numbers of cells. Because it is known that increasing naïve T cell precursor frequency affects immune responses (8) and that each TCR transgenic T cell exhibits distinct physiological characteristics (9), these data should be interpreted with these caveats in mind. Thus, determining the anatomical location and migration of endogenous antigen-specific T cells in lymphoid tissues during primary and secondary immune responses remains an important goal.

To achieve this objective, we used staining with major histocompatability complex (MHC) class I tetramers, which allows in situ identification and localization of clonally diverse endogenous antigen-specific CD8 T cells (7). This approach avoids the complications associated with adoptive transfer of TCR transgenic T cells and challenge with model antigens. With this technique, we systematically examined the CD8 T cell response to primary and secondary infection with Listeria monocytogenes (LM), which is primarily induced in the spleen (10). C57BL/6 mice were infected intravenously with 1 × 106 colony-forming units (CFU) of an attenuated actAdeficient strain of LM that had been engineered to express the exogenous antigen ovalbumin

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(LM-OVA) (11-13). This allowed generation of a robust ova-specific CD8 T cell response that can be readily followed by using tetramers. At days 3, 4, and 5 post infection (PI), the spleen from each mouse was cut in two equal halves with one half used for imaging studies and the other for flow cytometric comparison. Tetramerpositive (tet\*) CD8 T cells that displayed characteristics of activation were detected by 3 days (Fig. 1A), with tet+ cells expanding almost 14fold from day 3 to day 5 (Fig. 1A). Phenotypic analysis revealed up-regulation of the activation markers CD11a and PD1 by day 3 (Fig. 1A), while CD69 expression was elevated on a portion of tet<sup>+</sup> cells at day 3 PI, but was lost by day 4 (Fig. 1A). CD127 down-regulation on tet<sup>+</sup> cells was a late event, occurring at day 4 PI after the down-regulation of CD62L (Fig. 1A).

Having framed the overall kinetics of the early CD8 T cell response, we undertook imaging of the splenic response in situ. Splenic architecture is organized into two distinct compartments: white pulp (WP) and red pulp (RP) (Fig. 1B) (14). The WP includes the B cell follicles and a T cell area, the periarteriolar lymphoid sheath (PALS). The RP is a blood-filled space between each WP lymphoid follicle and the next; it contains a complex venous system, reticular fibro-

blasts, macrophages, and some lymphocytes. The marginal zone (MZ) separates the WP from the RP, surrounds the B cell follicles and is populated with B cells expressing high levels of surface immunoglobulin IgM, dendritic cells (DCs), and macrophages (14). Tet+ CD8 T cells were essentially undetectable in the spleen of uninfected mice (Fig. 1B). However, at 3 days PI, but not earlier, small numbers of tet<sup>+</sup> CD8 T cells could be readily detected (Fig. 1C) as clusters, primarily at the border of the T cell-B cell zones of the splenic WP and in the MZ (Fig. 1C and inset). These tet<sup>+</sup> cells were also in close contact with CD11c<sup>+</sup> DC (Fig. 1D), with many exhibiting apparent polarization of TCR and CD8 co-receptors toward the contact areas with DC, consistent with an immunological synapse (Fig. 1, D and E; see movie S1). Some tet cells were also detected in the RP, MZ (white arrows) or the B cell areas [yellow arrow in (fig. S1A)]. Four days PI (24 hours later), a substantial increase in tet CD8 T cells was noted, with a majority (>80%) now located in the PALS (Fig. 2A and fig. S2). Tet+ CD8 T cells were localized in only a small number of lymphoid follicles; other follicles appeared devoid of proliferating tet+ CD8 T cells (fig. S3), which suggested that CD8 T cell activation

and proliferation occurred in a limited number of foci.

By 5 days PI, tet+ CD8 T cells continued to proliferate (Fig. 1A) and were located along the border of the T cell and B cell zones (>40%) or in the MZ (>50%) in discrete clusters (Fig. 2, B and C, and fig. S2). CD11c+ DCs were concentrated within these clusters (Fig. 2C) and, in many cases, formed apparent synapses with tet+ CD8 T cells [(Fig. 2C, bottom), arrowheads in magnifications of boxed region above, and (fig. S4)]. Because antigen presentation occurs for ~10 days after infection (fig. S5), we tested whether the clustering and TCR reorganization of CD8 T cells relatively late in the response was antigendependent. To do so, we used the 25D-1.16 monoclonal antibody (mAb) (15) that recognizes SIINFEKL bound to H-2Kb to block antigen recognition. mAb treatment on days 0 or 3 PI blocked cluster formation (fig. S6A) and expansion to some extent (fig. S6C). Although some tet\* CD8 T cell clusters were present in the spleens of mice treated with mAb at 4 days PI, TCR or CD8 co-receptor polarization was not evident [(fig. S6B), arrowheads, left versus right]. These data demonstrated that secondary antigendependent interactions occurred between CD8 T cells and DCs.



Fig. 1. Time course of the early splenic response to LM infection and imaging of early events in situ. (A) Flow cytometric and microscopic analysis of mouse spleen at 3, 4, and 5 days PI. Dot plots represent gated CD8 T cells and histograms, gated tet<sup>+</sup> CD8<sup>+</sup> cells. The values represent tet+ cells as a percentage of CD8 T cells. Gated CD8+CD11aloCD62Lhi represent naive cells. (B to E) Thick sections were stained with K<sup>b</sup>-OVA tetramer and the indicated mAb, and multiple sections from each spleen were analyzed by confocal microscopy. B220, a CD45 isoform preferentially expressed by B cells. (B) Uninfected spleen with regions marked. MZ, marginal zone. The image was acquired by using a 20× 0.75 numerical aperture (NA) objective; a 24-µm merged z-stack is shown. (C) Splenic tissue 3 days PI. Note small clusters of tet\* CD8 T cells. (Magnified cluster of tet<sup>+</sup> CD8 T cells in the MZ shown in inset.) A 20-um merged z-stack is shown. (D) Cluster of tet<sup>+</sup> CD8 T cells interacting with CD11c<sup>+</sup> DC 3 days PI. Image acquired by using a 40× 1.2 NA water objective; a 12-µm merged z-stack is shown. [Gallery of individual z-sections shown in (fig. S1B).] (E) Magnified view of a tet<sup>+</sup> CD8 T cell in contact with a CD11c<sup>+</sup> DC. Image acquired by using a 40× 1.2 NA water objective (also see movie S1). The data are representative of three different experiments with two or three mice each.





**Fig. 2.** Localization of antigen-specific CD8 T cells 4 and 5 days PI. **(A)** CD8 T cells localize to the PALS 4 days PI. **(B)** and **C)** Clusters of antigen-specific CD8 T cells localize along the border of the T and B cell zones 5 days PI in multiple thick sections from each spleen. **(B)** Cluster of tet<sup>+</sup> CD8 T cells on the T cell–B cell zone border. **(C)** Tet<sup>+</sup> CD8 T cells cluster with CD11c<sup>+</sup> DC. (Top) A 32-µm merged z-stack. (Bottom) Three-dimensional (3D) reconstruction of a 24-µm z-stack represents the magnified view of the boxed region in the top middle. Arrowheads indicate TCR and CD8 co-receptor polarization toward the adjacent CD11c<sup>+</sup> DCs. (Bottom, far right) A rendered 3D-reconstruction. T, T cell zone; B, B cell zone. All images acquired by using a 20× 0.75 NA objective. The data are representative of five different experiments with two or three mice each.



Fig. 3. Antigen-specific CD8 T cells exit the white pulp via bridging channels. Multiple thick sections from each spleen, 5 days PI, were stained with K<sup>b</sup>-OVA tetramer and the indicated mAb. (A) Low-power view of spleen. Rendered 3D reconstruction (right) of the 110-µm merged z-stack (left) of a spleen fragment shows multiple clusters of tet+ CD8 T cells along the border of the B-T cell zones and the MZ. (B) Spleen section stained (CD31-specific, green) for blood vessels (arrows) to identify the central arteriole (CA) and associated branches (see also fig. S6). The image shows two bridging channels (BC, yellow arrows) through which tet\* CD8 cells exit the PALS into the MZ (top). (Bottom) Magnified views of the BC boxed top left. (Top and bottom, far right) Rendered 3D reconstructions are shown. (Top) Images acquired by using a 10× 0.45 NA water objective and (bottom) by using a 40× 1.2 NA water objective; both are 30-µm merged z-stacks. MZ, marginal zone; RP, red pulp. Also see fig. S6 and movie S3. The data are representative of five different experiments with two or three mice each.

The route that antigen-specific CD8 T cells follow to exit the WP is not known (14, 16) but examination of the location of tet<sup>+</sup> CD8 T cells at days 5 and 6 PI clearly revealed this pathway (Fig. 3 and figs. S7 and S8, and movie S2). At 5 days PI, clusters as well as individual tet<sup>+</sup> cells were located in regions originally described as bridging channels (16, 17) that apparently connect the PALS to the MZ/RP (Fig. 3, A and B) and are often associated with the central arteriole and its branches (fig. S7 and movie S2). tet<sup>+</sup> CD8 T cells egressed the PALS via the bridging channels and followed a relatively uniform path in the MZ (Fig. 3, A and B, right, and Fig. 3B, white arrows, magnified lower panels). By 6 days PI, most of the tet<sup>+</sup> population (>80%) had exited the PALS and was localized in the RP and/or the MZ (fig. S8, A and B), and by 7 days PI, nearly all (>90%) of the cells were located in the RP. Although a more detailed analysis is required, the CD8 T cell response to VSV infection was characterized by similar anatomical events, though at a more rapid pace (fig. S9, A, B, and C). Thus, although the kinetics of the CD8 response may be distinct between different infections, responding splenic CD8 T cells appear to follow a prescribed pathway driving immune response initiation, expansion, and exit.

Knowing the anatomy of the primary response to LM infection, we set out to define the location of resting and reactivated memory cells derived from that response. Image analysis 30 days after infection revealed that over 60% of tet<sup>+</sup> memory CD8 cells were embedded in the B cell follicles (Fig. 4A and figs. S2 and S10, and movie S3). In addition, memory cells were also present in the MZ and RP (~30%; fig. S2), as previously suggested by adoptive transfer studies (*18, 19*). Intranasal influenza virus infection also resulted in the appearance of flu-specific memory cells in B cell follicles (Fig. 4B), which suggested that this was a general characteristic of

Fig. 4. Memory tet\* CD8 T cells localized to the B cell follicles, MZ and RP rapidly undergo local migration after infection. Multiple thick sections from each spleen, 30 days PI, were stained with K<sup>b</sup>-OVA tetramer and the indicated mAb. (A) (Top left) A 36-um merged z-stack image acquired by using a 20× 0.75 NA objective. The data are representative of six different experiments. (Top right) A 3D reconstruction of the z-stack shown at left. The SpotCheck function of Imaris was used to quantify the number of OVA-specific memory CD8 T cells (red spots, 41 cells) in the 37.1mm<sup>3</sup> volume of spleen shown. (Bottom) Magnified view of a B cell follicle of the splenic white pulp, an 18-um merged z-stack. The data are representative of six different experiments with two or three mice each. (B) NP-specific memory CD8 T cells also localize to the B cell follicles. Spleen sections from mice infected 35 days earlier with 300 times the 50% egg infective dose (EID<sub>50</sub>) of HKx31 influenza virus intranasally were stained with NP-tetramer and the indicated mAb. (Right) A 3D reconstruction of the 35-um z-stack shown at



left and acquired by using a 20× 0.75 NA objective. SpotCheck analysis revealed 104 NP-specific memory CD8 T cells in the 40.4 mm<sup>3</sup> volume of spleen shown. The data are representative of two different experiments with two mice each. (C to G) Mice infected 30 days previously were infected with  $1 \times 10^4$  CFU of LM-OVA. At the indicated times post recall (PR), halves of the

spleen were used for flow cytometry (C) or imaging [(D) and (E)]. Dot plots represent gated CD8 T cells. The values represent OVA-tet<sup>+</sup> cells as a percentage of CD8 T cells. (D) At 15 hours PR. (E) At 24 hours PR. (F) At 48 hours PR. (G) At 65 hours PR. The data are representative of two different experiments with two mice each.

early memory CD8 T cells. To determine the effect of secondary antigen encounter on memory cells, LM-primed mice were reinfected with LM, and spleens were analyzed (Fig. 4, C to G). At 5 (Fig S11A) and 15 hours (Fig. 4, C and D) post-challenge, the tet+ memory cells remained in the RP and B cell areas. In contrast, 24 hours post-challenge, tet\* cells had relocated to the margins of the PALS (Fig. 4E), and by 48 hours, cells were centrally located in the T cell zones (Fig. 4F). Note that unlike the cells in the primary infection, virtually all lymphoid follicles (PALS) were populated with responding tet<sup>+</sup> CD8 T cells (compare Fig. 2A with fig. S11B), which suggests that precursor frequency may dictate the extent of follicle involvement. Events up to this point occurred in the absence of T cell expansion (Fig. 4C), an unexpected result given the rapidity with which memory T cells are believed to be reactivated. By 65 hours post recall, the tet+ CD8 cells had proliferated (Fig. 4C), and most had exited the PALS and were localized to RP and MZ (Fig. 4G). Movement of memory T cells into the PALS after secondary infection was antigen-specific because infection with wild-type LM had no effect (fig. S12, A and B).

Although previous studies have examined splenic lymphoid architecture, the anatomical events driving primary and secondary CD8 T cell responses to infection have not been clearly delineated. The technique we used did not allow us to quantify movement of individual cells, but allowed us to examine large areas of tissue with relative ease, which is difficult to achieve using other imaging procedures. At the population level, our kinetic analysis clearly revealed a stepwise progression of cellular movements leading to CD8 T cell expansion, exit from the spleen, and localization of resulting memory CD8 T cells in discrete splenic locales. Before this, these events had not been visualized. Imaging also revealed previously unappreciated secondary encounters of daughter CD8 T cells with antigenbearing DC in large clusters. These findings align with a recent study that concluded that prolonged interactions between CD4 T cells and antigenpresenting cells (APCs) can occur at lower T cell frequencies (4). Thus, the contention that only a single brief encounter with an APC is needed to drive CD8 T cell activation (20, 21), although it occurs in experimental systems using TCR transgenic T cells, may not represent in situ events during infection. In contrast, memory CD8 T cells appeared not to undergo secondary activation events and large cluster formation, but upon reactivation, rapidly moved from the B cell follicles to the RP via bridging channels. Therefore, these results lend evidence for a novel mechanism in which B cells or other follicular APCs induced memory CD8 T cell activation. It will be of considerable interest to determine the localization of memory cells as the population undergoes development and maturation.

Overall, the methodical examination of large areas of tissue without disturbing the integrity of structures or the localization of cellular compartments within the organ added a new dimension to the analysis of immune responses. These studies will set the stage for identification of the factors, such as chemokines and other inflammatory mediators, that control the processes driving each anatomical phase of the response. In addition, by comparing the anatomy of different types of immunizations the importance of each step in mounting a protective immune response can be determined. Thus, by monitoring how anatomical relations change during the initiation, expansion, and memory phases of an antimicrobial immune response, we have obtained an understanding of how a productive immune response takes place in vivo, and this information will provide clues to improving vaccine design.

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#### Supporting Online Material

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# MEANINGFUL MENTORING: NATIVE AMERICAN AND LATINO SUCCESS STORIES

Early and sustained interventions which strongly feature mentoring are essential in helping Native American and Latino students navigate an unfamiliar academic system that is dominated by majority culture and practices. Throughout students' educational progression and well into their initial strides upon donning the doctoral gown, they depend upon a clearly marked career map, research training opportunities, professional skills development, peer networks, and role models. These factors can mean the difference between successfully reaching their goals and taking missteps ending in an impassable career detour. By Lin M. Hundt and Jenny Kurzweil

n a class of 100 students, I might have one minority student," says Claudia Benítez-Nelson, describing the abysmally low minority participation in even her most introductory marine science courses.

Benítez-Nelson, associate professor in the Department of Geological Sciences and undergraduate director for the Marine Science Program at the University of South Carolina (USC), could be relating statistics from a science program in most any field at any college in the nation. The low participation of Native Americans and Latinos in science research careers is no secret. According to the latest data available from the National Science Foundation, while Latinos, Native Americans, Alaska Natives, and Native Hawaiians/Pacific Islanders comprise nearly 17 percent of the population in the United States only 4 percent of the science and engineering Ph.D.s granted in 2004 went to Hispanic/Latinos and 0.3 percent to Native Americans/Alaska Natives (National Science Foundation, Division of Science Resources Statistics, Survey of Earned Doctorates, 1997–2004. <u>http://www.nsf.gov/statistics/wmpd/tables/tabf-6.xls</u>). And, while significant shifts in participation continue to be elusive, many of the keys to change are in place thanks to national efforts and federally funded diversity programs at most institutions.

#### Mapping the Path to a Science Career

Many minority students enter university with tremendous trepidation about whether they belong, doubts about their chances of success, and a conspicuous lack of knowledge about the college experience. Talia Martin, a Native American of the Shoshone-Bannock tribes and a recent graduate in chemistry from the University of Kansas (KU), was the first in her family to earn a college degree. Martin initially learned how to navigate college and approach faculty for mentoring support through the 500 Nations Bridges to the Future Program at Haskell Indian Nations University. One of the many multifaceted programs funded by the Minority Opportunities in Research (MORE) division at the National Institute of General Medical Sciences (NIGMS), the 500 Nations Bridges Program provides research opportunities in KU labs for students at Haskell who are interested in transferring to four-year research universities. Once Martin had transferred to KU, she got involved with the McNair Scholars Program that prepares traditionally underrepresented students for graduate study through continued research opportunities. Martin credits participation in mentoringfocused programs as critical to connecting her to faculty mentors and helping her succeed as an undergraduate. The mentors gave her direction. "I was never lost. They really helped me through, every step of the way-whether it be getting to class, passing my classes, or finding a goal in life and academia."

A lack of understanding of any number of decisions along the path can lead to lack of minority students' retention within the science education pipeline, not to mention indelibly affecting career options. To counter this lack of knowledge, **Debra E. Stalk**, Kahnawake Mohawk, created the Native American Mentoring Program (NAMP) of the Sackler Institute of Graduate Biomedical Sciences at the New York University (NYU) School of Medicine.

Reaching out nationally, NAMP helps Native American students become competitively prepared to enter graduate programs in their area of interest. She finds, however, that students aren't the only ones who lack an understanding of the current realities of a science education. She says, "Because the numbers of Native American students are so small <u>continued</u> »



FOCUS ON CAREERS

AAAS/Science Business Office Feature

Maria Elena Zavala, director for the California State

University, Northridge Minority Access to Research Careers and

Minority Biomedical

Research Support programs.



66 I was never lost. They really helped me through, every step of the way. ??

#### UPCOMING FEATURES

Top Employers Survey— October 12 Careers in Neuroscience — October 26 Focus on Diversity 3 — November 16

# FOCUS ON CAREERS

#### Focus on Diversity

at undergraduate [institutions], oftentimes advisers are under this misimpression that these students will be eligible for any medical school or graduate training program they apply to, just by virtue of being a native student in college." Thus, another facet of NAMP is providing training to undergraduate advisers, so that they can offer accurate career counseling to their minority students.

#### **Developing Science Research Expertise**

The more generalized mentoring elements of programs like NAMP, Bridge, and McNair Scholars are accompanied in many instances by exposure of underrepresented minority students to hands-on research experience. In developing their scientific expertise in the lab, minority students lay the foundation for a research career by significantly increasing their chances of getting into competitive graduate programs.

Maria Elena Zavala, professor of biology at California State University, Northridge, is the program director for the CSUN Minority Access to Research Careers (MARC) and Minority Biomedical Research Support (MBRS) programs through MORE. Both MARC and MBRS focus on bringing students into the lab. MARC is a competitive honors program that provides lab research opportunities in addition to mentoring-focused workshops and curricula. MBRS funding, in general, allows faculty to establish research programs that create opportunities for students to work as part of a research team. Zavala notes that MARC/MBRS programs are like an apprenticeship. "The way we do science is by learning from others; it is easier if someone directs you in that hands-on approach. Having students work in the lab is one way of imparting knowledge; it is also a way of imparting behavior."

Because most of her Latino and Native American students haven't grown up with professional scientists as parents, prior to entering her mentoring program Zavala's students haven't had someone to make the general guidelines for pursuing the scientific career path clear to them. Thus, Zavala's mentoring introduces her students to research as an intellectual and social pursuit, including concepts of scientific ethics, responsibility, and appropriate conduct.

Important research opportunities for minority science students are also available outside the traditional academic setting through industry internships and fellowships. Lino Gonzalez, a research scientist at Genentech, believes that these research opportunities are particularly important for Latino and Native American students who have had little, if any, exposure to the high technology world and how science is conducted in such an environment. Therefore, Gonzalez believes that "diversifying [students'] scientific experiences during the undergraduate years with both academic and industrial research can help shape life-long goals for their graduate and professional lives." In his experience, for students interested in industry, the connections they develop during internships can be invaluable. "I have seen many former intern students return after they complete their graduate studies to apply for full-time positions," says Gonzalez.

The All Nations Louis Stokes Alliance for Minority Participation program (All Nations LSAMP), funded through the National Science Foundation (NSF), is another endeavor to engage underrepresented minority students, specifically Native Americans, in research. All Nations LSAMP, housed at Salish Kootenai College, serves as a funding clearinghouse for 25 tribal colleges and 11 other predominantly Native American–serving universities, to start up and sustain science and research programs that meet the particular needs of the communities they serve. "For example," Zetra Wheeler, Blackfeet, the program manager for All Nations LSAMP, explains, "Salish Kootenai College used the previous phase LSAMP funds to help develop its four-year forestry program since the reservation is covered in timber and is a source of income."

For Native American students on reservations and in rural areas that want to pursue a career in academia or industry, participating in conventional lab research is crucial for their next steps. These careers, however, will take them away from home, a compromise that as Wheeler explains many Native American students are reluctant to make. As the most underrepresented group within the scientific arena, Native American communities have a deep need for increased representation within the mainstream scientific work force. At the same time, there is a significant gap in scientific expertise to tackle pressing concerns within the community, such as management of tribal lands and natural resources, and culturally relevant health care and public health awareness. Through the diversity of the programs funded by All Nations LSAMP, Native American students are provided opportunities for scientific preparation that address both areas of concern. continued »

All Nations Louis Stokes Alliance for Minority Participation (All Nations LSAMP) www.anamp.org

American Indian Science and Engineering Society (AISES) www.aises.org

Annual Biomedical Research Conference for Minority Students (ABRCMS) www.abrcms.org

California State University, Northridge www.csun.edu

David Geffen School of Medicine, University of California, Los Angeles www.dgsom.healthsciences.ucla.edu

Genentech, Inc. College Programs www.gene.com/gene/careers/college/programs.jsp

Haskell Indian Nations University www.haskell.edu/haskell

Institutional Research and Academic Career Development Award (IRACDA) Program www.nigms.nih.gov/Training/Mechanisms/CareerDev/ MOREInstRes.htm

Minority Access to Research Careers (MARC) www.nigms.nih.gov/Minority/MARC

Minority Biomedical Research Support (MBRS) www.nigms.nih.gov/Minority/MBRS

National Institute of General Medical Sciences, Minority Opportunities in Research Division www.nigms.nih.gov/Minority

National Postdoctoral Association www.nationalpostdoc.org

National Science Foundation www.nsf.gov

National Science Foundation Graduate Teaching Fellows in K-12 Education (GK-12) Program www.nsf.gov/funding/pgm\_summ.jsp?pims\_id=5472

Native American Mentoring Program (NAMP), Sackler Institute of Graduate Biomedical Sciences, New York University School of Medicine www.med.nyu.edu/sackler/namp

University of Pennsylvania (Penn) Biomedical Postdoctoral Programs (BPP) www.med.upenn.edu/postdoc

Salish Kootenai College www.skc.edu

ScienceQuest Program, University of South Carolina www.geol.sc.edu/cbnelson/ScienceWeb/index.htm

Society for Advancement of Chicanos and Native Americans in Science (SACNAS) www.sacnas.org

SACNAS Minority Postdoc Community www.minoritypostdoc.org

University of Kansas (KU) www.ku.edu

University of Kansas 500 Nations Bridges to the Future Program www2.ku.edu/~bridge

University of Kansas McNair Scholars Program www2.ku.edu/~mcnair



American Association for Cancer Research

#### Advance Registration Deadline: October 26, 2007

(on-site registration will be available at a higher rate)

To learn more about the Conference, visit the AACR website at http://www.aacr.org/ page10782.aspx.

#### Lead Supporter



### First AACR Conference The Science of Cancer Health Disparities

in Racial/Ethnic Minorities and the Medically Underserved

Co-Sponsored by the NCI Center to Reduce Cancer Health Disparities in conjunction with the AACR Minorities in Cancer Research Council

#### November 27-30, 2007 Atlanta Marriott Marquis • Atlanta, Georgia

#### Program Committee Chairpersons:

John D. Carpten, Translational Genomics Research Institute, Phoenix, AZ Olufunmilayo (Funmi) I. Olopade, University of Chicago Medical Center, Chicago, IL Timothy R. Rebbeck, University of Pennsylvania, Philadelphia, PA

This historic conference will highlight the latest findings in cancer health disparities research from many disciplines - genetics, cell biology, epidemiology, prevention, behavioral science, and clinical medicine. It will bring together cancer researchers, clinicians, advocates, survivors, and other experts to identify the next challenges and priorities in efforts to reduce the incidence and mortality due to cancer health disparities. The AACR offers awards to support the participation of minorities, faculty at Minority-Serving Institutions, and scientistsin-training in its Annual Meetings and Special Conferences. Award application deadlines vary so visit the AACR website at www.aacr.org for more information. To learn more about the conference, contact us at micr@aacr.org.



#### Who We Are

The American Association for Cancer Research (AACR) is the world's largest and most prestigious professional organization devoted to cancer research. Our members include over 26,000 basic, translational, and clinical researchers; healthcare professionals; survivors; and advocates in the United States and in more than 70 other countries. Nearly 30 percent of AACR members live outside the United States.

#### AACR offers:

- Six categories of membership beginning at the high school level to accommodate the growing and ever-changing needs of its members;
- An Annual Meeting and Special Conference series which provides the latest findings in the most rapidly developing areas of basic, clinical, and translational cancer research; and features major presentations from prominent scientists who are making important advances in the field;
- Career development grants, research fellowships, major scientific awards, and travel awards to investigators at all levels;
- Subscriptions to 5 highly cited cancer research related journals; and
- Much more!

www.aacr.org

# FOCUS ON CAREERS

#### Focus on Diversity

#### Professional Skills for Professional Advancement

In addition to the scientific preparation that mentors and mentoring programs offer, doctorates and doctoral aspirants alike need training in the professional (nonbench) skills that form a foundation to science career advancement. In the University of Pennsylvania's Biomedical Postdoctoral Programs (BPP), this translates to ongoing workshops that start with conventional aspects of professionalism from communication skills for grant writing and job interviews to leadership skills for managing a lab and working with a research team. The program also addresses fundamentals that minority postdocs may never even have considered to be part of their professional training—like which utensils to use during the course of a business lunch.

Ivonne Vidal Pizarro, the former recruitment and diversity coordinator for BPP, categorizes shortfalls in professional training opportunities and support services for postdocs as the missing links in the minority science

"The value of peer-to-peer networks is to ameliorate the difficulties that minorities can face in majority settings by sharing experiences with and finding empathy from sympathetic colleagues." –Alberto Roca



In the trajectory of a successful scientific career, communicating one's research results goes hand in hand with establishing aptitude in conducting that research. Annual minority science conferences, such as the Society for Advancement of Chicanos and Native Americans in Science (SACNAS), the American Indian Science and Engineering Society (AIS-ES), and the NIGMS-funded Annual Biomedical Research Conference for Minority Students (ABRCMS), provide excellent multidisciplinary forums for students to receive guidance in the art of public speaking and the craft of scientific inquiry.

Gustavo Miranda, a research scientist at the David Geffen School of Medicine at the University of California, Los Angeles, chairs the student poster presentations program at the SACNAS conference, where over 500 students present their work annually. He affirms that conferences like SACNAS provide "early training for how to handle the nuances of professional presentations." Miranda has observed that when attending large, discipline-specific conferences minority students can get lost in the crowd, feel insignificant and out of place. "At conferences like SACNAS, students connect with mentors and role models from their same ethnic background and progressive majority scientists." In Miranda's view, these interactions with mentors, that recognize the value of underrepresented minority communities in producing a competitive scientific work force, provide minority students with scientific preparation that they cannot get elsewhere.

#### Peer-to-Peer Networking on a National Scope

Efforts at individual institutions to support the needs of the burgeoning postdoctoral community have been joined by a growing number of national programs and organizations. Many are self-initiated by postdocs desperate for support. The National Postdoctoral Association (NPA) was formed in 2003 to provide advocacy for and national coalescence among postdoctoral scholars. Similarly, the SACNAS Postdoc Committee and Minority Postdoc Community website are the conception of SACNAS postdocs, resulting in postdoctoral networking activities and an interactive virtual community across geographical barriers.

Whether enhancing their skills via postdoctoral research, on the hunt for employment, or settling into a newly acquired faculty or investigapipeline. According to Vidal Pizarro, who is now at the American Association for Cancer Research in the position of scientist, program administrator, the postdoctoral stage is overlooked by those looking at the advancement of Native Americans and Latinos in the sciences. "If you really want to bump up the numbers of faculty members that come from underrepresented minority groups, then you need to address postdoctoral issues."

And those issues are by no means uncomplicated. Recent recipients of a doctorate often find themselves, in fact, at one of the most precarious junctures in their career. While postdoctoral training has become practically a requirement for academic employment in many fields, the large majority of postdocs will be unable to obtain a faculty position at the end of their postdoctoral tenure. In such a tight job market, for Latino and Native American scientists, postdoctoral appointment choices and planning, professional skills development, and support systems are especially crucial.

tor role, minority scientists are regularly culturally isolated as the only minority in their professional world. Alberto Roca, founding member of the SACNAS Postdoc Committee believes, "The value of peer-to-peer networks is to ameliorate the difficulties that minorities can face in majority settings by sharing experiences with and finding empathy from sympathetic colleagues."

#### Full Circle: Ph.D. to Precollege

In order for increasing numbers of Native Americans and Latinos to arrive at the point where they are seeking a faculty, federal, or industry research position, they must have seen the possibility of such a career well before college entrance exams.

Benítez-Nelson from USC became involved in precollege education, forming the USC ScienceQuest program based on a national model, to address minority students' lack of awareness about and interest in science careers. Having identified the need to reach out to precollege students when they are still quite open to the enjoyment of scientific investigations, Benítez-Nelson focused her after-school science enrichment program on grades four through six.

Benítez-Nelson perceives a direct link between the involvement of minority professional scientists in K-12 education and the promotion of a vibrant minority scientific work force. "If all you see are people who don't look like you, who don't act like you, who don't come from your background, it never occurs to you that it is possible to do these things, too." ScienceQuest, funded by NSF, is recording positive results for the student participants in terms of grades, behavior, and performance in all subject areas, including science. Benítez-Nelson's graduate students are also enriched by the experience; many become inspired to extend their experience in precollege teaching by becoming involved in USC's NSF Graduate Teaching Fellows in K-12 Education (GK-12) Program.

#### **Enduring Needs**

Minority mentoring on a national scale is working...to a certain degree. Latino and Native American students are matriculating into and graduating from science programs at an increasing rate; and opportunities at research corporations and federal laboratories are building inroads for nonacademic science careers. Nonetheless, within the echelons of tenure-track faculty at leading research universities, there remains a noticeable lack of change in the representation of minority scientists. Fresh approaches and commitments at the professoriate level, in concert with programs that encourage minority participation in science throughout the education process, may hold the final key to success.

CREDIT: UNIVERSITY OF CALIFORNIA, IR

Jenny Kurzweil and Lin M. Hundt are senior editors with SACNAS News.

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# THE NATIONAL INSTITUTES OF HEALTH

USA



#### Medical Informatics Fellowships

The Lister Hill National Center for Biomedical Communication (LHNCBC) at the National Library of Medicine seeks postdoctoral fellows as well as graduate and medical students, who are interested in collaborative research within a variety of biomedical informatics areas, including

- Capture, processing and analysis of clinical data for care and research applications
- Biomedical and document image analysis
- Development of health informatics resources.

Successful applicants are matched with LHNCBC staff and participate directly in ongoing research. LHNCBC's research activities include basic and applied research in fields such as:

- Tools and standards development for electronic medical records
- Natural language processing for understanding medical text and improving information retrieval
- Medical knowledge representation
- Text mining

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ositions

- Multimedia database design
- Interactive publications
- Machine learning techniques
- Image processing research

LHNCBC has a tradition of advancing health information systems and its world class research staff is involved in activities that define and support the research infrastructure for next generation medical information systems.

Postdoctoral candidates should have a Ph.D., MD/OD/DDS or equivalent degree in medical informatics, information science, computer science, engineering, applied mathematics, or related disciplines. Candidates should have research experience in these areas. Medical student rotation programs are available as well as programs for graduate students. Post doctoral fellowships are in residence at LHNCBC in Bethesda, MD for one year with the possibility of renewal. Time in residence is variable for other awards, including visiting scholars, visiting faculty and graduate student candidates.

Stipends are commensurate with research experience and education. The annual application deadlines are: January 15, April 15 and October 15. However, applications also are considered year round under special circumstances. For additional information and instructions to submit an application, please see our website: <u>http://lhncbc.nlm.nih.gov</u>. The HHS and NIH are equal opportunity employers.



#### Tenure-Track Investigator Position in the Laboratory of Immunology

The Laboratory of Immunology (LI), Division of Intramural Research, National Institute of Allergy and Infectious Diseases, National Institutes of Health invites applications for a tenure-track investigator position in immunology. Applicants should have a Ph.D., M.D., or equivalent degree; an outstanding record of postdoctoral accomplishment; and an interest in any area of biomedical research related to immunology.

Specifically, we seek a highly creative individual who will establish an independent, forward-looking, world class research program that takes full advantage of the special opportunity afforded by the stable, long-term funding of the Intramural Research Program at NIH. She/he should be interested in developing and applying novel approaches to the study of problems of major biological and /or medical importance, which could include a major clinical research effort. There are ample opportunities to participate in trans-NIH initiatives involving technology development, translational investigation, and multidisciplinary science.

Generous ongoing support for salary, technical personnel, postdoctoral fellows, equipment, and research supplies will be provided. Available cores or collaborative facilities include flow cytometry, advanced optical imaging, microarray generation and analysis, computational biology, production of transgenic and gene- manipulated mice, chemical genomics and support for projects involving RNAi screening. In addition to an outstanding international postdoctoral community, a superior pool of graduate and undergraduate students is available to the successful applicant.

NIAID's Laboratory of Immunology has a distinguished history of accomplishment in immunology. We strongly encourage outstanding early career investigators who can continue and enhance this record of achievement to apply. Current LI principal investigators are Ronald Germain, Michael Lenardo, Rose Mage, David Margulies, William Paul, Ethan Shevach and Tsan Xiao.

Application Process: To apply, e-mail your CV, bibliography, and an outline of a proposed research program (no more than two pages) to Ms. Wanda Jackson at jacksonwa@ niaid.nih.gov or mail to Ms. Wanda Jackson, 10 Center Drive MSC 1356, Building 10, Rm. 4A-26, Bethesda, Maryland 20892-1356. E-mail is preferred.

Reference Letters: Three letters of recommendation must be sent directly from the referees to Ms. Wanda Jackson via e-mail or U.S. mail. Please refer to Ad #016 on all communications. Further information about this position may be obtained by contacting Dr. William Paul (301 496-5046; wpaul@niaid.nih.gov). Applications must be received by October 24, 2007.

A full package of benefits (including retirement, health, life and long term care insurance, 401-k plan) is available. Women and minorities are especially encouraged to apply. U.S. citizenship is not required.

# THE NATIONAL INSTITUTES OF HEALTH



Positions

NATIONAL INSTITUTES OF HEALTH

#### Deputy Director, Clinical and Translational Research

THE POSITION: The National Center for Research Resources (NCRR) is seeking exceptional candidates for the position of Deputy Director, Clinical and Translational Research for the Center. The incumbent will lead NCRR efforts to integrate basic discoveries with clinical research and ensure that the resources supported by NCRR catalyze the advancement of biomedical research. He/She will advise the Director, NCRR, on the importance, policy implications, and program significance of current clinical and translational research issues, focusing on translation from basic research into pre-clinical studies and clinical trials, recommend changes in policy/operations, or follow-up actions. Areas of responsibility include sensitive biomedical and/or political issues that cut across the NIH. The NCRR provides laboratory scientists and clinical researchers with the environments and tools they need to understand, detect, treat, and prevent a wide range of diseases. www.ncrr.nih.gov This support enables discoveries that begin at a molecular and cellular level, move to animal-based studies, and then are translated to patient-oriented clinical research, resulting in cures and treatments for both common and rare diseases. This position offers a unique and exciting opportunity for an extremely capable individual to share responsibility in providing strong and visionary leadership to an organization dedicated to enhancing our understanding of health and disease, translating basic research into medical care, and improving human health. The Deputy Director, Clinical and Translational Research will be expected to represent the Director on a broad range of clinical and translational research issues related to the Center's activities before Members of Congress and their staffs, high level Government officials, leaders of national voluntary and professional health organizations, and leaders in business, science and academia.

QUALIFICATIONS REQUIRED: Applicants must possess an M.D., Ph.D., or equivalent degree, as well as seniorlevel research experience or knowledge of research programs moving research from the basic laboratory sciences into pre-clinical models and clinical trials. Candidates should be outstanding communicators and known and respected as distinguished individuals of outstanding competence. Applicants should also demonstrate the ability to think strategically, work collaboratively and use a consultative approach to problem solving and decision making.

SALARY/BENEFITS/OTHER INFORMATION: Salary is commensurate with experience and a full package of Civil Service benefits is available, including: retirement, health and life insurance, long term care insurance, leave and savings plan (401K equivalent). The National Institutes of Health inspires public confidence in science by maintaining high ethical principles. In addition to the Federal government's code of ethics, we have our own agency specific standards - check them out at the NIH Ethics web site. This position is subject to a background investigation.

HOW TO APPLY: A Curriculum Vitae, Bibliography, and two letters of recommendation must be received by November 30, 2007. Application packages should be sent to the National Institutes of Health, National Center for Research Resources, ATTN: Bonnie Richards, 6701 Democracy Boulevard, Suite 1010, Bethesda, Maryland 20892.

For further information, please call (301) 435-0717. All information provided by candidates will remain confidential and will not be released outside the NCRR search process without a signed release from candidates.



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#### Sallie Rosen Kaplan Fellowship for Women in Basic, Clinical, Epidemiological Or Prevention Science

The Sallie Rosen Kaplan Fellowship for Women Scientists in Cancer Research is made possible by a generous bequest to the Foundation for NIH (FNIH). This is a competitive program for postdoctoral fellows applying to train in any of the National Cancer Institute's intramural research settings, including basic, clinical, epidemiological, and prevention science.

The postdoctoral fellowship experience at the NCI can serve as a first postdoctoral training assignment, or offer more experienced postdoctoral scientists an opportunity to further their training in more advanced methods, to acquire new research capabilities, to make changes in the direction of their research, or to receive training in fundamental sciences and clinical disciplines for the purpose of enhancing the transfer of biotechnology to cancer clinical programs.

Program duration is normally 2 to 5 years. Fellows will be supported by a Cancer Research Training Award (CRTA), with an augmented stipend in the first year provided by the FNIH. The CRTA Fellowship stipend range is \$44,300 to \$73,500 commensurate with level of experience. Standard self and family health insurance is provided and high option coverage is available.

Candidates for the Sallie Rosen Kaplan Fellowship must be female, must possess a doctoral degree, and must have less than 5 years postdoctoral research experience. U.S. citizenship or U.S. permanent residency (green card) is required. Candidates selected for the fellowship will be notified by March 2008 and the starting date will be no earlier than May 2008. Applicants are required to apply online at http://www.training.nih.gov/postdoctoral/ by December 14, 2007.



#### HIV and AIDS Malignancy Branch Center for Cancer Research Tenure Track or Tenure Eligible Position in Viral Oncogenesis

The HIV and AIDS Malignancy Branch (HAMB), NCI, is searching for a tenure track or tenure eligible investigator in the field of viral oncogenesis. It is anticipated that the investigator will establish an independent research program targeted to the study of viralinduced tumors, especially those associated with AIDS. The research program should be able to interface with the branch's existing clinical and basic programs in AIDS-associated malignancies. A particular interest will be for a research program in gammaherpesviruses, but other areas of viral oncogenesis will be considered as well. Current areas of laboratory research in HAMB focus on the molecular biology of Kaposi's sarcoma-associated herpesvirus (KSHV/HHV-8) and human papillomavirus (HPV), pathogenesis of tumors caused by these viruses, and the development of novel therapeutic interventions for HIV infection. The clinical research program in HAMB is primarily directed at AIDS-related malignancies. HAMB is located on the Bethesda campus of the NIH (http://ccr.cancer. gov/labs/lab.asp?labid=63). Candidates for the position should have an M.D./Ph.D., Ph.D., or M.D. and strong research credentials. Applicants for this position should submit a curriculum vitae including bibliography, a statement of research interests, a two-page outline of the proposed research program, and the names of three references to Chairman, Search Committee, HAMB, NCI, Attention Jan Hugue, 301-435-4627, fax 301-480-5955, Building 10, Rm. 6N106, 10 Center Drive, MSC 1868, Bethesda, MD 20892-1868 no later than November 18, 2007. You may also e-mail your application to: huquej@mail.nih.gov.



#### **Postdoctoral Position Available**

The Oncogenomics Section of the Pediatric Oncology Branch, at the Center for Cancer Research, National Cancer Institute has a post doctoral position in the area of chemical biology available immediately. The NCI in collaboration with the University of Maryland College Park, and NASA Goddard Space Flight Center, has recently launched an interdisciplinary NanoBioSensor Initiative to develop electronic biosensors to detect nucleic acids, biomolecules, and small molecules. The candidate should hold a Ph.D. degree in Organic Chemistry and some experience in oligonucelotide chemistry, peptide synthesis, purification, structure elucidation and in a broader area of medicinal chemistry. A candidate with interest in translational research at the interface of chemistry and biology is highly encouraged to apply for this position.

Correspondence, names of references and CV should be sent to Dr. Javed Khan, Advanced Technology Center, National Cancer Institute, Room 225B, 8717 Grovemont Circle, Bethesda, MD 20892-4605, or via email at khanjav@mail.nih.gov.

The Department of Health and Human Services and the National Institutes of Health are Equal Opportunity Employers. NEW JERSEY MEDICAL SCHOOL DEPARTMENT OF ORTHOPAEDICS NORTH JERSEY ORTHOPAEDIC INSTITUTE

#### BASIC MUSCULOSKELETAL SCIENTIST

The Department of Orthopaedics at the UMDNJ-New Jersey Medical School invites applications for a tenure-track faculty position. Applicants with research interest in any area of human musculoskeletal and joint disease are encouraged to apply. Research areas might include, but are not limited to: biology of bone and joint diseases, including molecular aspects, arthrosplasty science, bioengineering, biomaterials and biomechanics. Applicants must have a PhD or its equivalent and at least two years of post-doctoral experience. The successful candidate will be expected to develop a strong extramurally funded research program. Current basic science research efforts in the Department focus on the study of biomaterials for skeletal repair, the molecular biology and biomechanics of bone healing, the molecular analysis of skeletal development, control of proliferation and differential in osteoblasts, and orthopaedic oncology.

Applicants should send cover letter with CV, the names of three references and a statement of research interests to: Elizabeth Moran, Ph.D., Professor and Director Orthopaedic Research Laboratories, Department of Orthopaedics, UMDNJ, Cancer Center, 205 South Orange Avenue, Room G1200, Newark, NJ 07103, or e-mail: strumoje@umdnj.edu. The University of Medicine and Dentistry of New Jersey is an equal opportunity and affirmative action employer.



#### Faculty Position in Chemical Biology

The Life Sciences Institute (LSI) at the University of Michigan invites applications for a position at the rank of Assistant or Associate Professor in the field of chemical biology. Chemical biology is broadly defined and the successful applicant will use chemical methods to address an important biological question.

The LSI is a scientific enterprise at the University of Michigan dedicated to opening new scientific paths by blending diverse research talents in a state-of-the-art collaborative physical space (www.lsi.umich.edu). The LSI is currently home to 26 interactive faculty in the areas of cell biology, genetics, bioinformatics, structural biology, signaling, and chemistry.

Candidates are expected to develop an internationally recognized program of scholarly research and to excel in teaching at undergraduate and graduate levels. The positions will remain open until filled but preference will be given to applicants who have submitted all requested materials prior to **October 15,2007**. Applicants should send the following (in PDF format): a curriculum vitae, copies of up to three reprints, a one- to two-page summary of research plans, and arrange to have three letters of reference sent directly to: **Isichembio@umich.edu**.

The University of Michigan is supportive of the needs of dual career couples and is a non-discriminatory, Affirmative Action Employer. Women and minorities are encouraged to apply.





Scientific Director of Aerobiological Science and Engineering National Emerging Infectious Diseases Laboratories BOSTON UNIVERSITY SCHOOL of Medicine

The College of Engineering and School of Medicine are searching for a dynamic faculty leader at the interface of aerobiology, engineering and infectious diseases research. This individual will serve as Director of the Aerobiology Core at the National Emerging Infectious Diseases Laboratories (NEIDL). The NEIDL is an NIH funded research Institute at Boston University Medical Center that focuses on studying the pathogenesis, treatment and prevention of emerging infectious diseases. The NEIDL contains BSL-2, 3 and 4 laboratories including 12 state-ofthe-art core research laboratories. The College of Engineering has 120 primary faculty and is one of the nation's premier engineering schools. There are four departments: Biomedical, Aerospace-Mechanical, Electrical-Computer, and Manufacturing Engineering offering eight degree programs with 1,200 undergraduate and 500 graduate students. The College's research strengths center around bioengineering, advanced materials, micro and nano systems, networked and information systems, sensors, and imaging. Biomedical Engineering is particularly prominent, and biomedical research takes place in all four departments with applications from molecular and cellular systems through integrated pathophysiology and the development of new medical technologies. Several faculty members are engaged in projects or hold joint appointments with the medical school.

The candidate's own research can bridge areas such as nanobiotechnology, drug and vaccine delivery, systems biology, biotransport and aerosol deposition, and immunology, all as they relate to aerobiology. The candidate will have the opportunity to recruit scientific collaborators and staff to direct the aerobiology core facility.

Persons interested in being considered for this position should submit a brief letter of interest and current curriculum vitae. Applications will be accepted through December 1, 2007. Please send applications and nominations to: Aerobiology Search Committee, attn: Rich Lally, College of Engineering, 44 Cummington Street, Boston, MA 02215 or by e-mail (pdf or text documents) to rlally@bu.edu.

Boston University is an affirmative action, equal opportunity employer. Women and minority candidates are encouraged to apply.



#### U.S. GEOLOGICAL SURVEY ASSOCIATE DIRECTOR FOR GEOLOGY RESTON, VIRGINIA SENIOR EXECUTIVE SERVICE (SES) POSITION

The U.S. Geological Survey (USGS) seeks candidates for the full-time position of Associate Director for Geology. This is a Senior Executive Service (SES) position with a salary range of \$111,676 - \$154,600 per annum.

The Associate Director for Geology is responsible for the executive leadership of USGS geologic investigations on the past, present and future conditions of the Earth's environment, hazards and resources. The programs of the Geologic Discipline support USGS scientists and external partners to enhance the understanding of the interaction of Earth systems and to generate and disseminate information that is important to society and the future well-being of the Nation. As a member of the USGS Executive Leadership Team, the incumbent ensures that all USGS programs align with the Department of the Interior's Strategic Plan, USGS science goals and initiatives, and customer needs. This high-visibility, high-impact executive position is at a peer level to senior leaders in Federal and State government as well as universities and constituent organizations.

Applications (Resumes and Questionnaire responses) must be received on-line via the USGS Online Automated Recruitment System (OARS) BEFORE midnight Eastern Time on the closing date of November 13, 2007. It is important that all applicants view the Vacancy Announcement in its entirety to be sure that all required documents are submitted. Incomplete application packages cannot be considered. The vacancy announcement can be found on the USGS website at <u>www.usgs.gov</u> and the Office of Personnel Management's USA-JOBS website at <u>www.usajobs.opm.gov</u>. You may directly link to the vacancy announcement on USAJOBS using one of the links below.

Biologist: http://jobsearch.usajobs.opm.gov/ftva.asp?OpmControl=998481 Physical Scientist: http://jobsearch.usajobs.opm.gov/ftva.asp?OpmControl =998485

Geologist: http://jobsearch.usajobs.opm.gov/ftva.asp?OpmControl=998486

For more information, contact Cindy Lonergan at <u>clonergan@usgs.gov</u> or (703) 648-7472.

The U.S. Geological Survey is an Equal Opportunity Employer. U.S. Citizenship is required.



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Group Head of Bioanalytics (Ref: 30917BR) Basel, Switzerland

Managing a group of bioanalytical laboratory units, you'll oversee the development of methods for the potency testing of recombinant proteins during all developmental phases. Your team will provide the tools to measure process-related impurities in clinical material, and you will ensure they adhere to all relevant quality and safety guidelines. You'll use your expertise to contribute to our analytical strategy and aid Health Authority enquiries.

For challenges like these, you should have a Ph.D. in life sciences and senior level biopharmaceutical drug development experience. A working knowledge of cell culture, bioanalytical and immunological techniques will be essential. Furthermore, you'll possess strong leadership skills and a sound understanding of cGMP and regulatory requirements. Experience of inspections by Health Authorities is highly desirable. You'll also be fluent in English. Head of Down Stream Processing (Ref: 30120BR) Basel, Switzerland

You'll supervise the manufacturing, technical, administrative and personal activities of Group Down Stream Processing to ensure they meet GMP Pilot Plants requirements. We'll ask you to represent the group in project teams and co-ordinate all aspects of allocated projects. In addition to supporting plant management, you'll be expected to keep abreast of all relevant scientific, technical and regulatory developments.

To this end, you'll possess a Ph.D. in biotechnology or a university degree with significant biotechnology experience. Furthermore, your post qualification biopharmaceutical or protein processing experience will include an understanding of cGMP and regulatory requirements. But it will be your project management skills, coupled with your fluency in English and German, that will set you apart from all the rest.

To apply for either of these roles, please email your CV to <u>christine.seifert@novartis.com</u> quoting the relevant reference number, or apply online at <u>www.careers.novartis.com</u> If you'd like an informal discussion about the role before applying, please call +41 61 324 16 94.



#### TENURE TRACK FACULTY POSITIONS Molecular Immunology and Cell Signaling Virginia Commonwealth University School of Medicine

Virginia Commonwealth University School of Medicine is establishing a new Organized Research Unit (ORU) in the areas of molecular immunology, cell signaling and metabolism. Outstanding individuals with expertise in immune response mechanisms, cancer biology, cell metabolism and cell signaling are encouraged to apply. Members of this ORU, which is designed to foster a highly interactive environment, will share lab space in the new medical science building. Candidates will be considered at all ranks based upon qualifications and experience, and will have primary appointments in departments throughout the School of Medicine. Substantial start up and salary packages are available for outstanding investigators.

VCU has a very active community of investigators and is committed to providing an outstanding research environment. More information about the School of Medicine and Departments, and this open position can be found at <u>http://www.vcu.edu/biochem/department/pos.shtml</u> and <u>http://www.pubinfo.vcu.edu/facjobs/</u>. Applicants should submit their CV, names and e-mail addresses of three references, and a summary of research and teaching interests by email to: Dr. Andrew Larner (alarner@vcu.edu), Department of Biochemistry, Virginia Commonwealth University School of Medicine.

Virginia Commonwealth University is an Equal Opportunity/ Affirmative Action Employer. Women, persons with disabilities, and minorities are encouraged to apply.

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Stem cell biology

#### //fractaneinstitute

The Life Sciences Institute and the University of Michigan Medical School invite applications for tenure track ASSIS-TANT PROFESSOR positions. We are seeking outstanding scholars, with Ph.D., M.D. or equivalent degrees and relevant postdoctoral experience, who show exceptional potential to develop an independent research program that will address fundamental issues in any aspect of stem cell biology. Applicants who have already established successful independent research programs will be considered for tenured ASSOCIATE PRO-FESSOR or PROFESSOR positions.

Applicants should send a curriculum vitae, copies of up to three reprints, a one- to two-page summary of research plans, and arrange to have three letters of reference sent directly by **November 1, 2007** to:

> Stem Cell Search Committee c/o Rebecca Fritts Life Sciences Institute University of Michigan 210 Washtenaw Avenue Ann Arbor, Michigan, 48109-2216

The University of Michigan is an Affirmative Action/ Equal Opportunity Employer.



#### TENURE-TRACK FACULTY POSITION DEPARTMENT OF BIOCHEMISTRY

The Department of Biochemistry invites applications for faculty positions at the Assistant or Associate Professor level with a focus on **molecular biological** approaches employed in studying **cancer** mechanisms. Applicants must have a doctoral degree (Ph.D., M.D., or both), at least 2 years of postdoctoral training, a strong publication record, and potential to obtain extramural funding.

The Medical College of Wisconsin (http://www.mcw.edu) is the largest private research institution in Wisconsin, conducting over \$130 million annually in funded research. Over the past several years the College has been among the fastest growing medical schools in the United States in terms of NIH funding. In addition to a strong core of basic biomedical science departments, the Medical College is home to nine federally designated Centers of Biomedical Research. Excellent shared facilities are available for proteomics, imaging, molecular biology, mouse/rat genetics, flow cytometry, mass spectrometry, electron microscopy, X-ray crystallography and nuclear magnetic resonance. The research and clinical programs benefit directly from strong philanthropic support from cancer survivors, family members, and patient advocates. The College is completing major new cancer care facilities, including a Cancer Pavilion, and has recently opened a Basic Research Building housing interdisciplinary research programs. The Medical College is conveniently located in suburban Milwaukee and is part of an academic medical center that includes nationally distinguished children's and adult hospitals that employ over 13,000 people. The College is located 8 miles west of Lake Michigan with easy access to surrounding communities, lakes, and parks.

Salary and other considerations will be competitive and consistent with the College's commitment to recruiting the best-qualified individuals. Applications should include a cover letter, curriculum vitae, statement of research interests, and 3 reference letters. The review process will begin on November 1, 2007. For full consideration, applications should be received by November 30, 2007. Send application materials and reference letters, preferably by e-mail with pdf attachments, to <u>cricker@mcw.edu</u> or by regular mail to: Dr. Robert Deschenes, Chair, Department of Biochemistry, Medical College of Wisconsin, 8701 Watertown Plank Road, Milwaukee, WI 53226.

EOE M/F/D/V www.mcw.edu/hr



#### ANNOUNCES A MAJOR EXPANSION: FACULTY POSITIONS IN THE BASIC AND TRANSLATIONAL SCIENCES

The UNC Lineberger Comprehensive Cancer Center, in collaboration with Departments in the School of Medicine and across the University, seeks outstanding candidates for multiple tenure-track faculty positions at all levels in basic and translational cancerrelevant research. This broad-based recruitment initiative is made possible by UNC's University Cancer Research Fund. Established by the North Carolina General Assembly in Summer 2007 to advance cancer research in North Carolina, the Fund begins with \$25 million in yearly support for 2007-2008 and will grow to \$50 million in 2009-2010 and thereafter. A major purpose of the Fund is to promote research in discovery and application by recruiting outstanding faculty at all levels to enhance and expand UNC's excellence in basic and translational science.

Specific areas of interest for this year include but are not limited to: cancer genetics, drug development and molecular therapeutics, virology, epigenetics, tumor immunology, cell signaling and growth control, animal models of cancer, gene expression and genomics, bioinformatics, molecular oncology, nuclear hormone receptors, stem cell biology and oncology, DNA repair mechanisms, control of cancer cell death.

During the coming year, additional searches may be opened in targeted areas and in collaboration with UNC Chapel Hill departments and schools. Applicants may submit to multiple searches.

Applicants for all positions should email a curriculum vitae, a description of research plans, and names of three references to: ucrfcoord@med.unc.edu . PDF documents are preferred. Applicants for assistant professor positions should also include three letters of reference.

> The University of North Carolina at Chapel Hill is an equal opportunity/ADA employer. Women and minorities are encouraged to apply.

> > Supported by the University Cancer Research Fund



TENURE-TRACK FACULTY POSITION IN GENETICS



TENURE-TRACK FACULTY POSITION IN BASIC CANCER RESEARCH



As part of the University Cancer Research Fund initiative, the UNC Lineberger Comprehensive Cancer Center and the Department of Genetics at UNC Chapel Hill are continuing expansion with additional faculty recruitment (rank open) in the following areas: (1) Cancer Genetics, Bioinformatics, and Computational and Systems Biology: Research focus in cancer using computational genetics/biology/genomics, bioinformatics, or systems biology approaches is preferred. (2) Statistical Genetics: Experience with modern computational statistics (e.g., the rational analysis of massive data sets) is required. (3) Clinical Cancer Genetics: We are seeking ABMG-eligible or -certified M.D. or M.D./Ph.D. Clinical geneticist with an interest in clinical cancer genetics. A significant amount of time will be protected for research with the remainder devoted to patient care/teaching. The successful applicants will establish a vigorous research program and contribute to on-going efforts to dissect the genetic basis of cancer susceptibility.

Candidates must have a Ph.D. and/or M.D. and should send electronic copies of a CV, letter of interest with description of past research/future plans & clinical experience (if applicable) to trm4@med.unc.edu. Four letters of recommendation (hard copy) should be sent to:

> Dr. Terry Magnuson Chair, Department of Genetics, CB #7264 The University of North Carolina at Chapel Hill Chapel Hill, NC 27599-7264

Application deadline: open until filled

The University of North Carolina at Chapel Hill is an equal opportunity/ADA employer. Women and minorities are encouraged to apply.

Supported by the University Cancer Research Fund

The Department of Pharmacology and the UNC Lineberger Comprehensive Cancer Center at the University of North Carolina at Chapel Hill invite applications for a tenure-track faculty position. The faculty rank will be determined at the time of hire based on applicant qualifications. We seek candidates with research interests in cancer biology in areas including but not restricted to tumorigenesis, angiogenesis, metastasis, oncogene signaling networks and/or molecular therapeutics. Candidates combining a basic and translational emphasis in their research will receive priority. Qualifications include a PhD or MD and a strong record or promise of scholarly achievement in cancer-related research. An outstanding start-up package will be provided. The Department of Pharmacology and the UNC Lineberger provide an exceptionally interactive environment for integrative cancer biology research. Successful candidates will be expected to develop a highly competitive extramurally funded research program and participate in the teaching of graduate and medical students.

Applications are encouraged from professionals of all ethnic backgrounds. Candidates should submit curriculum vitae, a statement of current and future research plans, selected recent publications and three letters of reference (senior candidates may submit the names of three professional references) to:

Ms. Arlene Sandoval Department of Pharmacology, CB# 7365, School of Medicine The University of North Carolina at Chapel Hill Chapel Hill, NC 27599-7365

Application deadline: open until filled

The University of North Carolina at Chapel Hill is an equal opportunity/ADA employer. Women and minorities are encouraged to apply.

Supported by the University Cancer Research Fund



#### ASSISTANT PROFESSOR IN NEUROBIOLOGY Duke University Medical Center Department of Neurobiology

We are seeking an innovative investigator who uses molecular genetic approaches to study neural circuitry. Candidates must have a Ph.D., M.D., or equivalent degree, as well as postdoctoral experience demonstrating the potential for outstanding future achievement. Qualified women and minority candidates are especially encouraged to apply.

The deadline for receipt of applications is November 1, 2007.

An electronic application (PDF file) that includes a curriculum vitae, a brief statement of research interests and future plans, and the names and addresses of three referees should be sent to circuitfacultysearch@neuro. duke.edu.

> Duke University is an Equal Opportunity/ Affirmative Action Employer.

#### PRION BIOLOGIST - HATHAWAY ENDOWED CHAIR Departments of Veterinary Sciences and Molecular Biology College of Agriculture, University of Wyoming

The University of Wyoming seeks a distinguished prion biologist to fill the newly created Excellence Chair in the College of Agriculture at the associate or professor level. Academic qualifications include a DVM/MD and/or PhD in a field relevant to prion biology and prion-related diseases. We seek an internationally recognized scholar with an established record in the transmissible spongiform encephalopathies. The University of Wyoming is located at the epicenter of endemic chronic wasting disease (CWD) in the Rocky Mountain West. Responsibilities include continuance and further development of an independent research program in prion diseases; serving as a focal point for and/or participation in existing multidisciplinary prion research; and contribution to Departmental teaching efforts and University service. The successful candidate is expected to actively participate in the Graduate Neuroscience and Molecular and Cellular Life Sciences programs. Salary and start-up will be commensurate with the successful candidate's qualifications and experience.

Review of applications will begin **November 15, 2007** and continue until the position is filled. Interested scientists should send an electronic application including a letter stating interest, short and long-term career goals and qualifications for the position, curriculum vitae, and names and contact information for three references to:

Hathaway Endowed Prion Biologist Search Committee c/o Beth Howell 1174 Snowy Range Road Laramie WY, 82070 bethlee@uwyo.edu

For additional information, interested individuals may contact Drs. Donal O'Toole (dot@uwyo.edu, 307-742-6638) or Randy Lewis (silk@uwyo.edu, 307-766-2147). Information concerning the University of Wyoming, Department of Veterinary Sciences, and Department of Molecular Biology can be found at the following websites: http:// www.uwyo.edu/, http://uwadmnweb.uwyo.edu/VETSCI/, and http: //uwacadweb.uwyo.edu/UWmolecbio/. Nebraska Department of Genetics, Medical Center Cell Biology and Anatomy

#### DEVELOPMENTAL GENETICS / BIOLOGY REGENERATIVE MEDICINE CANCER GENETICS / BIOLOGY

Applications are invited from established investigators (any academic rank) or multi-investigator teams working in one or more of the areas indicated above. The individuals recruited to these tenure eligible positions will be expected to maintain an independent, extramurally funded, research program, contribute to one or more collaborative research programs within our medical center and participate in the educational programs of the University. Research areas of interest include, but are not limited to, development and/or cancer of the hematopoietic system, breast, lung, liver, kidney, GI tract and retina. Outstanding start-up resources, laboratory facilities and shared laboratory resources are available. Omaha, the nation's 42<sup>nd</sup> largest city, offers an outstanding school system, moderate cost of living, and numerous cultural and recreational activities.

Applicants with a Ph.D., M.D., or other doctoral degree and a clear record of research accomplishments are invited to submit their curriculum vitae, a concise summary of their ongoing and future research and the names of three or more qualified references to: Dr. James Shull, Chairman, Department of Genetics, Cell Biology and Anatomy, University of Nebraska Medical Center, 985805 Nebraska Medical Center, Omaha, NE 68198-5805. Review of applications will begin November 15, 2007 and will continue until the positions are filled.

The University of Nebraska is an Equal Opportunity/Affirmative Action Employer. Individuals of culturally diverse backgrounds and women are encouraged to apply.

http://www.unmc.edu/genetics

#### Faculty Position in Cell Biology University of Maryland Baltimore County (UMBC)

The Department of Biological Sciences at UMBC invites applications for a tenure-track Assistant Professor position from individuals using genetics to investigate any area of Cell Biology in *Drosophila* or *C. elegans*. Applicants using proteomic or genomic methods are particularly encouraged to apply. We will also consider appointment of qualified candidates at the Associate or Full Professor level. A successful applicant is expected to establish a vigorous, externally funded research program, supervise Ph.D. and M.S. students, and teach at the undergraduate and graduate levels.

Applicants should submit a cover letter, *curriculum vitae*, summary of current research and future plans, and a statement of teaching interests and philosophy in PDF format to <u>biosearch@umbc.edu</u>. At least three letters of reference should also be sent to <u>biosearch@umbc.edu</u> in PDF format. Review of completed applications will begin on October 30, 2007 and continue until the position is filled.

UMBC is a medium-sized research university in the Baltimore-Washington, D.C. area combining excellence in research with outstanding educational programs. UMBC is a national leader in mentoring a diverse population of students to high achievement in academics and research. For information about the Department of Biological Sciences and its graduate programs visit <u>http://www.umbc.edu/biosci/</u>.

The University of Maryland Baltimore County is an Affirmative Action/Equal Opportunity Employer. UMBC values gender, ethnic, and racial diversity; women, members of ethnic minority groups and individuals with disabilities are strongly encouraged to apply. UMBC is the recipient of an NSF ADVANCE Institutional Transformation Award to increase the participation of women in academic careers.



It takes everyone at MIT to be MIT.

#### Assistant Professor

The MIT Department of Chemical Engineering (http://web.mit.edu/cheme/) invites applications for a tenure-track faculty position at the assistant professor level, to begin July 2008 or thereafter. Applicants should hold a Ph.D. in chemical engineering or a related field by the beginning of the appointment period. In special cases, a more senior faculty appointment might be possible. The candidate should have demonstrated excellence in original research and a strong commitment to teaching, both at the graduate and undergraduate levels.

Interested candidates should send application materials to chefacs@mit.edu. Each application should include: a curriculum vitae; the names and addresses of three or more references; a strategic statement of research interests; and a statement of teaching interests. We request that each candidate arrange for reference letters to be sent directly to chefacs@mit.edu, with a copy mailed to: Chair, Faculty Search Committee, Department of Chemical Engineering, Massachusetts Institute of Technology, Bldg 66 - Room 350, 77 Massachusetts Avenue, Cambridge, MA 02139-4307. Responses by 1 November 2007 will be given priority.

We especially encourage minorities and women to apply because of MITs strong commitment to diversity in engineering education, research and practice. MIT is an Equal Opportunity/Affirmative Action employer.

http://web.mit.edu



Assistant/Associate Professor in Systems Biology

MOUNT SINAI SCHOOL OF MEDICINE The Department of Pharmacology and Systems Therapeutics

#### **Mount Sinai School of Medicine**

We invite applications for tenure-track faculty positions from individuals interested in developing research programs focused on drug action on cellular regulatory networks and drug discovery for complex diseases such as connective tissue disorders, and psychiatric disorders including addiction and drug abuse. We welcome applications from individuals trained in physical, engineering and biomedical sciences, with interests in quantitative approaches and multivariable experiments.

Applicants must have an advanced degree (MD or Ph.D.), relevant post-doctoral training, and demonstrated potential for excellence in research. Competitive start-up packages will be provided. Excellent core facilities and a supportive mentoring environment are characteristics of our school and department. The department is home to an NIGMS funded National Center for Systems Biology. Please send CV, a three page research proposal and names of three references as PDF documents to the Systems Biology Search Committee c/o Renny.Satz-Grecco@mssm.edu.

Mount Sinai is an equal opportunity employer.



#### FACULTY POSITIONS SCRIPPS INSTITUTION OF OCEANOGRAPHY UNIVERSITY OF CALIFORNIA, SAN DIEGO

The Scripps Institution of Oceanography (SIO) at the University of California in San Diego (<u>http://scripps.ucsd.edu</u>) invites applications to fill one or more positions at the Assistant Professor (tenure-track) level in one or more of the fields listed below. We seek motivated, broad-thinking scientist-educators to establish vigorous research programs and provide intellectual leadership in their fields while complementing existing expertise at Scripps, other UCSD departments, and nearby research institutions.

Successful candidates will be expected to teach classes and supervise research at both the graduate and undergraduate levels. The positions require a PhD degree and a competitive record of publication, as well as evidence of the ability to conduct and fund an active research program consistent with the opportunity to have done so at this career level.

Review of applications will begin on November 15, 2007, and will continue until positions are filled. Applicants should send a letter including descriptions of their teaching experience, research interests, a list of publications, immigration status, the position(s) for which they are applying and the names of at least three potential referees, along with their complete institution address, phone and fax numbers to: Chair Search Committees, Department of the Scripps Institution of Oceanography, University of California, San Diego, 9500 Gilman Dr., La Jolla, CA 92093-0208 USA. Applicants should clearly indicate for which position(s) they are applying using the areas of interest as stated below. Questions about submission of applications may be addressed to Cristy Whitehead at 858 534-3205, (cwhitehead@ucsd.edu). Salary per UCSD pay scales.

Applicants are welcome to include in their cover letter a personal statement summarizing their contributions to diversity.

Marine Ecology: We seek a candidate for a position in marine ecology/population biology, with particular interest in the application of rigorous quantitative approaches to understanding the structure and dynamics of ocean ecosystems and their role in biogeochemical cycles. Potential research areas include (but are not limited to): benthic ecology (especially of continental shelf and slope environments), benthic microbial ecology, and molecular ecology.

Cell and Developmental Biology of Marine Organisms: We seek a candidate in the field of cell and developmental biology. Potential research areas include (but are not limited to): fertilization mechanisms, cell-cell interactions, stem cell biology, evolution and development, mechanisms of development and life history strategies, larval physiology, biochemical and genetic adaptations to marine environments, and cell and molecular aspects of marine toxicology.

Quantitative geophysical and/or geochemical modeling: We seek a candidate with strong theoretical and quantitative skills in any area that complements existing strengths in earth science research at SIO. Possible areas of interest include seismology and crustal deformation, electromagnetics, geochemical and fluid fluxes.

Global change: We seek a candidate with research interests in the area of cryosphere modeling and sea-level fluctuations. These could include ice sheet dynamics, interpretation of remote sensing data, or investigations of longer-term glaciological or geological processes related to sea-level variations and the cryosphere.

**Dynamical Meteorology:** We seek a candidate with expertise in dynamical meteorology, including (but not limited to) scientists with interests in ocean-atmosphere interactions. The candidate should develop a research program in regional, global or paleo- applications of dynamical meteorology taking advantage of the institutional strengths of SIO.

State-estimation and modeling: We seek an expert in data assimilation, with application to oceanic, atmospheric, or coupled models, including biology and biogeochemistry. We seek scientists able to combine SIO coastal and open ocean observations with models to provide a dynamically consistent framework for predictions, analysis, and interpretation.

UCSD is an Equal Opportunity Employer with a strong institutional commitment to excellence through diversity.



#### Eukaryotic Molecular Biology Positions The Institute for Cellular and Molecular Biology

The Institute for Cellular and Molecular Biology, Alan Lambowitz, Director, invites applications for two tenure-track/tenured positions in eukaryotic molecular biology. Academic appointments at the level of Assistant, Associate, or Full Professor will be in an appropriate academic unit in the College of Natural Sciences. Candidates should have an outstanding record of research productivity and a research plan that utilizes molecular and biochemical approaches to address important problems in eukaryotic molecular biology. Areas of particular interest include but are not limited to chromatin structure, regulation of gene expression, microRNAs and RNA interference, DNA damage responses, and cell cycle control.

Building on a strong existing faculty, the Institute has recruited more than 45 new faculty members over the past nine years (see www.icmb.utexas.edu). In addition to it's highly interactive and interdisciplinary research environment, the Institute provides administrative and financial support for the Graduate Program in Cell and Molecular Biology and state-of-the-art core facilities including DNA sequencing, mass spectrometry, electron and confocal microscopy, DNA microarrays, robotics, and mouse genetic engineering. A recently instituted MD-PhD program with the UT Medical Branch and the new Dell Pediatrics Research Institute further enhance the environment for basic Biomedical Research.

Austin is located in the Texas hill country and is widely recognized as one of America's most beautiful and livable cities.

Please apply on-line at <a href="http://www.icmb.utexas.edu/apply/between">http://www.icmb.utexas.edu/apply/between</a> Sept. 1 and Nov. 1, 2007.

> The University of Texas at Austin is an Equal Opportunity Employer. Qualified women and minorities are encouraged to apply; a background check will be conducted on applicant selected.



#### Assistant/Associate Professor Medicinal/Computational Chemistry School of Pharmacy

The University of Southern California Department of Pharmacology and Pharmaceutical Sciences (<u>http:</u> //www.usc.edu/schools/pharmacy/departments) invites applications for an Assistant/Associate Professor position, tenure-track or tenured, to expand its faculty in medici-

nal and computational chemistry. The successful candidate should have a doctoral degree in medicinal chemistry, computational chemistry or related disciplines. The successful candidate is expected to develop a strong research program with extramural funding that complements and expands existing departmental strengths in drug design and discovery, drug delivery, imaging, and neurobiology. Candidates with research interest in medicinal chemistry, chemoinformatics, ADMET simulations, small-molecule synthesis or developmental therapeutics for cancer or genetic diseases and an ability to work at the chemistry/biology interface are particularly encouraged to apply. Candidates are expected to establish an outstanding program of original research and to teach at the graduate and professional levels. The University of Southern California offers cutting-edge opportunities for multidisciplinary, interdisciplinary and translational research collaborations, including an NCI-designated Comprehensive Cancer Center, the USC Provost's Initiatives Biomedical Imaging Science, Biomedical Nanoscience, Neuroscience and others, Departments of Chemistry and Computational Biology of the College, a Center for Stem Cell and Regenerative Medicine, etc. Furthermore, the University offers access to one of the widest variety of affiliated private and public hospitals in the United States (http://www.usc.edu/health/ClinHospPharm.html).

Candidates should send the names of three references, a curriculum vitae, and a summary of research accomplishments and future research and educational goals to: Nouri Neamati, PhD, Chair, Medicinal/Computational Chemistry Search Committee, University of Southern California School of Pharmacy, 1985 Zonal Avenue, Los Angeles CA 90089-9121 or email <u>neamati@usc.edu</u>. Review of applications will begin immediately, and will continue until the position is filled.

USC values diversity and is committed to equal opportunity in employment. Women and men, and members of all racial and ethnic groups are encouraged to apply.



#### Immunology Tenure-track Faculty Position

The Department of Immunology at the University of Connecticut Health Center seeks outstanding investigators for a tenure-track position at the Assistant/Associate Professor level. Although all areas of immunology will be considered, we are particularly interested in individuals using molecular and cellular approaches to study immune system function in vivo. Areas of priority include dendritic cell biology or innate immunity, immune cell signaling and immunity to infection. Salary and start-up funds are highly competitive and outstanding core facilities are available. Applicants must have a Ph.D., D.Sc. and/or M.D. with postdoctoral experience and a quality publication record. For the Associate Professor level, applicants should have a record of substantial productivity and sustained extramural funding.

Please submit curriculum vitae, two-page summary of research interests and the names of three references to:

Leo Lefrançois, Ph.D., Chair Immunology Search Committee Department of Immunology MC1319 UCONN Health Center 263 Farmington Ave. Farmington, CT 06030-1319

Email: fiorente@nsol.uchc.edu For further information on UCHC please visit immune.uchc.edu.

UCHC is an Equal Opportunity Employer M/F/V/PwD.

#### Tenure-Track Position Applied Physics – #07244

Located in Ithaca, N.Y., Cornell University is a bold, innovative, inclusive and dynamic teaching and research university where staff, faculty, and students alike are challenged to make an enduring contribution to the betterment of humanity.

The School of Applied and Engineering Physics at Cornell University is seeking applications for a tenure-track, assistant professor position. Consideration of applications for an associate or full professor level position may also be given to exceptionally well qualified individuals. Candidates must be able to demonstrate the ability to develop a highly successful independent research program in an area of applied physics and to participate effectively in the teaching of the applied physics curriculum at both the undergraduate and graduate level. Research areas of interest in this search include, but are not limited to, optics and photonics, biological physics, nanostructure science and technology, novel instrumentation methods, computational physics, and materials physics. Prospective candidates who wish to pursue interdisciplinary research efforts are strongly encouraged to apply. The successful applicant can expect a very competitive level of support for the start-up of a research program. Considerable institutional resources are available at Cornell that can strengthen this research program and support interdisciplinary and collaborative research ventures. The successful candidate can expect to benefit from association with one or more of Cornell's interdisciplinary research centers, national facilities, and national resources, listed at http://www.engineering.cornell.edu/ research/research-centers/

Applications consisting of a resume, a statement of teaching philosophy, a brief (3-page limit) statement of research interests, and the names and addresses of at least three references, should be submitted on-line at http://fast.aep.cornell.edu/.The application deadline is December 15, 2007. Interviewing will begin after January 1, 2008 and will continue until the position is filled.



Cornell University Cornell University is an Affirmative Action/ Equal Opportunity Employer and Educator.

# Assistant or Associate Professor

Immunology - Wistar Vaccine Center

The Wistar Institute is an independent, nonprofit biomedical research institution dedicated to discovering the causes and cures for major diseases.

Selected candidate will develop an independent, extramurally-funded research program in immunology. Areas of interest include, but are not limited to, tumor or viral immunology encompassing basic and translational research. Special consideration will be given to individuals with an interest in lymphocyte migration and expertise in in vivo imaging technologies, including 2-photon microscopy or an interest in vaccine development, especially vaccines for HCV and new vaccine delivery methods. Doctoral degree or equivalent required. Direct questions to Search Committee Chair, H.C.J. Ertl, M.D. (ertl@wistar.org).

Applications will be reviewed as received and accepted until the position is filled. To ensure timely consideration, applicants should submit an application before November 15, 2007. The application should include: a curriculum vitae, a brief summary of past and future research interests, a history of research funding support (if applicable), and the names of three or more references. Applications should be sent by e-mail to: colelli@wistar.org or to Maria Colelli, The Wistar Institute, 3601 Spruce Street, Philadelphia, PA

19104. ede/aa/m/f/d/v. The Wistar Institute

For more information about The Wistar Institute, visit our Web site at

www.wistar.org

Massachusetts Institute of Techno

It takes everyone at MIT to be MIT.

#### **Faculty Position**

The Certer for Cancer Research and Department of Biology invite applications for a faculty appointment in immunology, with an emphasis in the area of cancer immunology. Areas of special interest: molecular basis of immune cell-cancer cell interactions, immune responses to cancer, mechanisms of immune tolerance to cancer cells, cancer, munotherapy in animal models and humans, and in vivo imaging of immune cell-cancer cell interaction.

Outstanding candidates working in other areas of immunology, including hostpathogen interactions and autoimmune disease, will also be considered. The candidate will be expected to lead an innovative research program as well as participate in undergraduate and graduate teaching. Both junior and senior candidates with PhD, MD, and MD/PhD are encouraged to apply.

Please submit a curriculum vitae, summary of current and proposed research programs, and three letters of recommendation online at www.academicjobsonline.org. More information on how to apply is available at http://web.mit.edu/ccr/admin/jobs.htm.

Consideration of completed applications will begin on November 1, 2007.

MIT is an Affirmative Action/Equal Opportunity Employer.

http://web.mit.edu



#### Two University of North Carolina at Greensboro (UNCG) Research Professor positions available, to be located at the North Carolina Research Campus in Kannapolis, NC

UNCG invites applications and nominations for two Research Professors, to form the UNCG Center for Research Excellence in Bioactive Food Components that will be housed at the North Carolina Research Campus (NCRC) being built in Kannapolis, NC (near Charlotte). Successful applicants will have the opportunity to build innovative research programs focusing in areas related to the role of bioactive components of foods in preventing and treating obesity and chronic diseases. Because the area of bioactive food components encompasses a wide array of nutrients and non-nutrient food components, the name of the Center may be modified, depending on the research foci and expertise of the hired Researchers. There is an opportunity for one of the positions to also be named Director of the Center.

Faculty at the NCRC will utilize state-of-the-art facilities supporting genomic, proteomic, and metabolomic biotechnologies to develop these novel research foci. The major responsibilities of the UNCG Research Professors will be to conduct highly competitive, independent research, obtain significant external funding for their research, build the UNCG component of the NCRC, and participate as a member of the Department of Nutrition at UNCG. Applicants for these positions will broadly focus on fundamental research questions that enhance our basic understanding of the contribution of dietary components in promoting health.

The UNCG Center for Research Excellence in Bioactive Food Components is part of a newly established research network at the NCRC. NCRC co-locates scientists from a number of the UNC system universities, including UNCG, North Carolina Central University, UNC Charlotte, UNC at Chapel Hill, North Carolina State University, and North Carolina Agricultural and Technical State University, as well as Duke University.

Qualifications: A PhD in Nutrition or related scientific discipline is required. Applicants must have a highly competitive, independent nutrition research program, and a strong record of external research funding. Candidates exploring the health benefits of dietary components and/or functional foods are encouraged to apply. The successful applicants must also possess excellent interpersonal and communication skills, the ability to work with others in a collegial team atmosphere, and desire to work in this novel academic research environment. The Director must also provide strong evidence of management and leadership capabilities and experience.

Application Deadline: Review of applications will begin immediately and will continue until the positions are filled.

Application Procedure: Applicants must submit a letter of application explaining their interest in the position, as well as a description of their research program, vitae, reprints of 5 recent research publications, and the names, telephone numbers, and email addresses of at least five (5) professional references. Applicants wishing to be considered for the Director position must also provide strong evidence of management and leadership capabilities and experience. All application materials should be mailed to: Dr. Debbie Kipp, Chair of the Search Committee, Department of Nutrition, 318 Stone Bldg, UNC Greensboro, 319 College Avenue, Greensboro, NC 27412. (336) 334-5313. Letters of recommendation will be collected later in the process.

Salary: Salary and research support packages are highly competitive and commensurate with experience and qualifications.

Expected Date: Two Research Professor positions are available; the appointments are expected to begin as soon as possible.

UNCG is committed to equality of employment opportunity and does not discriminate against applicants or employees based on race, color, national origin, religion, gender, age, disability, veteran status, political affiliation, sexual orientation, or creed. Moreover, UNCG is committed to recruiting and advancing women and minorities at all faculty/staff levels.



#### Director, Neurotransgenic Laboratory

The Department of Neurobiology at Duke University is seeking a Director to lead its Neurotransgenic Core Laboratory. The mission of the Core is to develop cutting edge mouse transgenic technology including BAC transgenics and cre-lox lines for neuroscience research and to provide first class service to the neuroscience community at Duke University. Qualified applicants must have a PhD or MD degree and extensive experience in generating and characterizing transgenic and knockout mice. Experience in neuroscience is advantageous, but not required. The successful candidate will be expected to manage the core as well as develop new transgenic technology for neuroscience research. The position is a faculty appointment in the Research (non-tenure) Track, at a level commensurate with the candidate's experience and qualifications.

Please forward application letters together with curriculum vitae and names of at least three references to:

Neurotransgenic Search Committee c/o Ms. Irene Lofstrom Department of Neurobiology Box 3209 Duke University Medical Center Durham NC 27710 Email: lofstrom@neuro.duke.edu

Duke University is an Equal Opportunity/ Affirmative Action Employer.



The Johns Hopkins University School of Medicine, Department of Radiation Oncology, Division of Molecular Radiation Sciences

is seeking two

RADIATION ONCOLOGY & HOLECULAR RADIATION SCIENCES

#### Assistant Professors

to tenure-track Faculty positions. Prospective candidates must possess a Ph.D. or M.D. Ph.D. degree and have an outstanding track record of original research in molecular cancer biology and several years of postdoctoral experience. Successful candidates should have an independent focus relevant to basic cancer research in the field of DNA damage response, repair, or modification of these events through cell cycle regulation or chromatin organization. Translational impact of the research focus and a history of successful extramural funding are highly desirable. Research space is located at the Johns Hopkins Medical Campus in the CRBII and offers unparalleled resources and opportunities to interact with basic scientists as well as clinical and translational researchers. A substantial start-up package will be provided. The successful candidates will be expected to establish an externally funded research program.

Full applications including curriculum vitae, a summary of current and future research interests and expected availability date, a description of past research experience and accomplishments, and contact information for three references should be submitted as a single pdf file and sent as an e-mail attachment to: Mr. Tom Haulk (haulkto@jhmi.edu).

Informal inquires should be sent to: Director Marikki Laiho, M.D. Ph.D., Division of Molecular Radiation Sciences, Department of Radiation Oncology, The Johns Hopkins School of Medicine, 1550 Orleans Street, Baltimore, MD 21231, e-mail: mlaiho1@jhmi.edu. For full consideration, completed applications should be submitted by November 1, 2007.

Johns Hopkins University School of Medicine is an Affirmative Action Equal Opportunity Employer and encourages applications from under-represented groups.



#### University of Southern California Faculty Positions in Molecular and Computational Biology

The Molecular and Computational Biology Section of the Department of Biological Sciences in the College of Letters, Arts and Sciences at the University of Southern California invites applications for two tenuretrack faculty positions at the assistant/beginning associate professor level. We seek one colleague who uses molecular methods to address questions in cell and developmental biology. We also seek another colleague who uses computational approaches to address biological problems in any area; those with a computer science background are encouraged to apply.

Our program has strength in a number of model systems using a variety of approaches, and has undergone a recent expansion, including occupancy of a new research building with modern animal facilities. For additional information please visit our website: <u>http://www.cmb.usc.edu/</u> mcb/faculty.php.

Review of applications will begin immediately. Please send a curriculum vitae, a statement of research objectives, and three letters of recommendation to: <u>msearch@usc.edu</u> (cell/developmental biology position), <u>csearch@college.usc.edu</u> (computational biology position) or, if necessary, Eleni Yokas, Search Committee, Department of Biological Sciences, RRI201, University of Southern California, Los Angeles, CA 90089-2910.

USC values diversity and is committed to equal opportunity in employment. Women and men, and members of all racial and ethnic groups, are encouraged to apply.



#### FACULTY POSITION

We are engaged in a constant process in this country of opening our minds to new ideas, testing those ideas, training our young people for new vocations, and trying to improve not just our quantity of information, but our quality of judgment.

Hubert H. Humphrey

#### October 5, 1967

CHARLES M. DENNY, JR. CHAIR in SCIENCE, TECHNOLOGY AND PUBLIC POLICY Hubert H. Humphrey Institute of Public Affairs University of Minnesota

The Humphrey Institute of Public Affairs at the University of Minnesota seeks nominations for the Charles M. Denny, Jr. Chair in Science, Technology and Public Policy. The person holding this endowed professorship at the University of Minnesota plays a leadership role in the University's efforts to explore issues at the intersection of science and technology with public affairs.

The search committee accepts letters of nomination, as well as applications. Nominations, including self-nominations, will be reviewed beginning October 1, 2007. Nominations or applications received after that date will be accepted until the position is filled. Nominations and applications should be addressed to: Search Committee for Denny Chair (Attention: Wendy Lane), Humphrey Institute of Public Affairs, University of Minnesota, 152 HHII Center, 301-19th Avenue South, Minneapolis, Minnesota 55455; Email: lanex025@umn.edu. Fax: 612-625-3513.

Nominees will be invited to submit application materials online to the University of Minnesota employment system at <a href="https://employment.umn.edu">https://employment.umn.edu</a>. Individuals wishing to nominate candidates should submit a letter of nomination and complete contact information, for the nominee to the address above. The University of Minnesota has an excellent package of retirement benefits, and health, dental and faculty life/disability insurance. The starting date for this appointment is negotiable.

EEO/AA

# Post Doctoral Fellowships 2008



CSIRO is Australia's national science organisation with over 6,500 staff located across the country. It is one of the largest and most diverse research organisations in the world, with its research delivering solutions for agribusiness, the environment, information and communication technologies, health, advanced materials and manufacturing, minerals and energy, services, transport and infrastructure.

The CSIRO Postdoctoral Fellowship Scheme provides the opportunity for postgraduates to undertake postdoctoral research projects within CSIRO for a period of three years. 20 postdoctoral positions are now being offered across a broad range of disciplines, as follows:

Reactive Transport Modeling in Porous Media (2007/962) Flax rust resistance and avirulence protein structure and function (2007/969) Fire, cyclones and carbon sequestration in northern Australia (2007/971)

Muscle metabolism in weight loss (2007/974)

Systems biology investigation of complex traits in livestock (2007/960)

Star formation through cosmic time (2007/958)

Surface plasmon nano-structures (2007/968) Polymer-Quantum Dots Solar Cell (2007/964) Dynamics of ENSO for present day & future warming scenarios (2007/975) The impact of nanoparticles on gene expression in cells and animals (2007/977) Compound-specific isotopic analysis of organic matter and contaminants (2007/963) The vernalization response of cereals (2007/970)

Community dynamics of soil biota in agro-ecosystems (2007/972) Helium study of surface water-groundwater interactions (2007/978) Advanced diamond composite materials for mining and manufacturing industries (2007/959) In-Silico systems biology to complement wet-lab trials (2007/961)

Where did that tree go? (2007/965) Microbe-mineral surface interactions (2007/976) The oceanic response to volcanic eruptions (2007/979) In-situ crystallisation studies in scale deposition (2007/973)

For further information, selection documentation and details on how to apply, visit www.csiro.au/careers

#### Endowed Professorship for Dementia Research

The Department of Neurology and the Neuroscience Center at the University of North Carolina School of Medicine are seeking candidates with an interest in degenerative neurological diseases that produce cognitive impairment for appointment to full professor on the tenure track. The qualified candidate will have an M.D. or M.D./Ph.D. and an established record of excellence in research as reflected by peer-reviewed publications and independent external funding. He/she will be expected to conduct a laboratory-based research program investigating mechanisms of cellular death and dysfunction in neurodegenerative diseases using state-of-the-art cellular and molecular techniques. The position includes an endowed professorship of \$1 million, ample modern laboratory space in the UNC Neuroscience Center and a generous start-up package. The UNC Neuroscience Center maintains outstanding Core Facilities that support confocal and multiphoton imaging, vector construction and ES cell electroporation for generation of mouse genetic models, and Affymetrix GeneChip technology for expression profiling and SNP analysis.

Interested candidates should contact: William J. Powers, MD, H. Houston Merritt Professor and Chair, Department of Neurology, 3114 Bioinformatics Building CB 7025, University of North Carolina School of Medicine, Chapel Hill, NC 27599-7025; (919) 966-8178; holzmachere@neurology.unc.edu.



#### Endowed Chairs and Faculty Positions in Sustainability

Michigan Technological University announces a *Sustainability* faculty hiring initiative that will add ten tenure-track positions, open in rank, during the next year. These include three endowed chairs:

- · the Robbins Chair in Sustainable Management of the Environment;
- · the Robbins Chair in Sustainable Manufacturing and Design; and
- · the Robbins Chair in Sustainable Use of Materials.

Faculty selected for the Robbins chairs will be leaders in their fields and have strong research experience, both within their home and other institutions. Successful candidates for the remaining positions will have outstanding records in their field for respective stages in their careers. They will be expected to develop active research programs and to collaborate in multi-disciplinary research, education, and outreach efforts.

Sustainability underpins scholarship on a university-wide scale at Michigan Technological University. Faculty from areas such as engineering, forestry, humanities, business, and the natural and social sciences are actively involved in multi-disciplinary research and education. We develop processes, policies, technologies, and materials that promote sustainable use of natural resources, sustainable energy sources and consumption, sustainable enterprises and communities, and access to clean air and water in both the developed and developing worlds.

We seek applications and nominations for these ten positions. We intend to develop a diverse applicant pool from a wide range of disciplines related to this strategic initiative. Applications received by December 15, 2007 will receive first consideration, but applications will be considered until all positions are filled. Attractive salary, benefit and start-up packages will be provided for successful applicants.

Michigan Technological University is an internationally renowned doctoral research university. The University's mission is to create the future by developing sustainable solutions to global challenges. Michigan Technological University is located in Michigan's scenic Upper Peninsula, on the south shore of Lake Superior. Houghton provides a unique setting where natural beauty, culture, education, and the diversity of residents from around the world come together to provide a superb living experience.

Further details about the Michigan Technological University Sustainability faculty hiring initiative are available at <u>www.mtu.edu/sfhi</u>. More information on Michigan Technological University is available at <u>www.mtu.edu</u>.

Michigan Technological University is an Equal Opportunity, Affirmative Action Employer/ Educational Institution. Applications from women and minorities are encouraged.

# Science Careers online @sciencecareers.org

#### ASSISTANT PROFESSOR/ INFECTIOUS DISEASES WASHINGTON UNIVERSITY SCHOOL OF MEDICINE

The Division of Infectious Diseases in the Department of Medicine at Washington University School of Medicine solicits applications for tenure-track appointments at the rank of Assistant Professor. We are seeking interactive individuals who will be able to establish a vigorous and outstanding independent basic research program. Our program has a strong emphasis on microbial pathogenesis in prokaryotic, viral and eukaryotic systems. Recruited faculty will be located contiguous to the Department of Molecular Microbiology and the Division of Pediatric Infectious Diseases; there is tremendous potential for collaborative interactions. Preference will be given to academic physicians who are board eligible/ certified in infectious diseases. Very attractive start-up packages and protected time arrangements will be offered.

Applicants should send a detailed curriculum vitae, a few selected reprints, a brief description of current and planned research interests, and arrange to have three letters of reference sent to: Daniel E. Goldberg, M.D., Ph.D., Co-Chief, Division of Infectious Diseases, Attn: Faculty Search Committee, Washington University School of Medicine, Campus Box 8230, 660 S. Euclid Ave., St. Louis MO 63110.

WUSM is an Equal Opportunity/Affirmative Action Employer. Women and minorities are especially encouraged to apply.

#### Johns Hopkins Medical Institutions Tenure-Track Positions

#### Influenza and Respiratory Virus Translational Research

#### Human Immunology, Vaccinology, Pharmacology

The Division of Infectious Diseases of the Johns Hopkins School of Medicine is recruiting 1-2 faculty at the Assistant or Associate Professor level to contribute to an emerging institutional Respiratory Viruses Program. Our focus is on persons with proven capabilities to conduct independent research on respiratory infections, especially investigations that contribute to the prevention or treatment of influenza in humans. This recruitment contributes to expanding programs in influenza virology, structural biology, and vaccine testing. Emphasis will be given to researchers with complementary research such as in molecular biology of viral replication, host virus interactions, and quantitative analysis of viral dynamics.

Candidates must have earned an MD and/or PhD degree and have a record of acquiring research funding and producing outstanding scholarship. Salary and resources will match experience.

Candidates should provide a curriculum vitae, a one-page statement of career interest, and 3 professional references to: Dr. David Thomas, Chief Infectious Diseases, Johns Hopkins School of Medicine, Suite 437 1830 Monument Street, Baltimore, Maryland 21205 or by email care of Nadia Hay nhay@jhmi.edu. Application review will begin in Fall 2007.

> Johns Hopkins is an Equal Opportunity Employer.

#### POSITIONS OPEN



#### FACULTY POSITIONS in INORGANIC CHEMISTRY and NUCLEAR CHEMISTRY/ RADIOCHEMISTRY

The Department of Chemistry at Simon Fraser University (SFU) invites applications for two tenuretrack ASSISTANT PROFESSOR positions in the areas of inorganic chemistry and nuclear chemistry/ radiochemistry to take effect in September 2008, subject to final budgetary approval.

Applicants should have a Ph.D. degree and will normally have postdoctoral or industrial experience. Outstanding candidates with a commitment to excellence in research and teaching are being sought. Successful candidates will be expected to develop and maintain both an innovative, externally funded research program, and an excellent teaching record at both the undergraduate and graduate levels.

All qualified candidates are encouraged to apply; however, Canadians and permanent residents will be given priority. Applicants should send a complete resume, a concise research proposal, a short teaching dossier, and a list of three individuals willing to act as references with their addresses, telephone and/or fax numbers, and e-mail addresses. Interested persons should consult the departmental website: <u>http://</u> www.sfu.ca/chemistry to learn more about our interdisciplinary research programs. All correspondence should be sent to:

Dr. Andrew J. Bennet Professor and Chair Department of Chemistry Simon Fraser University 8888 University Drive Burnaby, B.C., Canada V5A 1S6 E-mail: <u>chemchr@sfu.ca</u>

Each competition will remain open until the position is filled. Screening of applications will commence on December 1, 2007.

Simon Fraser University is committed to an equity employment program that includes special measures to achieve diversity among its faculty and staff. We therefore particularly encourage applications from qualified women, aboriginal Canadians, persons with disabilities, and members of visible minorities.

#### VERTEBRATE EVOLUTIONARY ECOLOGIST Website: http://www.colostate.edu/Depts/Biology

The Biology Department at Colorado State University invites applications for a tenure-track ASSIST-ANT PROFESSOR in vertebrate evolutionary ecology, to add to a growing group of ecologists and evolutionary biologists. We seek a broadly trained Vertebrate Biologist addressing fundamental and integrative questions at the interface of ecology and evolutionary biology. Research interests may include studies of adaptation, invasive species, life history strategies, mating systems, phylogeography, speciation, species interactions, or other areas that seek to understand evolutionary processes in natural populations. Competitive candidates will perform externally funded interdisciplinary research, with the possibility of applying genomic tools to organismal questions, and contribute to undergraduate and graduate teaching. Candidates who can enhance the Department's commitment to diversity through research, teaching, and outreach are encouraged to apply. Applicants must have a Ph.D. by the time of ap-

Applicants must have a Ph.D. by the time of appointment; postdoctoral experience is preferred. To receive full consideration, apply online by October 31, 2007 (website: http://www.natsci.colostate.edu/ searches/Biology). Include curriculum vitae, statements of research/teaching interests, representative publications, and the names and contact information for three referees. Referees will receive instructions by e-mail for submitting letters online. Complete applications of semi-finalists will be reviewed by all biology faculty. Colorado State University is an Affinative Action/Equal Opportunity Employer. Office of Equal Opportunity and Diversity, 101 Student Services.

#### POSITIONS OPEN

MOLECULAR BIOLOGY TENURE-TRACK FACULTY POSITION

University of Toronto, Mississauga

The University of Toronto, Mississauga invites applications for a full-time, tenure-track appointment in molecular biology at the ASSISTANT PROFES-SOR level starting July 1, 2008. The successful applicant will have a Ph.D. and preferably postdoctoral experience, an outstanding academic record, and demonstrated excellence in research and teaching. The successful candidate must have a strong background in biotechnology, systems biology, or genomics and will be expected to develop an internationally recognized research program combining basic and applied investigation. Active collaboration with industrial partners will be seen as an asset. Salary will be commensurate with qualifications and experience.

Applications will be accepted until November 30, 2007. Applicants should provide curriculum vitae, statement of teaching philosophy and interests, an outline of their proposed research, and should arrange to have three confidential letters of recommendation sent on their behalf to: Prof. Robert Reisz, Chair, Department of Biology, University of Toronto Mississauga, Mississauga, Ontario, Canada L5L 1C6 or by e-mail: biojobs.utm@utoronto. ca. For more information on the Department go to website: http://www.utm.utoronto.ca/~w3bio/ homepage/.

The University of Toronto is strongly committed to diversity within its community and especially welcomes applications from visible minority group members, women, Aboriginal persons, persons with disabilities, members of sexual minority groups, and others who may contribute to the further diversification of ideas. All qualified candidates are encouraged to apply; however, Canadian citizens and permanent residents will be given priority.

DEPARTMENT of CHEMISTRY, Loyola University Chicago. The Department of Chem-istry invites applications for a tenure-track position at the ASSISTANT PROFESSOR level in biochemistry. Applicants from all areas of biological chemistry will be considered, however, preference will be given to candidates with research interests in experimental biophysical chemistry, biomaterials, or biomolecular structure and function. A Ph.D. in chemistry or biochemistry is required. The successful candidate will be expected to maintain an internationally competitive, externally funded research program and participate in graduate and undergraduate teaching. The Department offers Ph.D., M.S., and American Chemical Society-approved B.S. degrees. The application should include curriculum vitae, a detailed description of research and teaching interests, and at least three letters of recommendation. Please send application information to: Biochemistry Search Committee, Department of Chemistry, Loyola University Chicago, 1068 W. Sheridan Road, Chicago, IL 60626. Candidates must also register their application and submit an electronic curriculum vitae at website: http://www.careers.luc.edu. Review of applications will begin on October 31, 2007, but applications will be accepted until the position is filled. Minority and female applicants are especially encouraged to apply. Loyola University Chicago is an Equal Opportunity/ Affirmative Action Employer.

#### STEM CELL POSTDOCTORAL University of California

A recent Ph.D. with skills in cell biology sought for a multidisciplinary research environment. California Institute for Regenerative Medicine funding for research on small molecules and human embryonic stem cells. See: J. Phanmaol. Exp. Ther. **322**: 59, 2007. Wellequipped, new laboratories, in University of California Riverside Stem Cell Center, website: <u>http://faculty. ucr.edu/~michaelp/index.htm</u>. Send curriculum vitae, publication list, and a reference list to: Professor M.C. Pirrung, Department of Chemistry, University of California, Riverside, CA 92521. Fax: 951-827-2435. UCR is an Equal Opportunity/ Affirmative Action Employer.

#### THE COMMONWEALTH MEDICAL COLLEGE\*

#### Founding Faculty: Master Teachers and Researchers Assistant Professors – Associate Professors – Full Professors

The Commonwealth Medical College, a new independent medical school in Pennsylvania is searching for a founding basic science faculty who want to practice state of the art teaching and engage in research in a collaborative setting. This is a chance for faculty to help shape the future of a new, innovative model of medical education. The Commonwealth Medical College will train in a community based, distributive model working with clinical faculty throughout north central and northeastern Pennsylvania, linked by stateof the arttechnology. We are in the accreditation process with LCME and the Pennsylvania Department of Education and hope to accept our first class in 2009. We are funded by state dollars and a generous grant from Blue Cross of Northeastern Pennsylvania. The school enjoys tremendous regional support for its mission of education, research and service and has developed relationships with outstanding local colleges, universities, hospitals and physicians to create a new model of medical education.

We are looking for exceptional faculty in pathology and all basic science areas – biochemistry, physiology, microbiology, and anatomy, who are passionate about teaching and research, interested in mentoring students, and want to participate in an interdisciplinary, collaborative model. We are also seeking faculty who want to build something new, who are comfortable with technology and new teaching methods.

We are interested in scientists who want to grow and develop their research in a new academic model. Our initial interests are in genomics, pharmacogenomics, pharmokinetics (PK) and pharmacodynamics (PD) that are relevant to can cerandepidemiologically important infections and diseases. We are also interested in developing a clinical research center model that would involve community physicians and hospitals and conducting population based studies related to the health needs of the area. Cancer, diabetes, and heart disease are the leading issues of concern, but other areas of expertise are also welcomed.

This is a wonderful opportunity to create something innovative and important and have a significant impact on the future of a new medical school. Unlimited opportunities for growth, both professionally and personally, exist within this collaborative environment. We will be developing curriculum, as well as new facilities, with faculty input.

Please submit your curriculum vitae to: Robert M. D'Alessandri, MD, Dean, The Commonwealth Medical College, 150 North Washington Avenue, Scranton, PA 18503 or electronically to RMD@nepamedc.org.

thecommonwealthmedical.com The link to the Dean's blog is newmedicalschool.blogspot.com

\*This school is proposed and in development phase. Not yet granted degree granting authority from the Pennsylvania Department of Education.

#### STANFORD UNIVERSITY DEPARTMENT OF CHEMICAL AND SYSTEMS BIOLOGY

The Department of Chemical and Systems Biology at Stanford University School of Medicine invites applications for a tenure-track or tenured position at the ASSISTANT or ASSOCIATE PROFESSOR level. We are particularly interested in candidates who have research interests at the interface of biomedical and physical sciences (e.g., chemical biology, quantitative biology, systems biology). Candidates could focus on either specific methodologies (e.g. mass spectroscopic approaches to proteomics) or specific biological problems where chemical and systems-level approaches are particularly well-suited. However, outstanding applicants in any area of signal transduction or cellular regulation are welcome. Stanford offers an outstanding environment for creative interdisciplinary biomedical research. Rank and salary are dependent on the candidate's qualifications. The predominant criterion for appointment in the University Tenure Line is a major commitment to research and teaching.

Candidates should have a Ph.D. and/or M.D. degree and postdoctoral research experience. Stanford University is an equal opportunity employer and is committed to increasing the diversity of its faculty. It welcomes nominations of and applicants from women and minority groups, as well as others who would bring additional dimensions to the university's research, teaching, and clinical missions. Candidates should send curriculum vitae, a description of future research plans and the names of three potential referees by November 16 to:

James Ferrell, Professor and Chair c/o Jean Kavanagh, FAA Department of Chemical and Systems Biology 269 Campus Drive, CCSR Bldg Room 3145A Stanford University School of Medicine Stanford CA 94305-5174

#### CHAIR DEPARTMENT OF ANATOMY AND NEUROBIOLOGY

The Northeastern Ohio Universities Colleges of Medicine and Pharmacy is seeking outstanding candidates and nominees for the position of Chair of the Department of Anatomy and Neurobiology. We seek an accomplished researcher, an innovative educator, and an experienced administrator who will provide visionary leadership. Ideal candidates should have an internationally recognized research program, a strong publication record, and both current and sustained extramural funding success. In addition, candidates should have demonstrated excellence in medical and graduate education, documented leadership, previous administrative experience, program development experience in research and education, strong communication and organizational skills, and a commitment to working with and supporting a diverse student and faculty population. The new Chair will lead the department's transition from two separate departments to a single merged department. In addition, the Chair will guide the department's contributions to the integrated medical curriculum, the pharmacy educational program, and graduate training in collaboration with the School of Biomedical Sciences of Kent State University.

Candidates with experience in the department's current research strengths in integrative skeletal biology and auditory neurosciences will be preferred. The department is housed in excellent facilities with access to numerous core laboratories and affords the opportunity for collaborative clinical and public health research throughout our consortium of 18 affiliated hospitals and 2 public health departments.

The position will be available beginning July 1, 2008. Salary and start-up resources will be competitive and commensurate with experience and qualifications. Candidates must possess a Ph.D., M.D., or equivalent and must be qualified for appointment at the rank of full professor. This is a fully-funded position within the College of Medicine that reports directly to the Dean. Interested candidates should apply to the posting on-line at **www.neoucom.edu/jobs.php**. In addition, please submit 1) curriculum vitae; 2) statement of research accomplishments, current interests and future direction; 3) statement of teaching experience and philosophy; 4) summary of administrative experience and philosophy; and 5) names and addresses of five references to: Anatomy and Neurobiology Chair Advisory Committee, Northeastern Ohio Universities Colleges of Medicine **44272**. Review of applications will begin immediately, and continue until the position is filled.

The college's dedication to excellence is complemented by its strong commitment to building and sustaining a culturally diverse academic community. Individuals from historically underrepresented groups are encouraged to apply.



Northeastern Ohio Universities COLLEGES OF MEDICINE & PHARMACY

NEOUCOM is an Equal Opportunity Employer and Educator

#### POSITIONS OPEN

#### ASSISTANT PROFESSOR BIOLOGICAL ANTHROPOLOGY: ANTHROPOLOGICAL GENETICIST University of Iowa

The University of Iowa, Department of Anthropology, invites applications for a tenure-track position in biological anthropology specializing in anthropological genetics, at the rank of Assistant Professor, beginning August 2008. Requirements include: Ph.D. in hand by the time of the appointment, an established publication record, a strong research program, and previous teaching experience. Anthropological Geneticists whose research includes theoretical modeling of molecular genetic markers to infer human and nonhuman primate evolutionary history and adaptation, and/or the genetic basis for complex morphological traits are especially welcome. Teaching responsibilities include required introductory and core undergraduate courses in biological anthropology and graduate courses in the candidate's area of expertise. Salary commensurate with experience. Startup funding and laboratory space are available. Send letter of application, curriculum vitae, representative publications, details of previous teaching experience, prospective courses, and contact information for three references to: Robert G. Franciscus, Biological Anthropologist Search Committee Chair, Department of Anthropology, University of Iowa, Iowa City, IA 52242. Applications by e-mail are acceptable to e-mail: robert-franciscus@uiowa. edu. Please visit website: http://www.uiowa.edu/ ~anthro/ to learn more about the Department and the University. Screening of applications will begin on December 3, 2007. The Department of Anthropology and the College of Liberal Arts and Sciences are strongly committed to gender and ethnic diversity; the strategic plans of the University, College, and Department reflect this commitment. The University of Iowa is an Affirmative Action/Equal Opportunity Employer. Women and minorities are especially encouraged to apply.

#### FACULTY POSITIONS in NEUROENGINEERING Drexel University College of Medicine

As part of a university-wide Neuroengineering Initiative, Drexel University College of Medicine (DUCOM) is seeking to fill new tenure-track faculty positions to enhance its ongoing research in neuroengineering. We are looking for individuals whose research focuses on spinal cord studies at the systems or/and cellular level, neural control, brain-machine interfaces, neuroengineering applications for recovery from spinal/ brain injuries, and related areas. Interested candidates of any academic rank will be considered and are encouraged to apply. The primary appointment will be in one of DUCOM's departments, depending on the individual's research field. Faculty rank, salary, and startup package will be commensurate with experience. The successful candidate should have an active, independent research program and be capable of working as a member of a multidisciplinary team. Interested applicants should submit curriculum vitae, statement of research interests and accomplishments, and the names and contact information for at least three references.

Applications should be addressed to the Chair of Neuroengineering Search Committee at DUCOM and submitted electronically to e-mail: <u>neuroengineering</u>search@drexel.edu.

Review of applications will begin November 1, 2007, and will continue until the positions are filled. Drexd University is an Equal Opportunity Employer.

Energy, Materials, and Food from Managed Ecosystems. The energy and resources group at Univeristy of California, Berkeley, seeks a colleague for a full-time, tenure-track appointment at the ASSIST-ANT PROFESSOR level in the interdisciplinary area of energy, materials, and food from managed ecosystems. The closing date is 1 November 2007. For details, see website: http://erg.berkeley.edu, or call telephone: 510-642-1640.

#### POSITIONS OPEN

The Department of Biology (website: http:// www.biology.uni.edu) at the University of Northern Iowa (website: http://uni.edu) invites applications for a tenure-track ASSISTANT PROFESSOR position effective August 2008. The successful candidate will be expected to develop and teach a course in immunology to biology majors, to contribute to other departmental needs by teaching courses including anatomy and physiology laboratories, and to develop a research program that will involve undergraduate and graduate students. Faculty members also are expected to seek extramural funding.

A Ph.D. in a biological science and teaching experience are required. All-but-dissertations will be considered with evidence of completion of degree by August 1, 2008. However, postdoctoral research experience is desired. Please send curriculum vitae, copies of undergraduate and graduate transcripts, a statement of research interests and potential for student involvement, a statement of teaching interests and approaches, evidence of teaching effectiveness (which might include samples of teaching assessments, awards, videotaped demonstrations of teaching, or other such evidence), and three letters of recommendation (e-mail applications and letters of recommendation will not be accepted):

> Dr. Theresa Spradling Chair, Biology Search Committee Department of Biology University of Northern Iowa Cedar Falls, IA 50614-0421 E-mail: theresa.spradling@uni.edu Telephone: 319-273-6214 Fax: 319-273-7125

The University of Northern Iowa, with 12,609 students and 600 faculty members, is one of three state-supported universities in Iowa. The University enjoys a national reputation for commitment to teaching excellence and consistently ranks high in surveys of comparable institutions. The Biology Department has 29 tenure-track faculty members and two instructors representing diverse areas of biology. The Department has 660 undergraduate and 32 graduate students pursuing programs that lead to B.A., B.S., M.A., M.S., and P.S.M. degrees. Resources available to faculty and students include a new building addition providing modern classrooms with excellent research, teaching, and computer facilities, microscopy and image analysis, flow cytometry, DNA sequencing, and other modern instruments. Cedar Falls/Waterloo is a pleasant metropolitan area with a population of 110,000.

Salary is competitive and is commensurate with qualifications. Benefits include TIAA/CREF, group life, disability, medical and dental insurance.

Applications received by October 31, 2007, will be given full consideration. The Department encourages applications from minority persons, women, veterans, and persons with disabilities.

The University of Northern Iowa is an Equal Opportunity Educator and Employer with a comprehensive plan for Affirmative Action.

The NCI-designated Cancer Center of the Burnham Institute for Medical Research seeks independent investigators with research programs in cancer stem cell biology, epigenetics, and tumor microenvironment. Individuals at any career level, but especially junior investigators, are encouraged to apply. Burnham offers an outstanding and highly collaborative research environment, supported by a wide range of shared resources. For more details visit our website: http:// www.burnham.org. Informal inquiries should be directed to appropriate Cancer Center Program Directors. To apply, please submit curriculum vitae and research summary electronically by November 1, 2007, to e-mail: ccrecruit@burnham.org. Candidates should arrange to have three letters of reference sent by e-mail: ccrecruit@burnham.org or regular mail to: Cancer Center Recruit Committee, c/o Kristiina Vuori, M.D., Ph.D., NCI Cancer Center, Burnham Institute for Medical Research, 10901 North Torrey Pines Road, La Jolla, CA 92037. Equal Opportunity Employer/Affirmative Action.

#### POSITIONS OPEN

#### DEVELOPMENTAL BIOLOGIST

The Department of Biology at the University of Minnesota, Duluth (UMD) invites applications for a tenure-track ASSISTANT PROFESSOR position in developmental biology. We seek a candidate with postdoctoral experience who will use an established nonmammalian model system to examine the molecular/genetic aspects of development. Laboratory space will be provided in the new stateof-the-art Swenson Science Building along with a competitive startup package provided. Candidates will instruct a course in developmental biology, develop an advanced course in their research specialty, and participate in the Department core curriculum. Applicants are expected to establish an independent, externally funded research program involving graduate students in the Integrated Biosciences (IBS) Graduate Program and mentor undergraduate researchers in UMD's Undergraduate Research Opportunity Program. Opportunities exist for collaborations among the 50 plus graduate faculty in the IBS Program that includes investigators at the UMD School of Medicine, the College of Pharmacy, Environmental Protection Agency Mid-Continent Research Laboratory and the UMD Natural Resources Research Institute. Abundant recreational opportunities and a high quality of life complement the thriving intellectual and artistic atmosphere in the region. Essential qualifications include a Ph.D. or equivalent degree in the biological sciences, potential for achievement in teaching and research, and strong oral and written communication skills. Review of complete applications will start October 15, 2007, and continue until the position is filled. Please go to website: http://employment. umn.edu/applicants/Central?quickFind=65724 to initiate the online application. In addition, please arrange to have three letters of reference and up to three publications sent to: Chairperson, Developmental Biologist Search Committee, Department of Biology, University of Minnesota Duluth, 207 SSB, 1035 Kirby Drive, Duluth, MN 55812. For additional information, visit the Department's website: http://www.d.umn.edu/biology and the Integrated Biosciences Graduate Program at website: http://www.d.umn.edu/ibs. The University of Minnesota is an Equal Opportunity Educator and Employer.

#### TENURE-TRACK FACULTY POSITION Microbiology

#### The University of Texas at Arlington

The Department of Biology invites applications for a tenure-track position at the rank of ASSISTANT PROFESSOR. Salary and startup funds are highly competitive. Research interests may include but are not limited to microbial physiology, prokaryotic genetics, or developmental microbiology.

Applicants must have a Ph.D. and a demonstrated record of research productivity. The successful candidate is expected to establish a vigorous extramurally funded research laboratory and participate in the undergraduate microbiology degree program and in the quantitative biology doctoral program. Located in the Dallas/Fort Worth metropolitan area, University of Texas (UT) Arlington is a large, fast-growing, comprehensive university part of the University of Texas System. Additional information is available at website: http://www.uta.edu/biology/. Applicants should submit curriculum vitae; copies of up to five publications, statements of research and teaching interests; and names, e-mail addresses, and telephone numbers of four persons who will provide letters of reference. Send applications to: Dr. Thomas Chrzanowski, Chair of Microbiology Search, Department of Biology, University of Texas at Arlington, P.O. Box 19498, Arlington, TX 76019-0498. Electronic submissions will not be accepted. Review of completed applications will begin 5 November 2007, and will continue until the position is filled.

Hiring will be contingent on completion of a satisfactory eriminal background investigation for searrity sensitive positions.

UT Arlington is an Equal Opportunity/Affirmative Action Employer.

#### IOWA STATE UNIVERSITY, Faculty Positions in Systems Biology

As part of a major multi-year undertaking, lowa State University invites applications from outstanding interdisciplinary scientists for tenure-track faculty positions in Systems Biology in several departments. Providing a superb environment for innovative and integrative research in Systems Biology, ISU offers state-of-the-art facilities and programs in the biological and biophysical sciences, bioinformatics, computational biology, and engineering.

#### Genetics, Development and Cell Biology

The Department of Genetics, Development and Cell Biology (http://www.gdcb.iastate.edu/) invites applications for a tenure-track faculty position at the level of Assistant Professor. We seek highly qualified applicants from all backgrounds relevant to Systems Biology. Specific areas of interest include, but are not limited to: analysis of developmental, neural, metabolic or regulatory networks, using experimental and computational or modeling approaches. The successful candidate will be expected to establish and maintain a vigorous, independent, extramurally funded research program, and to participate in undergraduate and graduate teaching. Qualified candidates must have a Ph.D. or other terminal degree, and demonstrated potential for excellence in research and teaching. The Department is sensitive to the needs of dual-career applicants and is committed to increasing diversity within the university community. To view entire vacancy #070886 and apply, create an electronic application at http:// www.iastatejobs.com, including a curriculum vitae, a summary of past and present research and future research objectives, and a brief description of teaching philosophy and goals. Applicants should arrange for three letters of reference to be sent to: Systems **Biology Search Committee, Iowa State University,** Department of Genetics, Development and Cell Biology, 1210 Molecular Biology Building, Ames, IA 50011 or to GDCBsearch@iastate.edu. To guarantee consideration the applications must be received by December 1, 2007.

#### **Electrical and Computer Engineering**

The Electrical and Computer Engineering Department at Iowa State University has immediate openings for faculty positions at all levels. Applications will be accepted from highly qualified individuals for regular faculty positions in the department in all core areas of expertise in Electrical or Computer Engineering. We are specifically interested in highly qualified candidates in the systems biology area.

Faculty positions are also available in Interdisciplinary research areas as part of Iowa State University College of Engineering's aggressive mission to fill 50 new college-wide positions with faculty who possess the talent to address the challenges that define worldwide quality of life and have global impact. The positions are targeted in a number of interdisciplinary research and education cluster areas, including biosciences and engineering

Duties for all positions will include undergraduate and graduate education, developing and sustaining externally funded research, graduate student supervision and mentoring, and professional/institutional service.

For additional information regarding qualifications and application deadlines, visit: <u>http:</u> //www.iastatejobs.com vacancy #070478.

To apply for cluster hire positions, visit <u>http:</u> //www.iastatejobs.com vacancy #070840.

#### Physics and Astronomy

We seek candidates with the strongest credentials and promise of future accomplishments in a forefront area of the physics of biological systems. The successful applicant will be expected to interact with researchers within the department as well as researchers in other disciplines. Candidates whose interests are in single molecule studies, spectroscopy, diffraction methods, and others are encouraged to apply. Candidates at the assistant professor level must have a PhD in Physics or a closely related discipline and a demonstrated record of research accomplishments normally achieved through postdoctoral experience and publication of results in top-tiered journals. All candidates should demonstrate promise for excellence in teaching at both the undergraduate and graduate levels. Further information about the Physics and Astronomy Department and the life sciences programs at ISU are on the web at http://www.physics.iastate.edu, http://www.mcdb.iastate.edu/, and http://www. bioinfor matics.iastate.edu/. Applicants should send a letter of application, a resume including a statement of research and teaching interests, along with names and contact information for at least three references. Please arrange for these letters of recommendation to be sent to: Physics of Biological Systems Search Committee, c/o Ms. Gloria Oberender, Department of Physics and Astronomy, Iowa State University, Ames, Iowa, 50011-3160. E-mail applications will not be considered. To assure full consideration all application materials should be received by October 31, 2007.

Applications from women and members of under represented groups are strongly encouraged. Iowa State University is an Equal Opportunity/Affirmative Action Employer.

#### Two Faculty Positions at Colorado State University

The Department of Biochemistry and Molecular Biology seeks applications for two 9-month tenure track faculty positions (one at the Assistant Professor and the second at the Assistant or Associate Professor level) with expertise in biochemistry or in structural, cellular, or molecular biology. Candidates with research interests that synergize with existing departmental strengths in chromatin structure, gene expression, infectious diseases, cytoskeleton dynamics or computational biology or that complement the University Superclusters in infectious diseases, cancer biology and bioengineering are strongly encouraged to apply. Further information is available at http://www.bmb.colostate.edu. Candidates must have a Ph.D., postdoctoral experience and the abilities to sustain an independent research program, to participate effectively in undergraduate and graduate teaching, and to enhance the department's commitment to diversity and multiculturalism through one's research, teaching and/or service activities.

Please submit curriculum vitae, statements of research and teaching interests, and email addresses for three references on-line at: http://www.natsci.colostate.edu/searches/ biochem/. For full consideration, a complete application must be received by November 16, 2007. Files of finalists will be available to all faculty members for review.

> CSU is EEO/AA Employer, E.O. Office: 101 Student Services.

**Postdoctoral Openings** In Computational Biology

YOUNG SCIENTISTS WANTED FOR HAZARDOUS WORK: SMALL WAGES, BITTER COLD WINTERS, UNBEARABLY HOT SUMMERS, LONG MONTHS OF COMPLETE IGNORANCE, OUTCOME DOUBTFUL, HONOR AND RECOGNITION IN CASE OF SUCCESS.

(Adapted from the ad "Men wanted for hazardous journey. Low wages, bitter cold, long hours of complete darkness. Safe return doubtful. Honour and recognition in event of success." supposedly published by Sir Ernest Shackleton before setting out with the Endurance on August 1, 1914, cf. <u>http://www.antarctic-circle.org/advert.htm</u>)

**Postdoctoral positions are currently available at PICB** (the CAS-MPG Partner Institute for Computational Biology, Shanghai Institutes for Biological Sciences, Chinese Academy of Sciences - please visit our website <u>www.picd.ac.cn</u> for details).

Qualified candidates are expected to having obtained a Ph.D. in a challenging scientific field (from Mathematics and Computer Science to Physics and Biology), to easily read, write, and communicate in the Chinese and the English language, to be good team players in scientific collaboration projects, and to be highly motivated and willing to fully dedicate themselves to scientific research, to withstand the resulting frustrations, and to have the courage to trust and follow their very own brains.

We offer the opportunity to become a member of a highly motivated team and to work with us on exciting problems exploring the potential of computational methods in the life sciences, e.g. for unraveling the patterns of human migration, the pathways of early evolution, or the dynamics and regulation of spatiotemporal distribution of proteins in cell.

CAS-standardized salary and housing benefits will be provided.

Applicants should send a CV and the names, (email) addresses and telephone numbers of two references to sqli@sibs.ac.cn.

For more information about application procedure, please contact our educational secretary Shuqin Li (Tel 021-5492-0453, email: sqli@sibs.ac.cn).

#### POSITIONS OPEN

#### TENURE-TRACK FACULTY POSITION Genetics/Genomics The University of Texas at Arlington

As part of its continuing expansion in the areas of genetics and genomics, the Department of Biology invites applications for a new tenure-track position at the rank of **ASSISTANT PROFESSOR**. Applications at other ranks will also be considered. Salaries and startups are highly competitive.

We are interested in applicants whose research addresses fundamental biological processes and/or evolutionary questions using genetic, genomic, and/or computational approaches. There is no preference as to the organisms under study and applicants working with either model or nonmodel species, including microbial eukaryotes and viruses, are encouraged to apply. Applicants must have a Ph.D. and a demonstrated record of research productivity. Successful candidates will be expected to establish vigorous, extramurally funded research laboratories and participate in both graduate and undergraduate programs. Participation in the quantitative biology doctoral program is expected.

Located in the Dallas/Fort Worth metropolitan area, University of Texas (UT) Arlington is a large and fast-growing, comprehensive university part of the University of Texas System. Information about our dynamic genome biology group at UT Arlington and the Department is available at websites: http:// biology.uta.edu/genome\_group/ and http:// www.uta.edu/biology/. Applicants should submit curriculum vitae; copies of up to five publications; statements of research and teaching interests; and the names, e-mail addresses, and telephone numbers of four persons who can provide letters of reference. Send applications to: Dr. Esther Betrán, Chair of Genetics/ Genomics Search at Department of Biology, University of Texas at Arlington, P.O. Box 19498, Arlington, TX 76019-0498. Electronic applications will not be accepted. Review of completed applications will begin 5 November 2007, and will continue until the position is filled.

Hiring will be contingent on the completion of a satisfactory criminal background investigation for security-sensitive positions. UT Arlington is an Equal Opportunity/Affirmative Action

Employer.

#### POSTDOCTORAL POSITION

Two Postdoctoral positions funded by the National Institutes of Health are available, to study the roles of insulin, nitric oxide and protein tyrosine phosphatases in regulation of vascular smooth muscle cell signaling and neointima formation in vascular injury. Our projects address important basic science questions and also have relevance to clinical problems. Experience in molecular biology or rat surgery and a good command of the English language are essential. Competitive salaries are offered. Please send curriculum vitae and the names of three references to: Dr. Aviv Hassid, Department of Physiology, University of Tennessee, 894 Union Avenue, Memphis, TN 38163. E-mail: ahassid@tennessee.edu; fax: 901-448-7126.The University of Tennessee is an Equal Employment Opportunity/ Affirmative Action Title VI/Title IX/Section 504/ADA/ ADEA Institution in the provision of its education and employment programs and services.

#### JIM LOWENSTEIN POSTDOCTORAL RESEARCH FELLOWSHIP

Postdoctoral position available to study immune responses to pulmonary infection with HIV-associated pathogens at Louisiana State University Health Sciences Center. Must have Ph.D. or equivalent degree, ability to work with experimental animals. Experience in lymphocyte function/gene transfer preferred. Send curriculum vitae and research interests to: Dr. Judd Shellito, Pulmonary Medicine, Louisiana State University Health Science Center, 1901 Perdido, Room 3205, New Orleans, LA 70112. An Equal Opportunity/Affirmative Action Employer.

#### POSITIONS OPEN

#### ASSISTANT PROFESSOR Plant Molecular Genetics

The Department of Microbiology, Molecular Biology and Biochemistry at the University of Idaho seeks to fill an academic year tenure-track position beginning as early as summer 2008. The successful candidate must be capable of establishing a nationally competitive research program in plant molecular biology or plant genetics. We are particularly interested in persons using advanced molecular and genetic techniques to study important basic plant biological questions in areas such as disease resistance, improved nutritional quality or bioenergy production. Successful candidates for this position must demonstrate the ability to communicate effectively and will be responsible for teaching an annual one-semester lower-division class in molecular biology and biotechnology, and a course in his/her area of expertise. Applicants must have a Ph.D. in molecular biology, biochemistry, genetics or an appropriately related field, and postdoctoral experience with a strong publication record or comparable training or experience in industry or a research institute. Teaching experience at the undergraduate and/or graduate level is required. Candidates should complete the online application at website: http://www.hr.uidaho.edu. This will include submission of a letter of application, curriculum vitae, statements of research interests and teaching philosophy, and copies of significant publications. Documents that cannot be submitted online are to be sent to:

Plant Molecular Genetics Search Committee Department of Microbiology, Molecular Biology, and Biochemistry University of Idaho P.O. Box 443052, Life Science Building, Room 142

Moscow, ID 83844-3052 Review of applications begins December 15, 2007, and continues until the position is filled. Applicants who are selected as final candidates will be asked to provide three letters of reference from individuals addressing research potential, teaching, and communication skills. More information about the Department can be found at website: http://www.

ag.uidaho.edu/mmbb/. To enrich education through diversity the University of Idaho is an Affirmative Action/Equal Opportunity Employer and educational institution.

#### ECOLOGIST

The Section of Integrative Biology of the University of Texas at Austin seeks to hire an Ecologist at the ASSISTANT PROFESSOR level to begin September 2008. The successful applicant will join a strong Program in Ecology, Evolution, and Behavior (website: http://www.biosci.utexas.edu/ib) and will have the opportunity to interact with programs in the Environmental Science Institute (website: http://www.esi.utexas.edu/). We are searching for an organismal-based Ecosystem Ecologist. While we will consider a broad range of research areas, we are particularly interested in research at the interface of ecosystem ecology and plant physiology. A Ph.D. is required in biological sciences or related areas and postdoctoral experience is preferred. Teaching duties will include an undergraduate course in ecology and a graduate course in the candidate's area of interest. Applicants should send curriculum vitae, brief statements of research and teaching interests, up to five reprints/preprints, and arrange for three letters of recommendation. Application materials should be sent as a single PDF file (including cover letter, curriculum vitae, statements, and reprints/preprints) to e-mail: francesm@mail.utexas.edu. Letters of recommendation should be sent by regular mail to: Ecology Scarch, Integrative Biology, 1 University Station C0930, Austin, TX 78712. Review of ap-plications will begin 1 November 2007. For more detailed information see website: http://www. biosci.utexas.edu/jobs/. UT-Austin is an Equal Employment Opportunity /Affirmative Action Employer.

#### POSITIONS OPEN

#### BIOLOGY DEPARTMENT Plant Ecology

The Biology Department at St. Lawrence University invites applications for a one-year sabbatical replacement in plant ecology starting fall 2008 as a **VISITING ASSISTANT PROFESSOR.** A Ph.D. and previous teaching experience is preferred. We especially welcome applications from candidates who bring diverse cultural and ethnic perspectives to the University.

In support of our majors in biology, conservation biology, biology-environmental studies, and global studies, preference will be given to candidates who can contribute courses in their area of specialty as well as help to teach the ecology or biodiversity portions of our introductory biology course.

Interested candidates should submit a letter of application, curriculum vitae, a statement of teaching experience and philosophy (including the use of innovative and progressive pedagogies), and a statement of research interests, as well as arrange for three letters of recommendation to be forwarded to: Dr. Michael Temkin, Biology Department, St. Lawrence University, 23 Romoda Drive, Canton, NY 13617. Review of applications will begin on October 19, 2007; applications received after this date will be reviewed as needed.

Please see the University homepage at website: http://www.stlawu.edu and the Biology Department homepage at website: http://it.stlawu.edu/ ~biology for more information.

#### ASSISTANT/ASSOCIATE PROFESSOR The Ohio State University College of Medicine Division of Cardiothoracic Surgery

The Division of Cardiothoracic Surgery in the Department of Surgery at the Ohio State University College of Medicine seeks a qualified candidate for a tenure-track faculty member at the Assistant/Associate Professor level. Salary and academic rank will be based on experience and qualifications. The candidate must have a Ph.D./M.D. degree and should have three or more years of postdoctoral experience. Candidates with currently funded NIH extramural grant(s) are preferred. The incumbent is expected to have an independent research program and will be responsible for overall development of a cardiothoracic research program with a strong orientation towards biomedical engineering.

Candidates with research interest and ongoing programs on blood vessel development, heart remodeling, biomaterials, assist devices, and tissue engineering may apply with a copy of resume and a brief description of research interest to: Benjamin Sun, M.D., Chief of Ohio State University Cardiothoracic Surgery, N-847 Doan Hall, 410 W. 10th Avenue, Columbus, OH 43210. The Ohio State University is an Equal Opportunity/Affirmative Action Employer. Qualified women, minorities, Vietnam-era veterans, disabled veterans, and individuals with disabilities are ensuraged to apply.

#### SMITHSONIAN INSTITUTION FELLOWSHIP PROGRAM

GRADUATE STUDENT, PREDOCTORAL, POSTDOCTORAL, and SENIOR FELLOW-SHIPS in animal behavior, ecology, and environmental science; including an emphasis on the tropics; Earth sciences and paleobiology; evolutionary and systematic biology; history of science and technology. Tenable in residence at the Smithsonian facilities. Stipends and tenure vary. Awards are contingent upon the availability of funds. Deadline: January 15 annually. Contact: Office of Research Training and Services, Smithsonian Institution, Desk S, P.O. Box 37012, L'Enfant 7102 MRC 902, Washington, DC 20013-7012. Telephone: 202-633-7070. E-mail: siofg@si.edu. Website: http://www.si.edu/ research+study. An Equal Opportunity Employer.



#### Two tenure-track positions in Parasitology at McGill

The Institute of Parasitology at McGill University (http://www.mcgill.ca/ parasitology/) intends to fill two full-time tenure-track positions. (1) Full or Associate Professor with an outstanding record of publication, research support and graduate student training in parasitology. The candidate will be nominated for a Tier I Canada Research Chair (http://www.chairs.gc.ca). The ability to work in the international arena is an advantage. (2) Assistant Professor with postdoctoral experience and a strong publication record in parasitology. A background in a water-related parasite infection is desired for one of these positions. One appointment is planned in the area of parasite immunology, while strong candidates in any aspect of parasitology will be considered for the other. Previous success in attracting extramural research funding is important. The appointees will hold a Ph.D. or equivalent degree in an appropriate field and will employ molecular research techniques. Recruits will join the FQRNT Centre for Host-Parasite Interactions in Quebec (http: //www.mcgill.ca/chpi/). The new faculty will contribute expertise to the Institute's graduate training programs in parasitology and biotechnology, and in specialty and interdisciplinary courses more generally. McGill University is an English language institution functioning in a bilingual environment.

Candidates should forward a CV, a summary of research plans and the names of three referees by 23 November 2007 to: Prof. Timothy G. Geary, Director, Institute of Parasitology, McGill University, 21,111 Lakeshore Road, Ste-Anne-de-Bellevue, Quebec, Canada H9X 3V9. E-mail: timothy.g. geary@mcgill.ca. Phone: 514-398-7612. Fax: 514-398-7857.

McGill University is committed to equity in employment and diversity. It welcomes applications from indigenous peoples, visible minorities, ethnic minorities, persons with disabilities, women, persons of minority sexual orientations and gender identities and others who may contribute to further diversification. All qualified applicants are encouraged to apply; however, in accordance with Canadian immigration requirements, priority will be given to Canadian citizens and permanent residents of Canada.



#### FACULTY POSITION / CENTER DIRECTOR Science and Technology Policy Research CIRES, University of Colorado at Boulder

The University of Colorado at Boulder seeks to hire a Faculty Director for the Center for Science and Technology Policy Research of the Cooperative Institute for Research in Environmental Sciences. Applicants must have demonstrated achievement in science and technology policy research. This position allows substantial time for research as well as leadership and administrative service as Center Director. The successful candidate must have an established interest in interdisciplinary research and teaching, and must be willing to contribute to both undergraduate and graduate teaching related to science and technology policy. The position will carry tenure within an academic department to be mutually decided upon by the candidate and department. Possibilities include Geography, Political Science, Environmental Studies, Communications, and numerous others.

Requirments: PhD in a field relevant to science and technology policy, a demonstrated record of excellence in extramurally supported research, and a commitment to teaching at the undergraduate and graduate levels.

To apply: Applicants should send a letter of application, curriculum vitae, a statement on teaching experience, and three names to be used for letters of reference to <u>http://www.jobsatcu.com</u>, job posting number 802370. Questions can be directed to CIRES Human Resources (Jobs@CIRES.Colorado.edu).

Review of applications will begin November 15, 2007 and continue until the position is filled. For more information about the CIRES Center for Science and Technology Policy Research see http://sciencepolicy.colorado.edu.

The University of Colorado at Boulder is committed to diversity and equality in education and employment



#### TEXAS A&M UNIVERSITY Assistant/Associate/Full Professors in Plant Biology (up to 4)

The College of Agriculture and Life Sciences (http://coals.tamu.edu/) at Texas A&M University and the Texas Agricultural Experiment Station (TAES) (http://agresearch.tamu.edu/) invite applications for up to four tenure or tenure-track faculty positions. Candidates at any professional level (Assistant Professor, Associate Professor, or Professor) will be considered. The successful applicants will be administratively located in one of four academic departments (see below) and be housed at the Institute for Plant Genomics & Biotechnology (IPGB), an innovative, multidisciplinary state-of-the-art plant science facility (http://ipgb.tamu.edu/) that connects plant scientists from different academic departments whose common focus is contemporary plant biology and its applications to biotechnology. We are searching for creative, ambitious and dedicated scientists who have potential for collaboration with other members of the Institute and the plant science community at Texas A&M University.

Although the following four areas represent major foci for these positions, candidates with exceptional publication records in any area in plant molecular biosciences are encouraged to apply. Appointments will be at the appropriate academic levels with competitive start-up packages.

Particular areas of research and teaching emphasis include, but are not limited to:

- Plant Redox Biochemistry Abiotic stress, plant-microbe interactions, programmed cell death, redox signaling and bioenergy.
- Plant Metabolism/Metabolic Engineering Metabolic profiling, bioprocessing, production of novel compounds, improved quality or yield of plant products or other value-added traits.
- Plant Molecular Genetics Plant-microbe interactions, plant stress responses, plant programmed cell death, lipid signaling, plant biotechnology, and plant bioproduction.
- 4. Bioinformatics/Computational Biology Structural proteomics, comparative genomics, chemical genomics and systems biology. The successful candidate will develop state-of-the-art research with interactive data analysis and interpretation using mathematical and statistical models in plant systems.

The newly-hired faculty will be administratively located in and be members of one of the following academic departments, based on their area of expertise:

Biochemistry/biophysics (http://biochemistry.tamu.edu/) Horticultural Science (http://aggie-horticulture.tamu.edu/) Plant Pathology and Microbiology (http://plantpathology.tamu.edu/) and/or Soil and Crop Sciences (http://soilcrop.tamu.edu/)

Successful candidates will be expected to develop a dynamic, extramurally funded research program and to participate in teaching graduate and/or undergraduate courses in areas of contemporary plant biology related to their expertise. The establishment of formal links and collaborations with faculty in other TAES and COALS units is encouraged and expected. Applicants must hold a Ph.D. with postdoctoral or equivalent experience in an area related to those emphasized above. A demonstrated record of research and peerreviewed publication is required. Application deadline is December 15, 2007. Interested individuals should send: (1) a curriculum vitae, (2) a 2-page statement of research and teaching interests related to one or more of the four emphasis areas listed previously and (3) names of three references. Application should be made on-line at: https://greatjobs.tamu.edu/ Additional information can be obtained from: Marty Dickman, Director, IPGB and Chair, Search Committee, Texas A&M University, 2123 TAMU College Station, TX 77843-2123, mbdickman@tamu.edu, Phone: (979) 862-4788, Fax: (979) 862-4790.

> The Texas A&M University System is an equal opportunity employer that seeks diversity in the workplace.

#### POSITIONS OPEN

#### ASSISTANT and/or ASSOCIATE PROFESSOR of HUMAN GENETICS

The Department of Human Genetics at the University of Utah School of Medicine is continuing a new major expansion, recruiting three new investigators over the next three years to build upon existing strengths in human genetics and developmental biology.

We are seeking outstanding applicants at the level of ASSISTANT and/or ASSOCIATE PROFES-SOR in the broad fields of genetics and functional genomics, including but not limited to human genetics, genetic approaches to complex disease, population genetics, behavioral genetics, regenerative medicine, developmental genetics, and animal models of human disease and development. Our Department has a strong history in human genetics and resources, such as the Utah Population Data Base, that are unique in the world. These resources have created a highly productive and collaborative environment between researchers, clinicians, and the community.

Creative scientists with a record of achievement and commitment to excellence in both research and teaching are encouraged to apply. Successful candidates will receive a substantial startup package and enjoy a stimulating and supportive research environment.

Applicants should submit curriculum vitae, a summary of research plans, relevant reprints and/or preprints, and three letters of reference to:

Dr. Mario R. Capecchi Co-Chair, Department of Human Genetics Howard Hughes Medical Institute University of Utah School of Medicine 15 North 2030 East, Room 2130 Salt Lake City, UT 84112-5330

Application materials, including letters of reference, should be submitted by November 9, 2007. The University of Utah is an Equal Opportunity/Affirma-

tive Action Employer, encourages nominations and applications from women and minorities, and provides wasonable accommodation to the known disabilities of applicants and employees.

#### FACULTY POSITION in MATERIALS CHEMISTRY University of California, Irvine

The Department of Chemistry of the University of California, Irvine, is establishing a new Area of Excellence in Materials Chemistry with the addition of multiple faculty positions over the next three years. We thus invite applications for a tenure-track posi-tion at the ASSISTANT PROFESSOR level in materials chemistry. We are seeking a Ph.D.-level scientist who will establish a vigorous research program whose central aim is in the design and synthesis of materials and the characterization of their properties. The candidates should also be committed to teach chemistry at the undergraduate and graduate levels. Applicants should send their curriculum vitae, a list of publications, and a description of their proposed research program, to the: Materials Search Committee, Department of Chemistry, University of California, Irvine, CA 92697-2025. Applications may also be submitted electronically via the web at website: https://recruit.ap.uci.edu/. Web applications should include a cover letter and the information requested above. Applicants should also arrange to have three letters of recommendation submitted on their behalf. To insure full consideration, applications and supporting materials should be received by November 15, 2007. The University of California is an Equal Opportunity/Affirmative Action Employer committed to excellence through diversity, and UCI has an ADVANCE program dedicated to gender and ethnic equity.

The Division of Public Health Sciences of the Fred Hutchinson Cancer Research Center invites applications from laboratory-based scientists with an interest in molecular diagnostics, including but not limited to aspects of predictive medicine, early detection, diagnosis, treatment response, and risk assessment.

Further information is available at website: http:// www.fhcrc.org/about/jobs/ and selecting job posting identification # KW-21205.

#### POSITIONS OPEN

#### EMPLOYMENT NOTICE: COMPOSITE

The Department of Microbiology and Molecular Biology at Brigham Young University announces the availability of three continuing status track FACUL-TY POSITIONS. Review of applications will begin December 14, 2007, and continue until each position is filled. For these positions, applicants should have a doctoral degree and postdoctoral research experience, and must demonstrate a high potential for establishment of an externally funded research program. Successful candidates must demonstrate a at graduate levels, and are expected to mentor both graduate and undergraduate students.

(1) Virology. The successful candidate is expected to develop a strong teaching capability in virology covering classical and molecular animal virology. Candidates must have a research emphasis in animal virology and demonstrate facility with current laboratory and conceptual tools. (2) Molecular cell biology. The successful candidate is expected to develop a strong teaching capability in molecular and cel-lular biology. Candidates should have a research emphasis in an area of cell biology relating to eukaryotic or prokaryotic microorganisms or viruses and demonstrate facility with current methodology. (3) Prokaryotic biology. The successful candidate is expected to develop a strong teaching capability in prokaryotic biology. Candidates must have a research emphasis in a prokaryotic model and demonstrate facility with current methodology.

Applicants must apply online at website: http:// yjobs.byu.edu through faculty application; attach curriculum vitae, and one-page statements of Teaching Philosophy and Research Interests and Goals. For further information, contact: Dr. Brent Johnson, Chair, Search Committee, Department of Microbiology and Molecular Biology, Brigham Young University, Provo, UT 84602 U.S.A. (Telephone: 801-422-2331. E-mail: brent\_johnson@byu.edu.) Additional departmental information is available at website: http://mmbio.byu.edu. BYU is an Equal Employment Opportunity Employer. Preference is given to qualified members in good standing of the sponsoring church, the Church of Jesus Christ of Latter-day Saints.

#### FACULTY POSITION Optical Spectroscopy

The Department of Chemistry and Biochemistry (website: http://chemistry.uark.edu) at the University of Arkansas is seeking an outstanding scientist for a tenure-track faculty position in the broadly defined field of modern optical spectroscopy, including single-molecule spectroscopy, optical trapping, and/or bio-spectroscopy. As the position is also associated with the NIH National Center for Research Resources Center for Protein Structure and Function, there are opportunities for collaboration with the other researchers in the Center. In addition, collaborative and multidisciplinary research in computational chemistry, nanomaterials, and bio-analysis are strongly encouraged. The Department and the Center have state-of-the-art core facilities in nuclear magnetic resonance spectroscopy, protein and small molecule X-ray crystallography, mass spectrometry, and synthesis. The successful candidate must have a Ph.D. and will be expected to establish a nationally recognized research program, and teach effectively at the graduate and undergraduate levels. Review of completed applications will begin on November 15, 2007, and will continue until the position is filled. Curriculum vitae, a five-page statement of research and teaching, and three letters of recommendation should be sent to: Professor Xiaogang Peng, Faculty Search Committee, Department of Chemistry and Biochemistry, University of Arkansas, Fayetteville, AR 72701 (e-mail: xpeng@uark.edu). This position will be filled based on administrative approval. Women and minority candidates are strongly encouraged to apply. The University of Arkansas is an Affirmative Action/Equal Opportunity Employer. All applicants are subject to public disdosure under the Arkansas Freedom of Information Act, and persons hired must have proof of legal authority to work in the United States.

#### POSITIONS OPEN

#### TENURE-TRACK ASSISTANT PROFESSOR Microbiology

The Department of Biological Sciences at Barnard College, Columbia University, seeks a full-time, tenuretrack Assistant Professor (starting July 2008) to participate in undergraduate teaching and establish an active, externally funded research program that investigates any aspect of the biology of microbes. Before applying, please see website: http://www.barnard. edu/biology/microjob.htm.

Teaching responsibilities include advanced lecture and laboratory courses in microbial diversity, occasional participation in the introductory biology sequence, and organization of a senior seminar in an area of interest to the successful candidate. Ph.D. and postdoctoral experience is required; teaching experience is desirable.

Applicants should send curriculum vitae, research and teaching statements, three representative publications and three letters of recommendation to: Microbiology Search Committee, Department of Biological Sciences, Barnard College, 3009 Broadway, New York, NY 10027 (e-mail:biologyjob@barnard. edu). Review of applications will begin November 1, 2007.

Bannard College is an Equal Opportunity Employer. Women and members of underrepresented minorities are encouraged to apply.

ASSISTANT PROFESSOR, TENURE TRACK. The Department of Zoology at Oklahoma State University (website: http://zoology.okstate.edu) invites applications for a QUANTITATIVE ECOL-OGIST with research interests in ecological processes and patterns at multiple spatial and temporal scales (population/community to regional/global) and expertise in analytical or simulation modeling approaches. Candidates are expected to have a Ph.D. and postdoctoral research experience; responsibilities will include establishing a vigorous, extramurally funded research program, successfully mentoring M.S. and Ph.D. students, and effectively teaching at the undergraduate and graduate level, including a graduate course in biological statistics and/or modeling. Candidates should submit (preferably by e-mail) a letter of application, curriculum vitae, statements of research and teaching interests, three letters of recommendation (sent directly by the candidate's references), and up to three sample publications to: Dr. Matt Lovern (e-mail: matt.lovern@okstate.edu), Chair, Faculty Search Committee, Department of Zoology, Oklahoma State University, 430 Life Sciences West, Stillwater, OK 74078. Application review will begin 1 November 2007, with employment beginning in August 2008. Women and minorities are strongly encouraged to apply. Oklahoma State University is an Equal Opportunity /Affirmative Action Employer.

The American Chemistry Council (ACC), a national trade association representing the world's leading chemical and plastics manufacturers, currently has an opportunity for a DIRECTOR within our Long-Range Research Initiative (LRI) Department in the Washington, DC metro area. This position directs the research management process for the LRI, additionally providing the focal point for the chemical risk assessment/health effects component of the research program. The LRI is a major program of the ACC that sponsors an independent research program (\$17 million in 2007) that ad-vances the science of risk assessment of the health effects of chemicals to enhance decision making by government, industry, and the public. The primary duties are to (1) serve as the lead for several Requestfor-Proposal (RfP) Teams, which develop and implement RfPs via a competitive process; (2) perform senior-level administrative functions related to resources and research contracts; and (3) provide outreach and communication about the program. To learn minimum qualifications, more details about the position, and application instructions, visit our website: http://www.americanchemistry.com/jobs. To learn more about the LRI, please visit website: http://www.americanchemsitry.com/lri. ACC offers a salary commensurate with experience and excellent benefits.

#### Postdoctoral Research Associate Computational Biology of Nucleosomes and Transcription Factors

For computational analyses and prediction of nucleosome positioning, transcription factor binding and other aspects of transcriptional regulation, a Postdoctoral Research Associate position is available at the Beadle Center for Biotechnology at the University of Nebraska-Lincoln. Scientists proficient in computation would participate in a multidisciplinary Team working on a high-throughput chromatin immunoprecipitation and next-generation sequencing project sponsored by the NSF. The candidate would refine computational models of nucleosome localization, analyze experimentally determined transcription factor binding sites, and contribute to the development of network models of transcriptional regulation. Researchers with Ph.D. in bioinformatics, biology, computer science, statistics, mathematics, or physics are encouraged to apply. Basic understanding of molecular biology is necessary. Additional information can be found at http://compbio.unl.edu/.

Please send your c.v. and contact information of three references to **Dr. Istvan (Steve) Ladunga** at <u>sladunga@unl.edu</u>. Review of applications will begin **November 1, 2007**, and continue until the position is filled or closed. UNL offers highly competitive salaries, generous benefits, and interaction with the UNL scientific community.

UNL is committed to a pluralistic campus community through affirmative action and equal opportunity. We assure reasonable accommodation under the Americans with Disabilities Act. Please contact Barbara Gnirk at (402) 472-

2635 or bgnirk1@unl.edu for assistance.



#### TENURE-TRACK FACULTY POSITIONS Department of Molecular Genetics College of Biological Sciences The Ohio State University

The Molecular Genetics Department at The Ohio State University invites applications for two fulltime, open rank tenure-track positions. Preference will be given to candidates employing model genetic systems with research programs in **Developmental Biology** or **Genome Sciences**. The successful candidates will be expected to have outstanding novel research programs and commitment to education at the undergraduate and graduate levels.

The Department of Molecular Genetics is a vigorous and highly interactive department that plays a central role in the molecular life sciences on campus. The department consists of faculty members studying important problems in molecular, cellular, and developmental biology using a variety of model organisms including plant and animal viruses, fungi, plants, worms, insects, mice and humans. Departmental faculty members participate in numerous campus-wide collaborations and focus groups such as the Cell Biology Group, the Developmental Genetics Group, and the RNA group (http://www.biosci.ohio-state.edu/~rnaclub/). College of Biological Sciences faculty members may also participate in three university-wide programs chosen for Targeted Investment in Excellence (http://oaa.osu.edu/TIE2.php): the Mathematical Biosciences Institute, the Public Health Preparedness Program, and the Translational Plant Sciences Initiative. Considerable resources are being provided to the new chair of the department, Dr. Anita Hopper (http://www.biosci.ohiostate.edu/news/news-ahopper-chair.php), to further enhance this excellent department. The Ohio State University is the flagship institution of the state's higher education system. It is located in the state capital, Columbus. Columbus has been ranked as one of the country's best places to live and work. Information about the Department, the University and Columbus can be obtained at: http: //www.osumolgen.org/.

Applicants should submit a curriculum vitae, a brief description of research interests and future directions, and the names and contact information for at least three professional references. Submit electronic applications to: <u>siegman.1@osu.edu</u> or paper applications to: <u>Molecular Genetics</u> Faculty Search Committees, Department of Molecular Genetics, 984 Bioscience Building, 484 West 12<sup>th</sup> Avenue, Ohio State University, Columbus, OH 43210. Review of applications will begin November 1, 2007 and will continue until the positions are filled.

The Ohio State University is an Equal Opportunity, Affirmative Action Employer. Flexible work options available.



#### **Neuroscience Faculty Recruitment**

The Department of Neuroscience at Columbia University Medical Center, as part of a University-wide Neuroscience Initiative, is recruiting faculty concentrating on the analysis of neural circuitry through molecular, genetic, cellular electrophysiological, and/or imaging approaches. We are particularly interested in individuals whose research program explores neural circuits in genetically tractable model systems and in the context of well-defined behaviors. We encourage applications for positions at the Assistant Professor level but will also consider applications from more senior investigators for positions at the level of Associate or Full Professor.

Columbia University currently has a world-renowned program in neurobiology and behavior, and the Neuroscience Initiative aims to enhance interactions between basic and clinical neurosciences and link the neurosciences to other scientific disciplines within the University. Faculty will be affiliated with the Department of Neuroscience, and there will be opportunities for strong ties with scientific departments and programs on the Morningside Heights campus.

Applications for this round of recruitment are requested by November 1, 2007. A CV, cover letter including statement of interests, and three letters of reference sent separately should be e-mailed care of David Leyden, <u>dgl2102@columbia.edu</u>. In addition, please mail a hard copy of these documents to:

Chair, Neuroscience Search Committee c/o: David Leyden Columbia University Hammer Health Sciences Center Room 2-205G 701 West 168th Street New York, NY 10032

Columbia University takes affirmative action to ensure equal employment opportunity.



City University of Hong Kong invites applications for the following posts. Candidates with applied research achievements will receive very positive consideration. Relevant experience in business and industry will be a definite asset.

#### Professor/Associate Professor/Assistant Professor Department of Physics and Materials Science [Ref. A/504/49]

Applications are invited from outstanding candidates for Assistant Professor and higher positions. The University endeavours to be internationally recognized as a leading university in the Asia-Pacific region. The Department of Physics and Materials Science was formed in 1993 as the first of its kind in Hong Kong, and already excels in several fields.

The Department seeks strong candidates in emerging fields that strengthen and expand its existing areas of focus. Particularly strong candidates are welcome in any field.

Requirements: A PhD in a closely related discipline with a promising research record and a strong teaching ability. The successful candidates are expected to develop new research directions and courses.

#### Salary and Conditions of Service

Salary offered will be highly competitive and commensurate with qualifications and experience. Appointment will be on a fixed-term gratuitybearing contract. Fringe benefits include annual leave, medical and dental schemes, and housing benefits where applicable.

#### Application and Information

Information concerning the posts and the University is available at <a href="http://www.cityu.edu.hk">http://www.cityu.edu.hk</a> or from the Human Resources Office, City University of Hong Kong, Tat Chee Avenue, Kowloon, Hong Kong (Fax : (852) 2788 9334/email : hrojob@cityu.edu.hk). Additional information about the Department is available at <a href="http://www.ap.cityu.edu.hk">http://www.ap.cityu.edu.hk</a>. Additional information about the Department is available at <a href="http://www.ap.cityu.edu.hk">http://www.ap.cityu.edu.hk</a>. Additional information about the Department is available at <a href="http://www.ap.cityu.edu.hk">http://www.ap.cityu.edu.hk</a>. Additional information about the Department is available at <a href="http://www.ap.cityu.edu.hk">http://www.ap.cityu.edu.hk</a>. Please send an application letter enclosing i) a current CV with evidence of teaching ability in English; ii) a concise (up to 1 page) statement of research interests and teaching philosophy to the Human Resources Office by email or by post. Applications will be considered until positions are filled. Please quote the reference of the post in the application and on the envelope. The University reserves the right to consider late applications and nominations, and to fill or not to fill the positions.

## POSITIONS OPEN

#### PHYSIOLOGIST POSITION ANNOUNCEMENT

The Department of Biological Sciences invites applications for a tenure-track faculty position for fall 2008. ASSISTANT PROFESSOR, Ph.D. Physiologist with a background in mammals and/or comparative biology. Undergraduate teaching and postdoctoral experience is desirable. Primary teaching responsibilities will include anatomy and physiology, mammalogy, and upper-division courses in area of expertise. Preference will be given to candidates with research interests in areas related to human health issues. The successful candidate is expected to participate in activities of the Center for Integrative Natural Science and Mathematics (website: http://www.nku.edu/ ~ cinsam/) and to engage undergraduate students in active research. More detailed descriptions of the position plus departmental information may be found on the web (website: http://www.nku.edu/ ~biosci). Send letter of application; brief statement of professional goals; statements of teaching/research philosophy; curriculum vitae; transcripts; and names, addresses, telephone numbers, and e-mail addresses of three references to: Physiologist Search Committee; Department of Biological Sciences, Northern Kentucky University, Highland Heights, KY 41099. All application materials must be received by November 16, 2007. NKU is a comprehensive regional university primarily concerned with the advancement of undergraduates. NKU is also an Affirmative Action/Equal Opportunity Employer and actively seeks applications from minorities and women. Upon a contingent offer of employment all applicants will be required to undergo a pre-employment criminal background dieck as mandated by state law.

#### FACULTY POSITION in NEUROSCIENCE University of Florida

The Whitney Laboratory for Marine Bioscience, a research institute of the University of Florida, is searching to fill a hard-money, tenure-accruing position at the ASSISTANT or ASSOCIATE PROFESSOR level for a creative, innovative individual using comparative models to address fundamental questions in neuroscience. Exceptional candidates in all fields of neuroscience who would interface with and extend ongoing research programs in molecular, genomic, proteomic, cellular, and integrative neuroscience are encouraged to apply. More information is avail-able at website: http://www.whitney.ufl.edu/ facultysearch.htm. The Whitney Laboratory offers state-of-the-art research facilities, competitive startup packages, a collegial working environment, and the possibility of devoting almost full time to research, giving faculty a special opportunity to begin or advance their careers. Applicants should submit current curriculum vitae, a statement of research interest, and three letters of recommendation by December 15, 2007, to: Dr. Barry Ache, Chair, Faculty Search Committee, P.O. Box 100127, University of Florida, Gainesville FL 32610.

POSTDOCTORAL POSITION available at University of Texas Medical Branch, Galveston, Texas. A Foundation Fighting Blindness-funded Postdoctoral position is available immediately to test new nanomedicines for the elimination of the age pigment, lipofuscin, in the treatment of age-related macular degeneration using both in vitro and in vivo models. The applicant should have a strong background in epithelial biology, cell culture, biochemistry, and fluorescence imaging. Experience in working with mouse models would be an advantage. Experience with cell culture and protein analysis is essential. A Ph.D. and/or an M.D. is required. Salary and benefits will be commensurate with experience and in accordance with Foundation Fighting Blindness guidelines. Interested individuals should send a cover letter, curriculum vitae, and contact information for three references to: Michael E. Boulton, Department of Ophthalmology and Visual Sciences, 301 University Boulevard, Galveston, TX 77555-1106. E-mail: meboulto@utmb.edu. An Equal Opportunity Institution.

#### POSITIONS OPEN

#### BIOLOGY FACULTY POSITIONS

The Biology Department invites applications for two new full-time, tenure-track positions at the AS-SISTANT PROFESSOR level. Both positions will have a significant commitment to teaching introductory biology. Virginia Military Institute (VMI) is a small undergraduate institution with a strong emphasis on teaching. We are looking for broadly trained BIOL-OGISTS who are committed to undergraduate education, including engaging students in research. One of the successful candidates will teach an evolutionary biology course.

PLANT BIOLOGIST. We seek an individual who can teach botany and additional upper-level specialty courses such as plant taxonomy or plant physiology.

courses such as plant taxonomy or plant physiology. VERTEBRATE BIOLOGIST. We seek an individual who can complement the current faculty and teach additional upper-level specialty courses such as herpetology, mammalogy, or wildlife management.

We are particularly interested in applicants who are using molecular approaches and/or focus on field research. It is expected that applicants will develop an active research program that will involve interested students in undergraduate research. Applicants should have an earned Ph.D. or be in the terminal stages of the process. Faculty wear uniforms and adhere to military customs but military experi-ence is not required. The starting date for this position is 1 August 2008. Applicants should send a letter of application, curriculum vitae, statement of teaching and research interests, copies of transcripts, and three letters of recommendation to: Dr. Richard A. Rowe, Chair, Biology Department, Virginia Military Institute, Lexington, VA 24450. Review of applica-tions will begin on 16 November 2007. Additional information on VMI can be seen at website: http:// www.vmi.edu. VMI is an Equal Opportunity Employer

FACULTY POSITION, STRUCTURAL/ PHYSICAL BIOCHEMISTRY. The Institute of Molecular Biology and the Department of Chemistry at the University of Oregon (website: http:// www.molbio.uoregon.edu; www.uoregon.edu/ ~chem/) have an opening for a tenure-related biochemistry faculty member to begin in fall 2008 or later. The appointment is expected at the ASSIST-ANT PROFESSOR level, although outstanding applicants at all levels will be considered. The potential for establishing a vigorous independent research program and excellence in teaching at the undergraduate and graduate levels will be the primary selection criteria. Individuals studying fundamental problems in cell and molecular biology using structural, biochemical, and/or biophysical approaches are especially encouraged to apply. Interested persons should apply at website: http://uochemjobs.uoregon.edu and then send curriculum vitae, statement of research plans and teaching interests, and arrange for three letters of recommendation to be sent to: Biochemistry Search Committee, Department of Chemistry, 1253 University of Oregon, Eugene, OR 97403-1253. To be assured of full consideration, application materials must be received by November 15, 2007, but the search will remain open until the position is filled. The University of Oregon is an Equal Opportunity/Affirmative Action Institution committed to cultural diversity and compliance with the Americans with Disabilities Act. Women and minorities are encouraged to apply. We invite applications from qualified candidates who share our commitment to diversity.

#### POSTDOCTORAL POSITIONS University of Pittsburgh Cancer Institute

We have an immediate opening for a Postdoctoral Associate to work on projects related to NF-kappa signaling and virally-induced cancers.

Candidate should have expertise in the fields of molecular and cell biology, cell signaling and protein biochemistry. Animal experience is preferable. Send curriculum vitae and names of three references to: Preet M. Chaudhary, M.D., Ph.D. 5117 Centre Avenue; Suite 1.19A, Pittsburgh, PA 15213-1863. E-mail: powecs@upmc.edu.

#### POSITIONS OPEN

#### The ST. LAWRENCE UNIVERSITY BIOLOGY DEPARTMENT INVITES APPLICATIONS for TWO TENURE-TRACK POSITIONS

Cell biology. The Biology Department at St. Lawrence University invites applications for a tenuretrack position in cell biology starting fall 2008 at the ASSISTANT PROFESSOR level. A Ph.D. is required; postdoctoral and previous teaching experience, especially in a liberal arts and science environment is preferred. The successful candidate will be expected to teach cell biology, immunology, other courses in their area of specialty, and on a rotating basis the cell biology and/or genetics portions of our introductory biology course. Preference will be given to candidates who use confocal microscopy, electron microscopy, and/or bioinformatics. The successful candidate will be expected to develop a productive research program that provides meaningful research experiences for our undergraduate students.

Physiology. The Biology Department at St. Lawrence University invites applications for a tenure-track position in physiology starting fall 2008 at the ASSISTANT PROFESSOR level. A Ph.D. is required; postdoctoral and previous teaching experience, especially in a liberal arts and science environment is preferred.

The successful candidate will be expected to teach courses in their area of specialty, cell biology, and on a rotating basis the cell biology and/or physiology portions of our introductory biology courses. The successful candidate will be expected to develop a productive research program that provides meaningful research experiences for our undergraduate students.

Interested candidates should submit a letter of application, curriculum vitae, a statement of teaching experience and philosophy (including the use of innovative and progressive pedagogies), and a statement of research interests, as well as arrange for three letters of recommendation to be forwarded to: Dr. Michael Temkin, Biology Department, St. Lawrence University, 23 Romoda Drive, Canton, NY 13617. Review of applications will begin on October 19, 2007; applications received after this date will be reviewed as needed. We especially welcome applications from candidates who bring diverse cultural and ethnic perspectives to the University. Please see the University homepage at website: http://www.stlawu.edu and the Biology Department homepage at website: http://it.stlawu.edu/ ~biology for more information.

The Department of Pharmacology and Experimental Neuroscience at the University of Nebraska Medical Center, seeks outstanding BASIC and TRANSLA-TIONAL NEUROSCIENTISTS and NEURO-PHARMACOLOGISTS for tenure-leading faculty positions; rank is dependent on qualifications. Applicants should have an M.D. and/or Ph.D. degree and a vibrant research program. Areas of special interest are in neurodegenerative diseases, nanomedicine and drug delivery, and abused drugs that affect the central nervous system. Faculty need participate in health professional and graduate student education. Liberal research space, core facilities, and equipment in new state-of-the-art facilities are available (websites: http://www.unmc. edu/dept/vcr/index.cfm?conref=18 and http:// www.unmc.edu/apps/pharmacology/index. ctm?LI\_ID=65&CONREF=120). The research environment is interdisciplinary and highly collaborative and aligned with the Centers for Neurovirology and Neurodegenerative Disorders, Drug Delivery and Nanomedicine, and Virology. Applicants should send curriculum vitae, statement of career objectives, and the names of three references to: Dr. Daniel Monaghan, Neuroscience Search Committee Chair, University of Nebraska Medical Center, Omaha, NE 68198-5880; e-mail: dtmonagh@unmc.edu, telephone: 402-559-4035. Review of applications will begin November 1, 2007, and will continue until all positions are filled. Minorities and women are encouraged to apply. The University of Nebraska Medical Center is an Equal Opportunity/Affirmative Action Employer.

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#### **Tenure Track**

#### Systems Biology/Genetics

The Department of Cancer Biology at the Dana-Farber and the Department of Genetics at Harvard Medical School seek well-qualified applicants for a tenure-track position at the Assistant or Associate Professor level to serve in a new Center for Cancer Systems Biology (http://ccsb.dfci.harvard.edu). The successful candidate is expected to direct innovative and independent research and to participate in the teaching activities of the Department of Genetics. Candidates combining systems biology and genetics to investigate normal biological processes and/or human diseases, particularly cancer, are encouraged to apply. CCSB provides a highly integrative and collaborative environment to develop interdependent systems biology research programs. An attractive start-up support package is provided which includes laboratory space in the new Smith Research Building of the Dana-Farber Cancer Institute. The successful candidate will also be a full member and active participant in the Department of Genetics (http://genetics.med.harvard.edu). Applicants must hold a Ph.D, M.D./Ph.D. or M.D. degree, have completed post doctoral training, and have a strong record of research accomplishments.

Applicants should submit electronic (pdf) copies of curriculum vitae, bibliography, a description of research accomplishments and future research interests (limit to two pages) by December 30, 2007, and ask four references to provide letters of recommendation. These materials should be sent to the following email address:

#### Deborah\_goff@dfci.harvard.edu



SHARE THE VISION. FIND THE CURE

#### INSTITUTE OF HUMAN VIROLOGY UNIVERSITY OF MARYLAND, SCHOOL OF MEDICINE Baltimore, Maryland

A post-doctoral research position is available in the Division of Basic and Vaccine Research at The Institute of Human Virology (http:// www.ihv.org). This position will support a newly initiated collaborative program to evaluate humoral immunity against HIV and HIV vaccines. The research efforts will utilize state-of-the-art biochemical, immunological and in vivo techniques to identify novel antibodies and humoral responses in HIV-infected persons or vaccinated non-human primates that suppress HIV infection. These efforts will also involve the design and testing of novel immunogens based on the HIV envelope. IHV maintains a highly interactive environment with excellent collaborations between basic and clinical scientists. Through our new program, applicants will have an opportunity to establish multidisciplinary collaborations with researchers around the world. Excellent facilities at IHV provide recently upgraded flow cytometry and cell sorting capabilities, in silico protein modeling capabilities, SPR instrumentation, sophisticated fluorescent imaging capabilities and immediate access to animal experimentation. Candidates must have a PhD degree in immunology, biochemistry or molecular biology; experience in biochemical, immunological and molecular techniques and in protein expression and purification; and excellent writing and communication skills. Applicants, selected for an interview must be available on site during the interview process. Experience in studies of humoral immunity in non-human primates will be favored. Healthcare benefits are provided.

Please send a cover letter detailing your previous scientific work experience and your interest in this position, curriculum vitae, and contact information for three references to: Anthony L. DeVico, PhD, Professor of Medicine, Institute of Human Virology, University of Maryland School of Medicine, University of Maryland, Baltimore, 725 West Lombard Street, Baltimore, MD 21201, Ph: 410-706-4680; Fax: 410-706-4694; Email: devico@umbi.umd.edu.

> The University of Maryland is an Equal Opportunity, Affirmative Action Employer.

# UCRIVERSITY OF CALIFORNIA

FACULTY POSITION - ASSISTANT, ASSOCIATE, OR FULL PROFESSOR SYNAPTIC PLASTICITY/GLIAL > NEURONAL INTERACTIONS

The Department of Cell Biology and Neuroscience (http://cbns.ucr.edu/) at the University of California, Riverside seeks an individual with a strong, interdisciplinary research program in the areas of synapse formation, plasticity, and/or glialc>neuronal interactions.

The department, housed in a new 22 laboratory Biological Sciences Building, provides excellent research facilities for current and future faculty members of the Department. Applicants, who must have a Ph.D. or equivalent and postdoctoral experience, are expected to interact broadly with faculty and students in the Interdepartmental Neuroscience Graduate Program, and to strengthen links with the Center for Glial⇔Neuronal Interactions, the Stem Cell Center (www.stemcells.ucr.edu), and/or the Center for Nanoscale Science and Engineering. Opportunities for graduate student teaching and mentoring are available through participation in Graduate Programs in Neuroscience (http://neuro.ucr.edu/), Cell, Molecular and Developmental Biology (http://www.genetics.ucr.edu/), and Genetics, Genomics, and Bioinformatics (http://www.genetics.ucr.edu/), and in the undergraduate Neuroscience major.

Applicants should submit a Curriculum Vitae, research statement, selected reprints, and at least three letters of reference to:

Chair, Neuroscience Search Committee Department of Cell Biology and Neuroscience University of California Riverside, CA 92521 USA

Application materials may be sent by e-mail to: <u>neuroscience@ucr.edu</u>. Review of applications will begin **October 29**, 2007 and continue until the position is filled.

The University of California is an equal opportunity/affirmative action employer committed to excellence through diversity.

#### AWARDS



# for Medical Research Excellence

In the field of:

#### THERAPY IN MALIGNANCY

MOLECULAR THERAPY IN DRUG TARGETING (PHARMACOGENOMICS)

**ORGAN & TISSUE TRANSPLANTATION** 

The prize amount is AED three hundred thousand (AED 300,000) (Approx. US\$ 82,000) to be awarded equally to 3 winners.

The general secretariat is pleased to invite doctors, researchers, universities, research centres and medical scientific societies throughout the world to submit their research work for the awards 2007-2008

Closing Date: November 30, 2007

Ferfurther information: The General Secretariat Sheikh Hamdan Bin Rashid Al Maktoum Award for Medical Sciences P O 80x 22252, Duba, United Arab Emirates Tel: +971 4 3986777 Fax: +971 4 3986777 Fax: +971 4 3986799 E mail: shhaward@emirates.net.ae Website: http://www.hmaward.org.ae



#### POSITIONS OPEN

#### ASSISTANT/ASSOCIATE PROFESSOR (Integrated/Systems Pharmacology) Department of Pharmaceutical Sciences University of Maryland School of Pharmacy

The Department of Pharmaceutical Sciences invites applications of outstanding BIOMEDICAL SCIENTISTS for a 12-month tenure-track faculty position at the rank of ASSISTANT/ASSOCIATÉ PROFESSOR commensurate with qualifications. The candidate will have current research expertise in integrated/systems pharmacology. All physiological systems and therapeutic research areas will be considered, with preference given to those with the potential for interactions with current research programs in the Department. The Department has 26 full-time faculty and 70 full-time graduate students in the research areas of pharmacology, neuroscience, cellular and structural biology, medicinal chemistry, biopharmaceutics, drug delivery, and pharmaceutical technology. The Department has a rapidly expanding research program, and has recently occupied a new state-ofthe-art Health Sciences Research Facility.

Applicants should have a Ph.D. or equivalent terminal degree in pharmacology or closely related field, and demonstrate excellent communication and interpersonal skills, and the ability to foster collaborative and interdisciplinary initiatives. The successful applicant will be expected to establish/maintain an active funded research program, and to contribute to teaching at both the professional and graduate levels. A competitive startup package is available. Please submit a letter of intent, curriculum vitae, statement of research and teaching philosophy, and addresses of three references by mail to: Dr. J.B. Wang, Chair, Pharmacology Search Committee, Department of Pharmaceutical Sciences, University of Maryland School of Pharmacy, 20 N. Pine Street, Baltimore, MD 21201-1180, or e-mail: jwang@rx.umaryland. edu. The deadline for application is December 1, 2007. The University of Maryland is an Affirmative Action/ Equal Opportunity/ADA Employer. Minorities and women are encouraged to apply.

MOLECULAR GENETICIST and AQUATIC ECOLOGIST. The Biology Department at State University of New York (SUNY) Fredonia is seeking applications for tenure-track ASSISTANT PROFES-SOR positions to begin fall 2008. SUNY Fredonia is a selective, public, undergraduate liberal arts university. Candidates must have a Ph.D. and postdoctoral research experience. The successful applicants will teach core courses for biology majors as well as general education and upper-level/graduate courses. An active research program that promotes scholarship and involves undergraduate and M.S. students is expected. The successful candidate will have teaching experience, demonstrate a commitment to teaching, and have a track record of publications in peer-reviewed journals. See website: http://www.fredonia.edu/ humanresources/faculty.htm for full job descriptions and required application materials. Review of completed applications will begin on November 9, 2007. Send materials to: Search Committee, Department of Biology, State University of New York Fredonia, Fredonia, NY 14063.

An Affinnative Action/Equal Opportunity Employer, SUNY Fredonia encourages and actively seeks applications from minorities, women, and people with disabilities.

Penn State Shenango invites applications for a tenure-track ASSISTANT PROFESSOR position in biology to begin August 2008. Teach a range of undergraduate biology courses with laboratories. Research and service expected. Ph.D. in biology with teaching experience required; all-but-dissertation will be considered. To learn about the campus, visit website: http://www.psu.edu/ur/cmpcoll.html. To learn about the position and how to apply, visit website: http://www.psu.jobs/Opportunities/ Opportunities.html and follow the faculty link. Affirmative Action/Equal Opportunity Employer.

#### POSITIONS OPEN

#### POSTDOCTORAL FELLOWSHIP POSITIONS Available in The Harvard Reproductive Endocrine Sciences

#### The Harvard Reproductive Endocrine Sciences Center, Boston, Massachusetts

The Harvard Reproductive Endocrine Sciences Center is seeking outstanding Postdoctoral Research Fellows with a primary interest in an academic career in the scientific area of the neuroendocrine and genetic control of reproduction. Competitive candidates should: (a) be U.S. citizens (or have achieved Permanent Resident Status, i.e. have a green card); (b) have an M.D., Ph.D., or M.D./Ph.D. degree; (c) be seeking an academic career; (d) have an interest in translational investigation; and (e) be familiar with the contemporary investigative tools of genetics, molecular biology, physiology, structural biology, and human/animal investigation. Minorities and women are especially encouraged to apply.

Appropriate candidates should send their curriculum vitae to:

Dr. William Crowley Center Director Harvard Reproductive Endocrine Sciences Center Bartlett Hall Extension 5 55 Fruit Street Massachusetts General Hospital Boston, MA 02114 E-mail: crowley.william@mgh.harvard.edu

FACULTY POSITION, NEUROSCIENCE. The Program in Neuroscience at Wellesley College invites applications for a tenure-track faculty position at the rank of first-level ASSISTANT PROFES-SOR to begin July 2008.

Candidates should have a Ph.D. and postdoctoral experience. We are seeking broadly trained NEU-**ROSCIENTISTS** who are committed to excellence in both teaching and research in an undergraduate liberal arts environment. While the position is open to any field of neuroscience, we are particularly interested in candidates who have expertise in areas complementary to our current faculty, such as cognitive, behavioral or computational neuroscience, or neuropharmacology. Applications should include curriculum vitae, statements of teaching and research interests, and three letters of recommendation. Review of applications will begin on October 15, 2007. Candidates who believe they will contribute to that goal are encouraged to apply. Online applications can be submitted to e-mail: wcneurosearch@wellesley.edu.

Applications can also be sent to: Search Committee, Neuroscience Program, Wellesley College, Wellesley, MA 02481.

Wellesley College is an Affirmative Action/Equal Opportunity Employer, and we are committed to increasing the diversity of the College community and the arriculum.

#### ECOLOGY, EVOLUTION, and ENVIRONMENTAL BIOLOGY Columbia University

We seek an ECOLOGICAL, EVOLUTIONARY, or ENVIRONMENTAL BIOLOGIST whose research complements and augments strengths within the Department and related institutions (website: http://www.columbia.edu/cu/e3b/job). Appoint-ment will be at the ASSISTANT PROFESSOR level. We encourage applicants working on animals or microbes at landscape, regional, or global scales. Successful candidate will be expected to establish a vigorous, externally funded research program and to participate in undergraduate and graduate teaching. Ph.D. required. Candidates should send single PDF file including curriculum vitae, research and teaching statements, and contacts for three or more references to e-mail: eeeb-facsearch@columbia.edu by November 5, 2007. Columbia University is an Equal Opportunity/ Afirmative Action Employer. Minorities and women are encouraged to apply.

#### POSITIONS OPEN

#### BULLARD FELLOWSHIPS in FOREST RESEARCH Harvard University

Each year Harvard University awards a limited number of Bullard Fellowships to individuals in biological, social, physical, and political sciences to promote advanced study, research, or integration of subjects pertaining to forested ecosystems. The Fellowships, which include stipends up to \$40,000, are intended to provide individuals in mid career with an opportunity to utilize the resources and to interact with personnel in any department within Harvard University in order to develop their own scientific and professional growth. In recent years Bullard Fellows have been associated with the Harvard Forest, Department of Organismic and Evolutionary Biology and the J.F. Kennedy School of Government and have worked in areas of ecology, forest management, policy, and conservation. Fellowships are available for periods ranging from six months to one year after September 1. Applications from international scientists, women, and minorities are encouraged. Fellowships are not intended for graduate students or recent postdoctoral candidates. Information and application instructions are available on the Harvard Forest website: http://harvardforest.fas.harvard. edu. Annual deadline for applications is February 1.





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References
1. Lau NC, LP Lim, EG Wienstein, and DP Bartel 2001 An abundant class of tiny RNAs with probable regulatory roles in Caenorhabditis elegans. Science 294: 858-862.



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